Soyuz 6 Wind Energy Facility, Northern Cape Province **Draft Social Impact Assessment**



PROPOSED SOYUZ 6 WIND ENERGY FACILITY Britstown, Northern Cape Province

DRAFT SOCIAL IMPACT ASSESSMENT REPORT

| Prepared for: SOYUZ 6 (Pty) Ltd. |
|---|
| Prepared by: |
| |
| CAPE TOWN |
| 3 Caledonian Road, Elta House, Mowbray, 7700 021 045 0900 |
| Also in Makganda (Grahamstown), Gqeberha, East London, Johannesburg and Maputo (Mozambique) <u>www.cesnet.co.za</u> |

August 2022



CES Report Revision and Tracking Schedule

| Document Title: | Proposed Soyuz 6 Wind Energy Facility, Britstown, Northern Cape Province: Draft Social Impact Assessment Report | | |
|---|--|--------------------|---------------------------------------|
| Client Name & | Atlantic Energy Partners | | |
| Address: | 101 Block A, West Quay B | uildina. 7 Wes | t Quav Road. |
| | Waterfront, Cape Town, 8000 | 5, | · · · · · · · · · · · · · · · · · · · |
| Status: | Draft Report | | |
| Issue Date: | 29 June 2022 | | |
| Lead Author: | Hilda Bezuidenhout | | |
| Reviewer: | T Avis | | |
| Study Leader / Registered Environmental Assessment Practitioner – Approval: | R Jonas | | |
| | Circulated to | No. of hard copies | No. electronic copies |
| Report Version | | | |
| | Date | | |
| | | | |

This document has been prepared in accordance with the scope of CES's appointment and contains intellectual property and proprietary information that is protected by copyright in favour of CES. The document may therefore not be reproduced, used or distributed to any third party without the prior written consent of CES. This document is prepared exclusively for use by CES's client. CES accepts no liability for any use of this document other than by its client and only for the purposes for which it was prepared. No person other than the client may copy (in whole or in part), use or rely on the contents of this document, without the prior written permission of CES. The document is subject to all confidentiality, copyright, trade secrets rules and intellectual property law and practices of South Africa.

CES

ENVIRONMENTAL AND SOCIAL ADVISORY SERVICES

Info@cesnet.co.za www.cesnet.co.za



| E | XECU | TIVE SUMMARY | <u> 7</u> |
|----------|--------------|--|-------------|
| <u>1</u> | <u>INT</u> | RODUCTION | <u>. 14</u> |
| | 1.1 | Scope of The Draft SIA | . 14 |
| | 1.2 | Terms of Reference | . 14 |
| | 1.3 | Specialist details | . 15 |
| | 1.4 | Methodology | . 15 |
| | 1.5 | Assumptions and Limitations | . 17 |
| | 1.6 | Project Description and Location | . 17 |
| <u>2</u> | <u>AD</u> | HERENCE TO THE EIA REQUIREMENTS FOR SPECIALISTS | <u>. 19</u> |
| | 2.1 | Appendix 6 of the EIA Regulations, 2014 – Specialist Reports | . 19 |
| | 2.2 Minim | Site Verification: Regulation 1.3 (c) of the Procedures for the Assessment a num Criteria for Reporting on Identified Environmental Themes | |
| | 2.3 Asses | Integrated Environmental Management Series 22: Socio-economic Impact | . 21 |
| <u>3</u> | NE | ED AND DESIRABILITY | . 22 |
| _ | | | |
| | 3.1 | Supplying Electricity from Renewable Energy Sources | |
| | 3.2 area | Project Location and Compatibility with Existing Policy and Planning for th 24 | e |
| <u>4</u> | I F(| GAL AND POLICY CONTEXT | 25 |
| Ξ | | | |
| | 4.1 | International standards | |
| | 4.2 | National Legislation and policy | |
| | 4.2. | ······································ | |
| | | 2 National Environmental Management Act, 1998 (Act 107 of 1998) (NEMA) | |
| | 4.2. | | . 29 |
| | 4.2. | | . 30 |
| | 4.2. | 5 White Paper on the Energy Policy of the Republic of South Africa (1998) | . 30 |
| | 4.2. | 6 White Paper on Renewable Energy (2003) | . 31 |
| | 4.2. | 7 National Integrated Energy Plan (2016) | . 31 |
| | 4.2. | 8 Integrated Resource Plan (2019) | . 33 |
| | 4.2. | 9 National Development Plan (2011) | . 34 |
| | 4.2. | 10 The New Growth Path Framework (2010) | . 34 |
| | 4.2. | 11 National Infrastructure Plan (2012) | . 34 |



| | 4.3 | IFC | C Performance Standards compared to NEMA requirements |
|----------|--------------|------|---|
| | 4.4 | Pre | ovincial Framework |
| | 4.4 | .1 | Northern Cape Provincial Spatial Development Framework |
| | 4.4 | .2 | Northern Cape Provincial Growth and Development Strategy (2019) 40 |
| | 4.5 | Dis | strict and Local Policy & Plans40 |
| | 4.5 | .1 | Pixley ka Seme District Municipality Integrated Development Plan (2022–2027) 40 |
| | 4.5 | .2 | Ubuntu Local Municipality Draft Integrated Development Plan (2022/2023) 41 |
| <u>5</u> | <u>ST</u> | AK | EHOLDER ENGAGEMENT42 |
| <u>6</u> | DE | SCI | RIPTION OF THE SOCIAL ENVIRONMENT |
| | 6.1 | Int | roduction |
| | 6.2 and t | | ckground information: Northern Cape Province, Ubuntu Local Municipality Project site |
| | 6.3 | De | mographics |
| | 6.3 | .1 | Structure of the population by broad age groups |
| | 6.3 | .2 | Population growth rates |
| | 6.3 | .3 | Population groups |
| | 6.3 | .4 | Religious affiliation |
| | 6.3 | .5 | Occurrence of deaths in households |
| | 6.3 | .6 | Dependency ratios |
| | 6.3 | .7 | Education |
| | 6.3 | .8 | Labour market, income, and ability to buy food51 |
| | 6.3 | .9 | Housing |
| | 6.3 | .10 | Access to services |
| | 6.3 | .11 | Female-headed households57 |
| | 6.3 | .12 | Child-headed households58 |
| | 6.3 | .13 | Crime and perceptions of safety59 |
| <u>7</u> | <u>IM</u> | PAC | T ASSESSMENT61 |
| | 7.1 | Im | pact Assessment Criteria & Method61 |
| | 7.2 | De | scription Of Socio-Economic Impacts65 |
| <u>8</u> | <u>co</u> | | LUSION & RECOMMENDATIONS |
| | | | |
| <u>9</u> | | | RENCES |
| | | | (A – SPECIALIST DECLARATION |
| <u>A</u> | PPEN | IDI) | <u>(B – SPECIALIST'S CV</u> |



LIST OF TABLES

| Table 2-1: Checklist of information legally required for a specialist report. | 19 |
|---|----|
| Table 3-1: BID Windows RE tariffs | 23 |
| Table 4-1: Performance Standards relevant to this SIA | 26 |
| Table 4-2: Description of each Performance Standard and its applicability | 35 |
| Table 7-1: Evaluation Criteria and Scores | 62 |
| Table 7-2: Matrix used to determine the overall significance of the impact based on the | |
| likelihood and effect of the impact | 63 |
| Table 7-3: Description of Environmental Significance Ratings and associated range of | |
| scores | 63 |
| Table 7-4: Criteria considered post mitigation | 64 |

LIST OF FIGURES

| Figure 6-1: Northern Cape Province (Source: Google Maps) | 44 |
|---|----|
| Figure 6-2: Ubuntu Local Municipality (Source: Google Maps) | 45 |



ACRONYMS

| BA | Basic Assessment |
|-------------|--|
| COP | Conference of Parties |
| CSI DFFE | Corporate Social Investment |
| | Department of Forestry, Fisheries and the Environment (National) |
| DM | District Municipality |
| DoE | Department of Energy |
| EIA | Environmental Impact Assessment |
| EMPr | Environmental Management Programme |
| | Environmental and Social Impact Assessment |
| GDP (PPP) | Gross domestic product based on purchasing power parity |
| GHGs | Greenhouse gases |
| GN | Guidance Note |
| I&AP | Interested and Affected Party |
| IDP | Integrated Development Plan |
| IEP | Integrated Energy Plan |
| IFC | International Finance Corporation |
| IPP | Independent Power Producer |
| IRP | Integrated Resource Plan |
| LED | Local Economic Development |
| LM | Local Municipality |
| MW | Megawatt |
| NDP | National Development Plan |
| NEMA | National Environmental Management Act, Act 107 of 1998 |
| NERSA | National Energy Regulator of South Africa |
| NGO | Non-Governmental Organisation |
| PACs | Project Affected Communities |
| PP | Public Participation |
| PS | Performance Standard |
| RE | Renewable energy |
| REIPPPP | Renewable Energy Independent Power Producers Procurement Programme |
| SADC | Southern African Development Community |
| SAWEA | South African Wind Energy Association |
| SDF | Spatial Development Framework |
| SIA | Social Impact Assessment |
| SIPs | Strategic Integrated Projects |
| UNFCCC | United Nations Framework Convention on Climate Change |
| WEF | Wind Energy Facility |

EXECUTIVE SUMMARY

Introduction

This Draft Social Impact Assessment (SIA) is one of the specialist studies prepared for the Scoping/EIA process for the proposed Soyuz 6 Wind Energy Facility (WEF) in the Ubuntu Local Municipality and the Pixley ka Seme District Municipality, Northern Cape Province.

The objective of this Draft Social Impact Assessment is to provide background to and identify possible beneficial (positive) and detrimental (negative) social and economic impacts of the proposed WEF. Following this report, consultation with a range of stakeholders will take place, and all identified social impacts will be assessed and rated. Measures to enhance positive impacts and mitigate negative impacts that the proposed project may have on affected individuals or communities will be proposed, and a recommendation on whether the proposed project should be authorised from a social point of view, will be made.

The scope of work for the social impact assessment is as follows:

- 1. Review of the relevant legal and policy context (national and international).
- 2. Description of the proposed project.
- 3. Description of the existing baseline socio-economic characteristics of the study area in relation to the regional context.
- 4. Identification and assessment of potential social impacts resulting from the project (construction, operation and decommissioning, using CES' impact rating methodology).
- 5. Opinion on the acceptability of alternatives and recommendation of a preferred alternative.
- 6. Identification and description of potential cumulative social impacts resulting from the six wind farms and any other wind farm or other relevant developments in the study area.
- 7. Recommendation of mitigation measures to minimise or avoid negative impacts and/or optimise positive impacts/benefits associated with the project.
- 8. Development of a Social Management Plan including the following [in order to comply with the International Finance Corporation (IFC) Performance Standards]:
 - a. Stakeholder Engagement Plan;
 - b. OHS and Emergency Plan;
 - c. External communication and Grievance Management Process Plan; and
 - d. Monitoring and review programme.

Project Description

The applicant Soyuz 6 (Pty) Ltd is proposing the development of a commercial Wind Energy Facility (WEF) and associated infrastructure on a site located approximately 53 km southeast of Britstown within the Ubuntu Local Municipality and the Pixley ka Seme District Municipality in the Northern Cape Province.

Five additional WEFs are concurrently being considered on the surrounding properties and are assessed by way of separate impact assessment processes contained in the 2014 Environmental Impact Assessment Regulations (GN No. R982, as amended) for listed activities contained in Listing Notices 1, 2 and 3 (GN R983, R984 and R985, as amended). These projects are known as Soyuz 1 WEF, Soyuz 2 WEF, Soyuz 3 WEF, Soyuz 4 WEF and Soyuz 5 WEF.

A preferred project site with an extent of approximately 125 000 ha has been identified as a technically suitable area for the development of the six WEF projects. It is proposed that each WEF will comprise of up to 75 turbines with a contracted capacity of up to 480 MW. It is anticipated that each WEF will have an actual (permanent) footprint of up to 150 ha.

The Soyuz 6 WEF project site covers approximately 17 800 ha and comprises the following farm portions:

- Remaining Extent of Portion 3 of the Farm No. 16.
- Remaining Extent (Portion 0) of the Farm No 16.
- Remaining Extent (Portion 0) of the Farm No 141.
- Remaining Extent (Portion 0) of the Farm No. 148.
- Portion 4 of the Farm No. 16.
- The Farm No. 157.
- The Farm No. 156.
- Portion 2 (a portion of Portion 13) of the Farm Wonderboom No. 13.
- Portion 1 of the Farm Wonderboom No. 13.
- Remaining Extent of Portion 1 of the Farm Sterkfontein No. 12.

The Soyuz 6 WEF project site is proposed to accommodate the following infrastructure, which will enable the wind farm to supply a contracted capacity of up to 480 MW:

- Up to 75 wind turbines with a maximum hub height of up to 160 m and a rotor diameter of up to 200 m;
- A transformer at the base of each turbine;
- Concrete turbine foundations;
- Turbine, crane and blade hardstands;
- Temporary laydown areas (with a combined footprint of up to 14 ha) which will accommodate the boom erection, storage and assembly area;
- Cabling between the turbines, to be laid underground where practical;
- Two on-site substations with a combined footprint of up to 4 ha in extent to facilitate the connection between the wind farm and the electricity grid;
- Access roads to the site and between project components inclusive of stormwater infrastructure. A 12 m road corridor may be temporarily impacted upon during construction and rehabilitated to 6m wide after construction. The WEF will have a total road network of up to 125 km.

8

- A temporary site camp establishment and concrete batching plants (with a combined footprint of up to 2 ha); and
- Operation and Maintenance buildings (with a combined footprint of up to 2 ha) including a gate house, security building, control centre, offices, warehouses, a workshop and visitor's centre.

In order to evacuate the energy generated by the WEF to the national grid, a separate Basic Assessment will be undertaken to assess two grid connection alternatives:

- Alternative 1: A 132 / 400kV overhead powerline (OHL) within a 500 m assessment corridor from the Switching Station on site to a proposed new 132 / 400 kV MTS located north of the WEF and adjacent to the Hydra – Kronos 400 kV line.
- Alternative 2: A 132 / 400 kV overhead powerline (OHL) within a 500 m assessment corridor from the Switching Station on site to a proposed new 132 / 400 kV MTS located south of the WEF and adjacent to the Droerivier - Hydra 400 kV line.

The EA applications for the wind farm project and grid connection infrastructure are being undertaken in parallel as they are co-dependent, i.e. one will not be developed without the other.

Need and Desirability

There is currently considerable need and demand for additional electrical power and particularly for electricity from renewable and other diverse sources. This need stems from Eskom's severe power supply constraints (the national Electricity Supplier) due to ageing infrastructure and coal powerplants which are in dire need of significant maintenance work (much of which has not been adequately undertaken during the last few years), along with occasional coal supply problems, severe financial constraints and debt, and staff capacity constraints. This situation is creating a considerable constraint and risk to economic growth and development in South Africa.

The need for a greater diversity of energy generation capacity from greener and more renewable sources also stems for considerable international and local political pressure on South Africa to reduce its carbon emissions. South Africa has one of the most carbon-intensive economies in the world, with higher CO₂ emissions per GDP (PPP) (2018; most recently published figures) than the World, European, Asian, North American and Sub-Saharan figures, according to World Bank data.

The current electricity supply constraints are not short-term or temporary and have been a factor in the South African economy since 2008 and will continue into the foreseeable future due to growing demand and anticipated declines in supply from the existing old coal power plants. The IEP forecasts that existing electricity generation capacity will decline notably from 2025, with significant plant retirement occurring in 2031, 2041 and 2048. By 2050, only 20% of the current electricity generation capacity will remain. As a result, large investments are required in the electricity sector in order to maintain an adequate supply in support of economic growth, especially a much more diverse electricity generation system by 2050 with coal reducing its share from about 85% in 2015 to 15–20% in 2050, and solar, wind and gas generation increasing their share.

9

Legal and Policy context

For the purposes of meeting the objectives of the SIA the following international, national, provincial and local level policy and planning documents were reviewed, considered and adhered to in this study:

- International standards: The International Finance Corporation Performance Standards (PS) on Environmental and Social Sustainability.
- National Legislation and Policy:
 - Constitution of the Republic of South Africa, 1996 (Act 108 of 1996).
 - National Environmental Management Act, 1998 (Act 107 of 1998).
 - Environmental Impact Assessment Regulations, 2014.
 - National Energy Act, 2008 (Act 34 of 2008).
 - White Paper on the Energy Policy of the Republic of South Africa (1998).
 - White Paper on Renewable Energy (2003).
 - National Integrated Energy Plan (2016).
 - Integrated Resource Plan (2019).
 - National Development Plan (2011).
 - New Growth Path Framework (2010).
 - National Infrastructure Plan (2012).
- Provincial Framework
 - Northern Cape Provincial Spatial Development Framework (2019).
 - Northern Cape Provincial Growth and Development Strategy (2019).
- District and Local Policy and Plans
 - Pixley ka Seme District Municipality Integrated Development Plan (2022–2027).
 - o Ubuntu Local Municipality Draft Integrated Development Plan (2022/2023).

Stakeholder engagement

Typically, one-on-one interviews are done with key stakeholders who are identified from groupings such as the local municipality (including ward councillors), directly affected and adjacent landowners, Tourism, Conservation, community forums/organisations, NGOs, schools, Business and the SAPS. Although the stakeholder consultation differs from Public Participation, the SIA Practitioner often draws from information gathered during the Public Participation process, such as I&AP registers and Comment and Response Reports.

The Final SIA will detail the stakeholder consultation for this project, including a description of observations made during the field work, dates on which consultations took place and a description of stakeholder groupings that were consulted.

Description of the Social Environment

This section provides a description of the socio-economic context of the affected area in and around the Soyuz 6 WEF development site. The baseline study presents a background description of the baseline conditions of the broader area and the potentially affected areas (the receiving environment) and includes a description of the local historical setting of the project and the local cultural context. Data from a variety of sources is included, including census data (from the 2011 census—the last census that was held in South Africa) for demographic profiles; the 2016 Community Survey conducted by StatsSA (for a more recent municipal profile than what the census data provides); the 2019 General Household Survey (conducted by StatsSA); the Ubuntu Local Municipality Draft Integrated Development Plan 2022/2023; and the websites of the Northern Cape Province and Ubuntu Local Municipality. Municipal IDPs usually use data provided by StatsSA for inclusion in their IDPs, and therefore, it should be noted that data provided are in many instances dated but are the latest data that is available.

Data at the following levels are described: Provincial (Northern Cape Province), District (Pixley ka Seme District) and Local/Municipal (Ubuntu Local Municipality).

The Northern Cape Province is the largest province in South Africa, covering approximately 372 889 m² and constituting about 30% of South Africa's land area. The province is also the most sparsely populated in the country, with its population constituting approximately 2.2% of South Africa's total population. It lies to the south of its most important asset, the Orange River, which provides the basis for a healthy agricultural industry. The province shares borders with four other provinces, namely the Free State, North West, Eastern Cape and Western Cape. It also shares borders with Namibia and Botswana to the north. The Atlantic Ocean forms the western boundary. The climate in the province is typically very warm in summer in most areas and very cold in winter. Unemployment has increased significantly between 1996 and 2011 (StatsSA, 2011 Provincial Profile – Northern Cape).

The key contributors to economic growth in the province are mining, construction, finance, utilities (including a growing renewable energy sector) and agriculture. The province contributes the least to the National GDP of all provinces (<u>http://www.northern-cape.gov.za/</u>).

Ubuntu Local Municipality's 2022/2023 Draft IDP states that livestock and game are the main farming activities in the area. Livestock farming mainly consists of sheep, goat and cattle, and the main agricultural products are wool for the export market and meat for the local market. Biltong and hunting are the main products of game farming. Game largely consists of springbok, blesbok, gemsbok, reedbuck, blue wildebeest and black wildebeest. Other economic sectors include manufacturing, electricity generation, construction, wholesale trade, transport, communication, finance, commerce and personal services.

The project site is located southeast of Britstown and consist of various farms located outside the urban areas of the municipality. From aerial imagery it is not clear whether any homesteads will be affected by the proposed WEF; this will be determined during the field work in the EIA phase.

The following demographic data for the project area is included:

- Structure of the population by broad age groups
- Population growth rates
- Population groups
- Religious affiliation
- Occurrence of deaths in households
- Dependency ratios
- Education
- Labour market, income, and ability to buy food
- Housing
- Access to services
- Female-headed households
- Child-headed households
- Crime and perceptions of safety

Impact Assessment

Direct, indirect and cumulative impacts that may occur during the planning, construction, operation and decommissioning project stages will be identified and assessed, using the assessment methodology outlined in Appendix 2 of the EIA Regulations, 2014 (as amended). This will be done in the Final SIA, as it can only take place after Stakeholder Consultations.

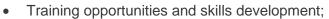
The following will be included:

- Identification and description of activities likely to cause social and cultural impacts (social change processes) and potential direct, indirect and cumulative impacts, both positive and negative.
- **Rating** of the likely impacts (including secondary and cumulative impacts), before mitigation, including describing and evaluating alternatives.
- Listing of **proposed measures** to both enhance positive social impacts and mitigate negative social impacts.
- Rating of likely impacts, this time after mitigation.

Based on previous experience of similar applications, the following social aspects/themes will be considered at this stage:

12

- Feelings in relation to the project;
- Employment;
- Other income generation;
- Community relations and expectations;



- Visual impacts and sense of place;
- Changes in land use, including loss of agricultural land and income;
- Migration;
- Crime and security;
- Noise;
- Traffic;
- Heritage; and
- Supply of electricity to the national grid and positive contribution to the country's economy.

Conclusion & Recommendations

The Final Social Impact Assessment will contain a summary of the findings, based on the identification and rating of social impacts that the proposed development will have. General recommendations will be made, as well as a recommendation on whether the proposed project should be approved from a social point of view.

1 INTRODUCTION

This Draft Social Impact Assessment (SIA) is one of the specialist studies prepared for the Scoping/EIA process for the proposed Soyuz 6 Wind Energy Facility (WEF) in the Ubuntu Local Municipality and the Pixley ka Seme District Municipality, Northern Cape Province.

1.1 SCOPE OF THE DRAFT SIA

The Interorganizational Committee on Guidelines and Principles (ICGP) defines "social impacts" as "the consequences to human populations of any public or private actions that alter the ways in which people live, work, play, relate to one another, organise to meet their needs and generally cope as members of society. The term also includes cultural impacts involving changes to the norms, values, and beliefs that guide and rationalise their cognition of themselves and their society" (ICGP, 1994).

No definition exists for SIA in the context of developing countries specifically. In developing countries, SIA should be seen as "a framework for incorporating participation and social analysis into the design and delivery of development projects" (World Bank, 1995). Vanclay (2002) emphasises the importance of SIA forming part of development planning in developing countries by stating that the improvement of social well-being, with a focus on poverty reduction and democratisation, should be recognised as an objective of development projects and plans, and as such, should serve as a performance indicator considered in any form of impact assessment. "SIA is more than a technique or step; rather, it is a philosophy about development and democracy. As such, ideally it considers pathologies of development (i.e., harmful impacts), goals of development (such as poverty alleviation), and processes of development (e.g., participation, capacity building)" (Vanclay, 2002).

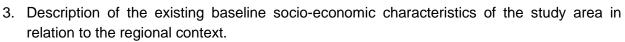
The objective of this Draft Social Impact Assessment is to provide background to and identify possible beneficial (positive) and detrimental (negative) social and economic impacts of the proposed WEF. Following this report, consultation with a range of stakeholders will take place, and all identified social impacts will be assessed and rated. Measures to enhance positive impacts and mitigate negative impacts that the proposed project may have on affected individuals or communities will be proposed, and a recommendation on whether the proposed project should be authorised from a social point of view, will be made.

14

1.2 TERMS OF REFERENCE

The scope of work for the social impact assessment is as follows:

- 1. Review of the relevant legal and policy context (national and international).
- 2. Description of the proposed project.



- 4. Identification and assessment of potential social impacts resulting from the project (construction, operation and decommissioning, using CES' impact rating methodology).
- 5. Opinion on the acceptability of alternatives and recommendation of a preferred alternative.
- 6. Identification and description of potential cumulative social impacts resulting from the six wind farms and any other wind farm or other relevant developments in the study area.
- 7. Recommendation of mitigation measures to minimise or avoid negative impacts and/or optimise positive impacts/benefits associated with the project.
- 8. Development of a Social Management Plan including the following (in order to comply with the IFC PS standards):
 - a. Stakeholder Engagement Plan;
 - b. OHS and Emergency Plan;
 - c. External communication and Grievance Management Process Plan; and
 - d. Monitoring and review programme.

1.3 SPECIALIST DETAILS

Hilda Bezuidenhout - Socio-Economic Specialist and Report Writer

Ms Hilda Bezuidenhout has extensive experience working in the environmental management field – first as an Environmental Assessment Practitioner (both in the private sector, consulting, and in the public sector, at the then National Department of Environmental Affairs), and in the last number of years focusing on Social Impact Assessments and related studies. She worked as an independent consultant (self-employed) for approximately 10 years before joining CES. She obtained an Honours Degree in Industrial Sociology and a Master's Degree in Environmental Studies (Environment and Society), both from the University of Pretoria. She has worked on a wide range of projects in energy (including renewable energy), mining, mixed-use development, transport infrastructure and recreational facility applications, and has acted as an external peer reviewer on a number of social impact-related projects.

1.4 METHODOLOGY

A typical SIA investigates one or more of the following issues:

- Demographic factors—number of people, their location, population density, age, etc.
- Socio-economic determinants—factors affecting incomes and productivity, such as risk aversion of the poorest groups, land tenure, access to productive inputs and markets, family composition, kinship reciprocity, and access to wage opportunities and labour migration.
- Social organization—organization and capacity at the household and community levels affecting participation in local-level institutions as well as access to services and information.

15

- Socio-political context—implementing agencies' development goals, priorities, commitment to project objectives, control over resources, experience, and relationship with other stakeholder groups.
- Needs and values—stakeholder attitudes and values determining whether development interventions are needed and wanted, appropriate incentives for change, and capacity of stakeholders to manage the process of change.

(The International Bank for Reconstruction and Development/THE WORLD BANK, 1998).

This Draft SIA for the proposed Soyuz 6 WEF is a desktop study that relied on existing data. The Final SIA will be compiled after a site visit was conducted and key stakeholders were interviewed.

The Social Impact Assessment process will consist of the following components:

- Desktop study, including a review of:
 - The available project information;
 - o GIS spatial data for the site;
 - Socio-economic baseline data for the project area;
 - Local development plans and policies;
 - Environmental and Social Standards and legislation;
 - Minutes of stakeholder engagement meetings and comments submitted in the EIA phase; and
 - The results of other relevant specialist reports (as other categories/types of impacts can sometimes result in social impacts).
- Site visit, to make observations on, amongst other things, land uses and settlements.

16

- Meetings and interviews with key stakeholders.
- Drafting of the SIA Report.

The SIA Report will include the following information:

- A description of the scope of the SIA;
- Terms of Reference;
- Specialist details;
- Description of the methodology followed;
- Assumptions and limitations;
- Project description and location;
- Alternatives;
- Adherence to EIA requirements for specialist studies;
- Need and desirability;
- Legal and Policy context;
- Stakeholder engagement;
- Socio-economic baseline of the area;
- Impact Assessment;
- Mitigation measures; and
- Recommendations.

One SIA process will be undertaken for the six proposed wind farms and separate SIA Reports will be produced for each of the proposed facilities.

1.5 ASSUMPTIONS AND LIMITATIONS

The following assumptions and limitations are applicable to this SIA Report:

- The proponent has provided accurate information on their proposed development and intentions.
- No household/individual surveys will be done as part of the data gathering exercise. Instead, key stakeholders in the community, representing the following groups, will be identified and interviewed: Local Authority, ward councillors, directly affected and adjacent landowners, local community forums/NGO's, Tourism, Conservation, Businesses, schools and the South African Police Service. Consultation will take place as far as possible face-to-face during a visit to the area, and some stakeholders may be consulted telephonically. In addition, information obtained during the Public Participation process with regards to opinions on the application and social issues identified, will be drawn from.
- No economic modelling or analysis will be done as part of the SIA. Any data relating to the
 economic profile of the area was obtained from census data (from the 1996, 2001 and 2011
 censuses, and the 2016 Community Survey conducted by StatsSA for a more recent
 municipal profile than what the census data provides); the municipality's Integrated
 Development Plan; and the websites of the affected province, district and local municipality.
- This report only applies to the proposed Soyuz 6 WEF in the Northern Cape Province and will not necessarily be accurate for and applicable to similar developments at other sites.
- In order to understand the social environment and to predict impacts, complex systems have to be reduced to simple representations of reality (DEAT, 2002a). The experience of impacts is subjective and what one person may see as a negative impact may not be perceived as such by another person.

1.6 PROJECT DESCRIPTION AND LOCATION

The applicant Soyuz 6 (Pty) Ltd is proposing the development of a commercial Wind Energy Facility (WEF) and associated infrastructure on a site located approximately 53 km southeast of Britstown within the Ubuntu Local Municipality and the Pixley ka Seme District Municipality in the Northern Cape Province.

Five additional WEFs are concurrently being considered on the surrounding properties and are assessed by way of separate impact assessment processes contained in the 2014 Environmental Impact Assessment Regulations (GN No. R982, as amended) for listed activities contained in Listing Notices 1, 2 and 3 (GN R983, R984 and R985, as amended). These projects are known as Soyuz 1 WEF, Soyuz 2 WEF, Soyuz 3 WEF, Soyuz 4 WEF and Soyuz 5 WEF.

17

A preferred project site with an extent of approximately 125 000 ha has been identified as a technically suitable area for the development of the six WEF projects. It is proposed that each WEF will comprise of up to 75 turbines with a contracted capacity of up to 480 MW. It is anticipated that each WEF will have an actual (permanent) footprint of up to 150 ha.

The Soyuz 6 WEF project site covers approximately 17 800 ha and comprises the following farm portions:

- Remaining Extent of Portion 3 of the Farm No. 16.
- Remaining Extent (Portion 0) of the Farm No 16.
- Remaining Extent (Portion 0) of the Farm No 141.
- Remaining Extent (Portion 0) of the Farm No. 148.
- Portion 4 of the Farm No. 16.
- The Farm No. 157.
- The Farm No. 156.
- Portion 2 (a portion of Portion 13) of the Farm Wonderboom No. 13.
- Portion 1 of the Farm Wonderboom No. 13.
- Remaining Extent of Portion 1 of the Farm Sterkfontein No. 12.

The Soyuz 6 WEF project site is proposed to accommodate the following infrastructure, which will enable the wind farm to supply a contracted capacity of up to 480 MW:

- Up to 75 wind turbines with a maximum hub height of up to 160 m and a rotor diameter of up to 200 m;
- A transformer at the base of each turbine;
- Concrete turbine foundations;
- Turbine, crane and blade hardstands;
- Temporary laydown areas (with a combined footprint of up to 14 ha) which will accommodate the boom erection, storage and assembly area;
- Cabling between the turbines, to be laid underground where practical;
- Two on-site substations with a combined footprint of up to 4 ha in extent to facilitate the connection between the wind farm and the electricity grid;
- Access roads to the site and between project components inclusive of stormwater infrastructure. A 12 m road corridor may be temporarily impacted upon during construction and rehabilitated to 6m wide after construction. The WEF will have a total road network of up to 125 km.
- A temporary site camp establishment and concrete batching plants (with a combined footprint of up to 2 ha); and
- Operation and Maintenance buildings (with a combined footprint of up to 2 ha) including a gate house, security building, control centre, offices, warehouses, a workshop and visitor's centre.

In order to evacuate the energy generated by the WEF to the national grid, a separate Basic Assessment will be undertaken to assess two grid connection alternatives:

- Alternative 1: A 132 / 400kV overhead powerline (OHL) within a 500 m assessment corridor from the Switching Station on site to a proposed new 132 / 400 kV MTS located north of the WEF and adjacent to the Hydra - Kronos 400 kV line.
- Alternative 2: A 132 / 400 kV overhead powerline (OHL) within a 500 m assessment corridor from the Switching Station on site to a proposed new 132 / 400 kV MTS located south of the WEF and adjacent to the Droerivier - Hydra 400 kV line.

The EA applications for the wind farm project and grid connection infrastructure are being undertaken in parallel as they are co-dependent, i.e. one will not be developed without the other.

2 ADHERENCE TO THE EIA REQUIREMENTS FOR SPECIALISTS

2.1 APPENDIX 6 OF THE EIA REGULATIONS, 2014 – SPECIALIST REPORTS

The Environmental Impact Assessment (EIA) Regulations, promulgated in terms of the National Environmental Management Act (NEMA, Act no. 107 of 1998 as amended) dated 8th of December 2014, were amended on the 7th of April 2017. In terms of Appendix 6 of the Amended EIA Regulations (2014 and subsequent 2017 amendments), a Specialist Report must contain all the information necessary for a proper understanding of the nature of issues identified. Table 2-1 below provides a list of all the information required from a Specialist Report, with the corresponding sections in this draft report relating to each of the requirements.

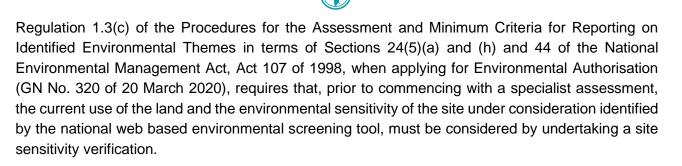
| (1) A SPECIALIST REPORT PREPARED IN TERMS OF THE AMENDED NEMA EIA REGULATIONS (2014 AND SUBSEQUENT 2017 AMENDMENTS) MUST CONTAIN – | Relevant sections of this Report |
|--|---|
| (a) Details of- (i) The specialist who prepared the report; and (ii) The expertise of that specialist to compile a specialist report including a curriculum vitae; (b) A declaration that the specialist is independent in a form as may be specified by the competent authority; | Chapter 1, Sections 1.3, & Appendix A and B |
| (c) An indication of the scope of, and the purpose for which, the report was prepared; | Chapter 1 |

19

| C | |
|--|------------------------|
| (cA) An indication of the quality and age of the base data used for the specialist report; | Chapter 6 |
| (cB) A description of the existing impacts on the site, cumulative impacts of the proposed development and levels of acceptable change; | Chapter 7, Section2 |
| (d) The duration, date and season of the site investigation and the relevance of the season to the outcome of the assessment; | N/A |
| (e) A description of the methodology adopted in preparing the report or carrying out the specialised process inclusive of equipment and modelling used; | Chapter 1, Section 1.4 |
| (f) Details of an assessment of a specific identified sensitivity of the site related to the proposed activity or activities and its associated structures and infrastructure inclusive of a site plan identifying alternatives; | Chapter 2 |
| (g) An identification of any areas to be avoided, including buffers; | Not Applicable |
| (h) A map superimposing the activity including the associated structures and infrastructure on the environmental sensitivities of the site including areas to be avoided, including buffers; | Not Applicable |
| (i) A description of any assumptions made and any uncertainties or gaps in knowledge; | Chapter 1 |
| (j) A description of the findings and potential implications of such findings on the impact of the proposed activity or activities; | Chapter 7 |
| (k) Any mitigation measures for inclusion in the EMPr; | Chapter 7 |
| (I) Any conditions for inclusion in the environmental authorisation; | Chapter 8 |
| (m) Any monitoring requirements for inclusion in the EMPr or environmental authorisation; | Chapter 8 |
| (n) A reasoned opinion- (i) Whether the proposed activity, activities or portions thereof should be authorised; and (iA) Regarding the acceptability of the proposed activity or activities, and (ii) If the opinion is that the proposed activity, activities or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan; | Chapter 8 |
| (o) A description of any consultation process that was undertaken during the course of preparing the specialist report; | Chapter 5 |
| (p) A summary and copies of any comments received during any consultation process and where applicable all responses thereto; and | N/A yet |
| (q) Any other information requested by the competent authority. | None at this stage. |
| | |

2.2 SITE VERIFICATION: REGULATION 1.3 (C) OF THE PROCEDURES FOR THE ASSESSMENT AND MINIMUM CRITERIA FOR REPORTING ON IDENTIFIED ENVIRONMENTAL THEMES

20



This must be undertaken through the use of: (1) a desktop analysis, using satellite imagery; (2) a preliminary on-site inspection; and (3) any other available and relevant information. The outcome of the site sensitivity verification must: (1) confirm or dispute the current use of the land and the environmental sensitivity as identified by the prescribed screening tool, such as new developments or infrastructure, a change in vegetation cover or status, etc.; (2) contain a motivation and evidence (e.g., photographs) of either the verified or different use of the land and environmental sensitivity; and (3) be submitted together with the relevant assessment report (in this case the Social Impact Assessment Report) prepared in accordance with Appendix 6 of the EIA Regulations.

The above needs to be carried out for all specialist studies, except for those for which a specific environmental theme protocol has been prescribed (i.e., agriculture, avifauna, biodiversity, noise, defence, and civil aviation). No theme protocol exists for Social Impact Assessment.

From satellite imagery, the following were observed and will inform the sensitivity verification of the site:

- Britstown is located approximately 53 km northwest of the site.
- The R398 road crosses the western part of the site (more or less from north to south), with the largest part of the site located east of the R398.
- The landscape is arid, with large parts being mountainous.
- Very few cultivated lands are visible from Google Earth imagery, and the majority of the site is likely being used for grazing.
- Only one homestead is clearly observed.

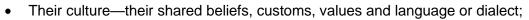
The above will be verified during field work (site visit and information obtained during interviews with key stakeholders), which will likely take place in September 2022.

2.3 INTEGRATED ENVIRONMENTAL MANAGEMENT SERIES 22: SOCIO-ECONOMIC IMPACT ASSESSMENT

Specific to SIA as Specialist Study, the National Department of Forestry, Fisheries and the Environment's (then DEAT) 2006 Integrated Environmental Management Series 22: Socio-Economic Impact Assessment will be considered in the SIA, including the following aspects:

• People's way of life—how they work, play and interact with one another on a daily basis;

21



- Their community—its cohesion, stability, character, services and facilities;
- Their political systems—the extent to which people are able to participate in decisions that affect their lives, the level of democratisation that is taking place and the resources provided for this purpose;
- Their environment—the quality of the air and water that people use; the availability and quality of the food that they eat; the level of hazard or risk, dust and noise which they are exposed to; the adequacy of sanitation, their physical safety, and their access to and control over resources;
- Their health and well-being—where health is understood as a state of complete physical, mental and social well-being, not merely the absence of disease or infirmity;
- Their personal and property rights—particularly whether people are economically affected, or experience personal disadvantage, which may include a violation of their civil rights; and
- Their fears and aspirations—their perceptions about their safety; fears about the future of the community; and their aspirations for their future and the future of their children.

3 NEED AND DESIRABILITY

3.1 SUPPLYING ELECTRICITY FROM RENEWABLE ENERGY SOURCES

There is currently considerable need and demand for additional electrical power and particularly for electricity from renewable and other diverse sources. This need stems from Eskom's severe power supply constraints (the national Electricity Supplier) due to ageing infrastructure and coal powerplants which are in dire need of significant maintenance work (much of which has not been adequately undertaken during the last few years), along with occasional coal supply problems, severe financial constraints and debt, and staff capacity constraints. This situation is creating a considerable constraint and risk to economic growth and development in South Africa.

The need for a greater diversity of energy generation capacity from greener and more renewable sources also stems for considerable international and local political pressure on South Africa to reduce its carbon emissions. South Africa has one of the most carbon-intensive economies in the world, with higher CO_2 emissions per GDP (PPP) (2018; most recently published figures) than the World, European, Asian, North American and Sub-Saharan figures, according to World Bank data.

The current electricity supply constraints are not short-term or temporary and have been a factor in the South African economy since 2008 and will continue into the foreseeable future due to growing demand and anticipated declines in supply from the existing old coal power plants. The IEP forecasts that existing electricity generation capacity will decline notably from 2025, with significant plant retirement occurring in 2031, 2041 and 2048. By 2050, only 20% of the current electricity generation capacity will remain. As a result, large investments are required in the electricity sector in order to

maintain an adequate supply in support of economic growth, especially a much more diverse electricity generation system by 2050 with coal reducing its share from about 85% in 2015 to 15–20% in 2050, and solar, wind and gas generation increasing their share.

A Green Jobs study (2011) identified a number of advantages associated with wind power as a source of renewable energy with a large 'technical' generation potential. In this regard, wind energy does not emit carbon dioxide (CO₂) in generating electricity and is associated with exceptionally low lifecycle emissions. The construction period for a wind farm is much shorter than that of conventional power stations, while an income stream may in certain instances be provided to local communities through employment and land rental. The study also noted that the greenhouse gases (GHG) associated with the construction phase are offset within a very short period of time compared with the project's lifespan. Wind power therefore provides an ideal means for reaching emission reduction targets in a relatively easy manner. In addition, and of specific relevance to South Africa, wind as an energy source is not dependent on water (as compared to the massive water requirements of conventional power stations), has a limited footprint (and therefore does not impact on large tracts of land), and poses limited pollution and health risks, specifically when compared to coal and nuclear energy plants.

Within the South African context, information from the South African Wind Energy Association (SAWEA) at the NERSA hearings in February 2013 indicated that the price of providing additional electricity from wind farms has now become cheaper that what Eskom can provide from the new coal power stations in the pipeline. The tariffs for wind energy generation have consistently decreased since the first Bid Window of the Renewable Energy Independent Power Producers Procurement Programme (REIPPPP) until the fourth BID Window (Table 3-1).

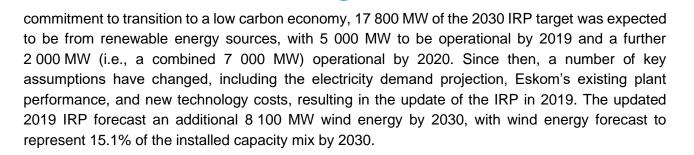
| Average tariff in R/kWh |
|--|
| (April 2016 Rand value for comparison) |
| R1.51 |
| R1.19 |
| R0.87 |
| R0.69–R0.79 |
| R0.62 |
| |

Table 3-1: BID Windows RE tariffs

(Source: CSIR Energy Centre, 2016)

In addition, according to the CSIR (2016) the actual tariffs of RE BID Window 4 Expedited is 40% cheaper than new baseload coal (Coal BID Window 1), at R0.62 kWh (solar PV and wind) and R1.03 kWh (baseload coal). In addition to being more expensive, coal-fired power stations have fewer job creation possibilities than RE, carry future expenses due to climate change impacts, and have health expense issues due to pollution.

The National Integrated Resource Plan (IRP) 2010 outlines the preferred energy mix with which to meet the electricity needs over a 20-year planning period until 2030. In line with the national



The IPPPP's primary mandate is to secure electrical energy from the private sector for renewable and non-renewable energy sources. With regard to renewables, the programme is designed to reduce the country's reliance on fossil fuels, stimulate an indigenous renewable energy industry and contribute to socio-economic development and environmentally sustainable growth. The IPPPP has been designed not only to procure energy but has also been structured to contribute to the broader national development objectives of job creation, social upliftment and broadening of economic ownership.

3.2 PROJECT LOCATION AND COMPATIBILITY WITH EXISTING POLICY AND PLANNING FOR THE AREA

Renewable energy (including wind farms) is strongly supported at a national, provincial and local level. The development of and investment in renewable energy is supported by the National Development Plan (NDP), New Growth Path Framework and National Infrastructure Plan, which all make reference to renewable energy. The development of renewable energy is also strongly supported by the National Integrated Energy Plan, 2016 and the IRP, 2019. At a provincial and local level the development of renewable energy is supported by the Northern Cape Provincial Strategic Plan (2020–2025), Pixley ka Seme District Municipality Integrated Development Plan (2022–2027) and Spatial Development Framework, and the Ubuntu Local Municipality Draft IDP (2022/2023) and SDF.

However, it is important that the need for and benefits of renewable energy generation facilities, including wind farms, be weighed against possible negative impacts it may have on the social environment, such as an area's scenic assets and tourism potential. These issues will be assessed in the Final SIA for the proposed Soyuz 6 WEF and will need to be considered by the relevant authorities when considering the application.

4 LEGAL AND POLICY CONTEXT

Legislation and policy embody and reflect requirements, key societal norms, values and developmental goals. The legislative and policy context plays an important role in identifying, assessing and evaluating the significance of potential social impacts associated with any given proposed development. Whether the proposed development satisfies the core criteria— appropriateness, need and desirability—therefore constitutes a key component of an SIA. These criteria must conform to international best practice for conducting SIAs, as well as reporting national and provincial reporting requirements. For the purposes of meeting the objectives of the SIA the following international, national, provincial and local level policy and planning documents were reviewed, considered and adhered to in this study:

- International standards: The International Finance Corporation Performance Standards (PS) on Environmental and Social Sustainability.
- National Legislation and Policy:
 - Constitution of the Republic of South Africa, 1996 (Act 108 of 1996).
 - National Environmental Management Act, 1998 (Act 107 of 1998).
 - Environmental Impact Assessment Regulations, 2014.
 - National Energy Act, 2008 (Act 34 of 2008).
 - White Paper on the Energy Policy of the Republic of South Africa (1998).
 - White Paper on Renewable Energy (2003).
 - National Integrated Energy Plan (2016).
 - o Integrated Resource Plan (2019).
 - National Development Plan (2011).
 - New Growth Path Framework (2010).
 - National Infrastructure Plan (2012).
- Provincial Framework
 - Northern Cape Provincial Spatial Development Framework (2019).
 - Northern Cape Provincial Growth and Development Strategy (2019).
- District and Local Policy and Plans
 - Pixley ka Seme District Municipality Integrated Development Plan (2022–2027).
 - o Ubuntu Local Municipality Draft Integrated Development Plan (2022/2023).

4.1 INTERNATIONAL STANDARDS

Since the project is to lenders' standards, the SIA needs to comply with the standards set out by the International Finance Corporation (IFC). The IFC is a member of the World Bank Group, and one of the largest development financing institutions that focuses exclusively on the private sector in developing countries (IFC, 2012). The IFC works in developing countries to create employment opportunities, generate tax revenue, improve corporate governance and to ensure that projects contribute to the upliftment of its countries' local communities. In respect of the latter, it is also the IFC's vision for people to be presented with an opportunity to escape their poverty and improve their lives.

The IFC published its Performance Standards (PSs) on Environmental and Social Sustainability in April 2006 and published comprehensive Guidance Notes (GNs) in July 2007. The PSs and GNs were revised in 2012 (IFC, 2012). This SIA is being conducted to specifically comply with these guidelines. The PSs that may be relevant to the social context are the following:

- PS 1: Assessment and management of environmental and social risks and impacts;
- PS 2: Labour and working conditions;
- PS 4: Community health, safety and security;
- PS 5: Land acquisition and involuntary resettlement;
- PS 7: Indigenous peoples; and
- PS 8: Cultural heritage.

Table 4-1 briefly explains the respective relevant PSs.

| Performance Standard | Main Objectives | |
|---|--|--|
| | Identify and assess social and environment impacts, both adverse and beneficial, in the project's area of influence; | |
| PS1: Assessment and management of environmental and social risks and impacts | Avoid, or where avoidance is not possible, minimise, mitigate or compensate for adverse impacts on workers, Project Affected Communities (PACs) and the environment; Ensure that PACs are appropriately engaged on issues that could potentially affect them; and Promote improved social and environmental performance of companies through the effective use of management systems. | |
| PS2: Labour and working conditions | Establish, maintain, and improve the worker/management relationship; Promote the fair treatment, non-discrimination and equal opportunity of workers, and compliance with national labour and employment laws; Protect the workforce by addressing child labour and forced labour; Promote safe and healthy working conditions; and Protect and promote the health of workers. | |
| PS4: Community health, safety and security Avoid or minimise adverse impacts on human health and the environe by avoiding or minimising pollution from project activities; and Promote the reduction of emissions that contribute to climate change | | |
| PS5: Land acquisition and involuntary resettlement | | |

26

Table 4-1: Performance Standards relevant to this SIA

| Performance Standard | ce Standard Main Objectives | |
|-------------------------|--|--|
| | Improve living conditions among displaced persons through provision of adequate housing with security of tenure at resettlement sites. | |
| PS7: Indigenous peoples | Ensure that the development process fosters full respect for the dignity, human rights, aspirations, cultures and natural resource-based livelihoods of Indigenous Peoples; Avoid adverse impacts of projects on communities of Indigenous Peoples, or when avoidance is not feasible, to minimise, mitigate, or compensate for such impacts, and to provide opportunities for development benefits, in a culturally appropriate manner; Establish and maintain an ongoing relationship with the Indigenous Peoples affected by a project throughout the life of the project; Foster good faith negotiation with and informed participation of Indigenous | |
| | Peoples when projects are to be located on traditional or customary lands under use by the Indigenous Peoples; and Respect and preserve the culture, knowledge and practices of Indigenous Peoples. | |
| PS8: Cultural heritage | Protect cultural heritage from adverse impacts of project activities and support its preservation; and Promote the equitable sharing of benefits from the use of cultural heritage in business activities. | |

4.2 NATIONAL LEGISLATION AND POLICY

4.2.1 Constitution of the Republic of South Africa, 1996 (Act 108 of 1996)

The Bill of Rights in the Constitution of the Republic of South Africa (Act 108 of 1996) (Section 24(a)) states:

Everyone has the right –

- to an environment that is not harmful to their *health and wellbeing*; and
- to have the environment protected, for the benefit of present and future generations, through reasonable legislative and other measures that –
 - o prevent pollution;
 - o promote conservation; and
 - secure ecologically sustainable development and use of natural resources while promoting justifiable economic and social development.

4.2.2 National Environmental Management Act, 1998 (Act 107 of 1998) (NEMA)

The National Environmental Management Act (Act 107 of 1998) (NEMA) defines *sustainable development* as follows: "the integration of *social*, economic and environmental factors into planning, implementation and decision-making so as to ensure that the development serves present and future generations" (S1(1)(xxix)).

The Act, in its preamble, states that, whereas many inhabitants of South Africa live in an environment that is harmful to their health and well-being, the following (relating to the social environment) are acknowledged:

- Everyone has the right to an environment that is not harmful to his or her *health or wellbeing*.
- The State must respect, protect, promote and fulfil the social, economic and environmental rights of everyone and *strive to meet the basic needs of previously disadvantaged communities*.
- Inequality in the distribution of wealth and resources, and the resultant poverty, are among the important causes as well as the results of environmentally harmful practices.
- Sustainable development requires the integration of **social**, economic and environmental factors in the planning, implementation and evaluation of decisions to ensure that development serves present and future generations.
- Everyone has the right to have the environment protected, for the benefit of present and future generations, through reasonable legislative and other measures that
 - o prevent pollution and ecological degradation;
 - o promote conservation; and
 - secure ecologically sustainable development and use of natural resources while promoting justifiable economic and social development.

The following NEMA principles (contained in Chapter 1 of the Act) refer directly to the *human/social environment:*

- Environmental management must place people and their needs at the forefront of its concern, and serve their physical, psychological, developmental, cultural and social interests equitably (S2(2)).
- Development must be *socially*, environmentally and economically sustainable (S2(3)).
- Sustainable development requires, amongst other things, that negative impacts on the environment and on people's environmental rights be anticipated and prevented, and where they cannot be altogether prevented, are minimized and remedied" (S2(4)(a)).
- Environmental management must be integrated, acknowledging that all elements of the environment are linked and interrelated, and *it must take into account the effects of decisions on* all aspects of the environment and *all people in the environment* by pursuing the selection of the best practicable environmental option (S2(4)(b)).
- Environmental justice must be pursued so that adverse environmental impacts *shall not be distributed in such a manner as to unfairly discriminate against any person, particularly vulnerable and disadvantaged persons* (S2(4)(c)).
- Equitable access to environmental resources, *benefits and services to meet basic human needs and ensure human well-being* must be pursued (S2(4)(d)).
- The participation of all interested and affected parties in environmental governance must be promoted, and all people must have the opportunity to develop the understanding, skills and capacity necessary for achieving equitable and effective participation, and *participation by vulnerable and disadvantaged persons must be ensured* (S2(4)(f)).

- Decisions must take into account the *interests, needs and values of all interested and affected parties*, including all forms of traditional and ordinary knowledge (S2(4)(g)).
- The **social**, economic and environmental impacts of activities, including disadvantages and benefits, must be considered, assessed and evaluated, and decisions must be appropriate in the light of such consideration and assessment (S2(4)(i).

Section 24 of NEMA states that the potential impact on the environment, socio-economic conditions and cultural heritage of activities that require authorisation must be considered, investigated and assessed prior to implementation, in order to give effect to the general objectives of integrated environmental management.

4.2.3 Environmental Impact Assessment Regulations, 2014

The EIA Regulations, 2014 (as amended) refers to the inclusion and consideration of social aspects in the EIA process as follows:

- The geographical, physical, biological, **social**, economic, heritage and cultural sensitivity of the sites and the risk of impacts of the proposed activity on these aspects should be considered and assessed in the basic assessment process (Appendix 1, 2(d)).
- In the scoping phase, the site selection process should include an impact and risk assessment focusing on the geographical, physical, biological, *social*, economic, heritage and cultural sensitivity of the sites (Appendix 2, 1(d)).
- A scoping report must contain the information that is necessary for a proper understanding of the process, including:
 - The environmental attributes associated with the alternatives focusing on the geographical, physical, biological, *social*, economic, heritage and cultural aspects (Appendix 2, 2(g)(iv)).
 - Positive and negative impacts that the proposed activity and alternatives will have on the environment and **on the community that may be affected**, focusing on the geographical, physical, biological, **social**, economic, heritage and cultural aspects (Appendix 2, 2(g)(vii)).
- In the EIA phase, the location of the development footprint within the approved site as contemplated in the accepted scoping report should be identified by focusing on the geographical, physical, biological, social, economic, heritage and cultural aspects of the environment (Appendix 3, 2(c)).
- An environmental impact assessment report must contain the information that is necessary for the competent authority to consider and come to a decision on the application, including:
 - The environmental attributes associated with the development footprint alternatives focusing on the geographical, physical, biological, *social*, economic, heritage and cultural aspects (Appendix 3, 3(1)(h)(iv)).
 - Positive and negative impacts that the proposed activity and alternatives will have on the environment and **on the community that may be affected**, focusing on the geographical, physical, biological, **social**, economic, heritage and cultural aspects (Appendix 3, 3(1)(h)(vii)).

It is clear from the above that, although there are no explicit requirements for conducting comprehensive SIAs in NEMA or the EIA Regulations, environmental and social interests should be considered equally important.

4.2.4 National Energy Act, 2008 (Act 34 of 2008)

One of the objectives of the National Energy Act (2008) is to promote diversity of supply of energy and its sources. In this regard, the preamble makes direct reference to renewable resources, including wind "to ensure that diverse energy resources are available, in sustainable quantities, and at affordable prices, to the South African economy, in support of *economic growth and poverty alleviation*, taking into account environmental management requirements...; to provide for... increased generation and consumption of renewable energies".

4.2.5 White Paper on the Energy Policy of the Republic of South Africa (1998)

Investment in renewable energy initiatives is supported by the White Paper on Energy Policy for South Africa (December 1998). In this regard the document notes:

"Government policy is based on an understanding that renewables are energy sources in their own right, are not limited to small-scale and remote applications, and have significant medium and long-term commercial potential... Renewable resources generally operate from an unlimited resource base and, as such, can increasingly contribute towards a long-term sustainable energy future".

The support for renewable energy policy is guided by a rationale that South Africa has a very attractive range of renewable resources, particularly solar and wind, and that renewable applications are in fact the lowest cost–energy service in many cases, more so when social and environmental costs are taken into account. Government policy on renewable energy is therefore concerned with meeting the following challenges:

- Ensuring that economically feasible technologies and applications are implemented;
- Ensuring that an equitable level of national resources is invested in renewable technologies, given their potential, and compared to investments in other energy supply options; and
- Addressing constraints on the development of the renewable industry.

The White Paper also acknowledges that South Africa has neglected the development and implementation of renewable energy applications, despite the fact that the country's renewable energy resource base is extensive, and many appropriate applications exist. The White Paper notes that renewable energy applications have specific characteristics that need to be considered. Advantages include:

- Minimal environmental impacts in operation in comparison with traditional supply technologies; and
- Generally lower running costs, and high labour intensities.

Disadvantages include:

- Higher capital costs in some cases;
- Lower energy densities; and
- Lower levels of availability, depending on specific conditions, especially with sun and windbased systems.

4.2.6 White Paper on Renewable Energy (2003)

The White Paper on Renewable Energy (November 2003) (further referred to as the White Paper) supplements the White Paper on Energy Policy and recognises that the medium- and long-term potential of renewable energy is significant. This Paper sets out Government's vision, policy principles, strategic goals and objectives for promoting and implementing renewable energy in South Africa. The White Paper notes that, while South Africa is well endowed with renewable energy resources that have the potential to become sustainable alternatives to fossil fuels, these have thus far remained largely untapped. As a signatory to the Kyoto Protocol¹, Government is determined to make good on the country's commitment to reducing greenhouse gas emissions. To this purpose, Government has committed itself to the development of a framework in which a national renewable energy framework can be established and operate.

South Africa is also a signatory of the Copenhagen Accord, a document that delegates at the 15th session of the Conference of Parties (COP 15) to the United Nations Framework Convention on Climate Change agreed to "take note of" at the final plenary on 18 December 2009. The accord endorses the continuation of the Kyoto Protocol and confirms that climate change is one of the greatest challenges facing the world. In terms of the accord, South Africa committed itself to a reduction target of 34% compared to business as usual. In this regard the IRP 2010 aims to allocate 43% of new energy generation facilities in South Africa to renewables.

Apart from the reduction of greenhouse gas emissions, the promotion of renewable energy sources is aimed at ensuring energy security through the diversification of supply (also refer to the objectives of the National Energy Act). Government's long-term goal is the establishment of a renewable energy industry producing modern energy carriers that will offer in future years a sustainable, fully non-subsidised alternative to fossil fuels.

4.2.7 National Integrated Energy Plan (2016)

The development of a National Integrated Energy Plan (IEP) was envisaged in the White Paper on the Energy Policy of the Republic of South Africa of 1998 and, in terms of the National Energy Act, 2008 (Act 34 of 2008), the Minister of Energy is mandated to develop and, on an annual basis, review

¹ The Kyoto Protocol is a protocol to the United Nations Framework Convention on Climate Change (UNFCCC), aimed at fighting global warming. The UNFCCC is an international <u>environmental treaty</u> with the goal of achieving "stabilisation of greenhouse gas concentrations in the atmosphere at a level that would prevent dangerous anthropogenic interference with the climate system". The Protocol was initially adopted on 11 December 1997 in Kyoto, Japan and entered into force on 16 February 2005. As of November 2009, 187 states have signed and ratified the protocol (Wikipedia).

and publish the IEP in the Government Gazette. The purpose of the IEP is to provide a roadmap of the future energy landscape for South Africa which guides future energy infrastructure investments and policy development.

The IEP notes that South Africa needs to grow its energy supply to support economic expansion and in so doing, alleviate supply bottlenecks and supply-demand deficits. In addition, it is essential that all citizens are provided with clean and modern forms of energy at an affordable price. As part of the Integrated Energy Planning process, eight key objectives were identified, namely:

- Objective 1: Ensure security of supply;
- Objective 2: Minimise the cost of energy;
- Objective 3: Promote the creation of jobs and localisation;
- Objective 4: Minimise negative environmental impacts from the energy sector;
- Objective 5: Promote the conservation of water;
- Objective 6: Diversify supply sources and primary sources of energy;
- Objective 7: Promote energy efficiency in the economy; and
- Objective 8: Increase access to modern energy.

The IEP provides an assessment of current energy consumption trends within different sectors of the economy (i.e., agriculture, commerce, industry, residential and transport) and uses this information to identify future energy requirements, based on different scenarios. The scenarios are informed by different assumptions on economic development and the structure of the economy and also take into account the impact of key policies such as environmental policies, energy efficiency policies, transport policies and industrial policies, amongst others.

Based on this information the IEP then determines the optimal mix of energy sources and technologies to meet those energy needs in the most cost-effective manner for each of the scenarios. The associated environmental impacts, socio-economic benefits and macroeconomic impacts are also analysed. The IEP is therefore focused on determining the long-term energy pathway for South Africa, taking into account a multitude of factors which are embedded in the eight objectives.

As part of the analysis four key scenarios were developed, namely the Base Case, Environmental Awareness, Resource Constrained and Green Shoots scenarios:

- The Base Case Scenario assumes that existing policies are implemented and will continue to shape the energy sector landscape going forward. It assumes moderate economic growth in the medium to long term.
- The Environmental Awareness Scenario is characterised by more stringent emission limits and a more environmentally aware society, where a higher cost is placed on externalities caused by the supply of energy.
- The Resource Constrained Scenario, in which global energy commodity prices (i.e., coal, crude oil and natural gas) are high due to limited supply
- The Green Shoots Scenario describes an economy in which the targets for high economic growth and structural changes to the economy, as set out in the National Development Plan (NDP), are met.

The IEP notes that South Africa should continue to pursue a diversified energy mix which reduces reliance on a single or a few primary energy sources. In terms of renewable energy, the document refers to wind and solar energy. The document does, however, appear to support solar over wind, noting that solar PV and CSP with storage present excellent opportunities to diversify the electricity mix, to produce distributed generation and to provide off-grid electricity. Solar technologies also present the greatest potential for job creation and localisation. Incentive programmes and special focused programmes to promote further development in the technology, as well as solar roll-out programmes, should be pursued.

In terms of existing electricity generation capacity, the IEP indicates that existing capacity will start to decline notably from 2025, with significant plant retirement occurring in 2031, 2041 and 2048. By 2050 only 20% of the current electricity generation capacity remains. As a result, large investments are required in the electricity sector in order to maintain an adequate supply in support of economic growth.

By 2020, various import options become available, and some new coal capacity is added along with new wind, solar and gas capacity. The mix of generation capacity technologies by 2050 is considerably more diverse than the current energy mix, across all scenarios. The main differentiating factors between the scenarios are the level of demand, constraints on emission limits and the carbon dioxide externality costs.

In all scenarios the energy mix for electricity generation becomes more diverse over the period to 2050, with coal reducing its share from about 85% in 2015 to 15–20% in 2050 (depending on the scenario). Solar, wind, nuclear, gas and electricity imports increase their share. The Environmental Awareness and Green Shoots scenarios take on higher levels of renewable energy.

The IEP notes that a diversified energy mix with a reduced reliance on a single or a few primary energy sources should be pursued. In terms of renewable energy, wind and solar are identified as the key options.

4.2.8 Integrated Resource Plan (2019)

The NDP envisages that, by 2030, South Africa will have an energy sector that provides reliable and efficient energy service at competitive rates, is socially equitable through expanded access to energy at affordable tariffs and that is environmentally sustainable through reduced pollution.

The Integrated Resource Plan (IRP) 2010–2030 represents an electricity infrastructure development plan for South Africa based on least-cost supply and demand balance, taking into account security of supply and the environment (minimize negative emissions and water usage). Since the IRP 2010–2030 was promulgated in 2011 a total 6 422MW under the Renewable Energy Independent Power Producers Procurement Programme (REIPPPP) has been procured, with 3 272MW operational and made available to the grid.

At the time of promulgation, it was envisaged that the IRP would be a "living plan" to be revised by the Department of Energy (DoE) frequently.

4.2.9 National Development Plan (2011)

The National Development Plan (NDP) contains a plan aimed at eliminating poverty and reducing inequality by 2030. The NDP identifies nine key challenges and associated remedial plans. Managing the transition towards a low carbon national economy is identified as one of the nine key national challenges. Expansion and acceleration of commercial renewable energy is identified as a key intervention strategy.

Infrastructure investment is a key priority of the NDP. The NDP identifies the need for South Africa to invest in a strong network of economic infrastructure designed to support the country's mediumand long-term economic and social objectives. Energy infrastructure is a critical component that underpins economic activity and growth across the country, and it needs to be robust and extensive enough to meet industrial, commercial and household needs.

4.2.10 The New Growth Path Framework (2010)

The aim of the New Economic Growth Path Framework is to enhance growth, employment creation and equity. Central to the New Growth Path is a massive investment in infrastructure as a critical driver of jobs across the economy. In this regard, the framework identifies investments in five key areas namely: *energy*, transport, communication, water and housing.

The New Growth Path also identifies five other priority areas as part of the programme, through a series of partnerships between the State and the private sector. The Green Economy is one of the five priority areas to create jobs, including expansions in construction and the production of technologies for solar, wind and biofuels. Clean manufacturing and environmental services are projected to create 300 000 jobs over the next decade.

4.2.11 National Infrastructure Plan (2012)

The aim of the National Infrastructure Plan (2012) is to transform the economic landscape while simultaneously creating significant numbers of new jobs and strengthen the delivery of basic services. As part of the Plan, 18 strategic integrated projects (SIPs) have been identified, including three energy SIPs, namely:

- SIP 8: Green energy in support of the South African economy.
- SIP 9: Electricity generation to support socio-economic development.
- SIP 10: Electricity transmission and distribution for all.

4.3 IFC PERFORMANCE STANDARDS COMPARED TO NEMA REQUIREMENTS

The IFC published its Performance Standards (PS) on Environmental and Social Sustainability in April 2006, and then revised them in 2012. In addition to these standards, the IFC also published supporting Guidance Notes (GNs) on each standard. The IFC performance standards have become the international benchmark for Environmental and Social Impact Assessments (ESIAs) and are used to measure the environmental performance and management of large international projects. Table 4-2 provides a brief overview of each performance standard and indicates its applicability to the SIA for this project.

| Description | Applicability to the EIA |
|--|--|
| Performance Standard 1: Social & | The ESIA will identify the environmental and social risks |
| Environmental Assessment and | by undertaking a series of specialist assessments within |
| Management Systems | the projects area of influence that are aligned with |
| The primary objectives of PS1 are to: | Performance Standards 2-8. |
| To identify and evaluate environmental and social risks and impacts of the project. To adopt a mitigation hierarchy to anticipate and avoid, or where avoidance is not possible, minimize, and, where residual impacts remain, compensate/offset for risks and impacts to workers, Affected Communities, and the environment. To promote improved environmental and social performance of clients through the effective use of management systems. To ensure that grievances from Affected Communities and external communications from other stakeholders are responded to and managed appropriately. To promote and provide means for adequate engagement with | Performance Standards 2-8. Further to the above, Public Participation will be undertaken throughout the ESIA process. During this process affected landowners, tenants, neighbours and key stakeholders will be notified and invited to participate in the process. All comments and issues raised will be recorded and responded to as part of the ESIA process. The PP process is aligned with both the national legislation as well as the IFC's requirements. All adverse impacts must be avoided and if this is not possible, they must be minimised. As such, an environmental management programme (EMPr) will be compiled which outlines what mitigation measures are to be used, and how they are to be implemented, monitored and evaluated. The EMPr will outline the roles and responsibilities associated with implementation and monitoring requirements and will identify communication strategies to ensure community engagement throughout the project lifecycle. The environmental management guideline will be aligned with the IFC's General Environmental, Health and Safety Guidelines, the Environmental, Health, and Safety Guidelines for Wind Energy and appropriate national guidelines. |
| Affected Communities throughout the project cycle on issues that could potentially affect them, and to ensure that relevant | A Stakeholder Engagement Plan that outlines grievance mechanisms and methods of engaging with |

Table 4-2: Description of each Performance Standard and its applicability

| Description | Applicability to the EIA | | |
|---|--|--|--|
| environmental and social | stakeholders to notify them of changes throughout the | | |
| information is disclosed and | project lifecycle, will also be included as an appendix to | | |
| disseminated. | the EMPr. | | |
| Performance Standard 2: Labour and | PS2 outlines in detail what working conditions are | | |
| Working Conditions | acceptable and how worker relationships should be | | |
| The primary objectives of PS2 are to: | managed, and also deals with occupational health and | | |
| • Establish, maintain, and improve | safety for the project (addressed in various management | | |
| the worker-management | plans). These issues will be addressed in the Social | | |
| relationship. | Impact Assessment, Social Management Plan, ESIA | | |
| • Promote the fair treatment, non- | and EMPr. | | |
| discrimination and equal | | | |
| opportunity of workers, and | | | |
| compliance with national labour | | | |
| and employment laws. | | | |
| Protect the workforce by | | | |
| addressing child labour and | | | |
| forced labour. | | | |
| Promote safe and healthy working | | | |
| conditions. | | | |
| • Protect and promote the health of | | | |
| workers. | | | |
| Performance Standard 4: Community | The major requirement in terms of PS4 is that all risks | | |
| Health, Safety and Security | and impacts to the surrounding community are | | |
| The primary objectives of PS4 are to: | assessed and managed appropriately. This includes | | |
| Avoid or minimise risks to, and | issues such as infrastructure and equipment safety, | | |
| impacts on, the health and safety | hazardous material storage and handling, hazards | | |
| of the local community during the | associated with the natural environment (e.g., floods, | | |
| project lifecycle from both routine | landslides, etc.), community exposure to disease and | | |
| and non-routine circumstances. | emergency preparedness and response. These aspects | | |
| Ensure that the safeguarding of | will be addressed in the Social Impact Assessment and | | |
| personnel and property is carried | the ESIA and EMPr, and will be aligned with the IFC's | | |
| out in a legitimate manner that | General Environmental, Health and Safety Guidelines | | |
| avoids or minimises risks to the | as well as the Environmental, Health, and Safety | | |
| community's safety and security. | Guidelines for Wind Energy. | | |
| | | | |
| | In addition, a Social Management Plan that includes the | | |
| | following will be included: | | |
| | A Stakeholder Engagement Plan. | | |
| | OHS and Emergency Plan. | | |
| | External Communication and Grievance | | |
| | Management Process Plan. | | |
| | A Monitoring and Review Plan. | | |
| Performance Standard 5: Land | It is assumed that no physical resettlement of people is | | |
| Acquisition and Involuntary | anticipated for this project and as such this standard is | | |
| Resettlement | not applicable. | | |
| | | | |

CES Environmental and Social Advisory Services

| Description | Applicability to the EIA |
|---|---|
| The primary objectives of PS5 are to: | |
| Avoid or at least minimise | |
| involuntary resettlement wherever | |
| feasible by exploring alternative | |
| project designs and layouts. | |
| Mitigate adverse social and | |
| economic impacts from land | |
| requisition or restrictions on | |
| affected persons' use of land by | |
| (i) providing compensation for | |
| loss of assets at replacement | |
| cost; and (ii) ensuring that | |
| resettlement activities are | |
| implemented with appropriate | |
| disclosure of information, | |
| consultation and the informed | |
| participation of those affected. | |
| Improve or at least restore the | |
| livelihoods and standards of living | |
| of displaced persons. | |
| Improve living conditions among | |
| displaced persons through | |
| provision of adequate housing | |
| with security of tenure at | |
| resettlement sites. | |
| Performance Standard 7: Indigenous | Whether this PS is applicable to this project will be |
| Peoples | investigated during the course of the project. |
| Indigenous peoples are defined as a | |
| distinct social or cultural group | |
| possessing the following characteristics in | |
| varying degrees: | |
| Self-identification as members of | |
| a distinct indigenous cultural | |
| group and recognition of this | |
| identity by others. | |
| Collective attachment to | |
| geographically distinct habitats or | |
| ancestral territories in the project | |
| area and to the natural resources | |
| in these habitats and territories. | |
| Customary cultural, economic, | |
| social or political institutions that | |
| are separate from those of the | |
| dominant society or culture. | |
| An indigenous language, often | |

| Description | Applicability to the EIA |
|--|---|
| different from the official language | |
| of the country or region. | |
| TI | |
| The primary objectives of PS7 are: | |
| To ensure that the development | |
| process fosters full respect for the dignity, human rights, aspirations, | |
| cultures and natural resource- | |
| based livelihoods of Indigenous | |
| Peoples. | |
| To avoid adverse impacts of | |
| projects on communities of | |
| Indigenous Peoples, or when | |
| avoidance is not feasible, to | |
| minimize, mitigate, or | |
| compensate for such impacts, | |
| and to provide opportunities for | |
| development benefits, in a | |
| culturally appropriate manner. | |
| • To establish and maintain an | |
| ongoing relationship with the | |
| Indigenous Peoples affected by a | |
| project throughout the life of the | |
| project.To foster good faith negotiation | |
| with and informed participation of | |
| Indigenous Peoples when | |
| projects are to be located on | |
| traditional or customary lands | |
| under use by the Indigenous | |
| Peoples. | |
| • To respect and preserve the | |
| culture, knowledge and practices | |
| of Indigenous Peoples. | |
| Performance Standard 8: Cultural | Cultural heritage applicable to this study includes |
| Heritage | properties and sites of archaeological, historical, |
| The primary objectives of PS8 are to: | cultural, artistic and religious significance. PS8 aims to |
| Protect cultural heritage from adverse impacts of project | guide developments on how to protect these areas |
| adverse impacts of project. activities and support its | during the project lifecycle. |
| preservation. | Heritage and palaeontological specialist assessments |
| Promote the equitable sharing of | will be undertaken for the ESIA. These assessments |
| Promote the equitable sharing of benefits from the use of cultural | must comply with the applicable national law as well as |
| heritage in business activities. | internationally recognised practices for the protection of |
| | heritage sites. As part of the reporting the specialists will |
| | need to provide comment on whether any of the sites |

| Description | Applicability to the EIA |
|-------------|---|
| | are considered Critical Cultural Heritage Sites as per the criteria in PS8 and will need to develop appropriate "Chance-find Procedures". |

Both the South African National Environmental Management Act (NEMA) and International Finance Corporation (IFC) Performance Standards (PS) aim to determine, assess, and manage environmental and social risk. The IFC recognises that host country regulations differ between countries and state that where these differ from those presented in the EHS Guidelines, the most stringent will apply.

NEMA is considered to be stringent, with South Africa having one of the best sets of environmental laws globally. Further to this, NEMA aligns with the requirements set out in Performance Standard 1 and the associated Guidance Notes (GN22–GN26) as both of these processes require the following:

- Initial screening and scoping phase;
- Examination of alternatives;
- Stakeholder identification and engagement throughout the process, including public meetings at both scoping and EIA phase;
- Identification and evaluation of direct, indirect and cumulative impacts that the project will have on the social and biophysical environment;
- Generation of mitigation and management measures that follow the mitigation hierarchy (avoid, minimise, restore, offset);
- Documentation of the process (i.e., the ESIA Report);
- Specialist assessments; and
- Drafting of an Environmental Management Plan.

An area where the South African legislated process falls short of the Performance Standards is on the social aspects. In South Africa the primary focus of an EIA is on the biophysical environment, and this is the focus of the listed activities. Thus, the EIR must include social and economic considerations, and assess social and economic impacts in more detail that required by NEMA, since NEMA is not as rigorous as the PS are in terms of social impacts. Any limitations will be adequately dealt with in the SIA, Stakeholder Management Plan and ESIA.

4.4 PROVINCIAL FRAMEWORK

4.4.1 Northern Cape Provincial Spatial Development Framework

The Northern Cape Provincial Spatial Development Framework – Review of Socio-economic Potential of Towns Study (2018) was commissioned to enable Government, the private sector and communities in the Northern Cape to collaborate in prioritising the effective development of urban and rural spaces over the long term. It states that adequate investment in infrastructure such as

transportation, communications, *energy*, and basic services improves rural productivity and better access to markets, jobs and public services.

4.4.2 Northern Cape Provincial Growth and Development Strategy (2019)

The Northern Cape Provincial Growth and Development Strategy (2019) aims to place the Northern Cape Province on a new development trajectory of sustainable development which forms part of its long-term strategic approach. The document relies heavily on the 2015 Sustainable Development Goals, the blueprint of the global development agenda, to achieve a better and more sustainable future for all. It recognises that social wellbeing is a complex concept, and refers to several aspects relating to human life, such as happiness, material fulfilment and personal needs – although many aspects of social well-being can only be achieved by an individual and his/her subjective feelings and experiences, access to basic infrastructure and economic opportunities acts as a catalyst for achieving various levels of human well-being.

It makes provision for new government priorities, including job creation, accountability, the environment, alternative energy, rural development, health and poverty alleviation.

4.5 DISTRICT AND LOCAL POLICY & PLANS

4.5.1 Pixley ka Seme District Municipality Integrated Development Plan (2022–2027)

The Pixley ka Seme District IDP (2022–2027) identifies the district as a leading innovative region and global centre for renewable energy and space science (through the Square Kilometre Array radio telescope project). Existing and the potential for future renewable energy developments are mentioned throughout the document, including the potential for technical skilling (technician and artisan training) for the renewable energy trade, the production or assembly of renewable energy components, and the renewable energy value chain. It points out that electrification provides a solid base for the development of local communities – it enables access to safe potable water, food security and lighting, and reduces the need for collecting and using other traditional sources of energy. It also mentions the contribution that existing renewable energy projects make through their Corporate Social Investment (CSI) Programmes.

The following opportunities and recommendations are made:

- Tapping into the Climate Change Fund for all the ailing Local Municipalities that host solar and wind energy renewable energy projects.
- Support initiatives, climate change and greenhouse gas projects and programmes that promote the reduction of energy consumption.
- Investment in renewable energy projects (solar, wind, hydro) is required within the District.



4.5.2 Ubuntu Local Municipality Draft Integrated Development Plan (2022/2023)

The Ubuntu LM IDP (2022/2023) lists Electricity as one of the main economic activities in the municipality, after Agriculture, Wholesale Trade, Construction, Finance and Other, Transport and Communication, Manufacturing, and Commerce and Personal Service. Farms in the Loxton area seem to be where most of the Electricity activities are located.

5 STAKEHOLDER ENGAGEMENT

Stakeholder engagement as part of the SIA differs from Public Participation for the EIA. Public Participation as part of an EIA process is regulated, with prescriptions on who must be consulted, and how. Stakeholder engagement for a Social Impact Assessment, on the other hand, is at the SIA Practitioner's discretion, with no obligation to consult with specific/all stakeholders.

Typically, one-on-one interviews are done with key stakeholders who are identified from groupings such as the local municipality (including ward councillors), directly affected and adjacent landowners, Tourism, Conservation, community forums/organisations, NGOs, schools, Business and the SAPS.

Although the stakeholder consultation differs from Public Participation, the SIA Practitioner often draws from information gathered during the Public Participation process, such as I&AP registers and Comment and Response Reports.

The Final SIA will detail the stakeholder consultation for this project, including a description of observations made during the field work, dates on which consultations took place and a description of stakeholder groupings that were consulted.

6 DESCRIPTION OF THE SOCIAL ENVIRONMENT

6.1 INTRODUCTION

This section provides a description of the socio-economic context of the affected area in and around the Soyuz 6 WEF development site. The applicant, Soyuz 6 (Pty) Ltd, is proposing the development of a commercial Wind Energy Facility (WEF) and associated infrastructure on a site located approximately 53 km southeast of Britstown in the Northern Cape Province. The site falls within the Ubuntu Local Municipality (LM), Pixley ka Seme District Municipality (DM).

Five additional WEFs are concurrently being considered on the surrounding properties and are assessed by way of separate environmental impact assessment processes. The six facilities are all located in the Pixley ka Seme DM, Northern Cape Province:

| Proposed WEF | Approximate location | Local Municipality | |
|--------------|--------------------------|--------------------|--|
| Soyuz 1 | 22 km south of Britstown | Emthanjeni LM | |
| Soyuz 2 | 23 km south of Britstown | Emthanjeni LM | |



| Soyuz 3 | 35 km south of Britstown | Emthanjeni and Ubuntu LMs |
|----------------------------|------------------------------|---------------------------|
| Soyuz 4 | 46 km south of Britstown | Ubuntu LM |
| Soyuz 5 | 58 km south of Britstown | Ubuntu LM |
| Soyuz 6 (this application) | 53 km southeast of Britstown | Ubuntu LM |

Baseline conditions are the existing conditions and past trends associated with the human environment in which the proposed activity is to take place (DEAT, 2006). Establishing the baseline conditions is essential for describing the receiving environment, the status quo, and for identifying and predicting potential impacts. "A prediction of change can only be as effective as the baseline information from which it is derived. It is thus important that the specialist puts the proposed project in perspective by comparing the current state with the potential future state" (DEAT, 2002a).

The baseline study presents a background description of the baseline conditions of the broader area and the potentially affected areas (the receiving environment) and includes a description of the local historical setting of the project and the local cultural context. Data from a variety of sources is included, including census data (from the 2011 census—the last census that was held in South Africa) for demographic profiles; the 2016 Community Survey conducted by StatsSA (for a more recent municipal profile than what the census data provides); the 2019 General Household Survey (conducted by StatsSA); the Ubuntu Local Municipality 2022/2023 Draft Integrated Development Plan, and the websites of the Northern Cape Province and Ubuntu Local Municipality. Municipal IDPs usually use data provided by StatsSA for inclusion in their IDPs, and therefore, it should be noted that data provided are in many instances dated but are the latest data that is available.

Data at the following levels are described: Provincial (Northern Cape Province), District (Pixley ka Seme District) and Local/Municipal (Ubuntu Local Municipality).

6.2 BACKGROUND INFORMATION: NORTHERN CAPE PROVINCE, UBUNTU LOCAL MUNICIPALITY AND THE PROJECT SITE

The Northern Cape Province is the largest province in South Africa, covering approximately 372 889 m² and constituting about 30% of South Africa's land area. The province is also the most sparsely populated in the country, with its population constituting approximately 2.2% of South Africa's total population. It lies to the south of its most important asset, the Orange River, which provides the basis for a healthy agricultural industry. The province shares borders with four other provinces, namely the Free State, North West, Eastern Cape and Western Cape. It also shares borders with Namibia and Botswana to the north. The Atlantic Ocean forms the western boundary. The climate in the province is typically very warm in summer in most areas and very cold in winter. Unemployment has increased significantly between 1996 and 2011 (StatsSA, 2011 Provincial Profile – Northern Cape).

The key contributors to economic growth in the province are mining, construction, finance, utilities (including a growing renewable energy sector) and agriculture. The province contributes the least to the National GDP of all provinces (<u>http://www.northern-cape.gov.za/</u>).

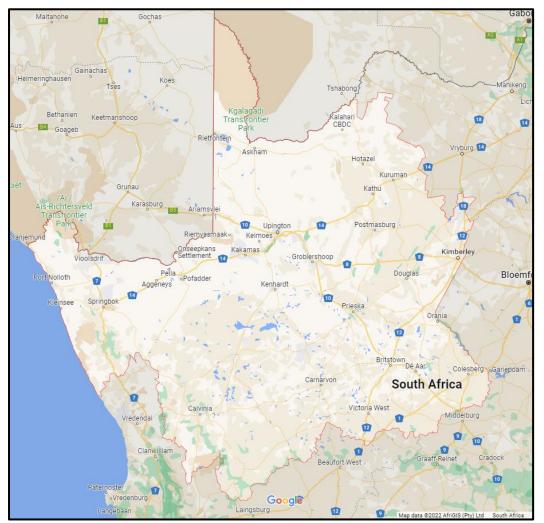


Figure 6-1: Northern Cape Province (Source: Google Maps)

The province is divided into five districts, namely Namakwa, Pixley ka Seme, Siyanda, Frances Baard, and John Taolo Gaetsewe. The project site falls within the Pixley ka Seme District Municipality.

Ubuntu LM, the local municipality within which the project site falls, is one of eight local municipalities in Pixley ka Seme District. Ubuntu LM comprises Loxton, Richmond, Victoria West, Hex River, Three Sisters, and a number of smaller towns, with the administrative seat being in Victoria West.



Figure 6-2: Ubuntu Local Municipality (Source: Google Maps)

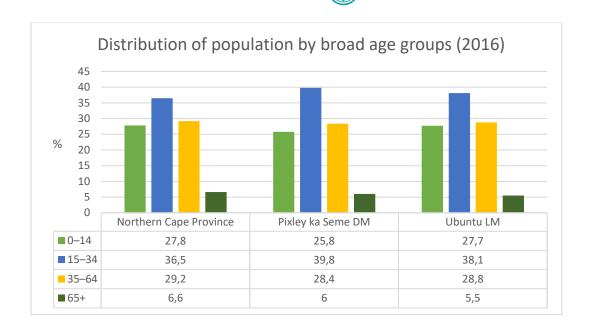
Ubuntu Local Municipality's 2022/2023 Draft IDP states that livestock and game are the main farming activities in the area. Livestock farming mainly consists of sheep, goat and cattle, and the main agricultural products are wool for the export market and meat for the local market. Biltong and hunting are the main products of game farming. Game largely consists of springbok, blesbok, gemsbok, reedbuck, blue wildebeest and black wildebeest. Other economic sectors include manufacturing, electricity generation, construction, wholesale trade, transport, communication, finance, commerce and personal services.

The project site is located south of Britstown and consist of various farms located outside the urban areas of the municipality. From aerial imagery it is not clear whether any homesteads will be affected by the proposed WEF; this will be determined during the field work in the EIA phase.

6.3 DEMOGRAPHICS

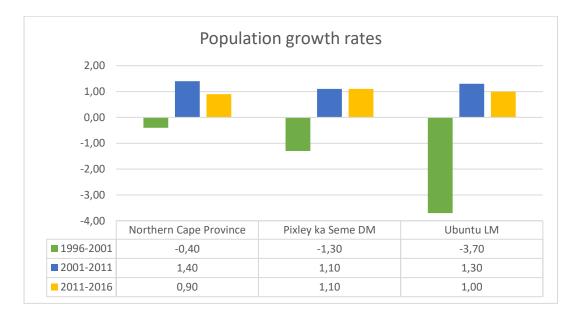
6.3.1 Structure of the population by broad age groups

The age profiles for Ubuntu LM is similar to that of Pixley ka Seme District and the Northern Cape Province, with the majority of residents falling in the age group 15–34 years, followed by 35–64 and 0–14 years. The smallest number of residents fall in the age group 65+ years.



6.3.2 Population growth rates

The Northern Cape Province, Pixley ka Seme District and Ubuntu LM all had negative growth rates between the period 1996–2001. This changed after 2001, with positive growth rates being recorded for the province, district and local municipality for the periods 2001–2011 and 2011–2016.

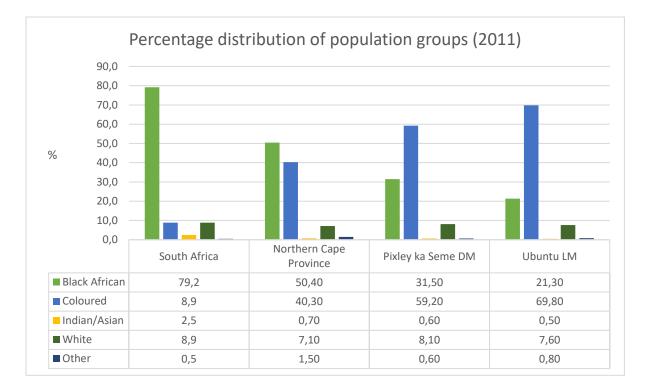


According to the StatsSA 2016 Community Survey, the Northern Cape also has the smallest percentage of residents who were born outside South Africa, namely 1.1%, compared to 50.8% in Gauteng and 12.2% (the second highest percentage in the country) in the Western Cape. Of the residents of Pixley ka Seme DM who were born outside South Africa, 50.5% were born in one of the SADC countries, 10.5% were born elsewhere in Africa, 6.3% were

born in Europe, 31.5% were born in Asia, 1.3% were born in North America, and none were born in the remaining continents.

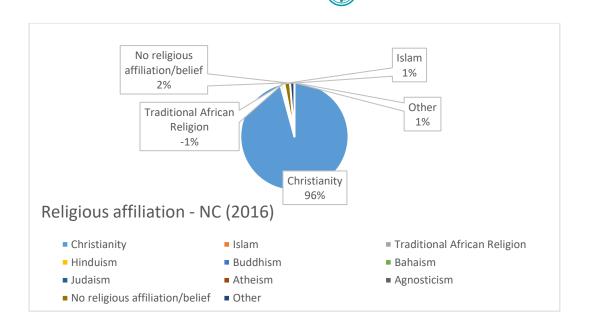
6.3.3 Population groups

The population distribution for the district and local municipality differs from that of the country and the province—in South Africa and the Northern Cape Province, the dominant population group is Black African, whereas in Pixley ka Seme DM and Ubuntu LM it is Coloured. The proportion Coloured residents in the province, however, does not reflect that of the country as a whole, with their distribution in the province being much higher in the province than in the country. On all levels (National, Provincial, District and Local), Whites are the third most prevalent, with the lowest number of residents on all levels being Indian/Asian.



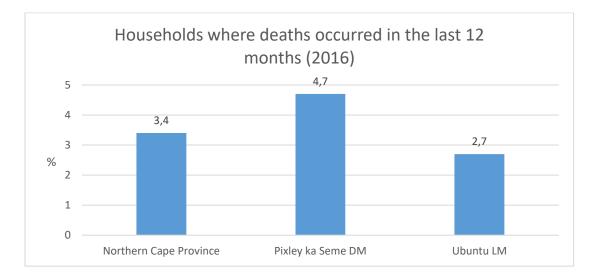
6.3.4 Religious affiliation

In terms of religious affiliation, the majority of residents (96%) of the Northern Cape Province are Christian, followed by no religious affiliation/belief (2%), Traditional African Religion (1%) and Muslims (1%).



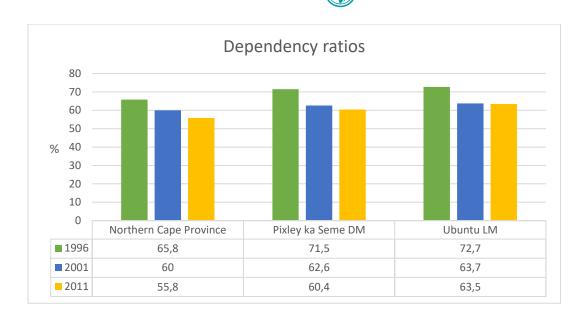
6.3.5 Occurrence of deaths in households

The occurrence of deaths in households was lower in Ubuntu LM than in the District or Province, in the 12 months preceding the Community Survey that took place in 2016. 3.4% of households in the Northern Cape had deaths in their households during the 12-month period, while 4.7% of households in the Pixley ka Seme District and 2.7% of households in Ubuntu LM had deaths in their households.



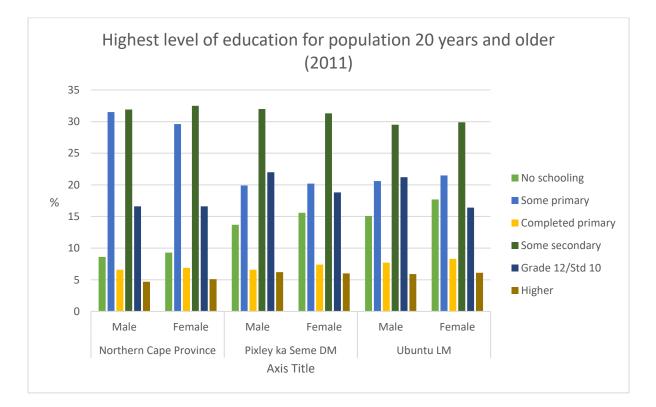
6.3.6 Dependency ratios

Dependency ratios indicate to what extent the working age group (15–64 years) of a population has to support those aged 0–14 years and 65+ years. Ubuntu LM's dependency ratio decreased by only 0.2% between 2001 and 2011.

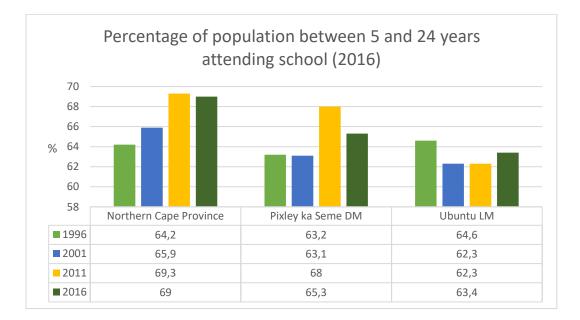


6.3.7 Education

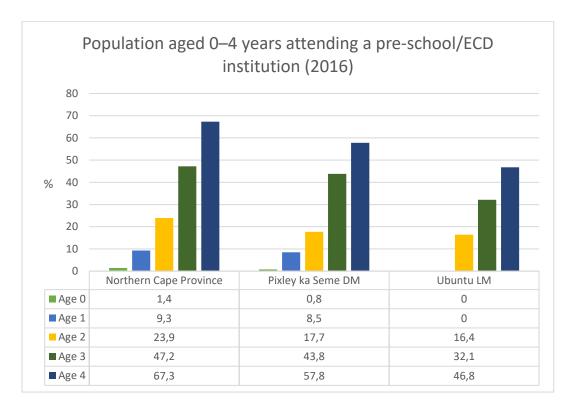
The highest percentage of residents older than 20 years residing in Ubuntu LM has completed some secondary education, followed by those who completed some primary, Grade 12/Std 10, some primary, no schooling, completed primary, and higher. This is also similar to levels for the district and province, except that a larger percentage of residents in the province completed some primary education than those completing Grade 12/Std 10. There are only slight differences for highest level of education completed between males and females.



The percentage of the population between the ages of 5 and 24 years attending school has decreased between 2011 and 2016 in the province and district, after having shown an increase in the period 2001–2011. In Ubuntu LM, however, the percentages for 2001 and 2011 were the same, and there was a slight increase in school attendance in 2016.



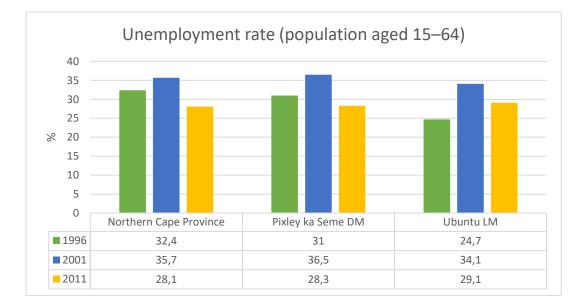
Attendance of pre-school or Early Childhood Development (ECD) institutions increased with age in the province, district and local municipality, with almost half (46.8%) of children aged 4 attending in Ubuntu LM. Zero percent of children aged 0 and 1 attended pre-school or an ECD institution in Ubuntu LM.



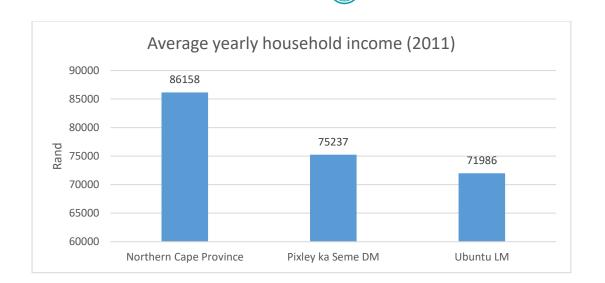
CES Environmental and Social Advisory Services



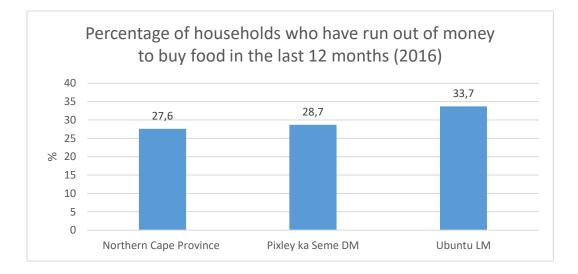
The unemployment rate decreased in the province, district and local municipality between 2001 and 2011. However, these figures are dated and realistically speaking likely much higher, with a significant increase between 2011 and 2022 expected. The employment figures contained in the Ubuntu Local Municipality 2022/2023 Draft IDP are unfortunately also from the 2011 census. Figures in the province and municipality will likely follow the same trajectory as national figures, which increased significantly from around 24% in 2011 to 35.3% in the fourth quarter of 2021. The increase in the unemployment rate from 2020 to 2021 was steeper than between 2011 and 2020, likely due to the impact of Covid-19 and accompanying lockdowns which resulted in businesses closing and employees losing their jobs.



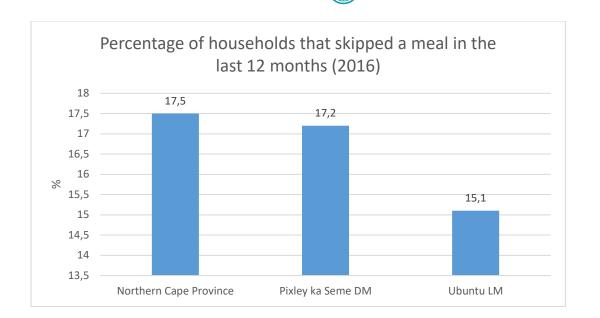
The average yearly household income in Ubuntu LM was R71 986.00 (translating to R5 999.00 per month per household) in 2011—slightly lower than the district average and significantly lower than the provincial average, which was R86 158.00.



More than a third (33.7%) of households in Ubuntu LM ran out of money to buy food at some point during the 12 months preceding the Community Survey conducted by StatsSA in 2016. Ubuntu LM's figure is substantially higher than the provincial and district figures, that were 27.6% and 28.7% respectively.

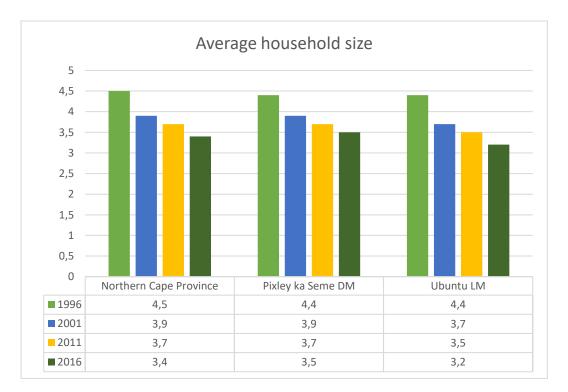


The percentage of households in the local municipality who skipped a meal in the 12 months preceding the 2016 Community Survey because they did not have enough food for the household, were lower (15.1%) than the figures for the province (17.5%) and district (17.2%).

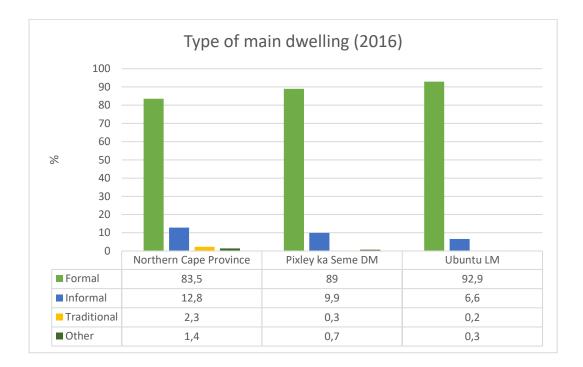


6.3.9 Housing

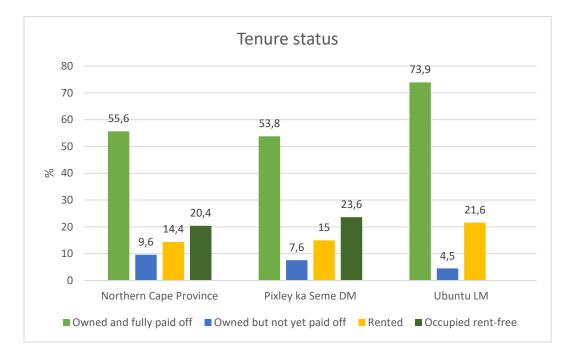
The average household size has decreased slightly across the provincial, district and local municipal levels from 1996 to 2011. The average household size in Ubuntu LM was 3.2 in 2016, whereas it was 3.4 in the province and 3.5 in the district.



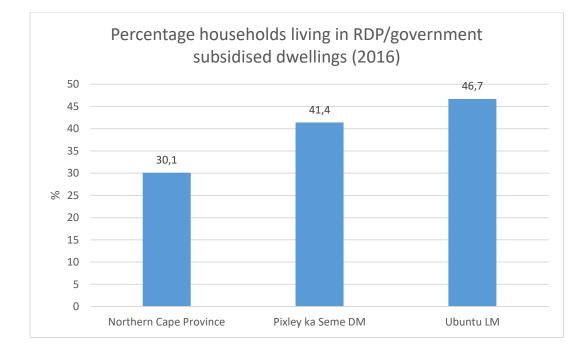
Most residents in the province, district and local municipality live in formal dwellings, with the percentage for Ubuntu LM being 92.9% in 2016, compared to 83.5% in the province and 89% in the district. The percentage of residents living in informal dwellings was highest in the province (12.8%), followed by the district (9.9%) and the local municipality (6.6%).



The majority of residents in the province, district and local municipality indicated that their dwellings were owned by them and fully paid off (55.6%, 53.8% and 73.9%, respectively). Figures for dwellings that were occupied rent-free were not available for Ubuntu LM, but 20.4% and 23.6% of residents in the province and district, respectively, indicated that they were occupying their dwellings rent-free.

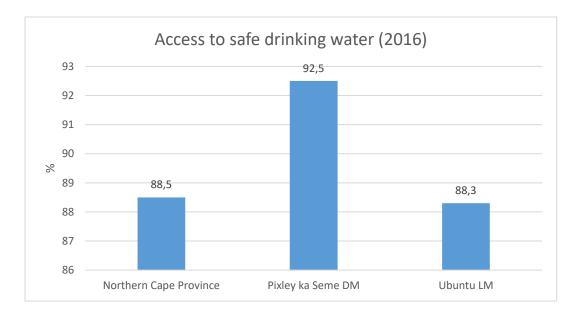


According to the 2016 Community Survey, 46.7% of residents of Ubuntu LM were living in RDP houses or other government-subsidised dwellings, followed by 41.4% in the district and 30.1% in the province.

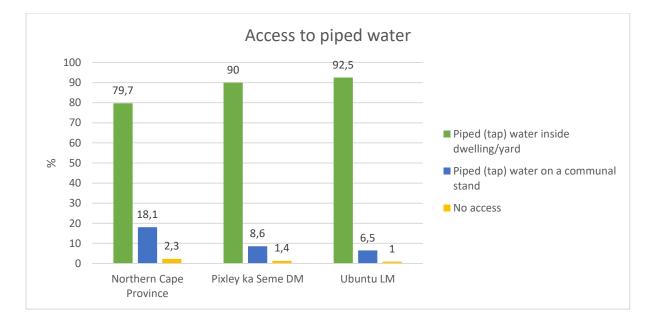


6.3.10 Access to services

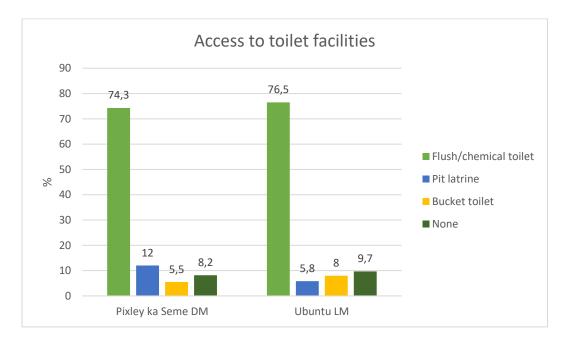
88.3% of residents of Ubuntu LM indicated in the 2016 Community Survey that they had access to safe drinking water, with 92.5% of residents of Pixley ka Seme District and 88.5% of residents of the Northern Cape indicating that they did.



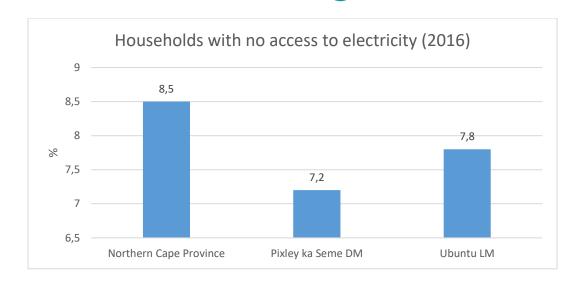
Almost all residents of Ubuntu LM (92.5%) indicated in 2011 that they had piped (tap) water inside their dwelling or yard. This was significantly higher than the provincial figure of 79.7% in 2011. Only 1% in Ubuntu LM indicated that they had no access to piped water.



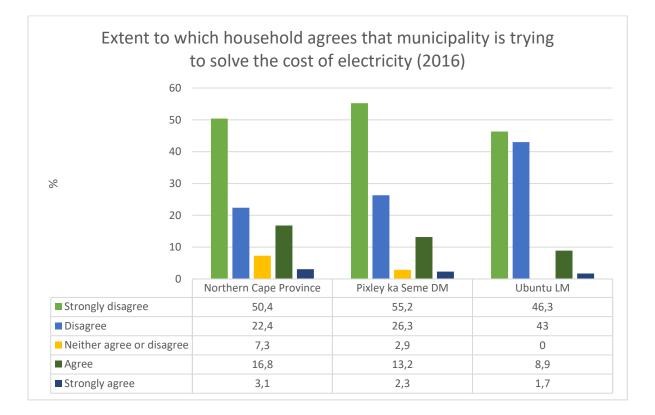
The majority of residents had access to flush/chemical toilet facilities (76.5% in Ubuntu LM and 74.3% in Pixley ka Seme District) in 2011. 5.8% used pit latrines and 8% used bucket toilets in Ubuntu LM, and 9.7% indicated that they had no access to any toilet facilities.



The percentage of households that had no access to electricity in 2016 was lower in Pixley ka Seme DM (7.2%) than in Ubuntu LM (7.8%) and the province (8.5%).

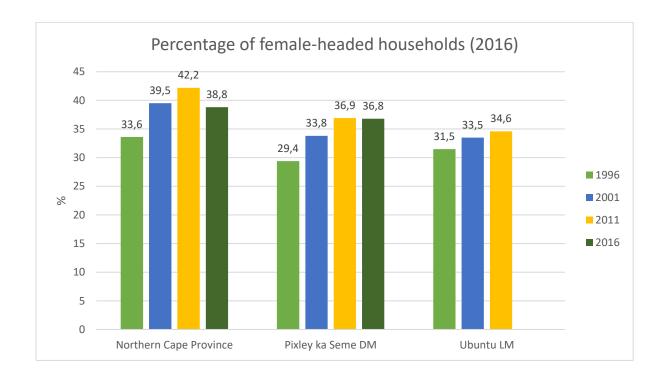


In terms of the extent to which households agreed that their municipalities were trying to mitigate high electricity costs, the largest percentage of residents who strongly disagreed were in the greater district (55.2%), followed by the province (50.4%) and Ubuntu LM (46.3%).



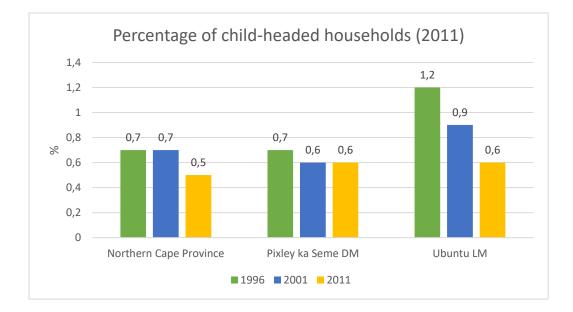
6.3.11 Female-headed households

The percentage of female-headed households increased from 1996 to 2011 across the province, district and local municipality. Data for 2016 was not available for the local municipality, but it showed a decrease in female-headed households from 2011 to 2016 in both the province and the district.



6.3.12 Child-headed households

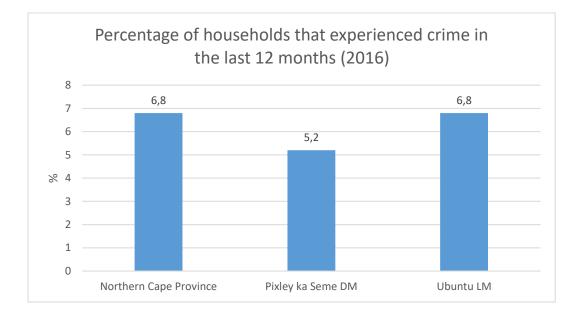
The percentage of child-headed households decreased in the province, district and Ubuntu LM from 1996 to 2011.



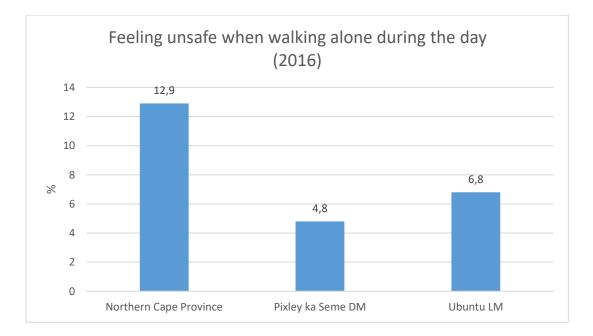


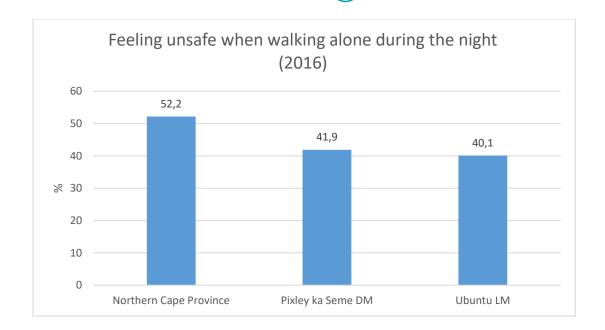
6.3.13 Crime and perceptions of safety

The same percentage of households (6.8%) experienced crime in the 12 months preceding the 2016 Community Survey in Ubuntu LM and the province as a whole. The percentage for the district was slightly lower at 5.2%.



In the province, 12.9% of residents indicated that they felt unsafe when walking alone during the day, compared to 6.8% in Ubuntu LM. These percentages increased significantly when respondents were asked if they felt unsafe when walking alone during the night, with more than half (52.2%) of residents in the province and 40.1% of residents in Ubuntu LM indicating they felt unsafe walking alone during the night.







7 IMPACT ASSESSMENT

7.1 IMPACT ASSESSMENT CRITERIA & METHOD

It is often the case that one type of impact (for example an environmental impact) can lead to a different type of impact (for example a social impact). An example is air pollution (environmental impact) due to a new factory that can result in impacts on the health of surrounding communities (social impact). Therefore, it is important when conducting a Social Impact Assessment, to consider all the impacts identified by the other studies conducted for the same development, such as impacts identified in an EIA Report, Traffic Impact Assessment, Visual Impact Assessment and Biodiversity Assessment. This will ensure that some important potential impacts are not left out and mitigated.

The following specialist studies will be consulted when the Final Social Impact Assessment Report is compiled:

- Botanical Assessment;
- Faunal Assessment;
- Wetland Assessment;
- Heritage Assessment;
- Traffic Assessment;
- Visual Assessment;
- Agricultural Assessment;
- Bird Assessment;
- Bat Assessment; and
- Any other specialist studies done as part of this application.

Direct, indirect and cumulative impacts that may occur during the planning, construction, operation and decommissioning project stages will be identified and assessed, using the assessment methodology outlined in Appendix 2 of the EIA Regulations, 2014 (as amended).

Impact significance pre-mitigation

This rating scale adopts six key factors to determine the overall significance of the impact prior to mitigation:

- 1. **Nature of impact:** Defines whether the impact has a negative or positive effect on the receiving environment.
- 2. **Type of impact:** Defines whether the impact has a direct, indirect or cumulative effect on the environment.

- 3. **Duration:** Defines the relationship of the impact to temporal scales. The temporal scale defines the significance of the impact at various time scales as an indication of the duration of the impact. This may extend from the short-term (less than 5 years, equivalent to the construction phase) to permanent. Generally, the longer the impact occurs the greater the significance of any given impact.
- 4. **Extent:** Describes the relationship of the impact to spatial scales i.e. the physical extent of the impact. This may extend from the local area to an impact that crosses international boundaries. The wider the spatial scale the impact extends, the more significant the impact is considered to be.
- 5. **Probability:** Refers to the likelihood (risk or chance) of the impact occurring. While many impacts generally do occur, there is considerable uncertainty in terms of others. The scale varies from unlikely to definite, with the overall impact significance increasing as the likelihood increases.
- 6. Severity or benefits: The severity/beneficial scale is used in order to scientifically evaluate how severe negative impacts would be, or how beneficial positive impacts would be on the receiving environment. The severity of an impact can be evaluated prior and post mitigation to demonstrate the seriousness of the impact if it is not mitigated, as well as the effectiveness of the mitigation measures. The word 'mitigation' does not only refer to 'compensation', but also includes concepts of containment and remedy. For beneficial impacts, optimization refers to any measure that can enhance the benefits. Mitigation or optimisation should be practical, technically feasible and economically viable.

For each impact, the duration, extent and probability are ranked and assigned a score. These scores are combined and used to determine the overall impact significance prior to mitigation. They must then be considered against the severity rating to determine the overall significance of an activity. This is because the severity of the impact is far more important than the other three criteria. The overall significance is either negative or positive (Criterion 1) and direct, indirect or cumulative (Criterion 2).

| | Temporal Scale | | | | | | | |
|--------|-----------------|--|--|---|--|--|--|--|
| | Short term | Less than 5 years 1 | | | | | | |
| | Medium term | Between 5-20 years | Between 5-20 years 2 | | | | | |
| | Long term | Between 20 and 40 years (a gene | ration) and from a human | 3 | | | | |
| | Long term | perspective also permanent | | 3 | | | | |
| F | Permanent | Over 40 years and resulting in a permanent and lasting change that | | | | | | |
| С Ш | Fernaneni | will always be there | | | | | | |
| EFFECT | L Spatial Scale | | | | | | | |
| ш | Localised | At localised scale and a few hectares in extent 1 | | | | | | |
| | Study Area | The proposed site and its immedia | The proposed site and its immediate environs 2 | | | | | |
| | Regional | District and Provincial level 3 | | | | | | |
| | National | Country 3 | | | | | | |
| | International | Internationally 4 | | | | | | |
| | Severity | Severity | Benefit | | | | | |

Table 7-1: Evaluation Criteria and Scores

| | Slight | Slight impacts on the affected system(s) or party(ies) | Slightly beneficial to the affected system(s) and party(ies) | 1 | | |
|------------|--------------|---|---|---|--|--|
| | Moderate | Moderate impacts on the affected system(s) or party(ies) | Moderately beneficial to the affected system(s) and party(ies) | 2 | | |
| | Severe/ | Severe impacts on the affected | A substantial benefit to the | 4 | | |
| | Beneficial | system(s) or party(ies) | affected system(s) and party(ies) | 4 | | |
| | Very Severe/ | Very severe change to the | A very substantial benefit to the | 8 | | |
| | Beneficial | affected system(s) or party(ies) | affected system(s) and party(ies) | 0 | | |
| Q | Likelihood | | | | | |
| 00 | Unlikely | The likelihood of these impacts occurring is slight | | | | |
| Ξ | May Occur | The likelihood of these impacts occurring is possible | | | | |
| гікегіноор | Probable | The likelihood of these impacts occurring is probable | | | | |
| ΓI | Definite | The likelihood is that this impact will definitely occur | | | | |

Table 7-2: Matrix used to determine the overall significance of the impact based on the likelihood and effect of the impact

| | | | Effect | | | | | | | | | | | | |
|------------|---|---|--------|---|----|----|----|----|----|----|----|----|----|----|----|
| po | | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| Likelihood | 1 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| keli | 2 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| Lii | 3 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| | 4 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |

Table 7-3: Description of Environmental Significance Ratings and associated range of scores

| Significance Rating | Description | Score |
|------------------------|---|-------|
| Low | An acceptable impact for which mitigation is desirable but not essential. The impact by itself is insufficient even in combination with other low impacts to prevent the development being approved. These impacts will result in either positive or negative medium to short term effects on the social and/or natural environment. | 4-8 |
| Moderate | An important impact which requires mitigation. The impact is insufficient by itself to prevent the implementation of the project but which in conjunction with other impacts may prevent its implementation. These impacts will usually result in either a positive or negative medium to long-term effect on the social and/or natural environment. | 9-12 |
| High | A serious impact, if not mitigated, may prevent the implementation of the project (if it is a negative impact). These impacts would be considered by society as constituting a major and usually a long- term change to the (natural &/or social) environment and result in severe effects or beneficial effects. | 13-16 |
| Very High | A very serious impact which, if negative, may be sufficient by itself to prevent implementation of the project. The impact may result in permanent change. Very often these impacts cannot be mitigated and usually result in very severe effects, or very beneficial effects. | 17-20 |

The **environmental significance** scale is an attempt to evaluate the importance of a particular impact. This evaluation needs to be undertaken in the relevant context, as an impact can either be ecological or social, or both. The evaluation of the significance of an impact relies heavily on the values of the person making the judgment. For this reason, impacts of especially a social nature need to reflect the values of the affected society.

Negative impacts that are ranked as being of "VERY HIGH" and "HIGH" (negative) significance will be investigated further to determine how the impact can be minimised or what alternative activities or mitigation measures can be implemented. These impacts may also affect the decision-making process on whether a project should be approved, or not.

For impacts identified as having a negative impact of "MODERATE" significance, it is standard practice to investigate alternative activities and/or mitigation measures. The most effective and practical mitigations measures will then be proposed.

For impacts ranked as "LOW" significance, no investigations or alternatives will be considered. Possible management measures will be investigated to ensure that the impacts remain of low significance.

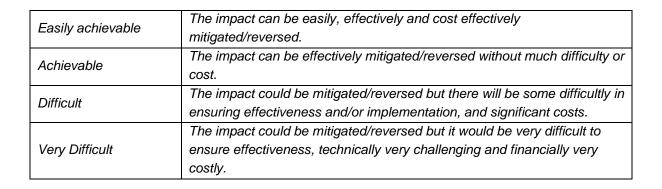
Significance post mitigation

Once mitigation measures are proposed, the following criteria are used to determine the overall significance (i.e., post-mitigation significance) of the impact.

- <u>Reversibility</u>: The degree to which an environment can be returned to its original/partially original state.
- Irreplaceable loss: The degree of loss which an impact may cause.
- <u>Mitigation potential</u>: The degree of difficulty of reversing and/or mitigating the various impacts ranges from very difficult to easily achievable. The four categories used are listed and explained in Table 7-4 below. Both the practical feasibility of the measure, the potential cost and the potential effectiveness is taken into consideration when determining the appropriate degree of difficulty.

| Reversibility | |
|-------------------------|--|
| Reversible | The activity will lead to an impact that can be reversed provided |
| | appropriate mitigation measures are implemented. |
| Irreversible | The activity will lead to an impact that is permanent regardless of the |
| | implementation of mitigation measures. |
| Irreplaceable loss | |
| Resource will not be | The resource will not be lost/destroyed provided mitigation measures are |
| lost | implemented. |
| Resource will be partly | The resource will be partially destroyed even though mitigation measures |
| lost | are implemented. |
| | The resource will be lost despite the implementation of mitigation |
| Resource will be lost | measures. |
| Mitigation potential | |

Table 7-4: Criteria considered post mitigation



7.2 DESCRIPTION OF SOCIO-ECONOMIC IMPACTS

In this section, the following will be included:

- Identification and description of activities likely to cause social and cultural impacts (social change processes) and potential direct, indirect and cumulative impacts, both positive and negative.
- **Rating** of the likely impacts (including secondary and cumulative impacts), before mitigation, including describing and evaluating alternatives. The following important aspects will specifically be considered:
 - Description how the different segments of the community are likely to respond;
 - The goal of all projects should be sustainable social development;
 - Human Rights need to be considered;
 - Indigenous, Traditional, Tribal and other land-connected peoples should be acknowledged and given specific attention – Free, Prior and Informed Consent (FPIC);
 - Whether there will be in-migration;
 - Whether regional development issues were considered in the study; and
 - Any environmental justice issues.
- Listing of proposed measures to both enhance positive social impacts and mitigate negative social impacts. Mitigation measures must include the following, where possible and applicable:
 - Recommending changes in proposed action or alternatives;
 - Providing suggestions about compensation;
 - Measures to discourage dependency on the proponent;
 - Measures to promote active involvement of people;
 - Suggesting partnerships between civil society, government and the private sector;
 - Measures to increase capabilities and productivity of people;
 - Measures to mitigate impacts on family stability;
 - o Measures that will contribute to poverty alleviation;
 - Addressing inequality issues;
 - Proposing benefit agreements;
 - Proposals for economic development processes;
 - Suggestions regarding employment creation;



- o Potential establishment of infrastructure;
- o Description of potential conflict and recommendation of resolution processes;
- Development of coping strategies in the community for dealing with nonmitigatable impacts; and
- Advise on appropriate institutional and coordination arrangements for all parties.
- Rating of likely impacts, this time after mitigation.

The identification and rating of impacts can only be done once stakeholder consultation has taken place. Therefore, this will be contained in the Final Social Impact Assessment. However, based on previous experience of similar applications, the following social aspects/themes will be considered at this stage:

- Feelings in relation to the project;
- Employment;
- Other income generation;
- Community relations and expectations;
- Training opportunities and skills development;
- Visual impacts and sense of place;
- Changes in land use, including loss of agricultural land and income;
- Migration;
- Crime and security;
- Noise;
- Traffic;
- Heritage; and
- Supply of electricity to the national grid and positive contribution to the country's economy.

8 CONCLUSION & RECOMMENDATIONS

The Final Social Impact Assessment will contain a summary of the findings, based on the identification and rating of social impacts that the proposed development will have. Mitigation measures for these identified impacts will also be formulated. General recommendations will be made, as well as a recommendation on whether the proposed project should be approved from a social point of view.



Borel-Saladin JM & Turok IN. 2013. The impact of the green economy on jobs in South Africa, South African Journal of Science, *Volume 109. Number 9/10, September/October 2013.*

Constitution of the Republic of South Africa, 1996 (Act no. 108 of 1996).

CSIR Energy Centre. 2016. Comparison of IRP assumptions with actual tariffs.

DEAT. 2002a. Specialist Studies. Information Series 4. Pretoria: Department of Environmental Affairs and Tourism (DEAT).

DEAT. 2006. Socio-Economic Impact Assessment. Integrated Environmental Management Series 22. Pretoria: Department of Environmental Affairs and Tourism (DEAT).

Department of Energy. Integrated Resource Plan (IRP) 2010 and 2019, South Africa.

Green Jobs Study. 2011. IDC, DBSA Ltd and TIPS.

IFC. 2012. *Performance Standards*. [Online]. Available: http://www1.ifc.org/wps/wcm/connect/Topics_Ext_Content/IFC_External_Corporate_Site/IFC +Sustainability/Sustainability+Framework/Sustainability+Framework+-

+2012/Performance+Standards+and+Guidance+Notes+2012/ [2012, September 09].Independent Power Producers Procurement Programme (IPPP): 2017. An Overview, Department of Energy, National Treasury and DBSA.

Interorganizational Committee on Guidelines and Principles. 1994. Guidelines and Principles for Social Impact Assessment. Washington DC: Department of Commerce.

Maswana Joint Venture. 2018. Provincial Spatial Development Framework for the Northern Cape Province – Review of the socio-economic potential of towns study.

Mulcahy, M. 2014. Market Intelligence Report: Renewable Energy Greencape.

National Environmental Management Act, 1998 (Act no. 107 of 1998).

National Infrastructure Plan (2012), South Africa.

New Growth Path Framework (2010), South Africa.

Northern Cape Provincial Government. http://www.northern-cape.gov.za/

Regulations in terms of Chapter 5 of the National Environmental Management Act, 1998



Renewable Energy Independent Power Producer Procurement Programme (REIPPPP) Report undertaken by the Department of Energy, National Treasury and the Development Bank of South Africa in June 2017.

Statistics South Africa. 2012. Census 2011 Municipal report – Northern Cape. Pretoria: Statistics South Africa.

Statistics South Africa. 2014. Census 2011 Provincial Profile: Northern Cape. Pretoria: Statistics South Africa.

Statistics South Africa. 2018. Community Survey 2016, Provincial profile – Northern Cape. Pretoria: Statistics South Africa.

Statistics South Africa. 2020. General Household Survey 2019. Pretoria: Statistics South Africa.

Strategic Environmental Assessment for wind and solar energy in South Africa (CSIR, 2015).

The International Bank for Reconstruction and Development / THE WORLD BANK. 1998. Participation and Social Assessment: Tools and Techniques. Compiled by J. Rietbergen-McCracken and D. Narayan.

Ubuntu Local Municipality. https://www.ubuntu.gov.za/

Ubuntu Local Municipality. 2022. Draft Integrated Development Plan 2022/2023.

Vanclay, F. 2002. Conceptualising social impacts. Environmental Impact Assessment Review (22), 183-211.

World Bank. 1995. Social Assessment. Environmental Department Dissemination Notes Number 36. Washington DC: World Bank.

World Bank (2018 data on CO2 emissions). <u>CO2 emissions (kg per PPP \$ of GDP) | Data</u> (worldbank.org)

APPENDIX A – SPECIALIST DECLARATION

DETAILS OF SPECIALIST AND DECLARATION OF INTEREST

Application for authorisation in terms of the National Environmental Management Act, 1998 (Act No. 107 of 1998), as amended and the Environmental Impact Assessment Regulations, 2014

PROJECT TITLE: Soyuz 6 Wind Energy Facility, Northern Cape Province

| Specialist: | Socio-economic Specialist | | | |
|-----------------|---------------------------------|-------|--|--|
| Contact person: | Hilda Bezuidenhout | | | |
| Postal address: | PO Box 934, Makhanda | | | |
| Postal code: | 6140 | Cell: | | |
| Telephone: | 087 549 1646 Fax: | | | |
| E-mail: | hilda.bezuidenhout@cesnet.co.za | | | |

| Project Consultant: | Project Manager | |
|---------------------|-------------------------|-------|
| Contact person: | Ryan Jonas | |
| Postal address: | PO Box 934, Makhanda | |
| Postal code: | 6140 | Cell: |
| Telephone: | 087 549 1345 | Fax: |
| E-mail: | ryan.jonas@cesnet.co.za | |

I, Hilda Bezuidenhout, the specialist appointed in terms of the Regulations, declare that --

General declaration:

- I act as the independent specialist in this application;
- I will perform the work relating to the application in an objective manner, even if this results in views and findings that are not favourable to the applicant;
- I declare that there are no circumstances that may compromise my objectivity in performing such work;
- I have expertise in conducting the specialist report relevant to this application, including knowledge of the Act, regulations and any guidelines that have relevance to the proposed activity;
- I will comply with the Act, regulations and all other applicable legislation;
- I have no, and will not engage in, conflicting interests in the undertaking of the activity;
- I undertake to disclose to the applicant and the competent authority all material information in my possession that reasonably has or may have the potential of influencing
 - o any decision to be taken with respect to the application by the competent authority; and
 - the objectivity of any report, plan or document to be prepared by myself for submission to the competent authority;
- All the particulars furnished by me in this form are true and correct; and
- I realise that a false declaration is an offence in terms of Regulation 71 and is punishable in terms of section 24F of the Act.

Signature of the specialist:

Name of Company: CES Environmental and Social Advisory Services Date: 29 June 2022

APPENDIX B – SPECIALIST'S CV

HILDA BEZUIDENHOUT

Curriculum Vitae



CONTACT DETAILS

| Name of Company Designation | CES – Environmental and Social Advisory Services Principal Environmental Consultant – Gauteng branch of CES |
|--------------------------------|--|
| Profession | Social Impact Assessment Practitioner |
| E-mail | Hilda.Bezuidenhout@cesnet.co.za |
| Office number | +27 (0) 87 549 1646 |
| Mobile | +27 (0) 83 248 3741 |
| | |
| Key areas of expertise | Social Impact Assessment |
| | Stakeholder consultation |
| | Social baseline studies |
| | Resettlement Action Plans |
| | Public Participation |
| | Environmental Impact Assessment |

PROFILE

Hilda has extensive experience working in the environmental management field – first as an Environmental Assessment Practitioner (both in the private sector, consulting, and in the public sector, at the then National Department of Environmental Affairs), and for the last 10 years focusing on Social Impact Assessments and related studies. She worked as an independent consultant (self-employed) for approximately 10 years before joining CES.

She obtained an Honours Degree in Industrial Sociology and a Masters Degree in Environmental Studies (Environment and Society), both from the University of Pretoria. She has worked on a wide range of projects in energy (including renewable energy), mining, mixed-use development, transport infrastructure and recreational facility applications, and has acted as an external peer reviewer on a number of social impact-related projects.

Curriculum Vitae



| EMPLOYMENT Experience | March 2022 – Present: Principal Environmental Consultant – Social (Coastal & Environmental Services) Gauteng, South Africa |
|----------------------------|--|
| | October 2012 – March 2022: Independent Social Impact Assessment Practitioner (self-employed) |
| | August 2010 – September 2012: Assistant Director, EIA Administration (National Department of Environmental Affairs) Pretoria, South Africa |
| | September 2009 – June 2010: Secondment (National Department of Environmental Affairs) Pretoria, South Africa |
| | March 2008 – July 2010: Social Scientist/Public Participation Project Manager (Strategic Environmental Focus) Pretoria, South Africa |
| | January 2007 – March 2008: Environmental Assessment Practitioner (Rock Environmental Consulting) Pretoria, South Africa |
| Academic Qualifications | MA Environment and Society University of Pretoria, 2010 |
| | BA Hons. Industrial Sociology University of Pretoria, 2001 |
| | BA University of Pretoria, 1996 |
| COURSES | Training of Trainers Workshop for Integrating HIV and Gender related issues in EIA in Southern and Eastern Africa (one of 18 South African delegates nominated to attend) – UNDP (2012). Numerous other workshops and training courses, particularly applicable to SIA and EIA. |
| CONSULTING | Social Impact Assessment |
| Experience | Managed and conducted numerous Social Impact Assessments (SIAs) and drafted SIA Reports in terms of relevant EIA legislation and regulations, as well as IFC Standards, for development proposals in various sectors. Have also peer reviewed a number of SIAs that formed part of EIA applications. |

Curriculum Vitae



Key actions included identification and assessment of potential impacts of new developments on communities/people; key stakeholder identification and consultation; community liaison; meeting facilitation; social surveys; community baseline studies; needs assessments; recommendation of measures to enhance positive impacts and mitigate negative impacts on communities; drafting of Social Opinions.

Project experience include:

- Social Impact Assessment for the proposed Kalahari Umtu Substation and Sub transmission lines, Northern Cape Province, South Africa
- Socio-economic Impact Assessment for the proposed Rainbow Junction mixed-use development (social component and report compilation), City of Tshwane Metropolitan Municipality, South Africa
- Socio-economic Impact Assessment for the Tshwane Bus Rapid Transit Line CBD to Soshanguve (social component and report compilation), South Africa
- Basic Social Assessment (desktop study) for the expansion of the ash facility at Tutuka power station, Mpumalanga Province, South Africa
- Basic Social Assessment (desktop study) for the expansion of the ash facility at Majuba power station, Mpumalanga Province, South Africa
- Social Impact Assessment for the Matimba Power Station continuous ash disposal facility, Lephalale, Limpopo Province, South Africa
- Social Impact Assessment route assessment of conveyor belt to site alternative two: Matimba Power Station ash disposal facility, Lephalale, Limpopo Province, South Africa
- Social Impact Assessment for a Mining Right on Portions 26, 46 and 47 of the Farm Droogenfontein 242 IR near Delmas, Mpumalanga, South Africa
- Social Impact Assessment for Provincial Road K77 between Elizabeth Road and K154, Midvaal Local Municipality, Gauteng Province, South Africa
- Social Impact Assessment for the Kekana and Wonderboom 132kV substation projects and associated 132Kv powerlines, Hammanskraal, Gauteng Province, South Africa
- Social Impact Assessment for resettlement along the proposed Ariadne-Eros 400/132KV multi-circuit transmission powerlines, KwaZulu-Natal Province, South Africa
- Social Impact Assessment for the proposed Vanrhynsdorp Limestone Opencast Mine Mining Right Application, Matzikama Local Municipality, Western Cape Province, South Africa
- Social Impact Assessment for the proposed EIA and EMPr amendment to consolidate Sedibelo, Magazynskraal and Kruidfontein Mines into IBMR, North West Province, South Africa
- Social Impact Assessment for the proposed EIA and EMPr amendment for Pilanesberg Platinum Mines to include the West Portal and associated facilities, North West Province
- Social survey for the proposed construction of Tsakani Substation and a 17km 132kV powerline from the existing Mbumbu Substation to the proposed Tsakani Substation at Bushbuckridge, Mpumalanga Province, South Africa
- Peer review of the Socio-economic Impact Assessment Report for the proposed SolAfrica Central Receiver Power Plant, Northern Cape Province, South Africa
- Peer review of the Basic Social Assessment (desktop study) for the proposed Mfolozi River Bridge, KwaZulu-Natal Province, South Africa

Curriculum Vitae



- Peer review of the Basic Social Assessment (desktop study) for the proposed Pongola River Bridge, KwaZulu-Natal Province, South Africa
- Peer review of the Basic Social Assessment (desktop study) for the proposed White Mfolozi River Bridge, KwaZulu-Natal Province, South Africa
- Peer review of the SIA Baseline Report for the Southern wastewater Treatment Works, Durban, KwaZulu-Natal Province, South Africa
- Peer review of the Socio-economic Impact Assessment Report for the proposed Musina- Makhado Energy and Metallurgy Special Economic Zone (MMSEZ), Limpopo Province, South Africa
- Social Opinion for the proposed Mountain Fun Park Luge Current use of the site for religious purposes, Johannesburg, Gauteng Province, South Africa

Public Participation

Managed and conducted public participation for a broad range of applications for environmental authorisation as part of the Environmental Impact Assessment process.

Key actions included identifying potential Interested and Affected Parties (I&APs); preparing public participation materials to announce new applications; facilitation of public meetings; keeping and updating I&AP registers and Comment and Response Reports and drafting PP chapters for EIA Reports.

Environmental Impact Assessment

Managed and conducted Environmental Impact Assessments (EIAs), both Basic Assessments and Scoping/EIAs, for commercial developments, water and stormwater infrastructure developments, residential/township developments, mixed-use developments, industrial developments, agricultural projects, energy applications, and road infrastructure projects.

Also reviewed applications for environmental authorisation, including making recommendations whether applications should be approved or not, while on secondment at the National Department of Environmental Affairs.

Established and managed an EIA Administration Unit at the National Department of Environmental Affairs. Core duties included: processing of EIA applications within legislated timeframes; providing of and reporting statistics; managing departmental EIA administration databases, systems and tools; co-ordination of and liaison between the various sub-directorates within the Chief Directorate: Integrated Environmental Authorisations; supervising Administrative Officers and Environmental Officers within the unit; responding to external and internal queries and requests (including parliamentary questions and media queries); providing input into environment-related legislation and policies.

Curriculum Vitae



CERTIFICATION

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes me, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

HILDA BEZUIDENHOUT

Date: 14 March 2022