

## APPLICABLE LEGISLATION

**Table 1:** Applicable Legislation, Policies and/or Guidelines associated with the development of Allepad PV Three

| Legislation  | Applicable Requirements   | Relevant Authority   | Compliance Requirements   |
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| <b>National Legislation</b>                                    |   |  |   |
| Constitution of the Republic of South Africa (No. 108 of 1996) | <p>In terms of Section 24, the State has an obligation to give effect to the environmental right. The environmental right states that:</p> <p><i>“Everyone has the right –</i></p> <ul style="list-style-type: none"> <li>» <i>To an environment that is not harmful to their health or well-being, and</i></li> <li>» <i>To have the environment protected, for the benefit of present and future generations, through reasonable legislative and other measures that:</i> <ul style="list-style-type: none"> <li>* <i>Prevent pollution and ecological degradation,</i></li> <li>* <i>Promote conservation, and</i></li> <li>* <i>Secure ecologically sustainable development and use of natural resources while promoting justifiable economic and social development.”</i></li> </ul> </li> </ul> | Applicable to all authorities  | There are no permitting requirements associated with this Act. The application of the Environmental Right however implies that environmental impacts associated with proposed developments are considered separately and cumulatively. It is also important to note that the “right to an environment clause” includes the notion that justifiable economic and social development should be promoted, through the use of natural resources and ecologically sustainable development. |
| National Environmental Management Act (No 107 of 1998) (NEMA)  | <p>The 2014 EIA Regulations have been promulgated in terms of Chapter 5 of NEMA. Listed activities which may not commence without EA are identified within the Listing Notices (GNR 327, GNR 325 and GNR 324) which form part of these Regulations (GNR 326).</p> <p>In terms of Section 24(1) of NEMA, the potential impact on the environment associated with these listed activities must be assessed and reported on to the competent authority charged by NEMA with granting of the relevant environmental authorisation.</p>  | DEA – Competent Authority<br><br>Northern Cape DENC – Commenting Authority | The listed activities triggered by the proposed project have been identified and are being assessed as part of the EIA process currently underway for the project. The Scoping and EIA process will culminate in the submission of a Final EIA Report to the competent and commenting authority in support of the application for EA.   |

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| <p>National Environmental Management Act (No 107 of 1998) (NEMA)</p> | <p>In terms of the Listing Notices (GNR 327, GNR 325 and GNR 324), a full Scoping and EIA Process is required to be undertaken for the proposed project.</p> <p>In terms of the “Duty of Care and Remediation of Environmental Damage” provision in Section 28(1) of NEMA every person who causes, has caused or may cause significant pollution or degradation of the environment must take reasonable measures to prevent such pollution or degradation from occurring, continuing or recurring, or, in so far as such harm to the environment is authorised by law or cannot reasonably be avoided or stopped, to minimise and rectify such pollution or degradation of the environment.</p> <p>In terms of NEMA, it is the legal duty of a project proponent to consider a project holistically, and to consider the cumulative effect of a variety of impacts.</p> | <p>DEA<br/>Northern Cape DENC</p>                      | <p>While no permitting or licensing requirements arise directly by virtue of the proposed project, this section finds application during the EIA Phase through the consideration of potential cumulative, direct, and indirect impacts. It will continue to apply throughout the life cycle of the project.</p>                         |
| <p>Environment Conservation Act (No. 73 of 1989) (ECA)</p>           | <p>The Noise Control Regulations in terms of Section 25 of the ECA contain regulations applicable for the control of noise in the Provinces of Limpopo, North West, Mpumalanga, Northern Cape, Eastern Cape, and KwaZulu-Natal Provinces.</p> <p>The Noise Control Regulations cover the powers of a local authority, general prohibitions, prohibitions of disturbing noise, prohibitions of noise nuisance, use of measuring instruments, exemptions, attachments, and penalties.</p> <p>In terms of the Noise Control Regulations, no person shall make, produce or cause a disturbing noise, or allow it to be made, produced or caused by any person, machine, device or apparatus or any combination thereof</p>  | <p>DEA<br/>Northern Cape DENC<br/>Dawid Kruiper LM</p> | <p>Noise impacts are expected to be associated with the construction phase of the project. Provided that appropriate mitigation measures are implemented, construction noise is likely to present a significant intrusion to the local community. There is therefore no requirement for a noise permit in terms of the legislation.</p> |

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| <p>National Water Act (No. 36 of 1998) (NWA)</p>                                 | <p>(Regulation 04).</p> <p>A water use listed under Section 21 of the NWA must be licensed with the Regional DWS, unless it is listed in Schedule 1 of the NWA (i.e. is an existing lawful use), is permissible under a GA, or if a responsible authority waives the need for a licence.</p> <p>Water use is defined broadly, and includes consumptive and non-consumptive water uses, taking and storing water, activities which reduce stream flow, waste discharges and disposals, controlled activities (activities which impact detrimentally on a water resource), altering a watercourse, removing water found underground for certain purposes, and recreation.</p> <p>Consumptive water uses may include taking water from a water resource (Section 21(a)), and storing water (Section 21(b)).</p> <p>Non-consumptive water uses may include impeding or diverting of flow in a water course (Section 21(c)), and altering of bed, banks or characteristics of a watercourse (Section 21(i)).</p> | <p>Regional DWS</p> | <p>The development footprint avoids all watercourses and therefore Allepad PV Three will not have an impact on watercourses.</p> <p>In the event that development activities impede or divert the flow of water in a watercourse, or alter the bed, banks, course or characteristics of watercourse, Section 21(c) and 21 (i) of the NWA would be triggered, and the project proponent would need to apply for a WUL or register a GA with the DWS.</p> |
| <p>Minerals and Petroleum Resources Development Act (No. 28 of 2002) (MPRDA)</p> | <p>In accordance with the provisions of the MPRDA a mining permit is required in accordance with Section 27(6) of the Act where a mineral in question is to be mined, including the mining of materials from a borrow pit.</p> <p>Section 53 of the MPRDA states that any person who</p>  | <p>DMR</p>          | <p>Any person who wishes to apply for a mining permit in accordance with Section 27(6) must simultaneously apply for an Environmental Authorisation in terms of NEMA. No borrow pits are expected to be required for the construction of the project, and as a result a mining permit or EA is not required to be obtained.</p> <p>In terms of Section 53 of the MPRDA approval</p>   |

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| <p>National Environmental Management: Air Quality Act (No. 39 of 2004) (NEM:AQA)</p> | <p>intends to use the surface of any land in any way which may be contrary to any object of the Act, or which is likely to impede any such object must apply to the Minister for approval in the prescribed manner.</p> <p>The National Dust Control Regulations (GNR 827) published under Section 32 of NEM:AQA prescribe the general measures for the control of dust in all areas, and provide a standard for acceptable dustfall rates for residential and non-residential areas.</p> <p>In accordance with the Regulations (GNR 827) any person who conducts any activity in such a way as to give rise to dust in quantities and concentrations that may exceed the dustfall standard set out in Regulation 03 must, upon receipt of a notice from the air quality officer, implement a dustfall monitoring programme.</p> <p>Any person who has exceeded the dustfall standard set out in Regulation 03 must, within three months after submission of the dustfall monitoring report, develop and submit a dust management plan to the air quality officer for approval.</p> | <p>Northern Cape DENC / ZF Mgqawu DM</p>        | <p>is required from the Minister of Mineral Resources to ensure that the proposed development does not sterilise a mineral resource that might occur on site.</p> <p>In the event that the project results in the generation of excessive levels of dust the possibility could exist that a dustfall monitoring programme would be required for the project, in which case dustfall monitoring results from the dustfall monitoring programme would need to be included in a dust monitoring report, and a dust management plan would need to be developed. However granted that appropriate mitigation measures are implemented, the proposed project is not anticipated to result in significant dust generation.</p> |
| <p>National Heritage Resources Act (No. 25 of 1999) (NHRA)</p>                       | <p>Section 07 of the NHRA stipulates assessment criteria and categories of heritage resources according to their significance.</p> <p>Section 35 of the NHRA provides for the protection of all archaeological and palaeontological sites, and meteorites.</p> <p>Section 36 of the NHRA provides for the conservation and care of cemeteries and graves by SAHRA where this is not</p>   | <p>SAHRA<br/>Ngwao Boswa Kapa Bokone (NBKB)</p> | <p>A full Heritage Impact Assessment (HIA) (with field work) has been undertaken as part of the EIA Phase (refer to <b>Appendix F</b> of this EIA Report). A possible burial site and a concentration of MSA, LSA including a large ESA flake have been identified within the project site. Both sites are of some heritage significance and applicable no-go buffer zones have been recommended by the specialist. Both sites and buffer zones are</p>   |

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|  | <p>the responsibility of any other authority.</p> <p>Section 38 of the NHRA lists activities which require developers or any person who intends to undertake a listed activity to notify the responsible heritage resources authority and furnish it with details regarding the location, nature, and extent of the proposed development.</p> <p>Section 44 of the NHRA requires the compilation of a Conservation Management Plan as well as a permit from SAHRA for the presentation of archaeological sites as part of tourism attraction.</p>  |                                   | <p>avoided by the development footprint of Allepad PV Three and associated infrastructure.</p> <p>Should a heritage resource be impacted upon, a permit may be required from SAHRA or Ngwao Boswa Kapa Bokone (NBKB) in accordance with of Section 48 of the NHRA, and the SAHRA Permit Regulations (GNR 668). This will be determined once the final location of the project and its associated infrastructure within the project site has been determined.</p> |
| <p>National Environmental Management: Biodiversity Act (No. 10 of 2004) (NEM:BA)</p> | <p>Section 53 of NEM:BA provides for the MEC / Minister to identify any process or activity in such a listed ecosystem as a threatening process.</p> <p>Three government notices have been published in terms of Section 56(1) of NEM:BA as follows:</p> <ul style="list-style-type: none"> <li>» Commencement of TOPS Regulations, 2007 (GNR 150).</li> <li>» Lists of critically endangered, vulnerable and protected species (GNR 151).</li> <li>» TOPS Regulations (GNR 152).</li> </ul> <p>It provides for listing threatened or protected ecosystems, in one of four categories: critically endangered (CR), endangered (EN), and vulnerable (VU) or protected. The first national list of threatened terrestrial ecosystems has been gazetted, together with supporting information on the listing process including the purpose and rationale for listing ecosystems, the criteria used to identify listed ecosystems, the implications of listing ecosystems, and</p> | <p>DEA<br/>Northern Cape DENC</p> | <p>Under NEM:BA, a permit would be required for any activity which is of a nature that may negatively impact on the survival of a listed protected species.</p> <p>Although it was not observed, it is possible that Devils' Claw <i>Harpagophytum procumbens</i> is present at the project site, within the dune areas as this species is relatively common on Gordonia Duneveld in the Upington area.</p>  |

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| <p>National Environmental Management: Biodiversity Act (No. 10 of 2004) (NEM:BA)</p> | <p>summary statistics and national maps of listed ecosystems (NEM:BA: National list of ecosystems that are threatened and in need of protection, (Government Gazette 37596, GNR 324), 29 April 2014).</p> <p>Chapter 5 of NEM:BA pertains to alien and invasive species, and states that a person may not carry out a restricted activity involving a specimen of an alien species without a permit issued in terms of Chapter 7 of NEM:BA, and that a permit may only be issued after a prescribed assessment of risks and potential impacts on biodiversity is carried out.</p> <p>Applicable, and exempted alien and invasive species are contained within the Alien and Invasive Species List (GNR 864).</p> | <p>DEA<br/>Northern Cape DENC</p> | <p>Restricted Activities and the respective requirements applicable to persons in control of different categories of listed invasive species are contained within the Alien and Invasive Species Regulations (GNR 598) published under NEM:BA, together with the requirements of the Risk Assessment to be undertaken.</p>  |
| <p>Conservation of Agricultural Resources Act (No. 43 of 1983) (CARA)</p>            | <p>Section 05 of CARA provides for the prohibition of the spreading of weeds.</p> <p>Regulation 15 of GNR 1048 published under CARA provides for the classification of categories of weeds and invader plants, and restrictions in terms of where these species may occur.</p> <p>Regulation 15E of GNR 1048 published under CARA provides requirement and methods to implement control measures for different categories of alien and invasive plant species.</p>   | <p>DAFF</p>                       | <p>CARA will find application throughout the life cycle of the project. In this regard, soil erosion prevention and soil conservation strategies need to be developed and implemented. In addition, a weed control and management plan must be implemented.</p> <p>The permission of DAFF will be required if the Project requires the draining of vleis, marshes or water sponges on land outside urban areas. However this is not anticipated to be required for the project.</p> <p>In terms of Regulation 15E (GNR 1048) where Category 1, 2 or 3 plants occur a land user is required to control such plants by means of one or more of the following methods:</p> |

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|  |   |                    | <ul style="list-style-type: none"> <li>» Uprooting, felling, cutting or burning.</li> <li>» Treatment with a weed killer that is registered for use in connection with such plants in accordance with the directions for the use of such a weed killer.</li> <li>» Biological control carried out in accordance with the stipulations of the Agricultural Pests Act (No. 36 of 1983), the ECA and any other applicable legislation.</li> <li>» Any other method of treatment recognised by the executive officer that has as its object the control of plants concerned, subject to the provisions of sub-regulation (4).</li> <li>» A combination of one or more of the methods prescribed, save that biological control reserves and areas where biological control agents are effective shall not be disturbed by other control methods to the extent that the agents are destroyed or become ineffective.</li> </ul> |
| <p>National Forests Act (No. 84 of 1998) (NFA)</p> | <p>According to this Act, the Minister may declare a tree, group of trees, woodland or a species of trees as protected. Notice of the List of Protected Tree Species under the National Forests Act (No. 84 of 1998) was published in GNR 734.</p> <p>The prohibitions provide that “no person may cut, damage, disturb, destroy or remove any protected tree, or collect, remove, transport, export, purchase, sell, donate or in any other manner acquire or dispose of any</p> | <p>DAFF</p>        | <p>A licence is required for the removal of protected trees. It is therefore necessary to conduct a survey that will determine the number and relevant details pertaining to protected tree species present on the project site for the submission of relevant permits to authorities prior to the disturbance of these individuals.</p> <p>The ecological specialist study undertaken as</p>  |

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|   | protected tree, except under a licence granted by the Minister”.   |                    | <p>part of the EIA Phase included a site visit which allowed for the identification of any protected tree species which may require a license in terms of the NFA within the project site (refer to <b>Appendix D</b> of this EIA Report).</p> <p>Three NFA-protected tree species occur within the project site; <i>Acacia erioloba</i>, <i>Acacia haematoxylon</i> and <i>Boscia albitrunca</i>. All three of these species are associated with the dune field areas located within the eastern portion of the project site.</p> |
| National Veld and Forest Fire Act (No. 101 of 1998) (NVFFA) | <p>Chapter 4 of the NVFFA places a duty on owners to prepare and maintain firebreaks, the procedure in this regard, and the role of adjoining owners and the fire protection association. Provision is also made for the making of firebreaks on the international boundary of the Republic of South Africa. The applicant must ensure that firebreaks are wide and long enough to have a reasonable chance of preventing a veldfire from spreading to or from neighbouring land, it does not cause soil erosion, and it is reasonably free of inflammable material capable of carrying a veldfire across it.</p> <p>Chapter 5 of the Act places a duty on all owners to acquire equipment and have available personnel to fight fires. Every owner on whose land a veldfire may start or burn or from whose land it may spread must have such equipment, protective clothing and trained personnel for extinguishing fires, and ensure that in his or her absence responsible persons are present on or near his or her land who, in the event of fire, will extinguish the fire or assist in</p> | DAFF               | While no permitting or licensing requirements arise from this legislation, this Act will be applicable during the construction and operation of the project, in terms of the preparation and maintenance of firebreaks, and the need to provide appropriate equipment and personnel for firefighting purposes.   |



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| <p>Hazardous Substances Act (No. 15 of 1973) (HAS)</p>                        | <p>doing so, and take all reasonable steps to alert the owners of adjoining land and the relevant fire protection association, if any.</p> <p>This Act regulates the control of substances that may cause injury, or ill health, or death due to their toxic, corrosive, irritant, strongly sensitising or inflammable nature or the generation of pressure thereby in certain instances and for the control of certain electronic products. To provide for the rating of such substances or products in relation to the degree of danger, to provide for the prohibition and control of the importation, manufacture, sale, use, operation, modification, disposal or dumping of such substances and products.</p> <ul style="list-style-type: none"> <li>» Group I and II: Any substance or mixture of a substance that might by reason of its toxic, corrosive etc., nature or because it generates pressure through decomposition, heat or other means, cause extreme risk of injury etc., can be declared as Group I or Group II substance</li> <li>» Group IV: any electronic product, and</li> <li>» Group V: any radioactive material.</li> </ul> <p>The use, conveyance, or storage of any hazardous substance (such as distillate fuel) is prohibited without an appropriate license being in force.</p> | <p>Department of Health (DoH)</p>                                   | <p>It is necessary to identify and list all Group I, II, III, and IV hazardous substances that may be on site and in what operational context they are used, stored or handled. If applicable, a license would be required to be obtained from the Department of Health (DoH).</p> |
| <p>National Environmental Management: Waste Act (No. 59 of 2008) (NEM:WA)</p> | <p>The Minister may by notice in the Gazette publish a list of waste management activities that have, or are likely to have, a detrimental effect on the environment.</p> <p>The Minister may amend the list by –</p>  | <p>DEA – hazardous waste<br/>Northern Cape DENC – general waste</p> | <p>No listed activities are triggered by the project and therefore no Waste Management License is required to be obtained. General and hazardous waste handling, storage and disposal will be required during construction and operation. The National Norms and</p>               |

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|  | <ul style="list-style-type: none"> <li>» Adding other waste management activities to the list.</li> <li>» Removing waste management activities from the list.</li> <li>» Making other changes to the particulars on the list.</li> </ul> <p>In terms of the Regulations published in terms of NEM:WA (GNR 912), a BA or EIA is required to be undertaken for identified listed activities.</p> <p>Any person who stores waste must at least take steps, unless otherwise provided by this Act, to ensure that:</p> <ul style="list-style-type: none"> <li>» The containers in which any waste is stored, are intact and not corroded or in</li> <li>» Any other way rendered unfit for the safe storage of waste.</li> <li>» Adequate measures are taken to prevent accidental spillage or leaking.</li> <li>» The waste cannot be blown away.</li> <li>» Nuisances such as odour, visual impacts and breeding of vectors do not arise, and</li> <li>» Pollution of the environment and harm to health are prevented.</li> </ul> |   | <p>Standards for the Storage of Waste (GNR 926) published under Section 7(1)(c) of NEM:WA will need to be considered in this regard.</p>   |
| <p>National Road Traffic Act (No. 93 of 1996) (NRTA)</p> | <p>The technical recommendations for highways (TRH 11): "Draft Guidelines for Granting of Exemption Permits for the Conveyance of Abnormal Loads and for other Events on Public Roads" outline the rules and conditions which apply to the transport of abnormal loads and vehicles on public roads and the detailed procedures to be followed in applying for exemption permits are described and discussed.</p> <p>Legal axle load limits and the restrictions imposed on</p>  | <p>SANRAL – national roads</p> <p>Northern Cape DoT</p> | <p>An abnormal load / vehicle permit may be required to transport the various components to site for construction. These include route clearances and permits will be required for vehicles carrying abnormally heavy or abnormally dimensioned loads. Transport vehicles exceeding the dimensional limitations (length) of 22m. Depending on the trailer configuration and height when loaded, some of the substation components may not meet</p> |

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|  | <p>abnormally heavy loads are discussed in relation to the damaging effect on road pavements, bridges, and culverts.</p> <p>The general conditions, limitations, and escort requirements for abnormally dimensioned loads and vehicles are also discussed and reference is made to speed restrictions, power/mass ratio, mass distribution, and general operating conditions for abnormal loads and vehicles. Provision is also made for the granting of permits for all other exemptions from the requirements of the National Road Traffic Act and the relevant Regulations.</p>  |  | <p>specified dimensional limitations (height and width).</p>  |
| <b>Provincial Policies / Legislation</b>                         |   |  |   |
| <p>Northern Cape Nature Conservation Act (Act No. 9 of 2009)</p> | <p>This Act provides for the sustainable utilisation of wild animals, aquatic biota and plants; provides for the implementation of the Convention on International Trade in Endangered Species of Wild Fauna and Flora; provides for offences and penalties for contravention of the Act; provides for the appointment of nature conservators to implement the provisions of the Act; and provides for the issuing of permits and other authorisations. Amongst other regulations, the following may apply to the current project:</p> <ul style="list-style-type: none"> <li>» Boundary fences may not be altered in such a way as to prevent wild animals from freely moving onto or off of a property;</li> <li>» Aquatic habitats may not be destroyed or damaged;</li> <li>» The owner of land upon which an invasive species is found (plant or animal) must take the necessary steps to eradicate or destroy such species;</li> </ul> <p>The Act provides lists of protected species for the Province.</p> | <p>Northern Cape Department of Environment and Nature Conservation (DENC).</p> | <p>A collection/destruction permit must be obtained from Northern Cape Nature Conservation for the removal of any protected plant or animal species found on site.</p> <p>The Ecological Impact Assessment (<b>Appendix D</b>) did not identify any species protected under this Act within the development footprint.</p> <p>The provincially protected <i>Boscia foetida</i> subsp. <i>foetida</i> has been confirmed within the project site and is fairly widespread.</p> |