

Proposed development of Sinter Plant, at Transalloys, Clewer, Mpumalanga

PALAEONTOLOGICAL IMPACT ASSESSMENT

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13 March 2021

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1. Executive summary

Shale and sandstone of the Vryheid Formation of the Ecca Group of the Karoo Supergroup underlie the study site.

The sedimentary rocks of the Vryheid Formation are highly fossiliferous in places and the chances are high that such layers will be exposed during development. The uppermost part of the underlying rocks is highly weathered however which diminishes the possibility of finding fossils of good quality in the study area.

The fossils that occur in the Vryheid Formation are mostly that of leaf and stem imprints of plants such as *Glossopteris*, lycopods, ferns, horsetails, conifers, cordaitaleans and ginkgoaleans. Rare fossils of silicified and coalified wood, insects, bivalves, conchostrachans and fish scales also occur in this formation.

No geological outcrops were observed, and therefore no fossils were found during the site assessment in the southern part of the study site where the proposed Sinter Plant is to be built.

If a particularly fossiliferous area is uncovered during development the ECO should follow the guidelines as stipulated under the Chance Find Procedure on p. 17-18.

2. Introduction

The palaeontological heritage of South Africa is unsurpassed and can only be described in superlatives. The South African palaeontological record gives us insight in *i.a.* the origin of life, dinosaurs and humans. Fossils are also used to identify rock strata and determine the geological context of the subregion with other continents and to study evolutionary relationships, sedimentary processes and palaeoenvironments. The Ecca Group of the Karoo Supergroup contains a vast amount of fossil leaf imprints of plants that occurred in Southern Gondwana during the Permian. These lacustrine deposits contained plant matter that turned into coal in certain parts of the Ecca Group. The resulting coal fields form a very important mineral resource for the country.

The Heritage Act of South Africa stipulates that fossils and fossil sites may not be altered or destroyed. The purpose of this document is to detail the probability of finding fossils in the study area that may be impacted by the proposed development.

3. Terms of reference for the report

According to the South African Heritage Resources Act (Act 25 of 1999) (Republic of South Africa, 1999), certain clauses are relevant to palaeontological aspects for a terrain suitability assessment.

- **Subsection 35(4)** No person may, without a permit issued by the responsible heritage resources authority-
- (a) destroy, damage, excavate, alter, deface or otherwise disturb any archaeological or palaeontological site or any meteorite;
- (b) destroy, damage, excavate, remove from its original position, collect or own any archaeological or palaeontological material or object or any meteorite;
- (c) trade in, sell for private gain, export or attempt to export from the republic any category of archaeological or palaeontological material or object, or any meteorite; or
- (d) bring onto or use at an archaeological or palaeontological site any excavation equipment or any equipment which assist with the detection or recovery of metals or archaeological material or objects, or use such equipment for the recovery of meteorites.
- **Subsection 35(5)** When the responsible heritage resources authority has reasonable cause to believe that any activity or development which will destroy, damage or alter any archaeological or palaeontological site is under way, and where no application for a permit has been submitted and no heritage resources management procedures in terms of section 38 has been followed, it may-
- (a) serve on the owner or occupier of the site or on the person undertaking such development an order for the development to cease immediately for such period as is specified in the order;
- (b) carry out an investigation for the purpose of obtaining information on whether or not an archaeological or palaeontological site exists and whether mitigation is necessary;
- (c) if mitigation is deemed by the heritage resources authority to be necessary, assist the person on whom the order has been served under paragraph (a) to apply for a permit as required in subsection (4); and
- (d) recover the costs of such investigation from the owner or occupier of the land on which it is believed an archaeological or palaeontological site is located or from the person proposing to undertake the development if no application for a permit is received within two weeks of the order being served.

South Africa's unique and non-renewable palaeontological heritage is protected in terms of the NHRA. According to this act, heritage resources may not be excavated, damaged, destroyed or otherwise impacted by any development without prior assessment and without a permit from the relevant heritage resources authority.

As areas are developed and landscapes are modified, heritage resources, including palaeontological resources, are threatened. As such, both the environmental and heritage legislation require that development activities must be preceded by an assessment of the impact undertaken by qualified professionals. Palaeontological Impact Assessments (PIAs) are specialist reports that form part of the wider heritage component of:

- Heritage Impact Assessments (HIAs) called for in terms of Section 38 of the National Heritage Resources Act, Act No. 25, 1999 by a heritage resources authority.
- Environmental Impact Assessment process as required in terms of other legislation listed in s. 38(8) of NHRA;
- Environmental Management Plans (EMPs) required by the Department of Mineral Resources.

HIAs are intended to ensure that all heritage resources are protected, and where it is not possible to preserve them in situ, appropriate mitigation measures are applied. An HIA is a comprehensive study that comprises a palaeontological, archaeological, built environment, living heritage, etc specialist studies. Palaeontologists must acknowledge this and ensure that they collaborate with other heritage practitioners. Where palaeontologists are engaged for the entire HIA, they must refer heritage components for which they do not have expertise on to appropriate specialists. Where they are engaged specifically for the palaeontology, they must draw the attention of environmental consultants and developers to the need for assessment of other aspects of heritage. In this sense, Palaeontological Impact Assessments that are part of Heritage Impact Assessments are similar to specialist reports that form part of the EIA reports. The standards and procedures discussed here are therefore meant to guide the conduct of PIAs and specialists undertaking such studies must adhere to them. The process of assessment for the palaeontological (PIA) specialist components of heritage impact assessments, involves:

Scoping stage in line with regulation 28 of the National Environmental Management Act (No. 107 of 1998) Regulations on Environmental Impact Assessment. This involves an **initial assessment** where the specialist evaluates the scope of the project (based, for example, on NID/BIDs) and advises on the form and extent of the assessment process. At this stage the palaeontologist may also decide to compile a **Letter of Recommendation for Exemption from further Palaeontological Studies**. This letter will state that there is little or no likelihood that any significant fossil resources will be impacted by the development. This letter should present a reasoned case for exemption, supported by consultation of the relevant geological maps and key literature.

A Palaeontological Desktop Study – the palaeontologist will investigate available resources (geological maps, scientific literature, previous impact assessment reports, institutional fossil collections, satellite images or aerial

photos , etc) to inform an assessment of fossil heritage and/or exposure of potentially fossiliferous rocks within the study area. A Desktop studies will conclude whether a further field assessment is warranted or not. Where further studies are required, the desktop study would normally be an integral part of a field assessment of relevant palaeontological resources.

A Phase 1 Palaeontological Impact Assessment is generally warranted where rock units of high palaeontological sensitivity are concerned, levels of bedrock exposure within the study area are adequate; large-scale projects with high potential heritage impact are planned; and where the distribution and nature of fossil remains in the proposed project area is unknown. In the recommendations of Phase 1, the specialist will inform whether further monitoring and mitigation are necessary. The Phase 1 should identify the rock units and significant fossil heritage resources present, or by inference likely to be present, within the study area, assess the palaeontological significance of these rock units, fossil sites or other fossil heritage, comment on the impact of the development on palaeontological heritage resources and make recommendations for their mitigation or conservation, or for any further specialist studies that are required in order to adequately assess the nature, distribution and conservation value of palaeontological resources within the study area.

A Phase 2 Palaeontological Mitigation involves planning the protection of significant fossil sites, rock units or other palaeontological resources and/or the recording and sampling of fossil heritage that might be lost during development, together with pertinent geological data. The mitigation may take place before and / or during the construction phase of development. The specialist will require a Phase 2 mitigation permit from the relevant Heritage Resources Authority before Phase 2 may be implemented.

A 'Phase 3' Palaeontological Site Conservation and Management Plan may be required in cases where the site is so important that development will not be allowed, or where development is to co-exist with the resource. Developers may be required to enhance the value of the sites retained on their properties with appropriate interpretive material or displays as a way of promoting access of such resources to the public.

The assessment reports will be assessed by the relevant heritage resources authority, and depending on which piece of legislation triggered the study, a response will be given in the form of a Review Comment or Record of Decision (ROD). In the case of PIAs that are part of EIAs or EMPs, the heritage resources authority will issue a comment or a record of decision that may be forwarded to the consultant or developer, relevant government department or heritage practitioner and where feasible to all three.

4. Details of study area and the type of assessment:

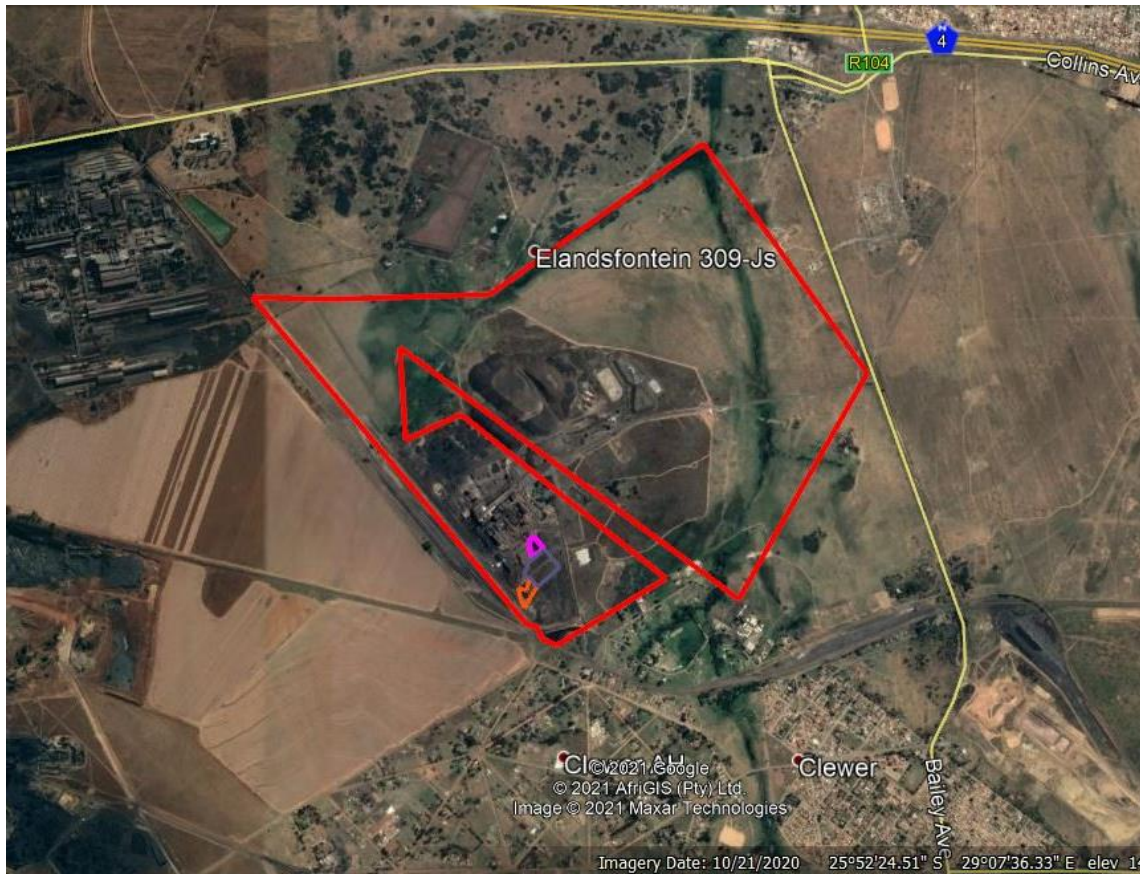


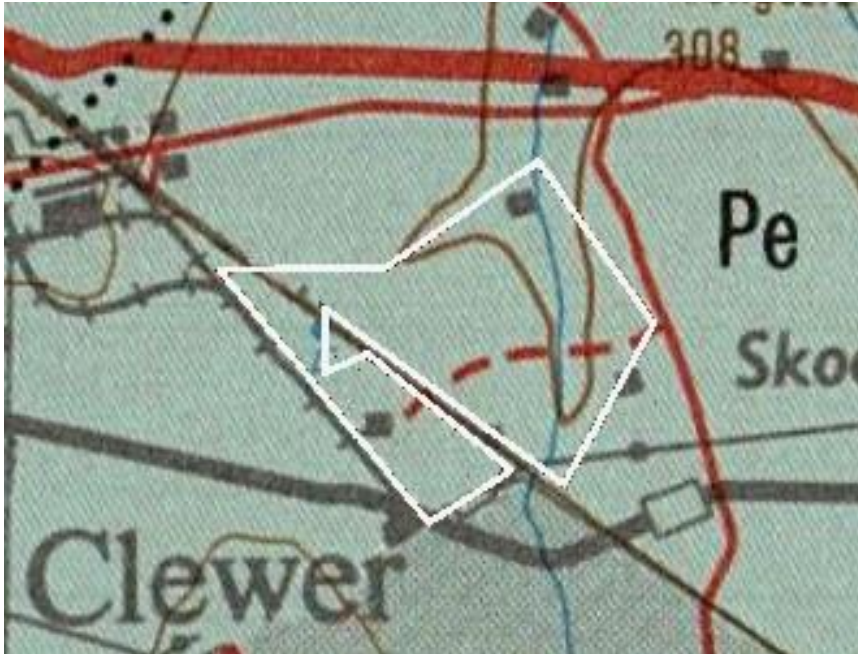
Figure 1: Google Earth photo of the study site (red polygon)

The study area (indicated by the red polygon in Fig. 1) is situated on the grounds of Transalloys at Clewer in Mpumalanga.

Geomorphologically the study area is characterised by a gently undulating landscape consistent with the erosion of the almost horizontally orientated underlying sandstone and mudstone layers of the Ecca Group.

The study site is located is considered to be of VERY HIGH PALAEOLOGICAL SENSITIVITY (SAHRA, 2021) (see Fig. 11). The relevant literature and geological maps for the region in which the development is proposed to take place, have been studied and the site was visited for a Palaeontological Impact Assessment.

5. Geological setting



The study area is indicated by the white polygon.

GEOLOGICAL LEGEND

Legend				
	Lithology	Stratigraphy		Age
Pe	Shale, shaly sandstone, sandstone, grit, conglomerate, coal in places	Vryheid Formation of the Ecca Group	Karoo Supergroup	Permian

Figure 2: Geology Map of the study area (adapted from the 2528 PRETORIA 1:250 000 Geology Map, Geological Survey, 1978)

The study area is underlain by sedimentary rocks consisting mostly of shale (metamorphosed mudstone), shaly sandstone, sandstone, grit, gravel, conglomerate and coal of the Vryheid Formation of the Ecca Group of the Karoo Supergroup (see Fig. 2).

The Karoo Supergroup sediments were deposited in valleys and basins that existed in the pre-Karoo topography in the region. The Karoo Supergroup rocks overlie unconformably the older Waterberg Group and Transvaal Supergroup rocks (Johnson *et al.* 2009).

The Vryheid Formation was formed when glacial and fluvio-glacial sediments were deposited in shallow marine to fluvio-deltaic environments approximately 280 Ma ago. In places coal seams are associated with these fluvial valley deposits. The coal seams formed in peat swamps which originated on alluvial plains or more rarely in back swamps (Johnson, *et al.*, 2009).

6. Site visit

No geological outcrops were found in the southern part of the Transalloy Property where the proposed Sinter Plant is to be built. Therefore no fossils were found.



Figure 3: 25°53'53"89 S 29°07'11.86"E facing south



Figure 4: 25°53'53"89 S 29°07'11.86"E facing west



Figure 5: 25°53'54.5"S 29°07'05.4"E



Figure 6: $25^{\circ}53'54.5''S$ $29^{\circ}07'05.4''E$



Figure 7: $25^{\circ}53'55.9''S$ $29^{\circ}07'05.2''E$



Figure 8: $25^{\circ}53'55.9''\text{S}$ $29^{\circ}07'05.2''\text{E}$



Figure 9: $25^{\circ}58'58.8''\text{S}$ $29^{\circ}07'04.5''\text{E}$ facing north



Figure 10: 25°53'50.5"S 29°07'02.3"E facing southeast

7. Palaeontology of the study area

The region is fossil rich and is considered by SAHRA as having a Very High Palaeontological Sensitivity (Figs. 11 & 12).



Figure 11: Palaeosensitivity map showing the position of the study site (white polygon) (SAHRA, 2021)

LEGEND:

Colour	Palaeontological Significance	Action
RED	VERY HIGH	Field assessment and protocol for finds are required.
GREEN	MODERATE	Desktop study is required.
GREY	INSIGNIFICANT / ZERO	No palaeontological studies are required.

The the study site falls within the Vryheid Formation of the Ecca Group of the Karoo Supergroup. The Vryheid Formation is renowned for its fossil content. The Vryheid Formation is characterized by shale, mudstone, sandstone and seams of

coal (Johnson *et al.*, 2009). The near horizontal layering of the geological strata and erosion of the adjacent and underlying rock strata results in a gently undulating landscape covered to a great extent by sandy soil. Exposures of the underlying geology are therefore exceptionally scarce in the northern part of the Main Karoo Basin and are mostly limited to gullies, river banks, road cuttings and the mines in the region.

The Permian-aged fossils that occur in the Vryheid Formation are mostly leaf and stem imprints of *Glossopteris*, lycopods, ferns, horsetails, cordaitaleans, conifers and ginkgoaleans. Rare fossils of silicified and coalified wood, insects, bivalves, conchostrachans and fish scales have also been found in the shales and sandstones of the Vryheid Formation in Mpumalanga (Groenewald & Groenewald, 2014). Fossilised leaf imprints are not found ubiquitously throughout the Vryheid Formation of the Ecca Group, but in pockets such as in the eMalahleni and Vereeniging areas where the physical and chemical conditions during deposition resulted in the preservation of not only the structure of the leaves but also in some cases the organic material itself. The structure of the fossilised leaves is better preserved in the shales than in the sandstone units. The leaf structures are mostly lost in the coal layers.



Figure 12: *Glossopteris* leaf imprint discovered at 25°53'50.42"S 29°07'27.09"E in 2014

The Vryheid Formation of the Ecca Group of the Karoo Supergroup contain vast amounts of Permian leaf imprints of plants such as *Glossopteris* in places (Kovács-Endrödy, 1991) (see Fig. 4). Millions of tons of fossiliferous material yielding mostly *Glossopteris* leaf imprints have been exposed at well studied sites in the northern rim of the main Karoo Basin such as Hammanskraal

(Kovács-Endrödy, 1976), Witbank (Bamford, 2004) and Vereeniging (Rayner, 1986) and the ferromanganese mine at Ryedale (Pack et al., 2000).

There is a high volume but low species diversity of fossil material from this region. Large and well described collections of fossil material from this region are housed at the Council for Geoscience, the Bernard Price Institute for Palaeontology at the University of the Witwatersrand and the Botanical Research Institute. *Glossopteris* leaves are abundant in Ecca Group sediments in Gauteng, Free State, Mpumalanga and KwaZulu-Natal and could be considered to be amongst the most common fossils in South Africa.

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Rayner, R.J. (1986) *Azaniadendron*, a new genus of lycopod from South Africa. *Review of Palaeobotany and Palynology* 47:129–143.

SAHRA (2021) Palaeosensitivity Map <http://www.sahra.org.za/sahris/map/palaeo>

8. Conclusion and recommendations:

The region is known for its fossiliferous shales and sandstones and it is highly probable that fossils will be encountered when the intact bedrock under the soil cover and layer of eroded rock is exposed during construction.

If an exceptionally fossil-rich layer of shale or sandstone is exposed during construction, geological exploration or mining it is advised that the ECO must follow the Chance Palaeontological Find Procedure as stipulated below and to contact a palaeontologist for further advice.

PROCEDURE FOR CHANCE PALAEOLOGICAL FINDS

Extracted and adapted from the National Heritage Resources Act, 1999 Regulations Reg No. 6820, GN: 548.

The following procedure must be considered in the event that previously unknown fossils or fossil sites are exposed or found during the life of the project:

1. Surface excavations should continuously be monitored by the ECO and any fossil material be unearthed the excavation must be halted.
2. If fossiliferous material has been disturbed during the excavation process it should be put aside to prevent it from being destroyed.
3. The ECO then has to take a GPS reading of the site and take digital pictures of the fossil material and the site from which it came.
4. The ECO then should contact a palaeontologist and supply the palaeontologist with the information (locality and pictures) so that the palaeontologist can assess the importance of the find and make recommendations.
5. If the palaeontologist is convinced that this is a major find an inspection of the site must be scheduled as soon as possible in order to minimise delays to the development.

From the photographs and/or the site visit the palaeontologist will make one of the following recommendations:

- a. The material is of no value so development can proceed, or:
- b. Fossil material is of some interest and a representative sample should be collected and put aside for further study and to be incorporated into a recognised fossil repository after a permit was obtained from SAHRA for the removal of the fossils, after which the development may proceed, or:

c. The fossils are scientifically important and the palaeontologist must obtain a SAHRA permit to excavate the fossils and take them to a recognised fossil repository, after which the development may proceed.

7. If any fossils are found then a schedule of monitoring will be set up between the developer and palaeontologist in case of further discoveries.

9. Declaration of Independence:

I, Jacobus Francois Durand declare that I am an independent consultant and have no business, financial, personal or other interest in the proposed development, application or appeal in respect of which I was appointed other than fair remuneration for work performed in connection with the activity, application or appeal. There are no circumstances that compromise the objectivity of my performing such work.



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