Final Impact Assessment Report including the 12-Month Preconstruction Bat Monitoring

- For the proposed Impofu East Wind Farm, Eastern Cape

Compiled by: Werner Marais

06 March 2019

PREPARED FOR:

Red Cap Impofu East (Pty) Ltd

Reg No. 2017/118197/07 Unit B2, Mainstream Centre Main Road, Hout Bay, 7806 TEL: 021 790 1392

By

consultants

2015/364493/07 Somerset West Cape Town 7130

www.animalia-consult.co.za

Ref: R-1903-04

Appointment of Specialist

Independence:

Animalia Consultants (Pty) Ltd has no connection with the developer. Animalia Consultants (Pty) Ltd is not a subsidiary, legally or financially of the developer; remuneration for services by the developer in relation to this proposal is not linked to approval by decision-making authorities responsible for permitting this proposal and the consultancy has no interest in secondary or downstream developments as a result of the authorization of this project.

Applicable Legislation:

Legislation dealing with biodiversity applies to bats and includes the following:

NATIONAL ENVIRONMENTAL MANAGEMENT: BIODIVERSITY ACT, 2004 (ACT 10 OF 2004; Especially sections 2, 56 & 97)

The Act calls for the management and conservation of all biological diversity within South Africa. Bats constitute an important component of South African biodiversity and therefore all species receive additional attention to those listed as Threatened or Protected.

NEMA Requirements

The content of a specialist report is specified in the EIA Regulations GN R. 982, as amended (4 Dec 2014) Appendix 6. A specialist report prepared in terms of these Regulations must contain:

TABLE OF CONTENTS

Figure 1.1: Map overview of the proposed Impofu East Wind Farm turbine layout.

1 OBJECTIVES AND TERMS OF REFERENCE FOR THE IMPACT ASSESSMENT STUDY

- A description of the baseline characteristics and conditions of the receiving environment (e.g. site and/or surrounding land uses including urban and agricultural areas).
- An evaluation of the predicted impacts of the project on the receiving environment.
- An assessment of the probability of each impact occurring, the reversibility of each impact and the level of confidence in each potential impact.
- Consider and evaluate the cumulative impacts in terms of the current and proposed activities in the area.
- Recommendations to avoid negative impacts, as well as feasible and practical mitigation, management and/or monitoring options to reduce negative impacts that can be included in the Environmental Management Programme.
- A reasoned opinion as to whether the proposed activity, or portions of the activity should be authorised.
- Study bat species assemblage and abundance on the site.
- Study temporal distribution of bat activity across the night as well as the four seasons of the year in order to detect peaks and troughs in activity.
- Determine whether weather variables (wind and temperature) influence bat activity.
- Determine the weather range in which bats are mostly active.
- Develop long-term baseline data for use during operational monitoring.
- Identify which turbines need to have special attention with regards to bat monitoring during the operational phase.
- Detail the types of mitigation measures that are possible if bat mortality rates are found to be unacceptable, including the potential times/ circumstances which may result in high mortality rates.

2 INTRODUCTION

This is the final impact assessment report including the 12 months preconstruction bat monitoring for the proposed Impofu East Wind Farm, located approximately 14km south west from Humansdorp in the Eastern Cape. The turbines are proposed to consist of the following technical specifications (**Figure 2.1**):

- 1. A maximum of 33 turbines.
- 2. Maximum rotor diameter of 150m (75m blade/radius).
- 3. Hub height from 90 to 120m.
- 4. The maximum tip height will be the 120m hub + 75m maximum blade length, thus 195m.
- 5. The minimum tip height (lowest rotor swept height) will be 30m.

6. This results in a rotor swept envelope range from 30m up to 195m, being 150m wide with a hub height from 90-120m high.

Figure 2.1: Diagram indicating the proposed turbine dimension ranges.

2.1 The Bats of South Africa

Bats form part of the Order Chiroptera and are the second largest group of mammals after rodents. They are the only mammals to have developed true powered flight and have undergone various skeletal changes to accommodate this. The forelimbs are elongated, whereas the hind limbs are compact and light, thereby reducing the total body weight. This unique wing profile allows for the manipulation wing camber and shape, exploiting functions such as agility and manoeuvrability. This adaption surpasses the static design of the bird wings in function and enables bats to utilize a wide variety of food sources, including, but not limited to, a large diversity of insects (Neuweiler 2000). Species based facial features may differ considerably as a result of differing lifestyles, particularly in relation to varying feeding and echolocation navigation strategies. Most South African bats are insectivorous and are capable of consuming vast quantities of insects on a nightly basis (Taylor 2000, Tuttle and Hensley 2001) however, they have also been found to feed on amphibians, fruit, nectar and other invertebrates. As a result, insectivorous bats are the predominant predators of nocturnal flying insects in South Africa and contribute greatly to the suppression of these numbers. Their prey also includes agricultural pests such as moths and vectors for diseases such as mosquitoes (Rautenbach 1982, Taylor 2000).

Urban development and agricultural practices have contributed to the deterioration of bat populations on a global scale. Public participation and funding of bat conservation are often hindered by negative public perceptions and unawareness of the ecological importance of bats. Some species choose to roost in domestic residences, causing disturbance and thereby decreasing any esteem that bats may have established. Other species may occur in large communities in buildings, posing as a potential health hazard to residents in addition to their nuisance value. Unfortunately, the negative association with bats obscures their importance as an essential component of ecological systems and their value as natural pest control agents, which actually serves as an advantage to humans.

Many bat species roost in large communities and congregate in small areas. Therefore, any major disturbances within and around the roosting areas may adversely impact individuals of different communities, within the same population, concurrently (Hester and Grenier 2005). Secondly, nativity rates of bats are much lower than those of most other small mammals. This is because, for the most part, only one or two pups are born per female per annum and according to O'Shea *et al*. (2003), bats may live for up to 30 years, thereby limiting the number of pups born due to this increased life expectancy. Under natural circumstances, a population's numbers may accumulate over long periods of time. This is due to the longevity and the relatively low predation of bats when compared to other small mammals. Therefore, bat populations are not able to adequately recover after mass mortalities and major roost disturbances.

2.2 Bats and Wind Turbines

Although most bats are highly capable of advanced navigation through the use of echolocation and excellent sight, they are still at risk of physical impact with the blades of wind turbines. The corpses of bats have been found in close proximity to wind turbines and, in a case study conducted by Johnson *et al*. (2003), were found to be directly related to collisions. The incident of bat fatalities for migrating species has been found to be directly related to turbine height, increasing exponentially with altitude, as this disrupts the migratory flight paths (Howe *et al*. 2002, Barclay *et al*. 2007). Although the number of fatalities of migrating species increased with turbine height, this correlation was not found for increased rotor sweep (Howe *et al*. 2002, Barclay *et al*. 2007). In the USA it was hypothesized that migrating bats may navigate without the use of echolocation, rather using vision as their main sense for long distance orientation (Johnson *et al*. 2003, Barclay *et al*. 2007). Despite the high incidence of deaths caused by direct impact with the blades, most bat mortalities have been found to be caused by barotrauma (Baerwald *et al*. 2008). This is a condition where low air pressure found around the moving blades of wind turbines, causes the lungs of a bat to collapse, resulting in fatal internal haemorrhaging (Kunz *et al*. 2007). Baerwald *et al*. (2008) found that 90% of bat fatalities around wind turbines involved internal haemorrhaging consistent with barotrauma.

Although bats are predominately found roosting and foraging in areas near trees, rocky outcrops, human dwellings and water, in conditions where valleys are foggy, warmer air is drawn to hilltops through thermal inversion which may result in increased concentrations of insects and consequently bats at hilltops, where wind turbines are often placed (Kunz *et al*. 2007). Some studies (Horn *et al*. 2008) suggest that bats may be attracted to the large turbine structure as roosting spaces or that swarms of insects may get trapped in low pressure air pockets around the turbine, also encouraging the presence of bats. The presence of lights on wind turbines have also been identified as possible causes for increased bat fatalities for noncave roosting species. This is thought to be due to increased insect densities that are attracted to the lights and subsequently encourage foraging activity of bats (Johnson *et al*. 2003). Clearings around wind turbines, in previously forested areas, may also improve conditions for insects, thereby attracting bats to the area and the swishing sound of the turbine blades has been proposed as possible sources for disorienting bats (Kunz *et al*. 2007). Electromagnetic fields generated by the turbine may also affect bats which are sensitive to magnetic fields (Kunz *et al*. 2007). It could also be hypothesized, from personal observations that the echolocation capabilities of bats are designed to locate smaller insect prey or avoid stationary objects, and may not be primarily focused on the detection of unnatural objects moving sideways across the flight path.

South African operational monitoring studies currently point to South African bats being just as vulnerable to mortality from turbines as international studies have previously indicated. The main species of concern are *Neoromicia capensis*, *Tadarida aegyptiaca* and *Miniopterus natalensis,* on this site and in general.

Whatever the reason for bat fatalities in relation to wind turbines, it is clear to be a significant ecological problem which requires attention. Most bat species only reproduce once a year, bearing one young per female, therefore their numbers are slow to recover from mass mortalities. It is very difficult to assess the true number of bat deaths in relation to wind turbines, due to carcasses being removed from sites through predation, the rate of which differs from site to site as a result of habitat type, species of predator and their numbers (Howe *et al*. 2002, Johnson *et al*. 2003). Various mitigation measures are being researched and experimented with globally. The implementation of curtailment processes, where the turbine cut-in speed is raised to a higher wind speed, have been proven to be the most effective mitigation measure currently. This relies on the principle that the prey of bats will not be found in areas of strong winds and more energy is required for the bats to fly under these conditions. It is thought, that by the implementation of such a measure, that bats in the area are not likely to experience as great an impact as when the turbine blades move slowly in low wind speeds.

3 METHODOLOGY

Three factors need to be present for most South African bats to be prevalent in an area: availability of roosting space, food (insects/arthropods or fruit), and accessible open water sources. However, the dependence of a bat on each of these factors depends on the species, its behaviour and ecology. Nevertheless, bat activity, abundance and diversity are likely to be higher in areas supporting all three above mentioned factors.

The site was evaluated by comparing the amount of surface rock (possible roosting space), topography (influencing surface rock in most cases), vegetation (possible roosting spaces and foraging sites), climate (can influence insect numbers and availability of fruit), and presence of surface water (influences insects and acts as a source of drinking water) to identify bat species that may be impacted by wind turbines. These comparisons were done mainly by briefly studying the geographic literature of each site, available satellite imagery and by groundtruthing with site visits. Species probability of occurrence based on the abovementioned factors were estimated for the site and the surrounding larger area, but also considers species already confirmed on site as well as surrounding areas. Pre-construction and operational bat monitoring data from surrounding and nearby wind farms have also been consulted during this study. These include Banna Ba Pifhu, Oyster Bay, Ubuntu, Impofu West and Impofu North as non-operational wind farms, and Jeffreys Bay wind farm, Kouga wind farm, Tsitsikamma Community wind farm and Gibson Bay wind farm as operational wind farms.

Bat activity was monitored using active and passive bat monitoring techniques. Active monitoring was carried out on site visits by the means of driven transects. A bat detector mounted on a vehicle was used and transect routes were chosen based on road accessibility. Sampling effort and prevalent weather conditions were considered for each transect.

Passive detection was completed by means of bat monitoring systems on the 2 meteorological masts and 6 short masts on all three sites (**Figures 3.1 and 3.2**). The data of the passive systems from all three Impofu wind farm sites (North, East and West) are considered in the impact assessment report of each project, as they are located in terrain and habitat applicable to all three sites.

The Met masts each had a backup system also with microphones at 10m and 97m, to lessen the probability of data gaps. The microphones at 97m on the Met mast backup systems were set up in the 2nd site visit on March 2018, and all the other passive systems and microphones were set up during the first site visit in November 2017. The backup systems are referred to as Met A2 and Met B2 respectively.

After a slight boundary shift of the proposed wind farm due to the discovery of a Martial Eagle Nest, another short mast passive system, referred to as SM2A, was setup in March 2018 as an additional system to Short Mast (SM2).

The data was analysed by classifying (as near to species level as possible) and counting positive bat passes detected by the systems. A bat pass is defined as a sequence of ≥ 1 echolocation calls where the duration of each pulse is ≥2ms (one echolocation call can consist of numerous pulses). A new bat pass is identified by a >500ms period between pulses. These bat passes are summed into hourly intervals which are used to calculate nocturnal distribution patterns over time. Times of sunset and sunrise are automatically adjusted with the time of year. The **Table 3.1** below summarizes the equipment setup.

3.1 Site Visit and Equipment Setup Information

Site visit dates		First Visit 24 - 28 November 2017					
		Second Visit	$5 - 9$ March 2018				
		Third Visit $25 - 30$ June 2018					
		Fourth Visit	18 - 22 September 2018				
		Fifth Visit	20 - 23 November 2018				
Met mast	Quantity on site	2 (all three Impofu wind farm sites)					
passive bat detection systems	Microphone heights	10m; 97m					
	Coordinates	Met A: 34.093408°S 24.615461°E					
		Met B: 34.057938°S 24.536612 °E					
		Each met mast systems has a backup system on the					
		same mast with microphones at 97m and 10m, to					
		prevent data gaps. Referred to as Met A2 and Met B2 respectively.					
Short mast	Quantity on site	6 (all three Impofu wind farm sites)					
passive bat detection	Microphone height	10 _m					
systems	Coordinates	Short Mast 1 (SM1): 34.125598°S 24.498722°E					
		Short Mast 2 (SM2): 34.083084°S 24.588759°E					
		Short Mast 2A (SM2A): 34.086080°S 24.574528°E					
			Short Mast 3 (SM3): 34.139070°S 24.655086°E				
			Short Mast 4 (SM4): 34.140898°S 24.579343°E				
			Short Mast 5 (SM5): 34.038204°S 24.517011°E				

Table 3.1: Equipment setup and site visit information.

Figure 3.1: Positions of the passive bat detection systems on site. Impofu East is indicated with the white boundary and the other sites in a black boundary.

Figure 3.2: One of the Short Mast systems set up on site.

3.2 Assumptions and Limitations

Distribution maps of South African bat species still require further refinement, thus the bat species proposed to occur on the site (and not detected in the area yet) should be considered precautionary. If a species has a distribution marginal to the site, it was assumed to occur in the area.

The migratory paths of bats are largely unknown, thus limiting the ability to determine if the wind farm will have a large-scale effect on migratory species. No indication of a migratory event is evident in the 12 months preconstruction data, however some uncertainty in this regard will remain until the end of operational monitoring of at least 2 years. Also, based on the currently available data from the 4 operational wind farms and other proposed wind farms in the area, there is nothing to date that indicates that the site is the location of a migratory path.

The sensitivity map is based partially on satellite imagery and from a detailed site visit, and given the large extent of the site there is always the possibility that what has been mapped may differ slightly to what is on the ground.

Species identification with the use of bat detection and echolocation is less accurate when compared to morphological identification, nevertheless it is a very certain and accurate indication of bat activity and their presence with no harmful effects on bats being surveyed.

Automated species identification by the Kaleidoscope software may produce a smaller portion of incorrect identifications or unknown identifications. In the last-mentioned case, the dominant frequency of the unknown call was simply used to group the bat into a family or genus group, using dominant frequency only as the determining factor. However, the automated software is very effective at distinguishing bat calls from ultrasonic noise, therefore the number of bat passes are not significantly overestimated.

It is not possible to determine actual individual bat numbers from acoustic bat activity data, whether gathered with transects or the passive monitoring systems. However, bat passes per night are internationally used and recognized as a comparative unit for indicating levels of bat activity in an area.

Spatial distribution of bats over the study area cannot be accurately determined by means of transects, although the passive systems can provide comparative data for different areas of the site. Transects may still possibly, in rare cases, uncover high activity in areas where it is not necessarily expected and thereby improve understanding of the site.

Exact foraging distances from bat roosts or exact commuting pathways cannot be determined by the current methodology. Radio telemetry tracking of tagged bats is required to provide such information if needed.

4 RESULTS AND DISCUSSION

4.1 Land Use, Vegetation, Climate and Topography

According to Mucina and Rutherford (2006), the Impofu East site is situated mostly on the Tsitsikamma Sandstone Fynbos and Southern Cape Dune Fynbos vegetation units, with a narrow band of Eastern Coastal Shale Bands transecting the site, and small patches of Southern Afrotemperate Forest dispersed and marginal. The south of the site is predominantly occupied by the Southern Cape Dune Fynbos. Other nearby vegetation units are Humansdorp Shale Renosterveld, Garden Route Shale Fynbos, Algoa Dune Strandveld and Cape Seashore Vegetation (**Figure 4.1**). The general characteristics of the vegetation units are applicable from a bat habitat point of view (**Table 4.1**).

The **Tsitsikamma Sandstone Fynbos** unit consists of a relatively low mountain range with gentle to steep slopes with both northern and southern slopes over 140km. A few peaks and moderately undulating plains exist along the range. The vegetation consists of medium dense, tall proteoid, restioid and ericoid fynbos with fynbos thicket in wetter areas. Mean annual precipitation is 480 – 1230mm with a mean of 845mm. The mean daily maximum and minimum temperatures are 25.5 \degree C (February) and 5.8 \degree C (July) respectively.

The **Southern Cape Dune Fynbos** vegetation unit consists of coastal dune cordons often with steep slopes. The vegetation is fynbos heath that is dominated by sclerophyllous shrubs with a rich restio undergrowth. The alien *Acacia cyclops* thicket has replaced large areas of the fynbos and coastal dune areas. The mean annual precipitation is 600 – 900mm with a mean of 757mm. The mean daily maximum and minimum temperatures are 25.3 $^{\circ}$ C (February) and 8°C (July) respectively.

The **Algoa Dune Strandveld** vegetation unit consists of tall dense thickets on dunes that are mainly outside of the influence of salt spray, dominated by stunted trees, shrubs, abundant lianas and sparse herbaceous and grassy undergrowth. The unit experiences a non-seasonal precipitation regime with a mean annual rainfall of 680mm. 300mm of rain falls in spring months while 350mm falls during the winter. The unit experiences mean daily maximum and minimum temperatures of 25° C (February) and 8.3° C (July) respectively.

Eastern Coastal Shale Bands form narrow 80 – 200m, linear, smooth and flat landscape features. The unit supports shrublands (often quite grassy) ranging from thicket to renosterveld and fynbos. The mean annual precipitation is 500 – 1140mm with a mean of 815mm. Rainfall peaks during the month of March and again from August to November.

The **Garden Route Shale Fynbos** consists of undulating hills and moderately undulating plains on the coastal forelands. The wetter areas have tall, dense proteoid and ericaceous fynbos while the drier areas have shrubby grassland. Fynbos is confined to flatter more extensive landscapes and most of the shales are covered in Afrotemperate Forest. The mean annual precipitation ranges between 310mm and 1 120mm. Annual precipitation is mostly evenly spread throughout the year. Mean daily maximum and minimum temperatures are 27.6˚C and 6.5˚C for January and July, respectively. This vegetation unit is classified as Endangered with only about 8% conserved. Much of the unit has been transformed for pasture and cultivation.

The **Cape Seashore Vegetation** unit consists of beaches, coastal dunes, dune slacks and coastal cliffs of open grassy, herbaceous and dwarf-shrubby vegetation. It is often dominated by a single pioneer species. This unit experiences a mostly uniform all year-round precipitation regime. The mean annual precipitation is 604mm.

The **Southern Afrotemperate Forest** vegetation unit is a tall, multilayered forest dominated by yellowwoods, *Ocotea bullata, Olea capensis* and *Pterocelastrus tricuspidatus*. In the scree habitats *Cunonia capensis, Heeria argentea* and *Metrosideros angustifolia* predominate. The shrub understory and herb layers are well developed.

The **Humansdorp Shale Renosterveld** unit consists of moderately undulating plains and undulating hills supporting vegetation composed of low, medium dense graminoid, dense cupressoid-leaved shrubland, dominated by renosterbos. The unit experiences a mean annual precipitation of 500 – 850mm with a mean of 630mm. Rainfall displays a slight peak in March. Mean daily maximum and minimum temperatures experienced in this unit are 25.1° C (February) and 7.5°C (July) respectively.

Figure 4.1: Vegetation units present on the proposed Impofu East Wind Farm (Mucina and Rutherford 2006).

Vegetation units and geology are of great importance as these may serve as suitable sites for the roosting of bats and support of their foraging habits (Monadjem *et al.* 2010). Houses and buildings may also serve as suitable roosting spaces (Taylor 2000; Monadjem *et al.* 2010). The importance of the vegetation units and associated geomorphology serving as potential roosting and foraging sites have been described in **Table 4.1**.

Table 4.1: Potential of the general vegetation units to serve as suitable roosting and foraging spaces for bats.

The Klasies River coastal cave is situated approximately 15km south-west of the site, and this cave is used by roosting bats particularly *Miniopterus natalensis* and *Rhinolophus* species. The cave was visited during previous studies in the area in 2013 and 2014, and also during the September and November 2018 site visits of this study. It supported small roosts of approximately 200 – 300 *Rhinolophid* individuals and approximately 500 *Miniopterus natalensis* individuals during the visits in August 2013 and December 2013.

During a March 2014 site visit, the cave was found to be hosting approximately 500 *Rousettus aegyptiacus* (Egyptian Rousette fruit bat) individuals in a cavern. This species was not previously found in the cave, suggesting seasonal use.

During a May 2014 site visit, the number of *M. natalensis* individuals had increased since the last visit to approximately 1 000 individuals, although the cave is used year-round. The *Rhinolophid* population has remained constant over all cave visits, while the *R. aegyptiacus* population had decreased again since the March 2014 visit to approximately 300 individuals.

In September 2018 approximately 100 *R. aegyptiacus* and 500 *M. natalensis* were observed, and in November 2018 the *R. aegyptiacus* colony reduced again to roughly 100 individuals. The *M. natalensis* colony was almost the same size as in September 2018.

The cave is isolated from human interference and has a supply of drinking water for the bats, making it a suitable roost, and is buffered 10km in the bat sensitivity map.

4.2 Baseline Impact of Nearby Wind Farms Currently Operating in the area

There are 4 wind farms currently operating in the area (see **Figure 7.1** in Section 7). **Table 4.2** below indicates the current bat impact status of the nearby operational facilities, compared to the acceptable sustainable mortality thresholds as determined according to the calculations explained in the SABAAP Bat Threshold Document (MacEwan, *et al.*, October 2018). The sustainable acceptable mortality thresholds are determined in the Guidelines per ecoregion as an additional 2% per 10ha per year loss of bats due to anthropogenic pressure, which is for the Lowland Fynbos and Renosterveld ecoregion calculated as 0.45 bats/10ha/annum. The acceptable mortality of a wind farm is then simply calculated by considering the hectare size of the wind farm (area of turbine influence) and the value of 2% of bats/10ha/year for the ecoregion, to give an annual number of sustainable bat mortalities that is acceptable for that wind farm. The recorded and estimated fatalities per year is from the bat species or family that dominated the carcass records. This is in line with the SABAAP Bat Threshold Document (MacEwan, *et al.*, October 2018). Estimator fatality results extracted from the specialist reports are used to compare to acceptable sustainability thresholds. Estimator fatalities are adjusted numbers of the recorded fatalities, by considering field bias factors such as scavenger removal of carcasses, effeciency of the carcass searchers and time interval for rotating searches between all turbines on site.

For Wind Farms 1 – 3 the Erickson & Johnson' s Equation was used to calculate the estimator bat fatalities (Warren-Hicks et al., 2013). The estimator results were retrieved from the relevant specialist reports where calculations were done by the specialists. Note that all studies did not use similar bat fatality estimators, and the area of a wind farm was considered as the area of turbine influence. **Table 4.2** is intended to merely serve as a comparative indication for this current status assessment, and should not be used to determine which wind farms must apply mitigation. For that the applicable specialist report and detailed results of an operational monitoring study must be consulted for decision making purposes. It is important to note that the wind farms that have high estimator fatalities in the table below have recently implemeting varied mitigation strategies and thus it is likely that the bat fatalaties at these two wind farms will be reduced and this table thus represents a worst case senario without mitigation.

Wind Farm	Duration of data set	Recorded fatalities/ vear	Estimator fatalities/ vear	Acceptable annual mortality of bats (based on 2% calculation)	Status
Wind farm 1 (2715 ha)	3 Years	35	198	$0.45 \times (2715/10)$ $= 0.45 \times 271.5$ $= 122$ bats	Above threshold

Table 4.2: Current status of impact at operational nearby wind farms.

4.3 Currently Confirmed, Previously Recorded as well as Literature Based Species Probability of Occurrence

"Probability of Occurrence" is assigned based on consideration of the presence of roosting sites and foraging habitats on the site, compared to literature described preferences, species records from nearby and adjacent wind farms, and species currently confirmed on site. The probability of occurrence is also influenced by the likelihood of encountering the bat species on site (e.g. it's scarcity in general, or if the distribution is marginal to the site location).

The column of "Likely risk of impact" describes the likelihood of risk of fatality from direct collision or barotrauma with wind turbine blades for each bat species. The risk was assigned by Sowler et al. (2017) based on species distributions, altitudes at which they fly and distances they traverse; and assumes a 100% probability of occurrence.

Table 4.3: Table of species that are currently confirmed on site, and/or have been previously recorded in the area and may be occurring based on literature. Roosting or foraging in the study area, the possible site-specific roosts, and their probability of occurrence based on literature as well as recordings and observations in the surrounding area, is also briefly described (Monadjem *et al.* 2010).

4.4 Ecology of bat species that may be impacted the most by the Wind Farm

There are several bat species in the vicinity of the site that occur commonly in the area. Some of these species are of special importance based on their likelihood of being impacted by the proposed wind farm, due to high abundances and certain behavioural traits. They have also been dominating records of fatalities at nearby wind farms. The relevant species are discussed below.

Tadarida aegyptiaca

The Egyptian Free-tailed Bat, *Tadarida aegyptiaca*, is a Least Concern species (IUCN Red List 2016) as it has a wide distribution and high abundance throughout South Africa, and is part of the Free-tailed bat family (Molossidae). It occurs from the Western Cape of South Africa, north through to Namibia and southern Angola; and through Zimbabwe to central and northern Mozambique (Monadjem *et al*. 2010). This species is protected by national legislation in South Africa (ACR 2010).

They roost communally in small (dozens) to medium-sized (hundreds) groups in caves, rock crevices, under exfoliating rocks, in hollow trees and behind the bark of dead trees. *Tadarida aegyptiaca* has also adapted to roosting in buildings, in particular roofs of houses (Monadjem *et al*. 2010). Thus, man-made structures and large trees on the site would be important roosts for this species.

Tadarida aegyptiaca forages over a wide range of habitats, flying above the vegetation canopy. It appears that the vegetation has little influence on foraging behaviour as the species forages over desert, semi-arid scrub, savannah, grassland and agricultural lands. Its presence is strongly associated with permanent water bodies due to concentrated densities of insect prey (Monadjem *et al*. 2010).

After a gestation of four months, a single young is born, usually in November or December, when females give birth once a year. In males, spermatogenesis occurs from February to July and mating occurs in August. Maternity colonies are apparently established by females in November.

The Egyptian Free-tailed bat is considered to have a High likelihood of risk of fatality due to wind turbines (Sowler *et al.* 2016) and they are displaying moderate to high numbers of mortalities at nearby operating wind farms. Due to the high abundance and widespread distribution of this species, high mortality rates due to wind turbines would be a cause of concern as these species have more significant ecological roles than the rarer bat species.

Neoromicia capensis

Neoromicia capensis is commonly called the Cape serotine and has a conservation status of Least Concern (IUCN Red List 2016) as it is found in high numbers and is widespread over much of Sub-Saharan Africa.

High mortality rates of this species due to wind turbines would be a cause of concern as *N. capensis* is abundant and widespread and as such has a more significant role to play within the local ecosystem than the rarer bat species. They do not undertake migrations and thus are considered residents of the site.

It roosts individually or in small groups of two to three bats in a variety of shelters, such as under the bark of trees, at the base of aloe leaves, and under the roofs of houses. They will use most man-made structures as day roosts which can be found throughout the site and surrounding areas (Monadjem *et al*. 2010).

Mating takes place from the end of March until the beginning of April. Spermatozoa are stored in the uterine horns of the female from April until August, when ovulation and fertilisation occurs. They give birth to twins during late October and November but single pups, triplets and quadruplets have also been recorded (van der Merwe 1994 and Lynch 1989).

They are tolerant of a wide range of environmental conditions as they survive and prosper within arid semi-desert areas to montane grasslands, forests, and savannas; indicating that they may occupy several habitat types across the site, and are amenable towards habitat changes. They are however clutter-edge foragers, meaning they prefer to hunt on the edge of vegetation clutter mostly, but can occasionally forage in open spaces. They are thought to have a Medium-High likelihood of risk of fatality due to wind turbines (Sowler *et al.* 2016). And are displaying moderate to high numbers of mortalities at nearby operating wind farms.

Miniopterus natalensis

Miniopterus natalensis, also commonly referred to as the Natal long-fingered bat, occurs widely across the country but mostly within the southern and eastern regions and is listed as Near Threatened (Monadjem *et al*. 2010). This bat is a cave-dependent species and identification of suitable roosting sites may be more important in determining its presence in an area than the presence of surrounding vegetation. It occurs in large numbers when roosting in caves with approximately 260 000 bats observed making seasonal use of the De Hoop Guano Cave in the Western Cape, South Africa. Culverts and mines have also been observed as roosting sites for either single bats or small colonies. Separate roosting sites are used for winter hibernation activities and summer maternity behaviour, with the winter hibernacula generally occurring at higher altitudes in more temperate areas and the summer hibernacula occurring at lower altitudes in warmer areas of the country (Monadjem *et al*. 2010)

Mating and fertilisation usually occur during March and April and is followed by a period of delayed implantation until July/August. Birth of a single pup usually occurs between October and December as the females congregate at maternity roosts (Monadjem *et al.* 2010 & Van Der Merwe 1979).

The Natal long-fingered bat undertakes short migratory journeys between hibernaculum and maternity roosts. Due to this migratory behaviour, they are considered to be at high risk of fatality from wind turbines if a wind farm is placed within a migratory path (Sowler *et al.* 2016). The mass movement of bats during migratory periods could result in mass casualties if wind turbines are positioned over a mass migratory route and such turbines are not effectively mitigated. Very little is known about the migratory behaviour and paths of *M. natalensis* in South Africa with migration distances exceeding 150 kilometres. No indication of a migratory event is evident in the 12 months preconstruction data, however some uncertainty in this regard will remain until the end of operational monitoring of at least 2 years. Also, based on the currently available data from the 4 operational wind farms and other proposed wind farms in the area, there is nothing to date that indicates that the site is the location of a migratory path.

A study by Vincent *et al.* (2011) on the activity and foraging habitats of Miniopteridae found that the individual home ranges of lactating females were significantly larger than that of pregnant females. It was also found that the bats predominately made use of urban areas (54%) followed by open areas (19.8%), woodlands (15.5%) orchards and parks (9.1%) and water bodies (1.5%) when selecting habitats. Foraging areas were also investigated with the majority again occurring in urban areas (46%), however a lot of foraging also occurred in woodland areas (22%), crop and vineyard areas (8%), pastures, meadows and scrubland (4%) and water bodies (4%).

Sowler *et al.* (2016) advise that *M. natalensis* faces a medium to high risk of fatality due to wind turbines. This evaluation was based on broad ecological features and excluded migratory information. And are displaying low to moderate numbers of mortalities at nearby operating wind farms.

4.5 Transects

4.5.1 First Site Visit

No transects were conducted during the first site visit of 24 – 28 November 2017, due to installations of the passive bat detection systems.

4.5.2 Second Site Visit

Figure 4.2 below indicates the transect routes during the second site visit in March 2018. Transect routes were not calculated and were carried out based on available access to the farms and condition of the farm roads. The SM2BAT+ Real time expansion type detector was used. **Table 4.4** displays the sampling effort and weather conditions prevalent during the transect survey. Basic weather for Oyster Bay sourced from www.worldweatheronline.com.

Table 4.4: Transect distance, duration and average weather conditions experienced during the second site visit.

Date	Time	Distance	Duration (hours	Temperature	Rain	Wind speed
	started	(km)	and minutes)	(°C)	(mm)	(km/h)
6 March 2018	19:33	93km	4h 10 min	19	0.4	12
7 March 2018	19:38	74km	4h 17 min	19.5	0.0	14

4.5.3 Third Site Visit

Figure 4.3 below indicates the transect routes during the third site visit in June 2018. Transect routes were not calculated and were carried out based on available access to the farms and condition of the farm roads. The SM2BAT+ Real time expansion type detector was used. **Table 4.5** displays the sampling effort and weather conditions prevalent during the transect survey. Basic weather for Oyster Bay sourced from www.worldweatheronline.com.

Table 4.5: Transect distance, duration and average weather conditions experienced during the second site visit.

Date	Time	Distance	Duration (hours	Temperature	Rain	Wind speed
	started	(km)	and minutes)	(°C)	(mm)	(km/h)
28 June 2018	19:16	100km	3h 13 min	q	0.0	10.5
29 June 2018	18:22	48.1km	1h 49 min	12.5	0.0	6.5

4.5.4 Fourth Site Visit

Figure 4.4 below indicates the transect routes during the fourth site visit in September 2018. Transect routes were not calculated and were carried out based on available access to the farms and condition of the farm roads. The SM2BAT+ Real time expansion type detector was used. **Table 4.6** displays the sampling effort and weather conditions prevalent during the transect survey. Basic weather for Oyster Bay sourced from www.worldweatheronline.com.

Table 4.6: Transect distance, duration and average weather conditions experienced during the second site visit.

Date	Time	Distance	Duration (hours	Temperature	Rain	Wind speed
	started	(km)	and minutes)	$^{\prime\circ}$ C)	(mm)	(km/h)
20 September 2018	20:05	81.3km	2h 24 min	10.5	0.0	

4.5.5 Fifth Site Visit

Figure 4.5 below indicates the transect routes during the fifth site visit in November 2018. Transect routes were not calculated and were carried out based on available access to the farms and condition of the farm roads. The SM2BAT+ Real time expansion type detector was used. **Table 4.7** displays the sampling effort and weather conditions prevalent during the transect survey. Basic weather for Oyster Bay sourced from www.worldweatheronline.com.

Table 4.7: Transect distance, duration and average weather conditions experienced during the second site visit.

Date	Time started	Distance (km)	Duration (hours and minutes)	Temperature (°C)	Rain (mm)	Wind speed (km/h)
21 November 2018	19:49	58km	$1h$ 13 min	14.5	0.1	19.5
22 November 2018	20:55	58km	1h 18 min	14.5	0.0	9.5

Figure 4.2: Transect routes and bat passes recorded over the second site visit.

Figure 4.3: Transect routes and bat passes recorded over the third site visit.

Figure 4.4: Transect routes and bat passes recorded over the fourth site visit.

Figure 4.5: Transect routes and bat passes recorded over the fifth site visit.

4.6 Passive Data

4.6.1 Abundances and Composition of Bat Assemblages

Average hourly bat passes detected per night and total number of bat passes detected over the monitoring period (November 2017 to November 2018) by the systems are displayed in **Figures 4.6 – 4.25**. Seven bat species were detected namely *Eptesicus hottentotus*, *Tadarida aegyptiaca, Pipistrellus hesperidus, Neoromicia capensis, Miniopterus natalensis and Myotis tricolor*. Additionally, bat passes were recorded that are classified up to family level and includes Vespertilionidae, Miniopteridae, Molossidae/Emballonuridae and Rhinolophidae. All of these families, except Emballonuridae and Rhinolophidae, includes the species identified and were simply used to group bat passes that were harder to identify. The values of hourly bat passes per night for the month of November 2017 is not shown as it will be skewed due to the small sample size available (the systems were installed between 24 and 28 Nov 2017).

In general *Tadarida aegyptiaca* were most commonly detected on 97m, and *Neoromicia capensis* dominated at 10m except for SM2, SM2B, Met A2 and Met B. Such abundant species are of a large value to the local ecosystems as they provide a greater contribution to most ecological services than the rarer species, due to their higher numbers. SM3 had the highest bat activity levels, probably due to it being located in a high bat sensitivity area and high activity of cattle near a milkery combined with an open water source. Met B had the second highest overall activity levels. In all cases the 97m microphones recorded significantly less bats than the 10m microphones.

The monitoring systems detected the migratory species, *Miniopterus natalensis.* The temporal distribution of this species did not indicate any events that are clearly indicating evidence of migratory events. And overall the activity of this species was very low at 97m.

Figure 4.6: Total bat passes recorded over the monitoring period by Met A.

Figure 4.7: Total bat passes recorded over the monitoring period by Met A2.

Figure 4.8: Total bat passes recorded over the monitoring period by Met B.

Figure 4.9: Total bat passes recorded over the monitoring period by Met B2.

Figure 4.10: Total bat passes recorded over the monitoring period by SM1.

Figure 4.11: Total bat passes recorded over the monitoring period by SM2.

Figure 4.12: Total bat passes recorded over the monitoring period by SM2B.

Figure 4.13: Total bat passes recorded over the monitoring period by SM3.

Figure 4.14: Total bat passes recorded over the monitoring period by SM4.

Figure 4.15: Total bat passes recorded over the monitoring period by SM5.

Figure 4.16: Average hourly bat passes recorded per month by Met A.

Figure 4.17: Average hourly bat passes recorded per month by Met A2.

Figure 4.18: Average hourly bat passes recorded per month by Met B.

Figure 4.19: Average hourly bat passes recorded per month by Met B2.

Figure 4.20: Average hourly bat passes recorded per month by SM1.

Figure 4.21: Average hourly bat passes recorded per month by SM2.

Figure 4.22: Average hourly bat passes recorded per month by SM2B.

Figure 4.23: Average hourly bat passes recorded per month by SM3.

Figure 4.24: Average hourly bat passes recorded per month by SM4.

Figure 4.25: Average hourly bat passes recorded per month by SM5.

4.6.2 Temporal Distribution

The sum of all bat passes recorded by the monitoring systems of the particular species are displayed per night over the monitoring period so far (**Figures 4.26 – 4.35**). This information is useful to graphically compare seasonal differences and indicate peak activity periods that may have occurred in short time spans. It can also be used to inform a schedule for mitigation measures, if mitigation measures are found to be required during the operational phase.

It's not unusual for bat activity to show various prominent peaks over a period of several months, as can be observed in these figures. In general the autumn and spring seasons had the highest bat activity levels, except for SM4 and SM5 where mid and late winter had high activity levels.

Figure 4.26: Temporal distribution of bat passes detected by Met A.

Figure 4.27: Temporal distribution of bat passes detected by Met A2.

Figure 4.28: Temporal distribution of bat passes detected by Met B.

Figure 4.29: Temporal distribution of bat passes detected by Met B2.

Figure 4.30: Temporal distribution of bat passes detected by SM1.

Figure 4.31: Temporal distribution of bat passes detected by SM2.

Figure 4.32: Temporal distribution of bat passes detected by SM2B.

Figure 4.33: Temporal distribution of bat passes detected by SM3.

Figure 4.34: Temporal distribution of bat passes detected by SM4.

Figure 4.35: Temporal distribution of bat passes detected by SM5.

4.6.3 Relation between Bat Activity and Weather Conditions

Several sources of literature describe how numerous bat species are influenced by weather conditions (O'Farrell *et al.* 1967, Rachwald 1992, Arnett *et al*. 2010). Weather may influence bats in terms of lowering activity, changing time of emergence and flight time. It is also important to note the environmental factors are never isolated and therefore a combination of the environmental factors can have synergistic or otherwise contradictory influences on bat activity. For example, a combination of high temperatures and low wind speeds will be more favourable to bat activity than low temperatures and low wind speed, whereas low temperature and high wind speed will be the least favourable for bats. Below are short descriptions of how wind speed, temperature and barometric pressure influences bat activity.

Wind speed

Some bat species show reduced activity in windy conditions. Strong winds have been found to suppress flight activity in bats by making flight difficult (O'Farrell *et al.* 1967). Several studies at proposed and operating wind facilities in the United States have documented discernibly lower bat activity during 'high' wind speeds (Arnett *et al*. 2010).

Wind speed and direction also affects availability of insect prey as insects on the wing often accumulate on the lee side of wind breaks such as tree lines (Peng *et al.* 1992). At edges exposed to wind, flight activity of insects, and thus bats may be suppressed and at edges to the lee side of wind, bat activity may be greater.

Temperature

Flight activity of bats generally increases with temperature. Flights are of shorter duration on cooler nights and extended on warmer nights. Rachwald (1992) noted that distinct peaks of activity disappeared in warm weather such that activity was mostly continuous through the night. During nights of low temperatures bats intensified foraging shortly after sunset (Corbet and Harris 1991).

Peng (1991) found that many families of aerial dipteran (flies) insects preferred warm conditions for flight. A preference among insects for warm conditions has been reported by many authors suggesting that temperature is an important regulator of bat activity, through its effects on insect prey availability.

Analysis

The results below present figures of the sum of bat passes that were detected within specific wind speed and temperature categories. However, the distribution of bat activity within each wind speed and temperature range may be biased due to the frequency of occurrence of each wind speed and temperature range. Thus, the number of bat passes were 'normalised' wherein the frequency with which each wind speed and temperature range were recorded was taken into account. The 'normalised' sum of bat passes per wind speed and temperature range are also presented below. Cumulative percentages of the normalised sum of bat passes per wind speed and temperature ranges are also presented.

The aim of this analysis (**Figures 4.38 – 4.48**) is to determine the wind speed and temperature range within which 80% of bat passes were detected (of the normalised sum of bat passes). These values of wind speed and temperature may be used, if necessary, to inform mitigation measures for turbines based on conserving 80% of detected bat passes. This is keeping in mind the synergistic or otherwise contradictory effects that the combination of wind speeds and temperatures can have on bat activity. This analysis is only be done on the most critical bat activity periods of the year.

Time periods used in the analysis below were identified in Sections 4.6.1 and 4.6.2 as periods of elevated activity, which were 1 Feb – 30 April 2018, and $1 - 30$ September 2018. The analysis was only performed for time frames where bat activity was generally the highest at 97m, this includes data from Met A, Met B and Met B2. Wind speed measured at a height of 62m and temperature measured at a height of 60m were used for the analysis.

Figures 4.36 – 4.37 below indicates the hourly bat activity for the focus periods where bat activity were generally the highest at 97m, this includes summed data from Met A, Met B and Met B2.

Figure 4.36: Hourly bat activity levels for the period of 1 Feb to 30 Apr 2018.

Figure 4.37: Hourly bat activity levels for the period of September 2018.

Figure 4.38: Sum of bat passes (non-normalised) per temperature category for Met A, Met B and Met B2 (1 Feb – 30 Apr 2018).

Figure 4.39: Sum of bat passes (normalised) per temperature category for Met A, Met B and Met B2 (1 Feb – 30 Apr 2018).

Figure 4.40: Cumulative percentage of normalised and non-normalised bat passes per temperature category for Met A, Met B and Met B2 (1 Feb – 30 Apr 2018).

Figure 4.41: Sum of bat passes (non-normalised) per wind speed category for Met A, Met B and Met B2 (1 Feb – 30 Apr 2018).

Figure 4.42: Sum of bat passes (normalised) per wind speed category for Met A, Met B and Met B2 (1 Feb – 30 Apr 2018).

Figure 4.43: Cumulative percentage of normalised and non-normalised bat passes per wind speed category for Met A, Met B and Met B2 (1 Feb – 30 Apr 2018).

Figure 4.44: Sum of bat passes (non-normalised) per temperature category for Met A, Met B and Met B2 (September 2018).

Figure 4.45: Sum of bat passes (normalised) per temperature category for Met A, Met B and Met B2 (September 2018).

Figure 4.46: Cumulative percentage of normalised and non-normalised bat passes per temperature category for Met A, Met B and Met B2 (September 2018).

Figure 4.46: Sum of bat passes (non-normalised) per wind speed category for Met A, Met B and Met B2 (September 2018).

Figure 4.47: Sum of bat passes (normalised) per wind speed category for Met A, Met B and Met B2 (September 2018).

Figure 4.48: Cumulative percentage of normalised and non-normalised bat passes per wind speed category for Met A, Met B and Met B2 (September 2018).

4.7 Sensitivity Map

Figures 4.49 – 4.51 depicts the preliminary sensitive areas of the site, based on features identified to be important for foraging and roosting of the species that most commonly occur on site. Thus, the sensitivity map is based on species ecology and habitat preferences. This map has already been used as a pre-construction mitigation in terms of improving turbine placement with regards to avoidance of bat preferred habitats on site. The turbine layout has been further refined (January 2019) based on updates to the sensitivity map..

Table 4.10: Turbines located within bat sensitive areas and buffers. Considering the maximum rotor diameter of 150m which makes the effective range of the turbine footprint 75m from the centre of the base point. $\overline{\mathbf{r}}$ ٦

Figure 4.49: Bat sensitivity map of the proposed Impofu East Wind Farm site, showing moderate and high sensitivity zones and their buffers.

Figure 4.50: Nortern section of the bat sensitivity map of the Impofu East Wind Farm site, showing moderate and high sensitivity zones and their buffers.

Figure 4.51: Southern section of the bat sensitivity map of the Impofu East Wind Farm site, showing moderate and high sensitivity zones and their buffers.

5 IMPACT ASSESSMENT EVALUATION

Tables 5.1 – 5.3 below indicates the evaluated impacts associated with the proposed Impofu East Wind Farm during the construction and operational phases. No significant impacts are identified for the decommissioning phase.

Table 5.2: Evaluation of the impact of bat mortalities due to moving turbine blades during the operational phase.

the model of considering the cumulative total area of turbine influence and an acceptable sustainability threshold of fatalities per year is used. The layout of the proposed Impofu East Wind Farm is not particularly compact, increasing the area of turbine influence considerably during the cumulative assessment and thereby allowing more unmodified foraging space for bats. No migration routes have been identified in the preconstruction and operational studies in the area, additionally the species composition is dominated by resident non-migrating bats. *T. aegyptiaca* has a wider foraging range and may therefore be more prone to cumulative impacts than *N. capensis.* The significance of the impact is rated as High Moderate (negative) without mitigation and Low Moderate (negative) with mitigation.

Table 5.3: Evaluation of the impact of increased likelihood of bat mortalities due to bat attractions by security and/or operational light sources.

No-go alternative

The 'no-go' alternative will naturally have no positive or negative effects on bat populations, as the environment will remain unchanged. Therefore, no impact assessment criteria can be assessed for this alternative as it remains neutral.

6 PROPOSED MITIGATION MEASURES

The correct placement of wind farms and of individual turbines can significantly lessen the impacts on bat fauna in an area and have already been applied as the preferred and initial layer for mitigation, since the applicant significantly adjusted the turbine layout to accommodate the intensified bat sensitivity map. The no go areas and buffers used have become larger and more numerous since the earlier studies done on operational wind farms in this area, thus the Impofu wind farms have in general been better mitigated through avoidance compared to the older facilities in the area.

Additional to mitigation by location of turbines to avoid known highly sensitive areas, other options that may be utilised when necessary include curtailment, blade feathering, blade lock or acoustic deterrents. The following terminology applies:

Curtailment:

Curtailment is defined as the act of limiting the supply of electricity to the grid during conditions when it would normally be supplied. This is usually accomplished by locking or feathering the turbine blades, with the aim to raise the cut-in speed without free-wheeling.

Cut-in speed:

The cut-in speed is the wind speed at which the generator is connected to the grid and producing electricity. For some turbines, their blades will spin at full or partial Revolutions per Minute (RPMs) below cut-in speed when no electricity is being produced.

Feathering or Feathered:

Feathering refers to adjusting the angle of the rotor blade parallel to the wind, or turning the whole unit out of the wind, to slow or stop blade rotation. Normally operating turbine blades are angled almost perpendicular to the wind at all times.

Free-wheeling:

Free-wheeling occurs when the blades are allowed to rotate below the cut-in speed or even when fully feathered and parallel to the wind. In contrast, blades can be "locked" and cannot rotate, which is a mandatory situation when turbines are being accessed by operations personnel.

Acoustic deterrents:

This is a developing technology that is being experimented with on a nearby wind farm and thus far yielded positive results that may indicate potential effectiveness of the devices. However, data on the trials are currently available for only 4.5 months, which is a small sample set and many other factors may influence effectiveness of the devices. It is encouraged for the applicant to run experimental trials to test such similar devices during the operation of this facility.

Increasing cut-in speed:

The turbine's computer system (referred to as the Supervisory Control and Data Acquisitions or SCADA system) is programmed to a cut-in speed higher than the manufacturer's set speed, and turbines are programmed to be feathered at 90° until the increased cut-in speed is reached over some average number of minutes (usually $5 - 10$ min), thus triggering the turbine blades to pitch back "into the wind" and begin to spin normally and produce power.

Blade locking or feathering that renders blades motionless below the manufacturers cut-in speed, and doesn't allow free rotation without the gearbox engaged, is more desirable for the conservation of bats than allowing free rotation below the manufacturer's cut-in speed. This is because bats can still collide with rotating blades even when no electricity is being produced. Currently the most effective method of mitigation, after correct turbine placement, is alteration of blade speeds under environmental conditions favourable to bats.

A basic "6 levels of mitigation" (by blade manipulation or curtailment), from light to aggressive mitigation is structured as follows:

- 1. No curtailment (free-wheeling is unhindered below **manufacturer's** cut-in speed so all momentum is retained, thus normal operation).
- 2. Partial feathering (45-degree angle) of blades below **manufacturer's** cut-in speed in order to allow the free-wheeling blades half the speed it would have had without feathering (some momentum is retained below the cut-in speed).
- 3. Ninety-degree feathering of blades below **manufacturer's** cut-in speed so it is exactly parallel to the wind direction as to minimize free-wheeling blade rotation as much as possible without locking the blades.
- 4. Ninety-degree feathering of blades below **manufacturer's** cut-in speed, with partial feathering (45-degree angle) between the **manufacturer's** cut-in speed and **mitigation** cut-in conditions.
- 5. Ninety-degree feathering of blades below **mitigation** cut-in conditions.
- 6. Ninety-degree feathering throughout the entire night.

It is recommended that curtailment be applied initially to all turbines at the start of operation at **Level 3** of the mitigation scale as long as this is technically feasible with the turbines that are used for this wind farm. . The impacts of the facility on bats will be monitored during the operational phase monitoring, and if necessary additional mitigation (**Table 6.1**) may need to be applied to any turbines or group of turbines identified as causing mortalities that are above the sustainable threshold levels. The levels and specifics of mitigation may need to be adjusted according to the results of the operational monitoring, based on robust scientific data. This is an adaptive management approach, and it is crucial that any changes suggested by the appointed Bat Specialist to the initial proposed mitigation schedule, be implemented as soon as practically possible.

In order to guide and preliminarily inform future operational monitoring and adaptive management/mitigation measures, **Table 6.1** below highlights the recommended dates, times and climatic conditions in which mitigation measures may need to be applied. This is useful when high mortalities are detected, and insufficient bat activity data is gathered during the operational monitoring (e.g. at the start of the operational monitoring study). However, it's preferable that bat activity gathered at actual problematic turbines during the operational phase be used to inform a mitigation schedule, when needed.

The table below infers that mitigation be applied when the advised wind speed and temperature ranges are prevailing simultaneously (considering conditions in which 80% of bat activity at 97m occurred, normalised data). Wind speed measured at a height of 62m and temperature measured at a height of 60m were used for the analysis.

Table 6.1: Preliminary mitigation schedule to be implemented during above threshold mortalities.

7 CUMULATIVE IMPACTS FROM NEARBY WIND FARMS

Other operating wind farms or proposed wind farms with valid environmental authorisations within an ecologically applicable area (black polygon) around the site are depicted in **Figure 7.1** below. The Ubuntu, Banna ba Phifu and Oyster Bay facilitities have received environmental authorisation but are not constructed yet. All facilities indicated in **Figure 7.1** falls within the Lowland Fynbos and Renosterveld ecoregion. Since watercourses and riparian habitats have been treated as bat sensitivities in the Impofu as well as surrounding wind farms, they allow for continous natural bat foraging habitat and movement corridors through the facilities. The ecologically important area was determined by topography, land use and to some degree the extent of the Lowland Fynbos and Renosterveld ecoregion. This ecologically applicable area was only used to decide which nearby wind farms should form part of the cumulative assessment, this area as a whole is not the area of influence, therefore no thresholds are calculated for this area.

Figure 7.1: Neighbouring and nearby existing and proposed wind farms in relation to the proposed three Impofu wind farm sites (white boundary). The black polygon is an ecologically applicable area to aid in deciding which wind farms form part of the cumulative assessment.

Table 7.1 below indicates the current bat impact status of the nearby operational facilities, compared to the acceptable sustainable mortality thresholds as determined according to the calculations explained in the SABAAP Bat Threshold Document (MacEwan, *et al.*, October 2018). The sustainable acceptable mortality thresholds are determined in the Guidelines per ecoregion as an additional 2% per 10ha per year loss of bats due to anthropogenic pressure, which is for the Lowland Fynbos and Renosterveld ecoregion calculated as 0.45 bats/10ha/annum.

The acceptable mortality of a wind farm is then simply calculated by considering the hectare size of the wind farm (area of turbine influence) and the value of 2% of bats/10ha/year for the ecoregion, to give an annual number of sustainable bat mortalities that is acceptable for that wind farm. The recorded and estimated fatalities per year is from the bat species or family that dominated the carcass records. This is in line with the SABAAP Bat Threshold Document (MacEwan, *et al.*, October 2018). Estimator fatality results extracted from the specialist reports are used to compare to acceptable sustainability thresholds. Estimator fatalities are adjusted numbers of the recorded fatalities, by considering field bias factors such as scavenger removal of carcasses, effeciency of the carcass searchers and time interval for rotating searches between all turbines on site.

For Wind Farms 1 – 3 the Erickson & Johnson' s Equation was used to calculate the estimator bat fatalities (Warren-Hicks et al., 2013). The estimator results were retrieved from the relevant specialist reports where calculations were done by the specialists. Note that all studies did not use similar bat fatality estimators, and the area of a wind farm was considered as the area of turbine influence. **Table 7.1** is intended to merely serve as a comparative indication for this cumulative assessment, and should not be used to determine which wind farms must apply mitigation. For that the applicable specialist report and detailed results of an operational monitoring study must be consulted for decision making purposes. . It is important to note that the wind farms that have high estimator fatalities in the table below have recently started implementing varied mitigation stratergies, and thus it is likely that the bat fatalaties at these two wind farms will be reduced and this table thus represents a worst case senario without mitigation.

Wind Farm	Duration of data set	Recorded fatalities/ year	Estimator fatalities/ year	Acceptable annual mortality of bats (based on 2% calculation)	Status
Wind farm 1 (2715) ha)	3 Years	35	198	$0.45 \times (2715/10)$ $= 0.45 \times 271.5$ $= 122$ bats	Above threshold
Wind farm 2 (2640 ha)	2 Years	34	78	$0.45 \times (2640/10)$ $= 0.45 \times 264.0$ $= 118$ bats	Below threshold
Wind farm 3 (851 _{ha})	24 Months	17	34	$0.45 \times (851/10)$ $= 0.45 \times 85.1$ $= 38$ bats	Below threshold
Wind farm 4 (2146 ha)	12 Months	72	244	$0.45 \times (2146/10)$ $= 0.45 \times 214.6$ $= 97$ bats	Above threshold

Table 7.1: Current status of impact at operational nearby wind farms.

Table 7.2: The sustainable acceptable mortality thresholds of the proposed Impofu wind farms.

	Area of influence of wind turbines	Acceptable annual mortality of bats
	(hectares), refer to Figure 7.2	(based on 2% calculation)
Impofu East	3633	$0.45 \times (3633/10)$
		$= 0.45 \times 363.3$
		$= 164$ bats
Impofu West	2 1 5 6	$0.45 \times (2156/10)$
		$= 0.45 \times 215.6$
		$= 97$ bats
Impofu North	2 2 2 4	$0.45 \times (2224/10)$
		$= 0.45 \times 222.4$
		$= 100$ bats

The sustainable acceptable mortality thresholds for the proposed Impofu wind farms are indicated in **Table 7.2**. The area of the wind farm was considered to be the area of influence (**Figure 7.2**), which is dictated by the turbine layout as per the Threshold Guidelines.

Figures 7.3 – 7.5 below indicates the areas considered during each cumulative impact scenario as described in **Table 7.3**, they are tight fitting polygons that surround the applicable wind farms of a specific cumulative scenario. As suggested in the SABAAP Bat Threshold Document (MacEwan, *et al.*, October 2018). This approach was chosen because it considers how close facilities are to each other by taking into account the area space in between wind farms where bat populations are not impacted on.

Table 7.3: Cumulative impact scenarios involving the Impofu East Wind Farm site and other nearby wind farms.

Figure 7.2: The area of influence used for each proposed Impofu wind farm to calculate the sustainable mortality thresholds in **Table 7.2**.

Figure 7.3: Area (black polygon) considered during the Impofu East cumulative scenario described in **Table 7.3**.

Figure 7.4: Area (black polygon) considered during the Cumulative Scenario 1 described in **Table 7.3**.

Figure 7.5: Area (black polygon) considered during the Cumulative Scenario 2 described in **Table 7.3**.

Considering the total current estimated annual bat fatalities of Wind Farms 1 – 4 (**Table 7.1** total is 554), summed with the threshold for Impofu East of 164 bats (**Table 7.2**), it gives a total of annual mortalities for Impofu East Scenario (Impofu East Wind Farm + all existing wind farms). These 718 bats are only about 41% of the cumulative acceptable annual mortality of bats for the Impofu East Wind Farm Scenario in **Table 7.3** which has an annual threshold of 1761 bats. This is considered to be the impact of the proposed Impofu East Wind Farm on the baseline scenario.

Similarly for Cumulative Scenario 1 (**Table 7.4** - all existing wind farms + all three proposed Impofu Wind Farms) the sum of the total current estimated annual fatalities of Wind Farms 1 – 4 (**Table 7.1** - total is 554), with the threshold for Impofu North (100), East (164) and West (97) (**Table 7.2**), results in a total of 915 annual mortalities for Cumulative Scenario 1. These 915 bats are only about 50% of the cumulative acceptable annual mortality of bats for the Cumulative Scenario 1 in **Table 7.3** which has annual threshold of 1988 bats. This is considered to be the impact of all three proposed Impofu Wind Farms on the baseline scenario.

Table 7.4 below summarises these figures for Impofu East Wind Farm Scenario and Cumulative Scenario 1.

Cumulative scenario	Acceptable annual mortality of bats (based on 2% calculation)	Estimated annual mortalities for Wind Farms 1 to 4 plus Impofu Wind Farm thresholds
Impofu East Scenario (Wind Farms 1 to 4 + Impofu East)	1761 bats	718 bats (41%)
Cumulative Scenario 1 (Wind) Farms 1 to 4 + Impofu East, West and North)	1988 bats	915 bats (46%)

Table 7.3: Cumulative impact scenarios involving the Impofu East Wind Farm site and other nearby existing wind farms.

Cumulative Scenario 2 further takes into account the additional impact of the other proposed wind farms in the area. Given that Ubuntu, Banna ba Pifhu and Oyster Bay wind farms don't yet exist, no "Estimator fatalities/ year" has been established for them whereas this has been established for the existing wind farms (**Table 7.1**). If these other three wind farms are developed along with the three Impofu Wind Farms then assumptions can be made about the mortality levels. For the purposes of this assessment, it is assumed the current existing wind farm mortalities stay at the current levels and the three new wind farms and the Impofu Wind Farms mortality rates are estimated to be at the acceptable annual mortality of bats applicable to each wind farm. Based on these assumptions, the total bat mortalities for Cumulative Scenario 2 should be well below the cumulative threshold as was the case for the other two scenarios.

Thus, it is concluded that the cumulative impacts for the area will be acceptable in both cumulative scenario 1 and 2 and are rated as High Moderate without mitigation and Low Moderate with mitigation. This is also further motivated by the fact that the annual bat mortalities at the two existing wind farms with the highest bat mortalities should start to show a reduction as mitigation is now being implemented at both of these. Furthermore, the iterative impact assessment process undertaken for the Impofu Wind Farms has incorporated mitigation in the form of avoidance based on bat no go areas and buffers; these no-go areas and buffers have become larger and more numerous when compared to those used in earlier studies done on wind farms that are now operational in this area. Therefore, the Impofu Wind Farms have in general applied the mitigation hierarchy principle of avoidance more rigorously than the older facilities in the area.

However, it still remains the responsibility of each wind farm to lower their estimator fatalities below the acceptable sustainability thresholds when bat fatalities are unsustainably high, which will lower the overall cumulative impact of all wind farms in the area. It will also avoid localised population declines, and resulting ecological effects, that may be experienced on a site-specific level if mortalities for a specific site is unsustainably high.

8 CONCLUSION

This report considers information gathered from 12 months of passive recordings, data of surrounding facilities, literature, and satellite imagery. The passive data as well as mortality records from nearby wind farms indicate that the three bat species most likely to be impacted on by the proposed wind farm are *Neoromicia capensis, Tadarida aegyptiaca* and *Miniopterus natalensis*. These more abundant species are of a large value to the local ecosystems as they provide a greater contribution to most ecological services than the rarer species, due to their higher numbers.

The Klasies River coastal cave is situated approximately 15km south-west of the site, and this cave is used by roosting bats. Thus, there is a probability of cave dwelling species utilising the site. *Miniopterus natalensis*, a cave dwelling species that can form large aggregations in caves, have been recorded by the passive systems on site. The temporal distribution of this species did not indicate any events that are clearly indicating evidence of migratory events, and overall the activity of this species was very low at 97m. Additionally, cave dwelling bat species carcasses were not detected in disproportionally high numbers on the surrounding wind farms during pre- and post-construction monitoring studies, and no operational data indicated any migration routes up to date.

Seven bat species were detected namely *Eptesicus hottentotus*, *Tadarida aegyptiaca, Pipistrellus hesperidus, Neoromicia capensis, Miniopterus natalensis and Myotis tricolor*. In general *Tadarida aegyptiaca* were most commonly detected on 97m, and *Neoromicia capensis* dominated at 10m except for SM2, SM2B, Met A2 and Met B. Such abundant species are of a large value to the local ecosystems as they provide a greater contribution to most ecological services than the rarer species, due to their higher numbers. SM3 had the highest bat activity levels, probably due to it being located in a high bat sensitivity area and high activity of cattle near a milkery combined with an open water source. Met B had the second highest overall activity levels. In all cases the 97m microphones recorded significantly less bats than the 10m microphones.

A sensitivity map was drawn up indicating potential roosting and foraging areas. The High Bat Sensitivity areas are expected to have elevated levels of bat activity and support greater bat diversity. High Bat Sensitivity areas and their buffers are 'no – go' areas due to expected elevated rates of bat fatalities due to wind turbines. During the preconstruction study the bat sensitivity map was revised and intensified. All of these 'no-go' areas were taken account of by the developer in the iterative design of the wind farm layout and **no turbines are located within these High Sensitivity areas and their buffers**, this includes the 75m maximum reach of the turbine blades. Avoidance, as has been done by exclusion of the 'no-go' areas for turbine placement, is the most effective mitigation measure for reducing the impact on bats and has therefore been undertaken in the design. Turbines within Moderate Bat Sensitivity

buffers and within Moderate Bat Sensitivities, have a higher likelihood of impacting bats. If the impact from one of these turbines (or any other turbine) is the cause of above acceptable thresholds, then mitigation measures may need to be applied to these turbines and this will need to be determined by the specialist undertaking the operational bat monitoring.

The cumulative assessment implies that as long as the proposed Impofu East wind farm, and the other wind farms that are not yet operational, remain below sustainable mortality thresholds, that the cumulative impacts for the area will be acceptable in all the cumulative scenarios. Therefore, the cumulative rating for Scenario 1 and 2 is High Moderate without mitigation and Low Moderate with mitigation. However, it still remains the responsibility of each wind farm to lower their estimator fatalities below the acceptable sustainability thresholds when bat fatalities are unsustainably high, which will lower the overall cumulative impact of all wind farms in the area.

It is recommended that curtailment be applied initially to all turbines at the start of operation at **Level 3** of the mitigation scale (see Section 6) as long as this is technically feasible with the turbines that are used for this wind farm. The impacts of the facility on bats will be monitored during the operational phase monitoring, and if necessary additional mitigation (**Table 6.1**) may need to be applied to any turbines or group of turbines identified as causing mortalities that are above the sustainable threshold levels. The levels and specifics of mitigation may need to be adjusted according to the results of the operational monitoring, based on robust scientific data. This is an adaptive management approach, and it is crucial that any changes suggested by the appointed bat specialist to the initial proposed mitigation schedule, be implemented as soon as practically possible.

In order to guide and preliminarily inform future operational monitoring and adaptive management/mitigation measures, **Table 6.1** is used when high mortalities are detected and insufficient bat activity data is gathered during the operational monitoring (e.g. at the start of the operational monitoring study). However, it's preferable that bat activity gathered at actual problematic turbines during the operational phase be used to inform a mitigation schedule, when needed. Also refer to the separate "Impofu East Mitigation Action Plan" document which summarises the mitigation measures and should be available to the operator during operation of the facility.

From a bat impact perspective, and by considering the bat activity and mortality data from the surrounding wind farms pre- and post-construction studies, no reasons have been identified to withhold environmental authorisation for the proposed Impofu East Wind Farm. This is on the basis that the effective 'avoidance mitigation' has already been implemented (the Impofu Wind Farms no go areas and buffers used have become larger and more numerous since the earlier studies done on wind farms in this area that are now operational, thus the Impofu Wind Farms have in general been better mitigated through avoidance compared to the older facilities in the area), and that all recommended mitigation measures as well as the "Impofu East Mitigation Action Plan" are adhered to. The conditions of the environmental authorisation must make provision for additional suitable mitigation measures to be implemented if the operational monitoring indicates bat mortalities above acceptable thresholds.

9 REFERENCES

African Chiroptera Report, 2016. *African Bats, Pretoria.*

Baerwald, E. F., D'Amours, G. H., Klug, B.J. and Barclay, R. M. R. 2008. Barotrauma is a significant cause of bat fatalities at wind turbines. *Current Biology* 18: 695-695.

Barclay, R. M. R., Baerwald, E. F., and Gruver, J. C. 2007. Variation in bat and bird fatalities at wind energy facilities: assessing the effects of rotor size and tower height. *Canadian Journal of Zoology* 85: 381-387.

Hester, S. G. and Grenier, M.B. 2005. *A conservation plan for bats in Wyoming*. Lander, WY: Wyoming Game and Fish Department, Nongame Program.

Horn, J. W., Arnett, E. B. and Kunz, T.H. 2008. Behavioural responses of bats to operating wind turbines. *Journal of Wildlife Management* 72: 123-132.

Howe, R. H., Evans, W. and Wolf, A. T. 2002. Effects of wind turbines on Birds and Bats on Northeastern Wisconsin. Report submitted to *Wisconsin Public Service Corporation and Madison Gas and Electric Company*.

Johnson, G. D., Erickson, W. P., Stickland, M. D., Shepherd, M. F., Shepherd, D. A. and Sarappo, S. A. 2003. Mortality of bats at a large-scale wind power development at Buffalo Ridge, Minnesota. *The American Midland Naturalist Journal* 150: 332-342.

Kunz, T. H., Arnett, E. B., Erickson, W. P., Hoar, A. R., Johnson, G. D., Larkin, R. P., Strickland, M. D., Thresher, R. W., Tuttle, M. D. 2007. Ecological impacts of wind energy development on bats: questions, research needs, and hypothesis. *Frontiers in Ecology and the Environment* 5: 315-324.

Lynch, C. D. 1989. The mammals of the north-eastern Cape Province. *Mem. Nas. Mus. Bloemfontein* 25: 1-116.

MacEwan, K., Aronson, J., Richardson, E., Taylor, P., Coverdale, B., Jacobs, D., Leeuwner, L., Marais, W., Richards, L. October 2018. South African Bat Fatality Threshold Guidelines – ed 2. *South African Bat Assessment Association.*

Marais, W.C. and Moir, M.I. 2013. Fourth and Final Progress Report of a 12-month Long Term Bat Monitoring Study, for the proposed RES Oyster Bay Wind Energy Facility near Oyster Bay, Eastern Cape. *Animalia Consultants (Pty) Ltd*

Marais, W.C. and Moir, M.I. 2014. Fifth and Final Progress Report of a 12-month Long-Term Bat Monitoring Study: June 2013 – May 2014, for the proposed Gibson Bay Wind Energy Facility near Oyster Bay, Eastern Cape. Animalia Consultants (Pty) Ltd

Marais, W.C. and Moir, M.I. 2017. Final Operational Monitoring Report for the two-year Bat Mortality Monitoring Study, for the Kouga Wind Farm near the town of Oyster Bay, Eastern Cape. Animalia Consultants (Pty) Ltd

Marais, W.C. and Moir, M.I. 2017. Progress Report for the 4th Site Visit of the First Year of the Operational Bat Monitoring Study, for the Tsitsikamma Community Wind Farm, Eastern Cape. Animalia Consultants (Pty) Ltd

Monadjem, A., Taylor, P.J., Cotterill, F.P.D. & Schoeman, M.C. 2010. Bats of southern and central Africa – A biogeographic and taxonomic synthesis, Ultra Litho (Pty) Ltd, Johannesburg.

Mucina, L. and Rutherford, M. C. 2006. The Vegetation of South Africa, Lesotho and Swaziland-*Strelitzia 19,* South African National Biodiversity Institute, Pretoria.

Neuweiler, G. 2000. *The Biology of Bats*. Oxford University Press.

O'Shea, T. J., Bogan, M. A. and Ellison, L. E. 2003. Monitoring trends in bat populations of the United States and territories: Status of the science and recommendations for the future. *Wildlife Society Bulletin*, 31: 16-29.

Rautenbach, I.L. 1982. *Mammals of the Transvaal*. Pretoria: Ecoplan.

Sowler, S., Stoffberg, S., MacEwan, K., Aronson, J., Ramalho, R., Forssman, K., Lötter, C. 2017. South African Good Practice Guidelines for Surveying Bats at Wind Energy Facility Developments - Pre-construction: Edition 4.1. *South African Bat Assessment Association*.

Taylor, P. J. 2000. Bats of southern Africa, University of Natal Press, Pietermaritzburg.

Tuttle, M. D. and Hensley, D. L. 2001. *The Bat House Builder's Handbook*. (BCI) Bat Conservation International.

van der Merwe, M. 1979. Growth of ovarian follicles in the Natal clinging bat. *South African Journal of Zoology* 14: 111-117.

van der Merwe, M. 1994. Reproductive biology of the Cape serotine bat, *Eptesicus capensis,* in the Transvaal, South Africa. *South African Journal of Zoology* 29: 36-39.

Vincent, S., Nemoz, M. and Aulagnier, S. 2011. Activity and foraging habitats of *Miniopterus schreibersii* (Chiroptera: Miniopteridae) in southern France: implications for its conservation. *The Italian Journal of Mammalogy* 22: 57-72.

Warren-Hicks, W., Newman, J., Wolpert, R., Karas, B. and Tran, L. (California Wind Energy Association.) 2013. *Improving Methods for Estimating Fatality of Birds and Bats at Wind Energy Facilities.* California Energy Commission. Publication Number: CEC-500-2012-086.

Werner Marais

Zoologist and Ecologist MSc Biodiversity & Conservation Pr.Sci.Nat. – SACNASP registration no. 400169/10 (Zoological Science)

DISCLAIMER

The services carried out and reported in this document have been done as accurately and scientifically as allowed by the resources and knowledge available to Animalia Consultants (Pty) Ltd at the time on which the requested services were provided to the client. Animalia Consultants (Pty) Ltd reserves the right to modify aspects of the document including the recommendations if and when new information may become available from ongoing research or further work in this field, or pertaining to this investigation.

Although great care and pride have been taken to carry out the requested services accurately and professionally, and to represent the relevant data in a clear and concise manner; no responsibility or liability will be accepted by Animalia Consultants (Pty) Ltd. And the client, by receiving this document, indemnifies Animalia Consultants (Pty) Ltd and its staff against all claims, demands, losses, liabilities, costs, damages and expenses arising from or in connection with services rendered, directly or indirectly by Animalia Consultants (Pty) Ltd; and by the use of the information contained in this document. The primary goal of Animalia's services is to provide professionalism that is to the benefit of the environment as well as the community.

COPYRIGHT

This document may not be altered or added to without the prior written consent of the author. This also refers to electronic copies of this document which are supplied for the purposes of inclusion as part of other reports. Similarly, any recommendations, statements or conclusions drawn from or based on this document must make reference to this document.