Palaeontological Impact Assessment for the proposed Mining Permit on a Portion of the Farm Steinkopf No 22, Nama Khoi Municipal area, Northern-Cape Province

Desktop Study (Phase 1)

For

Beyond Heritage

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Expertise of Specialist

The Palaeontologist Consultant: Prof Marion Bamford Qualifications: PhD (Wits Univ, 1990); FRSSAf, mASSAf

Experience: 33 years research and lecturing in Palaeontology

25 years PIA studies and over 300 projects completed

Declaration of Independence

This report has been compiled by Professor Marion Bamford, of the University of the Witwatersrand, sub-contracted by Beyond Heritage, Modimolle, South Africa. The views expressed in this report are entirely those of the author and no other interest was displayed during the decision making process for the Project.

Specialist: Prof Marion Bamford

MKBamford

Signature:

Executive Summary

A Palaeontological Impact Assessment was requested by SAHRA (Case ID:18734) for the proposed Mining Permit Application by Namli Exploration (Pty) Ltd on a portion of Farm Steinkopf No 22, in the lower Orange River area, Nama Khoi Municipal area, Northern-Cape.

To comply with the regulations of the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed development.

The proposed site lies on the non-fossiliferous volcanic rocks of the Vuurdood Subsuite (Vioolsdrift Suite) and the Orange River Suite (Richtersveld Subprovince). These granites and reworked volcaniclastic sediments were emplaced about 2 000 to 1 900 million years ago so are the wrong type and too old to preserve any fossils. No fossils have been recorded from this area and the closest riverine alluvium with transported rocks occurs on the north side of the Orange River or far south. A Fossil Chance Find Protocol is of limited use because it is not known what types of fossils could even be looked for, but one has been added for Quaternary sands. Since the impact will be extremely low, as far as the palaeontology is concerned, the project should be authorised, and mining permit granted.

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1. Background

A Mining Permit Application has been submitted by Namli Exploration and Mining (PTY) LTD. Reference number: NC 30/5/1/3/2/10950 MP proposed mining activities on the farm Steinkopf 22 in the Nama Khoi municipal area of the Northern Cape (Figures 1-2).

Greenmined Environmental (Pty) Ltd have been appointed by Namli Exploration and Mining (Pty) Ltd to undertake an Environmental Authorisation Application for a Draft Basic Assessment Report (DBAR), in terms of the National Environmental Management Act, 1998 (NEMA) and the EIA Regulations for activities that trigger the Mineral and Petroleum Resources Development Act, 2002 (MPRDA)(As amended). Beyond Heritage has undertaken the Heritage Impact for the project (van der Walt, 2022). The area is indicated as white (unknown palaeosensitivity) on the SAHRIS map so no palaeontological impact assessment (PIA) was done. However, SAHRA has requested that a PIA be undertaken (Case ID:18734). This report is the desktop PIA for the project.

The proposed mining will include clearing of vegetation, opencast mining consisting of excavations, drilling and blasting of the hard rock, haul and access roads, stockpiles, temporary washbay, site office, workshops and storerooms, crushing and screening plant, and processing plant within an application area of 5 ha.

A Palaeontological Impact Assessment was completed for the Steinkopf MRA project. To comply with the regulations of the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed development and is reported herein.

Table 1: National Environmental Management Act, 1998 (Act No. 107 of 1998) (NEMA) and Environmental Impact Assessment (EIA) Regulations, 2014 (as amended) - Requirements for Specialist Reports (Appendix 6).

	A specialist report prepared in terms of the Environmental Impact Regulations of 2017 must contain:	Relevant section in report
ai	Details of the specialist who prepared the report,	Appendix B
aii	The expertise of that person to compile a specialist report including a curriculum vitae	Appendix B
b	A declaration that the person is independent in a form as may be specified by the competent authority	Page 1
С	An indication of the scope of, and the purpose for which, the report was prepared	Section 1
ci	An indication of the quality and age of the base data used for the specialist report: SAHRIS palaeosensitivity map accessed – date of this report	Yes

	A specialist report prepared in terms of the Environmental Impact Regulations of 2017 must contain:	Relevant section in report
cii	A description of existing impacts on the site, cumulative impacts of the proposed development and levels of acceptable change	Section 5
d	The date and season of the site investigation and the relevance of the season to the outcome of the assessment	N/A
е	A description of the methodology adopted in preparing the report or carrying out the specialised process	Section 2
f	The specific identified sensitivity of the site related to the activity and its associated structures and infrastructure	Section 4
g	An identification of any areas to be avoided, including buffers	N/A
h	A map superimposing the activity including the associated structures and infrastructure on the environmental sensitivities of the site including areas to be avoided, including buffers;	N/A
i	A description of any assumptions made and any uncertainties or gaps in knowledge;	Section 5
j	A description of the findings and potential implications of such findings on the impact of the proposed activity, including identified alternatives, on the environment	Section 4
k	Any mitigation measures for inclusion in the EMPr	Section 8, Appendix A
1	Any conditions for inclusion in the environmental authorisation	N/A
m	Any monitoring requirements for inclusion in the EMPr or environmental authorisation	Section 8, Appendix A
ni	A reasoned opinion as to whether the proposed activity or portions thereof should be authorised	Section 6
nii	If the opinion is that the proposed activity or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan	Sections 6, 8
0	A description of any consultation process that was undertaken during the course of carrying out the study	N/A
р	A summary and copies of any comments that were received during any consultation process	N/A
q	Any other information requested by the competent authority.	N/A
2	Where a government notice gazetted by the Minister provides for any protocol or minimum information requirement to be applied to a specialist report, the requirements as indicated in such notice will apply.	N/A

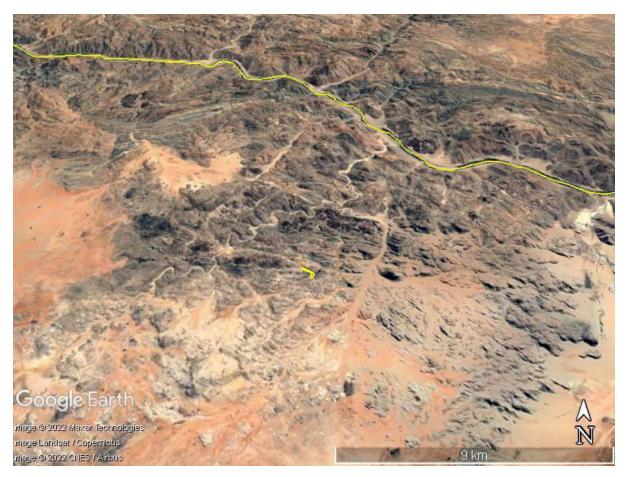


Figure 1: Google Earth map of the general area to show the relative land marks. The Steinkopf 22 Farm MRA project area is shown by the tiny yellow polygon.



Figure 2: Google Earth Map of the proposed mining permit application is shown by the yellow outline.

2. Methods and Terms of Reference

The Terms of Reference (ToR) for this study were to undertake a PIA and provide feasible management measures to comply with the requirements of SAHRA. The methods employed to address the ToR included:

- 1. Consultation of geological maps, literature, palaeontological databases, published and unpublished records to determine the likelihood of fossils occurring in the affected areas. Sources include records housed at the Evolutionary Studies Institute at the University of the Witwatersrand and SAHRA databases;
- 2. Where necessary, site visits by a qualified palaeontologist to locate any fossils and assess their importance (*not applicable to this assessment*);
- 3. Where appropriate, collection of unique or rare fossils with the necessary permits for storage and curation at an appropriate facility (not applicable to this assessment); and
- 4. Determination of fossils' representivity or scientific importance to decide if the fossils can be destroyed or a representative sample collected (*not applicable to this assessment*).

3. Geology and Palaeontology

i. Project location and geological context

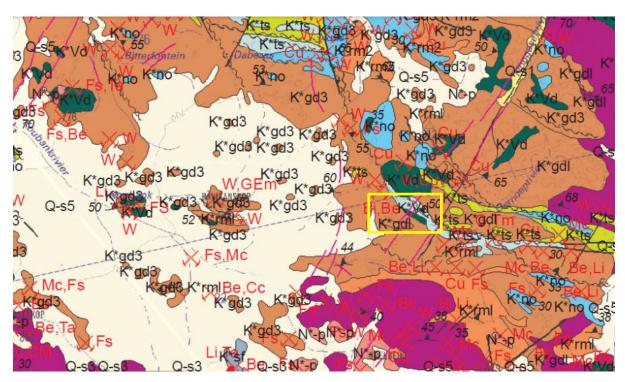


Figure 3: Geological map of the area around the Farm Steinkopf No 22 with proposed project is indicated within the yellow rectangle. Abbreviations of the rock types are explained in Table 2. Map enlarged from the Geological Survey 1: 250 000 map 2816 Alexander Bay.

Table 2: Explanation of symbols for the geological map and approximate ages (Cornell et al., 2006; Reid and Minaar, 2021). SG = Supergroup; Fm = Formation; Ma = million years; grey shading = formations impacted by the project.

Symbol	Group/Formation	Lithology	Approximate Age
Q-s3	Quaternary	Red aeolian sand	Quaternary, ca 1.0 Ma to present
Q-s5	Quaternary	Surface sandy soil	Quaternary, ca 1.0 Ma to present
K-Vd	Vuurdood Subsuite, Vioolsdrift Suite, Richtersveld Magmatic Arc, Namaqua-Natal Province	Extensive granitoid batholith	Palaeoproterozoic Ca 1910 -1865 Ma
K-No	Orange River Group, Richtersveld Magmatic Arc, Namaqua-Natal Province	Aerial volcanic rocks with re-worked volcaniclastic sediments, highly variable	Palaeoproterozoic Ca 1910 - 1865 Ma
K-Ts	Tsams Fm, Haib Subgroup, Orange River Group, Richtersveld	Leucocratic to mesocratic volcanic rocks	Palaeoproterozoic Ca 1910 and 1865 Ma

Symbol	Group/Formation	Lithology	Approximate Age
	Magmatic Arc,		
	Namaqua-Natal		
	Province		

The project lies in the Namaqua-Natal Province which is a tectono-stratigraphic province and forms the southern and western boundary of the ancient Kaapvaal Craton, and extends below the Karoo Basin sediments to the south (Cornell et al., 2006). It comprises rocks that were formed during the Namaqua Orogeny (mountain-building) some 2000 – 1000 million years ago. It has been divided by geologists into a number of terranes (similar lithology and bounded by shear zones). There are three main lithologic units used to separate the terranes as well as the shear zones but still there is some debate about the terranes (ibid). Very simply, the lithologic units are older reworked rocks, juvenile rocks formed during tectonic activities and metamorphosed, and intrusive granitoids.

According to Cornell et al. (2006) the five terranes are:

- A Richtersveld Subprovince (undifferentiated terranes)
- B Bushmanland Terrane (granites)
- C Kakamas Terrane (supracrustal metapelite ca 2000 Ma
- D Areachap Terrane (supracrustal rocks and granitoids)
- E Kaaien Terrane (Keisian aged metaquartzites and deformed volcanic rocks).

This project is in the Richtersveld Subprovince (Cornell et al., 2006) that extends for about 29 000km² in the lower Orange River area (Figure 3). It is bounded by the Bushmanland Terrance on the west and by younger thrusts on the east. There are two components to the Richtersveld Subprovince, namely the Orange River Group which is a volcano- sedimentary sequence, and the Vioolsdrif Suite which is an extensive granitoid batholith. Recent fieldwork and mapping by Reid and Minaar (2021) has shown that the Vuurdood Subsuite (Vioolsdrift Suite) includes gabbro, metagabbro, quartzmetagabbro, peridotite and troctolite. These are highly metamorphosed volcanic rocks.

Much younger sands and alluvium of the Quaternary period have infilled some of the valleys and lower-lying areas in the region. The source of these sands is to the south where there are extensive sand dunes and palaeo-ergs and are generally known as the Gordonia Formation in this region (Partridge et al., 2006). Their age and original source, however, are unknown (Botha, 2021).

ii. Palaeontological context

The palaeontological sensitivity of the area under consideration is presented in Figure 4. The site for mining is in the non-fossiliferous volcanic and metamorphosed volcanic rocks of the Orange River Suite and the Vuurdood Subsuite, respectively. Volcanic rocks do not preserve any fossils because they are from the molten magma that moved to the surface of the crust, with plutons reaching the surface and batholiths not reaching the surface.

The only sedimentary rocks that could transport or bury fossils are the Quaternary sands and alluvium and they are not in the project footprint. Therefore, there is no chance of fossils being found in the project area. If access roads are crossing sands and river gravels, there might be fossils, so a fossil chance find protocol is added for that eventuality.

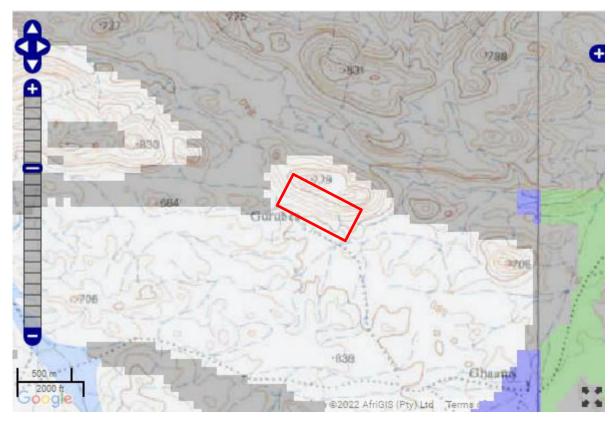


Figure 4: SAHRIS palaeosensitivity map for the site for the proposed Steinkopf No 22 Farm MRA shown within the red rectangle. Background colours indicate the following degrees of sensitivity: red = very highly sensitive; orange/yellow = high; green = moderate; blue = low; grey = insignificant/zero.

From the SAHRIS map above the area is indicated as having an unknown sensitivity (white). According to the more recent research on the lithology of the mountains and hills, the rocks are volcanic and so the colour-coding should be grey for insignificant to zero sensitivity. Note, the Vioolsdrift Suite should not be confused with the bioherm-like structures outside the town of Vioolsdrif that occur in the Schwarzrand of the Nama Group (pers. obs).

4. Impact assessment

An assessment of the potential impacts to possible palaeontological resources considers the criteria encapsulated in Table 3:

Table 3a: Criteria for assessing impacts

PART A: DEFINITION AND CRITERIA				
	Н	Substantial deterioration (death, illness or injury). Recommended level will often be violated. Vigorous community action.		
	M	Moderate/ measurable deterioration (discomfort). Recommended level will occasionally be violated. Widespread complaints.		
Criteria for ranking of the SEVERITY/NATURE of environmental	L	Minor deterioration (nuisance or minor deterioration). Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.		
impacts	L+	Minor improvement. Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.		
	M+	Moderate improvement. Will be within or better than the recommended level. No observed reaction.		
	H+	Substantial improvement. Will be within or better than the recommended level. Favourable publicity.		
Criteria for ranking	L	Quickly reversible. Less than the project life. Short term		
the DURATION of	M	Reversible over time. Life of the project. Medium term		
impacts	Н	Permanent. Beyond closure. Long term.		
Criteria for ranking	L	Localised - Within the site boundary.		
the SPATIAL SCALE	M	Fairly widespread – Beyond the site boundary. Local		
of impacts	Н	Widespread - Far beyond site boundary. Regional/ national		
PROBABILITY	Н	Definite/ Continuous		
(of exposure to		Possible/ frequent		
impacts)	L	Unlikely/ seldom		

Table 3b: Impact Assessment

PART B: Assessment				
	Н	-		
	M	-		
SEVERITY/NATURE	L	Volcanic rocks do not preserve fossils; so far there are no records from the Quaternary sands of plant or animal fossils in this region so it is very unlikely that fossils occur on the site. The impact would be negligible		
	L+	-		
	M+	-		
	H+	-		
	L	-		
DURATION	M			
	Н	Where manifest, the impact will be permanent.		

PART B: Assessment		
SPATIAL SCALE	L	Since the only possible fossils within the area would be transported fossil fragments in the river sands and gravels but these are not in footprint, the spatial scale will be localised within the site boundary.
	M	-
	Н	-
	Н	-
	M	-
PROBABILITY	L	It is extremely unlikely that any fossils would be found in the loose soils and sands that occur in the valleys to the south that are unlikely to be impacted. Nonetheless, a Fossil Chance Find Protocol should be added to the eventual EMPr.

Based on the nature of the project, surface activities may impact upon the fossil heritage if preserved in the development footprint. The geological structures suggest that the rocks are the wrong type and much too old to contain fossils. Furthermore, the material to be mined will be volcanic or metamorphosed rocks and these do not preserve fossils. Since there is an extremely small chance that fossils from the nearby Quaternary sands may be disturbed by access roads a Fossil Chance Find Protocol has been added to this report. Taking account of the defined criteria, the potential impact to fossil heritage resources is extremely low.

5. Assumptions and uncertainties

Based on the geology of the area and the palaeontological record as we know it, it can be assumed that the formation and layout of the granites, sandstones, shales and sands are typical for the country and do not contain fossil plant, insect, invertebrate and vertebrate material. The sands of the Quaternary period would not preserve fossils.

6. Recommendation

Based on experience and the lack of any previously recorded fossils from the area, it is extremely unlikely that any fossils would be preserved in the volcanic rocks or in the river sands and gravels of the Quaternary river valleys. There is an extremely small chance that fossils may occur in the adjacent sands so a Fossil Chance Find Protocol should be added to the EMPr. If fossils are found by the environmental officer, or other responsible person once mining has commenced then they should be rescued and a palaeontologist called to assess and collect a representative sample. The impact on the palaeontological heritage would be extremely low, therefore, as far as the palaeontology is concerned. The mining permit should be granted.

7. References

Botha, G.A., 2021. Cenozoic stratigraphy of South Africa: current challenges and future possibilities. South African Journal of Geology 124, 817-842.

Cornell, D.H., Thomas, R.J., Moen, H.F.G., Reid, D.L., Moore, J.M., Gibson, R.L., 2006. The Namaqua-Natal Province. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. Pp 325-379.

Partridge, T.C., Botha, G.A., Haddon, I.G., 2006. Cenozoic deposits of the interior. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. Pp 585-604.

Reid, D.L., Minnaar, H., 2021. Lithostratigraphy of the Vuurdood Subsuite, an early maficultramafic phase of the Palaeoproterozoic Vioolsdrif Intrusive Suite, Richtersveld Magmatic Arc, Northern Cape Province. South African Journal of Geology 124, 805-814.

Van der Walt, J. 2022. Heritage Impact Assessment for the Proposed Mining Right over a Portion of the Farm Steinkopf No 22 in the Nama Khoi Municipal area of the Northern-Cape Province. Unpublished Report.

8. Chance Find Protocol

Monitoring Programme for Palaeontology – to commence once the excavations / drilling / mining activities begin.

- 1. The following procedure is only required if fossils are seen on the surface and when drilling/excavations/mining commence.
- 2. When excavations begin the rocks and must be given a cursory inspection by the environmental officer or designated person. Any fossiliferous material (fragments of plants, insects, bone or wood) should be put aside in a suitably protected place. This way the project activities will not be interrupted.
- 3. Photographs of similar fossils must be provided to the developer to assist in recognizing the fossil plants, vertebrates, invertebrates or trace fossils in the shales and mudstones (for example see Figure 5). This information will be built into the EMP's training and awareness plan and procedures.
- 4. Photographs of the putative fossils can be sent to the palaeontologist for a preliminary assessment.
- 5. If there is any possible fossil material found by the developer/environmental officer/miners then the qualified palaeontologist sub-contracted for this project, should visit the site to inspect the selected material and check the dumps where feasible.
- 6. Fossil plants or vertebrates that are considered to be of good quality or scientific interest by the palaeontologist must be removed, catalogued and

- housed in a suitable institution where they can be made available for further study. Before the fossils are removed from the site a SAHRA permit must be obtained. Annual reports must be submitted to SAHRA as required by the relevant permits.
- 7. If no good fossil material is recovered then no site inspections by the palaeontologist will be necessary. A final report by the palaeontologist must be sent to SAHRA once the project has been completed and only if there are fossils.
- 8. If no fossils are found and the excavations have finished then no further monitoring is required.

9. Appendix A – Examples of fossils from the Quaternary alluvium



Figure 5: Photographs of transported and fragmentary fossils that have been washed into a river setting and so out of primary context and of limited scientific value.

10. Appendix B – Details of specialist

Curriculum vitae (short) - Marion Bamford PhD January 2022

I) Personal details

Surname : **Bamford**

First names : **Marion Kathleen**

Present employment: Professor; Director of the Evolutionary Studies Institute.

Member Management Committee of the NRF/DST Centre of Excellence Palaeosciences, University of the Witwatersrand,

Johannesburg, South Africa

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E-mail : marion.bamford@wits.ac.za;

marionbamford12@gmail.com

ii) Academic qualifications

Tertiary Education: All at the University of the Witwatersrand:

1980-1982: BSc, majors in Botany and Microbiology. Graduated April 1983.

1983: BSc Honours, Botany and Palaeobotany. Graduated April 1984.

1984-1986: MSc in Palaeobotany. Graduated with Distinction, November 1986.

1986-1989: PhD in Palaeobotany. Graduated in June 1990.

NRF Rating: C-2 (1999-2004); B-3 (2005-2015); B-2 (2016-2020); B-1 (2021-2026)

iii) Professional qualifications

Wood Anatomy Training (overseas as nothing was available in South Africa):

1994 - Service d'Anatomie des Bois, Musée Royal de l'Afrique Centrale, Tervuren, Belgium, by Roger Dechamps

1997 - Université Pierre et Marie Curie, Paris, France, by Dr Jean-Claude Koeniguer

1997 - Université Claude Bernard, Lyon, France by Prof Georges Barale, Dr Jean-Pierre

Gros, and Dr Marc Philippe

iv) Membership of professional bodies/associations

Palaeontological Society of Southern Africa

Royal Society of Southern Africa - Fellow: 2006 onwards

Academy of Sciences of South Africa - Member: Oct 2014 onwards

International Association of Wood Anatomists - First enrolled: January 1991

International Organization of Palaeobotany - 1993+

Botanical Society of South Africa

South African Committee on Stratigraphy – Biostratigraphy - 1997 - 2016

SASQUA (South African Society for Quaternary Research) - 1997+

PAGES - 2008 - onwards: South African representative

ROCEEH / WAVE - 2008+

INQUA - PALCOMM - 2011+onwards

vii) Supervision of Higher Degrees

All at Wits University

Degree	Graduated/completed	Current
Honours	13	0
Masters	11	3

PhD	11	6
Postdoctoral fellows	15	1

viii) Undergraduate teaching

Geology II – Palaeobotany GEOL2008 – average 65 students per year Biology III – Palaeobotany APES3029 – average 45 students per year Honours – Evolution of Terrestrial Ecosystems; African Plio-Pleistocene Palaeoecology; Micropalaeontology – average 12-20 students per year.

ix) Editing and reviewing

Editor: Palaeontologia africana: 2003 to 2013; 2014 - Assistant editor

Guest Editor: Quaternary International: 2005 volume

Member of Board of Review: Review of Palaeobotany and Palynology: 2010 -

Associate Editor Open Science UK: 2021 -

Review of manuscripts for ISI-listed journals: 30 local and international journals Reviewing of funding applications for NRF, PAST, NWO, SIDA, National Geographic, Leakey Foundation

x) Palaeontological Impact Assessments

Selected from the past five years only – list not complete:

- Mala Mala 2017 for Henwood
- Modimolle 2017 for Green Vision
- Klipoortjie and Finaalspan 2017 for Delta BEC
- Ledjadja borrow pits 2018 for Digby Wells
- Lungile poultry farm 2018 for CTS
- Olienhout Dam 2018 for IP Celliers
- Isondlo and Kwasobabili 2018 for GCS
- Kanakies Gypsum 2018 for Cabanga
- Nababeep Copper mine 2018
- Glencore-Mbali pipeline 2018 for Digby Wells
- Remhoogte PR 2019 for A&HAS
- Bospoort Agriculture 2019 for Kudzala
- Overlooked Quarry 2019 for Cabanga
- Richards Bay Powerline 2019 for NGT
- Eilandia dam 2019 for ACO
- Eastlands Residential 2019 for HCAC
- Fairview MR 2019 for Cabanga
- Graspan project 2019 for HCAC
- Lieliefontein N&D 2019 for EnviroPro
- Skeerpoort Farm Mast 2020 for HCAC
- Vulindlela Eco village 2020 for 1World
- KwaZamakhule Township 2020 for Kudzala
- Sunset Copper 2020 for Digby Wells
- McCarthy-Salene 2020 for Prescali
- VLNR Lodge 2020 for HCAC
- Madadeni mixed use 2020 for EnviroPro
- Frankfort-Windfield Eskom Powerline 2020 for 1World

- Beaufort West PV Facility 2021 for ACO Associates
- Copper Sunset MR 2021 for Digby Wells
- Sannaspos PV facility 2021 for CTS Heritage
- Smithfield-Rouxville-Zastron PL 2021 for TheroServe

xi) Research Output

Publications by M K Bamford up to January 2022 peer-reviewed journals or scholarly books: over 160 articles published; 5 submitted/in press; 10 book chapters. Scopus h-index = 30; Google scholar h-index = 35; -i10-index = 92 Conferences: numerous presentations at local and international conferences.