5. LEGISLATIVE CONTEXT

5.1. Introduction

This Legal Review is undertaken and compiled by Jennifer Howarth in her capacity as an environmental legal specialist. It is based on the Scoping recommendation for the new wet ash disposal facility to be established on alternative site E.

The following Acts are applicable to this project:

- National Environmental Management Waste Act, Act 59 of 2008
- The National Environmental Management: Air Quality Act No 39 of 2004;
- GN R1179 (GG 16536 of 25 August 1995) Hazardous Chemical Substances Regulations promulgated in terms of the Occupational Health and Safety Act No 85 of 1993;
- Hazardous Substances Act No 15 of 1973
- Constitution of South Africa, 1996 (with reference to noise)
- Explosives Act No 26 of 1956 and Regulation 1604 of 8 September 1972;
- National Environmental Management Act No 107 of 1998 (with reference to noise and prevention of pollution)
- National Environmental Management: Biodiversity Act No 10 of 2004 (in respect of Fauna, Flora and National Heritage Resources)
- Conservation of Agricultural Resources Act No 43 of 1989 (in respect of Fauna, Flora and National Heritage Resources)
- National Forest Act No 84 of 1998 (in respect of protected trees)
- National Veld and Forest Fire Act No 101 of 1998
- National Heritage Resources Act No 25 of 1999
- Promotion of Access to Information Act No 2 of 2000 (in respect of record-keeping and interested and affected parties and monitoring of environmental impacts)

The process also investigates the alignment of the Hendrina Wet Ash Disposal Facility Extension project with the NEMA Principles as well as with the Equator Principles and those of the International Finance Corporation (IFC) Performance Standards on Social and Environmental Sustainability

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5.2. Legal Review

5.2.1. Atmospheric Pollution

Legislation	Specific compliance requirements	Legal commentary and recommended action
National Environmental	Section 32 - Control of dust	Prevention of nuisance by dust and measures for the
Management: Air Quality		control of dust. During the construction of the new wet
Act No 39 of 2004		ash disposal facility, dust must be prevented by taking
		measures to control same. Current dust sources include
		vehicles and wind erosion.
	Section 35 – Control of offensive odours	Ensure that no offensive odours are emitted by any of the
		activities of Eskom in constructing the wet ash disposal
		facility.
	DIESEL VEHICLE REGULATIONS	Eskom to ensure that no diesel driven vehicles to be used
	GN R 1651 (GG 4393 of 20 September 1974)	on any public roads if the noxious or offensive gases
		emitted by the engine of the vehicle is of a density greater
		than prescribed in this Regulation.

5.2.2. Waste Management

Legislation	Specific compliance requirements	Legal commentary and recommended action
National Environmental	Section 16 - General duty in respect of waste	Eskom (as a 'holder of waste') must take reasonable
Management: Waste Act	management	measures to avoid the generation of waste and the
No 59 of 2008		minimization of the toxicity and amounts of waste
		generated and also to reduce, recycle and recover waste.
		Waste must be disposed of in an environmentally sound
		manner. It must not cause a nuisance through noises,
		odour or visual impacts
	Section 17 - Reduction, re-use, recycling and	Any activity involving the reduction, re-use, recycling or
	recovery of waste	recovery of waste must use less natural resources than
		the disposal of such waste and must be less harmful to the

	environment than the disposal of such waste
Section 20 - Consequences of listing waste	If Eskom intends to undertake a waste management
management activities	activity, it must be in accordance with the waste
	management license for that activity. A waste
	management activity includes the generation,
	accumulation, storage, re-use, recycling and disposal of
	waste.
Section 26 - Prohibition of unauthorised disposal	No person may dispose of waste in or on any land,
	waterbody or at any facility unless the disposal is
	authorised by law; or dispose of waste in a manner that is
	likely to cause pollution of the environment or harm to
	health and well-being.
Section 45 - Application for waste management	This section sets out the provisions regarding the
licenses	application for waste management licenses.
R718 of 2009 (GG 32368 of 03/07/2009)	This regulation lists the waste management activities that
	have, or are likely to have a detrimental effect on the
	environment.
Regulation 1 – Definitions	Eskom to note that the definition of a 'lagoon' includes an
	wet ash disposal facility and 'temporary storage' means
	continuous storage of waste excluding a once off storage
	of waste for a period not exceeding 90 days.
Deculation 2 Conord	It would be mated that Falsans many mat undowtake on
Regulation 2 – General	It must be noted that Eskom may not undertake or conduct a waste management activity listed in this
	schedule unless a license is issued in respect of that
	activity.
	activity.
Category B	The following listed activities apply:
	Activity 1: The storage including the temporary storage of
	hazardous waste in lagoons
	nazarasas waste in lagoons

	Activity 9: The disposal of any quantity of hazardous
	waste to land
	Activity 11: The construction of facilities for activities
	listed in Category B of this schedule (not in isolation to
	associated activity)

5.2.3. Hazardous Substances

Legislation	Specific compliance requirements	Legal commentary and recommended action
Occupational Health and	GN R1179 (GG 16536 of 25 August 1995 -	These regulations contain provisions regarding the
Safety Act No 85 of 1993	Hazardous Chemical Substances Regulations	handling of hazardous substances and it is mainly aimed
		at the occupational hygiene side thereof, including the
		assessment of potential exposure, medical surveillance,
		PPE, etc. Eskom use fuels, oils, solvents, etc and these
		regulations need to be taken cognizance of in terms of the
		transport, storage, handling and disposal thereof.
Hazardous Substances Act	Various sections of this Act apply.	Eskom must identify the various groups of hazardous
No 15 of 1973		substances which will be used in terms of the extension of
		the wet ash disposal facilities. These substances should
		then be classed in terms of SANS10228 to ensure that
		they are stored properly and that the MSDS's are in place
		in the event of a spill
Explosives Act No 26 of	Various sections of this Act will apply in respect of	Various sections of this Act and the regulations will apply
1956 and R1604 of	the use, handling, transport, storage and disposal	in the event that blasting will take place in the
September 1972	of explosives	construction of the wet ash disposal facility and associated
		infrastructure.

5.2.4. Water Consumption and Disposal

Legislation	Specific compliance requirements	Legal commentary and recommended action
National Water Act No 36	Section 19 - Prevention and remedying effects of	This section places a duty on Eskom to establish whether
of 1998	pollution	any of its activities caused, causes or may cause pollution
		to a water resource. In order to do this, monitoring of
		water must be done on a regular basis. If any such
		pollution or possible pollution has been identified,
		reasonable measures must be taken to prevent the
		continuation or recurrence thereof. The effectiveness
		thereof must be monitored and if such pollution is not
		prevented, other measures must be investigated.
		Measures must also be taken for any future activities
		which may cause pollution to water resources.
		The CMA has been given extensive powers in prevention
		or remedying the effects of pollution of water resources
		and may recover their costs in any action, which could
		become quite significant.
		The Wet ash disposal facility must be constructed in such
		a way as to ensure that maintenance can be performed to
		ensure that there are no leakages. Water quality must
		also be monitored to ensure no pollution to the
		environment in the event of leakages.
	Section 20 - Control of emergency incidents	Eskom needs to identify the possibility of any substances
		used which may cause significant pollution of water
		resources during an accident or incident. Management
		procedures need to be implemented to prevent such
		accident or incident
	Section 21(c) - Impeding or diverting the flow of	Although Eskom have an existing water license, it will
	water in a <u>watercourse</u> ; and	have to be amended by the addition of an application in
	Section 21(i) – Altering the bed, banks, course or	terms of sections 21(c) and 21(i) due to the presence of
	characteristics of a <u>watercourse</u>	wetlands on the preferred site.

GN No 1198 of 18 December 2009 - General	"altering the bed, banks, course or characteristics of a
Authorisation in terms of section 39 of the National	watercourse" means any change affecting the resource
Water Act, 1998 in terms of section 21(c) and (i)	quality within the riparian habitat or 1:100 year floodline,
for the purpose of rehabilitating a wetland for	whichever is the greater distance at the date of
conservation purposes	commencement of this notice"
GN No 1199 of 18 December 2009 - Replacement	This notice does not apply to the use of water in terms of
of General Authorisation in terms of section 39 of	section 21(c) and (i) for the rehabilitation of a wetland.
the National Water Act, 1998	The notice also does not apply to the use of water in terms
	of section 21(c) and (i) within a 500 metre radius from the
	boundary of any wetland

5.2.5. Noise

Legislation	Specific compliance requirements	Legal commentary and recommended action
Constitution of South	Section 24 - Everybody has the right to an	Eskom must ensure that noise levels are minimized and
Africa, 1996	environment that is not harmful to their health or	where it cannot be minimized that the correct PPE is
	well-being.	provided. A survey should be conducted to establish if
		any disturbing noises are caused by the activities of
		Eskom.
National Environmental	Section 34 - Control of noise	Ensure that no noise pollution exists.
Management: Air Quality		The Minister may prescribe national standards for the
Act No 39 of 2004		control of noise, either in general or by specified
		machinery or activities or in specified places or areas or
		for determining a definition of noise and the maximum
		levels of noise. When controlling noise the provincial and
		local spheres of government are bound by any prescribed
		national standards. Eskom to note this in the construction
		phase.

5.2.6. Fauna, Flora and National Heritage Resources

Legislation	Specific compliance requirements	Legal commentary and recommended action
This section of the review is inserted only for information purposes. The assumption is made that specialist studies had already covered these		
aspects.		
National Environmental	Section 56 – Listing of species that are threatened	Ensure that no species which are listed as threatened or in
Management: Biodiversity	or in need of national protection	need of protection occur on site.
Act No 10 of 2004	Section 69 – Duty of care relating to alien species	Ensure that no alien species are established on site.
	Section 75 - Control and eradication of listed	Once a survey has been conducted to establish if there are
	invasive species	listed invasive species and they do occur on site, they
		must be controlled and eradicated.
Conservation of	Section 5 – Prohibition of the spreading of weeds	Ensure that weeds are not spread on site.
Agricultural Resources Act	GN R. 1048 (GG 9238 of 25 May 1984)	A survey should be conducted to establish which weeds
No 43 of 1989	Regulation 15 - Declared weeds and invader	and invader plants occur on site. Steps should then be
	plants	taken to control and/or eradicate weeds as provided for in
		these regulations.
National Forest Act No 84	Section 12 – Declaration of trees as protected	A survey should be conducted to verify if there are any
of 1998	R1080 of 2008(GG 31482 of 3 October 2008) -	protected trees on site and if there are, it should be noted
	Notice of list of protected trees	that these trees may not be cut, damaged, destroyed, etc
		for the purpose of clearing any areas on site.
		The effect of this declaration is that in terms of section
		15(1) of the National Forests Act, 1998, no person may
		cut, disturb, damage or destroy any protected tree or
		possess, collect, remove, transport, export, purchase, sell,
		donate or in any other manner acquire or dispose of any
		protected tree or any forest product derived from a
		protected tree, except under a license granted by the
		Minister to an applicant and subject to such period and
		conditions as may be stipulated.
National Veld and Forest	Section 12 - Duty to prepare and maintain fire	Note that fire breaks need to be prepared and maintained.
Fire Act No 101 of 1998	breaks	
	Section 17 – Readiness for fire fighting	Eskom to ensure that firefighting equipment is serviced

		and maintained on a regular basis.
National Heritage	Section 36 - Burial grounds and graves	One grave has been identified on site.
Resources Act No 25 of		
1999		

5.2.7. Planning of New Activities

Legislation	Specific compliance requirements	Legal commentary and recommended action
National Environmental	Regulation 544 of 2010 (GG 33306 of 18 June	These regulations set out the activities and competent
Management Act No 107 of	2010) - Listing notice 1: List of activities and	authorities.
1998	competent authorities identified in terms of section	
	24(2) and 24D	
	Regulation 3 – Identified activities and competent	The activities listed in Appendix 1 may not commence
	authorities	without an environmental authorisation from the
		competent authority.
	Appendix 1	Activity 9: The construction of facilities or infrastructure
		exceeding 1000 metres in length for the bulk
		transportation of water, sewage or stormwater
		(i) With an internal diameter of 0.36 metres or more;
		or
		(ii) With a peak throughput of 120 litres per second or
		more.
		Activity 10: The construction of facilities or infrastructure
		for the transmission and distribution of electricity outside
		urban areas or industrial complexes with a capacity of
		more than 33 but less than 275kV.
		Due to the outcome of the Scoping report, recommending
		Alternative E as the preferred alternative as well as
		additional information received during the process, the
		following activities have been added to the list:

	Activity 12. The construction of facilities or infrastructure
	Activity 12: The construction of facilities or infrastructure
	for the off-stream storage of water, including dams and
	reservoirs, with a combined capacity of 50 000 cubic
	metres or more, unless such storage falls within the ambit
	of activity 19 of Notice 545 of 2010
	Activity 27: The decommissioning of existing facilities or
	infrastructure for (ii) electricity transmission and
	distribution with a threshold of more than 132 kV, but
	excluding any facilities or infrastructure that commenced
	under an environmental authorisation issued in terms of
	the Environmental Impact Assessment Regulations, 2006,
	made under section 24(5) of the Act and published in
	Government Notice No. R. 385 of 2006, or Notice No. 543
	of 2010
	Activity 37: The expansion of facilities or infrastructure
	for the bulk transportation of water, sewage or storm
	water where: (a) the facility or infrastructure is expanded
	by more than 1 000 metres in length; or (b) where the
	throughput capacity of the facility or infrastructure will be
	increased by 10% or more. Excluding where such
	expansion; (i) relates to transportation of water, sewage
	or storm water within a road reserve; or (ii) where such
	expansion will occur within urban areas but further than
	32 metres from a watercourse, measured from the edge of
	the water course
Regulation 545 of 2010 (GG 33306 of 18 June	These regulations set out the activities and competent
2010) – Listing notice 2: List of activities and	authorities.
competent authorities identified in terms of	
sections 24(2) and 24D	
Regulation 3 – Identified activities and competent	The activities identified in Appendix 1 may not commence
authorities	without environmental authorization from the competent

		authority.	
	Appendix 1	Activity 6: The construction of facilities or infrastructure	
		for the bulk transportation of dangerous goods in solid	
		form, outside an industrial complex, using funiculars or	
		conveyors with a throughput capacity of more than 50	
		tons per day	
		Activity 15: Physical alteration of undeveloped, vacant or	
		derelict land for residential, retail, commercial,	
		recreational, industrial or institutional use, where the total	
		area to be transformed is 20 hectares or more.	
		Due to the outcome of the Scoping report, recommending	
		Alternative E as the preferred alternative as well as	
		additional information received during the process, the	
		following activity has been added to the list:	
		Activity 8: The construction of facilities or infrastructure	
		for the transmission and distribution of electricity with a	
		capacity of 275 kV or more, outside an urban area or	
		industrial complex	
Minimum Requirements for	4.4 Elimination of areas with inherent fatal flaws	It is a minimum requirement that no landfill site be	
waste disposal by landfill		developed in an area with an inherent fatal flaw. The	
issued by the Department		situations set out in this document may represent fatal	
of Water Affairs and		flaws in that they may prohibit the development of an	
Forestry		environmentally or publicly acceptable waste disposal	
		facility except at excessive costs and should be considered	
		in electing a suitable site.	

5.2.8. General Obligations

Legislation	Specific compliance requirements	Legal commentary and recommended action
National Environmental	Section 28 - Duty of care and remediation of	Employees must be informed and educated about the
Management Act No 107 of	environmental damage	environmental risks of their work and the manner in which
1998		their tasks must be performed to avoid significant
		pollution. All potentially significant environmental impacts
		must be investigated, assessed and evaluated. Measures
		must be taken to cease, modify or control those acts,
		activities or processes that have been identified as
		potentially causing significant pollution.
Promotion of Access to	In terms of this Act any person may approach the	To this effect Eskom must ensure that record keeping is
Information Act No 2 of	courts for relief in the event that such person	accurate and that monitoring of all environmental impacts
2000	believes that his right to a clean and healthy	take place.
	environment has been affected. To this effect,	
	such person shall be entitled to the records of the	
	company allegedly causing the pollution.	

5.3. Consistency with National Environmental Management Act (NEMA) Principles

In order to demonstrate how the wet ash disposal facility Extension and the EIA process is consistent with the NEMA principles, a discussion of how these principles have been taken into account is provided in **Table 5.1** below. The manner in which Eskom complies with the NEMA principles is also investigated within the legal specialist study.

Table 5.1: The consistency of the wet ash disposal facility Extension EIA process with the NEMA Principles

NEMA Principles	Discussion
(2) Environmental management must place	Although all the specialists undertake their studies from a sustainability point of view, this
people and their needs at the forefront of	principle was specifically upheld by specialists undertaking the studies within the social
its concern, and serve their physical,	environment such as Air Quality, Visual Impact, Noise Impact, Socio-Economic Impact and
psychological, developmental, cultural and	Heritage. All studies included the assessment of impacts that either directly or indirectly affect

NEMA Principles	Discussion	
social interests equitably.	people and their living environment.	
(3) Development must be socially,	All studies were required to uphold the principle of sustainable development.	
environmentally and economically	The extension of the wet ash disposal facilities will be constructed, operated and decommissioned	
sustainable.	according to the Environmental Management Policies and Systems that apply to it.	
(4) (a) Sustainable development requires		
the consideration of all relevant factors		
including the following:		
(i) That the disturbance of ecosystems and	All specialist studies have included recommendations and mitigation measures that encourage the	
loss of biological diversity are avoided, or,	minimisation or avoidance of the disturbance of ecosystems, in particular a number of buffer	
where they cannot be altogether avoided,	zones surrounding sensitive wetlands have been imposed. These mitigation measures have been	
are minimised and remedied;	included along with other more generic specifications in the Environmental Management Plan	
	(EMP).	
	Eskom will adhere to the Environmental Management Plan (EMP) developed for its construction	
	and operation.	
(ii) that pollution and degradation of the	All specialist studies have included recommendations and mitigation measures that encourage the	
environment are avoided, or, where they	minimisation or avoidance of pollution and degradation of the study area due to construction and	
cannot be altogether avoided, are	operational activities. These mitigation measures have been included along with other more	
minimised and remedied;	generic specification in the Environmental Management Plan.	
	Eskom shall adhere to the EMP developed for its construction and operation.	
(iii) that the disturbance of landscapes and	The Heritage Impact Assessment investigated this principle. No heritage features were identified	
sites that constitute the nation's cultural	to be affected by the facility and mitigation and management measures have been recommended	
heritage is avoided, or where it cannot be	for use in the event that heritage sites or artifacts are discovered during the construction period.	
altogether avoided, is minimised and		
remedied;	Eskom shall adhere to the EMP developed for its construction and operation.	
(iv) that waste is avoided or where it	In the event that a specialist study found waste to be an issue, mitigation measures have been	
cannot be altogether avoided, minimised	imposed. These mitigation measures have been included along with other more generic	
and re-used or recycled where possible and	specification in the Environmental Management Plan.	
otherwise disposed of in a responsible		
manner;	Eskom shall adhere to the EMP developed for its construction and operation.	

NEMA Principles	Discussion	
(v) that the use and exploitation of non-	The EMP includes specifications which outline the wise use of non-renewable resources on site	
renewable natural resources is responsible	during both construction and operational phases.	
and equitable, and takes into account the		
consequences of the depletion of the	Eskom will adhere to the EMP developed for its construction and operation.	
resource;		
(vi) that the development, use and	The development of the wet ash disposal facility extends the use of a coal-fired power station.	
exploitation of renewable resources and the	Renewable energy sources would represent the most benefits to the environment. Unfortunately,	
ecosystems of which they are part do not	the technologies currently available are such that they cannot fulfil South Africa's immediate and	
exceed the level beyond which their	future energy requirements on a commercial scale. However, Eskom has committed to and is in	
integrity is jeopardised;	the process of investigating viable renewable options through other projects such as wind	
	generation and the Concentrating Solar Power Plants.	
	Eskom strives to assist in reducing stress on existing resources and the ecosystems of which they	
	are part. Eskom shall also adhere to the EMP developed for the construction and operation of the	
	wet ash disposal facility.	
(vii) that a risk-averse and cautious	As Eskom has undertaken the construction of wet ash disposal facilities previously, this project	
approach is applied, which takes into	should not be considered as risky. In conducting this EIA it can be said that a "Cautious	
account the limits of current knowledge	approach" is being followed as Eskom will scrutinize all relevant potential impacts.	
about the consequences of decisions and		
actions; and	Risk is inherent in any new development, the EIA has endeavoured to identify these risks and	
	recommend sufficient measures that can be implemented in order to minimise the risks to	
	acceptable levels. In terms of the specialist studies undertaken for the EIR, specialists have	
	undertaken their studies utilising data that represents the "Worst-Case Scenario" thus also up	
	holding a cautious approach to their studies.	
(viii) that negative impacts on the	The EIA process in itself is a tool that is utilised to ensure that impacts on the environment and on	
environment and on people's environmental	people's rights are anticipated. Where a specialist study identified a negative impact, mitigation	
rights be anticipated and prevented, and	measures have been proposed in order to either prevent or minimise the impact. These mitigation	
where they cannot be altogether prevented,	measures have been included along with other more generic specifications in the Environmental	
are minimised and remedied.	Management Plan.	
	Eskom shall adhere to the EMP developed for its construction and operation.	

NEMA Principles	Discussion
(4) (b) Environmental management must	The EIA and all specialist studies have been undertaken taking best practice principles into
be integrated, acknowledging that all	consideration. The integration of the studies was ensured by specialist interaction during the
elements of the environment are linked and	study period and the integration of their findings.
interrelated, and it must take into account	
the effects of decisions on all aspects of the	The construction, operation and decommissioning of the wet ash disposal facility project will be
environment and all people in the	undertaken in recognition of the need for a holistic approach to environmental management.
environment by pursuing the selection of	
the best practicable environmental option.	
(4) (c) Environmental justice must be	All studies were required to uphold the principle of sustainable development. The preferred site is
pursued so that adverse environmental	located on Alternative E site which was identified as the site that would minimise the new risks
impacts shall not be distributed in such a	and injustices that may have been identified should this facility have been placed on any of the
manner as to unfairly discriminate against	other alternative sites.
any person, particularly vulnerable and	
disadvantaged persons.	
(4) (d) Equitable access to environmental	All studies were required to uphold the principle of sustainable development. The project in
resources, benefits and services to meet	totality will benefit the community nationally, regionally and locally. The project will give greater
basic human needs and ensure human	certainty in terms of the ability to provide present and future needs for electricity to all sectors of
well-being must be pursued and special	the populations including those that may have been disadvantaged by unfair discrimination.
measures may be taken to ensure access	Locally communities may benefit from aspects such as limited job creation particularly within the
thereto by categories of persons	construction phase.
disadvantaged by unfair discrimination.	
(4) (e) Responsibility for the environmental	The EIA addressed impacts throughout the life cycle of the development from construction to
health and safety consequences of a policy,	decommissioning. All specialists studies were also required to uphold the principle of sustainable
programme, project, product, process,	development. The Environmental Management Plan and the auditing processes as required by
service or activity exists throughout its life	Eskom's Environmental Management System will ensure that these responsibilities are up held
cycle.	throughout the projects' life cycle.
(4) (f) The participation of all interested	A comprehensive Public Participation Process has been undertaken. I&APs have been given the
and affected parties in environmental	opportunity to comment on the EIA. Public input will continue through to the construction,
governance must be promoted, and all	operational and decommissioning stages of the project through the provisions that have been
people must have the opportunity to	made in the EMP to appoint a community liaison officer, whose duties must include communication
develop the understanding, skills and	regarding environmental issues.

NEMA Principles	Discussion
capacity necessary for achieving equitable	
and effective participation, and	
participation by vulnerable and	
disadvantaged persons must be ensured.	
(4) (g) Decisions must take into account	The comments and queries from I&APs have all been either taken into account or responded to
the interests, needs and values of all	within the studies undertaken. Communication will continue through to the construction,
interested and affected parties, and this	operational and decommissioning stages of the project through the provisions that have been
includes recognizing all forms of	made in the EMP to appoint a community liaison officer.
knowledge. Including traditional and	
ordinary knowledge.	
(4) (h) Community wellbeing and	The Social Impact Assessment recommends that a comprehensive awareness creation campaign
empowerment must be promoted through	that includes the dissemination of information regarding energy generation, different generation
environmental education, the raising of	technologies as well as their associated potential risk, health and safety issues is undertaken. The
environmental awareness, the sharing of	EMP has up held this recommendation. All contractors and operators involved in the wet ash
knowledge and experience and other	disposal facility construction and operation shall adhere to the EMP.
appropriate means.	
(4) (i) The social, economic and	The EIA assesses the advantages and disadvantages of the project.
environmental impacts of activities,	
including disadvantages and benefits, must	This implies continuous monitoring and updating of input data throughout the project's lifecycle.
be considered, assessed and evaluated,	
and decisions must be appropriate in the	The social and environmental impacts of the project have similarly been identified, studied
light of such consideration and assessment.	assessed and mitigation measures proposed.
(4) (j) The right of workers to refuse work	Eskom shall adhere to the EMP developed for its construction and operation.
that is harmful to human health or the	
environment and to be informed of dangers	
must be respected and protected.	
(4) (k) Decisions must be taken in an open	All documentation compiled as a result of the EIA process has been made available for public
and transparent manner, and access to	comment and scrutiny, as per legal requirements and best practice.
information must be provided in accordance	
with the law.	Communication will continue through to the construction and operational stages of the project
	through the provisions that have been made in the EMP to appoint a community liaison officer.

NEMA Principles	Discussion	
(4) (I) There must be intergovernmental	The EIA process makes allowance for discussion between different authorities at local, provincial	
co-ordination and harmonisation of policies,	and national levels. Intergovernmental coordination on this project includes co-operation between	
legislation and actions relating to the	the National Department of Environmental Affairs (DEA), the Mpumalanga Department of	
environment.	Economic Development, Environment and Tourism (MDEDET) and The Department of Water	
	Affairs (DWA).	
(4) (m) Actual or potential conflicts of	The Public Participation Process endeavoured to ensure that conflict between organs of state was	
interest between organs of state should be	minimised throughout the project duration.	
resolved through conflict resolution		
procedures.		
(4) (n) Global and international	All specialist studies have endeavoured to up hold this principle.	
responsibilities relating to the environment		
must be discharged in the national interest.	The wet ash disposal facility will be constructed, operated and decommissioned according to the	
	Environmental Management Policies and Systems that apply to it. All specialist studies have	
	endeavoured to up hold this principle.	
	The wet ash disposal facility will be constructed, operated and decommissioned according to the	
	Environmental Management Policies and Systems that apply to it.	
(4) (o) The environment is held in public	This project is needed as Hendrina have to extend its ashing facilities and associated	
trust for the people, the beneficial use of	infrastructure. This need for the extension is to allow the station to continue ashing in an	
environmental resources must serve the	environmentally responsible way for the life of the station. The provision of electricity is seen to	
public interest and the environment must	be in the public interest.	
be protected as the people's common		
heritage.	All specialist studies have endeavoured to up hold this principle.	
	The extension of the ashing facilities and associated infrastructure will be constructed, operated	
	and decommissioned according to the Environmental Management Policies and Systems that apply	
	to it.	
(4) (p) The costs of remedying pollution,	This principle is upheld in the EIA as it will be the responsibility of Eskom to ensure that pollution	
environmental degradation and consequent	control and rehabilitation are undertaken. In addition to this the relevant contractors appointed	
adverse health effects and of preventing,	will be responsible for the development of method statements to ensure the minimisation of all	
controlling or minimizing further pollution,	impacts and will be responsible for their own areas of disturbance.	

NEMA Principles	Discussion
environmental damage or adverse health	
effects must be paid for by those	The construction of the ashing facilities and associated infrastructure will be constructed, operated
responsible for harming the environment.	and decommissioned according to the Environmental Management Policy and Programme that
	apply to it.
(4) (q) The vital role of women and youth	The Public Participation Process has endeavoured to include the participation of all role-players
in environment management and	including women and youth in this project.
development must be recognised and their	
full participation therein must be promoted.	Communication with the public (Including women and the youth) will continue through to the
	construction, operational and decommissioning stages of the project through the provisions that
	have been made in the EMP to appoint a community liaison officer.
	Employment equity will also be an important part of this project moving forward in terms of
	providing work to local communities and to Previously Disadvantaged Individuals (including
	women).
(4) (r) Sensitive, vulnerable, highly	This principle has been upheld in this EIA. The design of the layout of infrastructure on the
dynamic or stressed ecosystems, such as	preferred site has required extensive liaison with specialists regarding issues such as buffer zones
coastal shores, estuaries, wetlands and	and the various mitigation measures that may be required. In particular the site layout has been
similar systems require specific attention in	revised after buffer zones where recommended by the wetland and flora specialists in order to
management and planning procedures,	protect wetlands in the area.
especially where they are subject to	
significant human resource usage and	Eskom shall adhere to the EMP developed for its construction and operation.
development pressure.	

5.4. The "Equator Principles"

Project financing, a method of funding in which the lender looks primarily to the revenues generated by a single project both as the source of repayment and as security for the exposure, plays an important role in financing development throughout the world. Project financiers may encounter social and environmental issues that are both complex and challenging, particularly with respect to projects in the emerging markets.

The Equator Principles Financial Institutions (EPFIs) have adopted a set of principles to ensure that projects financed by them are developed in a manner that is socially responsible and reflects sound environmental management practices. By doing so, negative impacts on project-affected ecosystems and communities should be avoided where possible, and if these impacts are unavoidable, they should be reduced, mitigated and/or compensated for appropriately.

EPFIs will only provide loans to projects that conform to Principles 1-9 below:

Principle 1: Review and Categorisation

When a project is proposed for financing, the EPFI will, as part of its internal social and environmental review and due diligence, categorise the project based on the magnitude of its potential impacts and risks in accordance with the environmental and social screening criteria of the International Finance Corporation (IFC).

The IFCs environmental and social screening criteria are as follows:

- Category A: Projects with potential significant adverse social or environmental impacts that are diverse, irreversible or unprecedented;
- Category B: Projects with potential limited adverse social or environmental impacts that are few in number, generally site-specific, largely reversible and readily addressed through mitigation measures; and
- Category C: Projects with minimal or no social or environmental costs.

It is anticipated that the Hendrina Wet Ash Disposal Facility Extension EIA falls within Category B.

Principle 2: Social and Environmental Assessment

For each project assessed as being Category B, the borrower is required to conduct a Social and Environmental Assessment ("Assessment") process to address, as appropriate and to the EPFI's satisfaction, the relevant social and environmental impacts and risks of the

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proposed Project. The Assessment should also propose mitigation and management measures relevant and appropriate to the nature and scale of the proposed Project.

Principle 3: Applicable Social and Environmental Standards

For projects located in non-OECD¹ countries, the Assessment will be required to refer to the then applicable IFC Performance Standards (Exhibit III) and the then applicable Industry Sector Environmental, Health and Safety Guidelines ("EHS Guidelines") (Exhibit IV)².

The Environmental, Health, and Safety (EHS) Guidelines are technical reference documents with general and industry-specific examples of Good International Industry Practice (GIIP). These General EHS Guidelines are designed to be used together with the relevant Industry Sector EHS Guidelines which provide guidance to users on EHS issues in specific industry sectors.

As of April 30, 2006, the following IFC Performance Standards were applicable:

- Performance Standard 1: Social & Environmental Assessment & Management System;
- Performance Standard 2: Labour and Working Conditions
- Performance Standard 3: Pollution Prevention and Abatement
- Performance Standard 4: Community Health, Safety and Security
- Performance Standard 5: Land Acquisition and Involuntary Resettlement
- Performance Standard 6: Biodiversity Conservation and Sustainable Natural Resource Management
- Performance Standard 7: Indigenous Peoples
- Performance Standard 8: Cultural Heritage

The Performance Standards are elaborated on below.

As of April 30, 2007 the World Bank Group Environmental, Health, and Safety (EHS) Guidelines are now in use³. Prior to this, the EHS Guidelines consisted of the industry sector environmental guidelines contained in Part III of the World Bank Pollution Prevention and Abatement Handbook (PPAH) which went into official use on July 1, 1998 and a series of EHS guidelines published in the IFC web site between 1991 and 2003.

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¹ The Organisation for Economic Co-operation and Development (OECD) brings together the governments of <u>countries committed to democracy and the market economy</u> from around the world to: support sustainable economic growth; boost employment; raise living standards; maintain financial stability; assist other countries' economic development and contribute to growth in world trade.

 $^{^2 \ \, \}text{These are available at: http://www.ifc.org/ifcext/sustainability.nsf/Content/EnvironmentalGuidelines}$

³ http://www.ifc.org/ifcext/policyreview.nsf/Content/EHSGuidelinesUpdate#What

Of application are the General EHS Guidelines as well as the Sector Specific EHS Guidelines for Electric Power Transmission and Distribution.

The General EHS Guidelines are as follows:

Environmental

- Air Emissions and Ambient Air Quality
- Energy Conservation
- Wastewater and Ambient Water Quality
- Water Conservation
- Hazardous Materials Management
- Waste Management
- Noise
- Contaminated Land

Occupational Health and Safety

- General Facility Design and Operation
- Communication and Training
- Physical Hazards
- Chemical Hazards
- Biological Hazards
- Radiological Hazards
- Personal Protective Equipment (PPE)
- · Special Hazard Environments
- Monitoring

Community Health and Safety

- Water Quality and Availability
- Structural Safety of Project Infrastructure
- Life and Fire Safety (L&FS)
- Traffic Safety
- Transport of Hazardous Materials
- Disease Prevention
- Emergency Preparedness and Response

Construction and Decommissioning

- Environment
- Occupational Health and Safety
- Community Health and Safety

The Assessment will be required to establish to a participating EPFI's satisfaction the project's overall compliance with, or justified deviation from, the respective Performance Standards and EHS Guidelines. The Assessment process should address compliance with relevant host country laws, regulations and permits that pertain to social and environmental matters.

Principle 4: Action Plan and Management System

For all Category A and Category B projects located in non-OECD countries, the borrower is required to prepare an Action Plan (AP) which addresses the relevant findings, and draws on the conclusions of the Assessment. The AP is required to describe and prioritise the actions needed to implement mitigation measures, corrective actions and monitoring measures necessary to manage the impacts and risks identified in the Assessment. Borrowers are required to build on, maintain or establish a Social and Environmental Management System that addresses the management of these impacts, risks, and corrective actions required to comply with applicable host country social and environmental laws and regulations, and requirements of the applicable Performance Standards and EHS.

Principle 5: Consultation and Disclosure

For all Category A and, as appropriate, Category B projects located in non-OECD countries, the government, borrower or third party expert is required to consult with project affected communities in a structured and culturally appropriate manner. For projects with significant adverse impacts on affected communities, the process is required to ensure their free, prior and informed consultation and facilitate their informed participation as a means to establish, to the satisfaction of the EPFI, whether a project has adequately incorporated affected communities' concerns.

In order to accomplish this, the Assessment documentation and AP, or non-technical summaries thereof, is required to be made available to the public by the borrower for a reasonable minimum period in the relevant local language and in a culturally appropriate manner. The borrower is required to take account of and document the process and results of the consultation, including any actions agreed resulting from the consultation. For projects with adverse social or environmental impacts, disclosure should occur early in the Assessment process and in any event before the project construction commences, and on an ongoing basis.

Principle 6: Grievance Mechanism

For all Category A and, as appropriate, Category B projects located in non-OECD countries, the Borrower is required to ensure that consultation, disclosure and community engagement

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continues throughout construction and operation of the project, the borrower will, scaled to the risks and adverse impacts of the project, establish a grievance mechanism as part of the management system. This will allow the borrower to receive and facilitate resolution of concerns and grievances about the project's social and environmental performance raised by individuals or groups from among project-affected communities. The borrower is required to inform the affected communities about the mechanism in the course of its community engagement process and ensure that the mechanism addresses concerns promptly and transparently, in a culturally appropriate manner, and is readily accessible to all segments of the affected communities.

Principle 7: Independent Review

For all Category A and, as appropriate, Category B projects, an independent social or environmental expert not directly associated with the borrower is required to review the Assessment, AP and consultation process documentation in order to assist EPFI's due diligence, and assess Equator Principles compliance.

Principle 8: Covenants

An important strength of the Principles is the incorporation of covenants linked to compliance. For Category A and B projects, the borrower will covenant in financing documentation and is required to do the following:

- to comply with all relevant host country social and environmental laws, regulations and permits in all material respects;
- to comply with the AP (where applicable) during the construction and operation of the project in all material respects;
- to provide periodic reports in a format agreed with EPFIs (with the frequency of these reports proportionate to the severity of impacts, or as required by law, but not less than annually), prepared by in-house staff or third party experts, that i) document compliance with the AP (where applicable), and ii) provide representation of compliance with relevant local, state and host country social and environmental laws, regulations and permits; and
- to decommission the facilities, where applicable and appropriate, in accordance with an agreed decommissioning plan.

Where a borrower is not in compliance with its social and environmental covenants, EPFIs will work with the borrower to bring it back into compliance to the extent feasible, and if the borrower fails to re-establish compliance within an agreed grace period, EPFIs reserve the right to exercise remedies, as they consider appropriate.

Principle 9: Independent Monitoring and Reporting

To ensure ongoing monitoring and reporting over the life of the loan, EPFIs will, for all Category A and, as appropriate, Category B projects, require appointment of an independent environmental and/or social expert, or require that the borrower retain qualified and experienced external experts to verify its monitoring information which would be shared with EPFIs.

Principle 10: EPFI Reporting

Each EPFI adopting the Equator Principles is required to report publicly at least annually about its Equator Principles implementation processes and experience, taking into account appropriate confidentiality considerations.

Table 5.2 below provides some indication on how the Hendrina Wet Ash Disposal Facility Extension EIA will endeavour to comply with the Equator Principles mentioned above

Table 5.2: An indication on how the Hendrina Wet Ash Disposal Facility Extension Project EIA will endeavour to comply with the **Equator Principles**

Equator Principles Financial Institutions (EPFI) Principles		
Principle	Application	Compliance required for Hendrina Wet Ash Disposal Facility Extension Project
Principle 1: Review and Categorisation	The EPFI will categorise the project based on the magnitude of its potential impacts and risks. According to the categorisation, the Hendrina Wet Ash Disposal Facility Extension Project is anticipated to be classified as a Category B Project i.e. projects with potential significant adverse social or environmental impacts that are diverse, irreversible or unprecedented.	As a result of being categorised as a Category B Project, Principles 2, 4, 5, 6, 7, 8 and 9 have specific application.
Principle 2: Social and Environmental Assessment	The borrower is required to conduct a: • Social and Environmental Assessment	A Scoping and Environmental Impact Assessment (EIA) process is being undertaken in terms of the National Environmental Management Act (NEMA) No. 107 of 1998. Both biophysical and social specialist studies will be undertaken during the EIA
Principle 3: Applicable Social and Environmental Standards	Compliance is required with the: • IFC Performance Standards; and the • General and Sector Specific World bank Group Environmental, Health and Safety (EHS) Guidelines.	See Table 5.3
Principle 4: Action Plan and Management System	The following is required from the borrower: • An Action Plan; and a • Social and Environmental Management System	An Environmental Management Plan (EMP) will be compiled which content will comply with Regulations 544 and 545. This EMP will comply with the requirements of Principle 4.
Principle 5: Consultation and Disclosure	 The borrower is required to: Consult with project affected communities in a manner that ensures their free, prior and informed consultation and facilitates their informed participation; Make available to the public for a reasonable minimal period the Assessment documentation and the Action Plan; and Take account of and document the process and results of the consultation. 	A comprehensive Public Participation Process will be undertaken as prescribed by Regulations 544 and 545. A detailed record of all comments received and responses will be kept in the form of a Comment and Response Report which will form part of the Environmental Impact Assessment Report. All comments will be taken into consideration during the EIA.

Equator Principles Financial Institutions (EPFI) Principles		
Principle	Application	Compliance required for Hendrina Wet Ash Disposal Facility Extension Project
Principle 6: Grievance Mechanism	 The borrower is required to: Ensure that consultation, disclosure and community engagement continues throughout the construction and operation of the project; Establish a grievance mechanism as part of the management system; and Inform the affected communities about the mechanism in the course of its community engagement process and ensure that the mechanism is readily accessible to all segments of the affected communities and that it addresses concerns promptly and transparently 	This will be done during the Public Participation Process which will be in accordance with NEMA and the EIA Regulations 544 and 545. The EMP for this Project will also include recommendations regarding communication and grievance procedures during both the construction and operational phases.
Principle 7: Independent Review	An independent social or environmental expert is required to review the: • Social and Environmental Assessment; • Action Plan; and • Consultation process documentation	In terms of NEMA EIA Regulations the EIA is required to be undertaken by an independent Environmental assessment practitioner. This Project also makes use of independent public participation input as well as independent specialists. An independent legal and process review will also be undertaken throughout the EIA process. All EIA documentation is reviewed by the competent authority (DEA) before an environmental authorisation is issued.
Principle 8: Covenants	 The borrower is required to do the following: comply with all relevant host country social and environmental laws, regulations and permits in all material respects; comply with the Action Plan (where applicable) during the construction and operation of the project in all material respects; to provide periodic reports in a format agreed with EPFIs (with the frequency of these reports proportionate to the severity of impacts, or as required by law, but not less than annually), prepared by in-house staff or third party experts, that i) document compliance with the AP 	The relevant legislation will be highlighted and taken into consideration during the EIA. The EMP to be compiled for this Project will include monitoring and compliance criteria. These will include internal and external audit requirements.

Equator Principles Financial Institutions (EPFI) Principles		
Principle	Application	Compliance required for Hendrina Wet Ash
		Disposal Facility Extension Project
	(where applicable), and ii) provide representation of compliance with	
	relevant local, state and host country social and environmental laws,	
	regulations and permits; and	
	to decommission the facilities, where applicable and appropriate, in	
	accordance with an agreed decommissioning plan.	
Principle 9: Independent	The EPFIs will require appointment of:	An independent environmental assessment
Monitoring and Reporting	 an independent environmental and or social expert; or 	practitioner (EAP) has been appointed.
	 retain qualified and experienced external experts to verify its 	
	monitoring information which would be shared with EPFIs	
Principle 10: EPFI	Each EPFI adopting the Equator Principles is required to report publicly at least	This will be required to be dealt with by the
Reporting	annually about its Equator Principles implementation processes and experience,	client in their own capacity. The EMP will make
	taking into account appropriate confidentiality considerations.	recommendations in this regard.

5.5. International Finance Corporation (IFC) Performance Standards on Social and Environmental Sustainability

The International Finance Corporation (IFC) applies the Performance Standards to manage social and environmental risks and impacts and to enhance development opportunities in its private sector financing in its member countries eligible for financing. The Performance Standards may also be applied by other financial institutions electing to apply them to projects in emerging markets. Together, the eight Performance Standards establish standards that the client is to meet throughout the life of an investment by IFC or other relevant financial institution:

Performance Standard 1: Social and Environmental Assessment and Management System

This Performance Standard underscores the importance of managing social and environmental performance throughout the life of a project. This Performance Standard applies to projects with social or environmental risks and impacts that should be managed, in the early stages of project development, and on an ongoing basis. Objectives include:

- To identify and assess social and environment impacts, both adverse and beneficial, in the project's area of influence;
- To avoid, or where avoidance is not possible, minimize, mitigate, or compensate for adverse impacts on workers, affected communities, and the environment;
- To ensure that affected communities are appropriately engaged on issues that could potentially affect them; and
- To promote improved social and environment performance of companies through the effective use of management systems

Performance Standard 2: Labour and Working Conditions

Performance Standard 2 recognizes that the pursuit of economic growth through employment creation and income generation should be balanced with protection for basic rights of workers. Objectives include:

- To establish, maintain and improve the worker-management relationship;
- To promote the fair treatment, non-discrimination and equal opportunity of workers, and compliance with national labour and employment laws;
- To protect the workforce by addressing child labour and forced labour; and
- To promote safe and healthy working conditions, and to protect and promote the health of workers

Performance Standard 3: Pollution Prevention and Abatement

Performance Standard 3 recognizes that increased industrial activity and urbanization often generate increased levels of pollution to air, water, and land that may threaten people and the environment at the local, regional, and global level. Objectives include:

- To avoid or minimize adverse impacts on human health and the environment by avoiding or minimizing pollution from project activities
- To promote the reduction of emissions that contribute to climate change

Performance Standard 4: Community Health, Safety and Security

Performance Standard 4 recognizes that project activities, equipment, and infrastructure often bring benefits to communities including employment, services, and opportunities for economic development. However, projects can also increase the potential for community exposure to risks and impacts arising from equipment accidents, structural failures, and releases of hazardous materials. Objectives include:

- To avoid or minimize risks to and impacts on the health and safety of the local community during the project life cycle from both routine and non-routine circumstances
- To ensure that the safeguarding of personnel and property is carried out in a legitimate manner that avoids or minimizes risks to the community's safety and security

Performance Standard 5: Land Acquisition and Involuntary Resettlement

Involuntary resettlement refers both to physical displacement (relocation or loss of shelter) and to economic displacement (loss of assets or access to assets that leads to loss of income sources or means of livelihood) as a result of project-related land acquisition. Resettlement is considered involuntary when affected individuals or communities do not have the right to refuse land acquisition that result in displacement. Objectives include:

- To avoid or at least minimize involuntary resettlement wherever feasible by exploring alternative project designs;
- To mitigate adverse social and economic impacts from land acquisition or restrictions on affected persons' use of land by: (i) providing compensation for loss of assets at replacement cost; and (ii) ensuring that resettlement activities are implemented with appropriate disclosure of information, consultation, and the informed participation of those affected;
- To improve or at least restore the livelihoods and standards of living of displaced persons; and

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Hendrina Wet Ash Disposal Facility EIA: Draft EIA Report Chapter 5: Legislative Context EIA Ref Number: 12/12/20/2175 To improve living conditions among displaced persons through provision of adequate housing with security of tenure4 at resettlement sites.

Performance Standard 6: Biodiversity Conservation and Sustainable Natural Resource Management

Performance Standard 6 recognizes that protecting and conserving biodiversity—the variety of life in all its forms, including genetic, species and ecosystem diversity—and its ability to change and evolve, is fundamental to sustainable development. Objectives include:

- To protect and conserve biodiversity; and
- To promote the sustainable management and use of natural resources through the adoption of practices that integrate conservation needs and development priorities.

Performance Standard 7: Indigenous Peoples

Performance Standard 7 recognizes that Indigenous Peoples, as social groups with identities that are distinct from dominant groups in national societies, are often among the most marginalized and vulnerable segments of the population. Objectives include:

- To ensure that the development process fosters full respect for the dignity, human rights, aspirations, cultures and natural resource-based livelihoods of Indigenous Peoples
- To avoid adverse impacts of projects on communities of Indigenous Peoples, or when avoidance is not feasible, to minimize, mitigate, or compensate for such impacts, and to provide opportunities for development benefits, in a culturally appropriate manner
- To establish and maintain an ongoing relationship with the Indigenous Peoples affected by a project throughout the life of the project
- To foster good faith negotiation with and informed participation of Indigenous Peoples when projects are to be located on traditional or customary lands under use by the Indigenous Peoples
- To respect and preserve the culture, knowledge and practices of Indigenous Peoples

Performance Standard 8: Cultural Heritage

Performance Standard 8 recognizes the importance of cultural heritage for current and future generations. Consistent with the Convention Concerning the Protection of the World Cultural and Natural Heritage, this Performance Standard aims to protect irreplaceable cultural heritage and to guide clients on protecting cultural heritage in the course of their business operations. In addition, the requirements of this Performance Standard on a project's use of cultural heritage are based in part on standards set by the Convention on Biological Diversity. Objectives include:

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- To protect cultural heritage from the adverse impacts of project activities and support its preservation
- To promote the equitable sharing of benefits from the use of cultural heritage in business activities

Table 5.3 below provides some indication on how the Hendrina Wet Ash Disposal Facility Extension Project EIA will endeavour comply with the Performance standards mentioned above.

Table 5.3: An indication on how the Hendrina Wet Ash Disposal Facility Extension Project EIA will endeavour comply with the IFC Performance standards

International Finance Corporation (IFC) Performance Standards on Social an Environmental Sustainability			
Performance Standard	Objectives	Compliance with regards to the Hendrina Wet Ash Disposal Facility Extension Project	
Social and Environmental Assessment and Management System	 To identify and assess social and environment impacts, both adverse and beneficial, in the project's area of influence; To avoid, or where avoidance is not possible, minimize, mitigate, or compensate for adverse impacts on workers, affected communities, and the environment; To ensure that affected communities are appropriately engaged on issues that could potentially affect them; and To promote improved social and environment performance of companies through the effective use of management systems 	These objectives will be met through the Scoping and Environmental Impact Assessment (EIA) process being undertaken in terms of the National Environmental Management Act (NEMA) No. 107 of 1998. Issues regarding management systems will be included in the EMP for the client's future implementation.	
2. Labour and Working Conditions	 To establish, maintain and improve the worker-management relationship; To promote the fair treatment, non-discrimination and equal opportunity of workers, and compliance with national labour and employment laws; To protect the workforce by addressing child labour and forced labour; and To promote safe and healthy working conditions, and to protect and promote the health of workers 	Mitigation and management measures will be recommended in this regard through specialist studies such as the Social Impact Assessment. All measures will be included within the Environmental Management Plan. The client will need to comply with the relevant national legislation relating to labour and health and safety issues which will be referred to within the EMP.	
3. Pollution Prevention and Abatement	 To avoid or minimize adverse impacts on human health and the environment by avoiding or minimizing pollution from project activities To promote the reduction of emissions that contribute to climate change 	Mitigation and management measures will be recommended in this regard through specialist studies such as the Air Quality, surface water and ground water impact assessments. All measures will be included within the Environmental Management Plan	
4. Community Health, Safety and Security	To avoid or minimize risks to and impacts on the health and safety of the local community during the project life cycle from both routine and non-routine circumstances	Mitigation and management measures will be recommended in this regard through specialist studies. All measures will be included within the	

International Finance Corporation (IFC) Performance Standards on Social an Environmental Sustainability			
Performance Standard	Objectives	Compliance with regards to the Hendrina	
		Wet Ash Disposal Facility Extension Project	
	To ensure that the safeguarding of personnel and property is	Environmental Management Plan	
	carried out in a legitimate manner that avoids or minimizes		
	risks to the community's safety and security		
5. Land Acquisition and	To avoid or at least minimize involuntary resettlement wherever	Mitigation and management measures will be	
Involuntary Resettlement	feasible by exploring alternative project designs;	recommended in this regard through specialist	
	To mitigate adverse social and economic impacts from land	studies such as the Social Impact Assessment.	
	acquisition or restrictions on affected persons' use of land by:	All measures will be included within the	
	(i) providing compensation for loss of assets at replacement	Environmental Management Plan	
	cost; and (ii) ensuring that resettlement activities are		
	implemented with appropriate disclosure of information,		
	consultation, and the informed participation of those affected;		
	To improve or at least restore the livelihoods and standards of		
	living of displaced persons; and		
	To improve living conditions among displaced persons through		
	provision of adequate housing with security of tenure4 at		
	resettlement sites.		
6. Biodiversity Conservation	To protect and conserve biodiversity; and	Mitigation and management measures will be	
and Sustainable Natural	To promote the sustainable management and use of natural	recommended in this regard through specialist	
Resource Management	resources through the adoption of practices that integrate	studies such as the Fauna, flora, aquatic fauna	
	conservation needs and development priorities.	and flora, surface water, ground water and	
		avifauna studies. All measures will be included	
	T	within the Environmental Management Plan	
7. Indigenous Peoples	To ensure that the development process fosters full respect for	Mitigation and management measures will be	
	the dignity, human rights, aspirations, cultures and natural	recommended in this regard through specialist	
	resource-based livelihoods of Indigenous Peoples	studies such as the Social Impact Assessment.	
	To avoid adverse impacts of projects on communities of Indianana Baselse an other avoidance is not family to	All measures will be included within the	
	Indigenous Peoples, or when avoidance is not feasible, to	Environmental Management Plan.	
	minimize, mitigate, or compensate for such impacts , and to	The mobile model is a still a local to the still a	
	provide opportunities for development benefits, in a culturally	The public participation process will also ensure	

International Finance Corporation (IFC) Performance Standards on Social an Environmental Sustainability			
Performance Standard	Objectives	Compliance with regards to the Hendrina Wet Ash Disposal Facility Extension Project	
	 appropriate manner To establish and maintain an ongoing relationship with the Indigenous Peoples affected by a project throughout the life of the project To foster good faith negotiation with and informed participation of Indigenous Peoples when projects are to be located on traditional or customary lands under use by the Indigenous Peoples To respect and preserve the culture, knowledge and practices of Indigenous Peoples 	that indigenous people are involved and consulted throughout the process in order to ensure that their issues and concerns are recorded and considered.	
8. Cultural Heritage	 To protect cultural heritage from the adverse impacts of project activities and support its preservation To promote the equitable sharing of benefits from the use of cultural heritage in business activities 	Mitigation and management measures will be recommended in this regard through specialist studies such as the Heritage Impact Assessment. All measures will be included within the Environmental Management Plan	