CERAMIC INDUSTRIES (PTY) LTD: SYFERFONTEIN 293 IQ, WESTONARIA, GAUTENG PROVINCE

PHASE 1 ARCHAEOLOGICAL IMPACT ASSESSMENT REPORT

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Compiled by N. Kruger

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ARCHAEOLOGICAL IMPACT ASSESSMENT OF REMAINING SURFACE PORTIONS OF THE FARM SYFERFONTEIN 293 IQ, WESTONARIA, GAUTENG PROVINCE

October 2011

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NOTATIONS AND TERMS

Absolute dating:
Absolute dating provides specific dates or range of dates expressed in years.

Archaeology:
The study of the human past through its material remains.

Archaeological record:
The archaeological record minimally includes all the material remains documented by archaeologists. More comprehensive definitions also include the record of culture history and everything written about the past by archaeologists.

Artefact:
Entities whose characteristics result or partially result from human activity. The shape and other characteristics of the artifact are not altered by removal of the surroundings in which they are discovered. In the southern African context examples of artefacts include potsherds, iron objects, stone tools, beads and hut remains.

Assemblage:
A group of artefacts recurring together at a particular time and place, and representing the sum of human activities.

^{14}C or radiocarbon dating:
The ^{14}C method determines the absolute age of organic material by studying the radioactivity of carbon. It is reliable for objects not older 70 000 years by means of isotopic enrichment. The method becomes increasingly inaccurate for samples younger than ±250 years.

Ceramic Facies:
In terms of the cultural representation of ceramics, a facies is denoted by a specific branch of a larger ceramic tradition. A number of ceramic facies thus constitute a ceramic tradition.

Ceramic Tradition:
In terms of the cultural representation of ceramics, a series of ceramic units constitutes as ceramic tradition.

Context:
An artefact's context usually consists of its immediate *matrix*, its *provenience* and its *association* with other artefacts. When found in *primary context*, the original artefact or structure was undisturbed by natural or human factors until excavation and if in *secondary context,* disturbance or displacement by later ecological action or human activities occurred.

Culture:
A contested term, "culture" could minimally be defined as the learned and shared things that people have, do and think.

Cultural Heritage Resource:
The broad generic term *Cultural Heritage Resources* refers to any physical and spiritual property associated with past and present human use or occupation of the environment, cultural activities and history. The term includes sites, structures, places, natural features and material of palaeontological, archaeological, historical, aesthetic, scientific, architectural, religious, symbolic or traditional importance to specific individuals or groups, traditional systems of cultural practice, belief or social interaction.

Cultural landscape:
A cultural landscape refers to a distinctive geographic area with cultural significance.

Cultural Resource Management (CRM):
A system of measures for safeguarding the archaeological heritage of a given area, generally applied within the framework of legislation designed to safeguard the past.

Ecofact:
Non artifactual material remains that has cultural relevance which provides information about past human activities. Examples would include remains or evidence of domesticated animals or plant species.

Excavation:
The principal method of data acquisition in archaeology, involving the systematic uncovering of archaeological remains through the removal of the deposits of soil and the other material covering and accompanying it.

Feature:
Non-portable artifacts, in other words artifacts that cannot be removed from their surroundings without destroying or altering their original form. Hearths, roads, and storage pits are examples of archaeological features

GIS:
Geographic Information Systems are computer software that allows layering of various types of data to produce complex maps; useful for predicting site location and for representing the analysis of collected data within sites and across regions.

Historical archaeology:
Primarily that aspect of archaeology which is complementary to history based on the study of written sources. In the South African context it concerns the recovery and interpretation of relics left in the ground in the course of Europe's discovery of South Africa, as well as the movements of the indigenous groups during, and after the "Great Scattering" of Bantu-speaking groups – known as the *mfecane* or *difaqane*.

Iron Age:
Also known as "Farmer Period", the "Iron Age" is an archaeological term used to define a period associated with domesticated livestock and grains, metal working and ceramic manufacture.

Lithic:
Stone tools or waste from stone tool manufacturing found in on archaeological sites.

Matrix:
The material in which an artefact is situated (sediments such as sand, ashy soil, mud, water, etcetera). The matrix may be of natural origin or human-made.

Megalith:
A large stone, often found in association with others and forming an alignment or monument, such as large stone statues.

Midden:
Refuse that accumulates in a concentrated heap.

Microlith:
A small stone tool, typically knapped of flint or chert, usually about three centimetres long or less.

Monolith:
A geological feature such as a large rock, consisting of a single massive stone or rock, or a single piece of rock placed as, or within, a monument or site.

Oral Histories:
The historical narratives, stories and traditions passed from generation to generation by word of mouth.

Pre-Phase 1 CRM Assessment:
An initial pre-assessment (scoping) phase, where the specialist establishes the scope of the project and terms of reference for the developer.

Phase 1 CRM Assessment:
An Impact Assessment which identifies archaeological and heritage sites, assesses their significance and comments on the impact of a given development on the sites. Recommendations for site mitigation or conservation are also made during this phase.

Phase 2 CRM Study:
In-depth studies which could include major archaeological excavations, detailed site surveys and mapping / plans of sites, including

historical / architectural structures and features. Alternatively, the sampling of sites by collecting material, small test pit excavations or auger sampling is required. Mitigation / Rescue involves planning the protection of significant sites or sampling through excavation or collection (in terms of a permit) at sites that may be lost as a result of a given development.

Phase 3 CRM Measure:

A Heritage Site Management Plan (for heritage conservation), is required in rare cases where the site is so important that development will not be allowed and sometimes developers are encouraged to enhance the value of the sites retained on their properties with appropriate interpretive material or displays.

Prehistoric archaeology:

That aspect of archaeology which concerns itself with the development of humans and their culture before the invention of writing. In South Africa, prehistoric archaeology comprises the study of the Early Stone Age, the Middle Stone Age and the greater part of the Later Stone Age and the Iron Age.

Probabilistic Sampling:

A sampling strategy that is not biased by any person's judgment or opinion. Also known as statistical sampling, it includes systematic, random and stratified sampling strategies.

Provenience

Provenience is the three-dimensional (horizontal and vertical) position in which artefacts are found. Fundamental to ascertaining the provenience of an artefact is *association,* the co-occurrence of an artefact with other archaeological remains; and *superposition,* the principle whereby artefacts in lower levels of a matrix were deposited before the artefacts found in the layers above them, and are therefore older.

Random Sampling:

A probabilistic sampling strategy whereby randomly selected sample blocks in an area are surveyed. These are fixed by drawing coordinates of the sample blocks from a table of random numbers.

Relative dating:

The process whereby the relative antiquity of sites and objects are determined by putting them in sequential order but not assigning specific dates.

Remote Sensing:

The small or large-scale acquisition of information of an object or phenomenon, by the use of either recording or real-time sensing device(s) that is not in physical or intimate contact with the object (such as by way of aircraft, spacecraft or satellite). Here, ground-based geophysical methods such as Ground Penetrating Radar and Magnetometry are often used for archaeological imaging.

Rock Art Research:

Rock art can be "decoded" in order to inform about cultural attributes of prehistoric societies, such as dress-code, hunting and food gathering, social behaviour, religious practice, gender issues and political issues.

Sensitive:

Often refers to graves and burial sites although not necessarily a heritage place, as well as ideologically significant sites such as ritual / religious places. *Sensitive* may also refer to an entire landscape / area known for its significant heritage remains.

Site (Archaeological):

A distinct spatial clustering of artefacts, features, structures, and organic and environmental remains, as the residue of human activity. These include surface sites, caves and rock shelters, larger open-air sites, sealed sites (deposits) and river deposits. Common functions of archaeological sites include living or habitation sites, kill sites, ceremonial sites, burial sites, trading, quarry, and art sites,

Slag:

The material residue of smelting processes from metalworking.

Stone Age:

An archaeological term used to define a period of stone tool use and manufacture.

Stratigraphy:
This principle examines and describes the observable layers of sediments and the arrangement of strata in deposits

Stratified Sampling:
A probabilistic sampling strategy whereby a study area is divided into appropriate zones – often based on the probable location of archaeological areas, after which each zone is sampled at random.

Systematic Sampling:
A probabilistic sampling strategy whereby a grid of sample blocks is set up over the survey area and each of these blocks is equally spaced and searched.

Tradition:
Artefact types, assemblages of tools, architectural styles, economic practices or art styles that last longer than a phase and even a horizon are describe by the term *tradition*. A common example of this is the early Iron Age tradition of Southern Africa that originated ± 200 AD and came to an end at about 900 AD.

Tuyère:
A ceramic blow-tube used in the process of iron smelting / reduction.

LIST OF ABBREVIATIONS

Abbreviation	Description
ASAPA	Association for South African Professional Archaeologists
AIA	Archaeological Impact Assessment
BP	Before Present
BCE	Before Common Era
EIA	Early Iron Age (also Early Farmer Period)
EIA	Environmental Impact Assessment
EFP	Early Farmer Period (also Early Iron Age)
ESA	Earlier Stone Age
GIS	Geographic Information Systems
HIA	Heritage Impact Assessment
K2/Map	K2/Mapungubwe Period
LFP	Later Farmer Period (also Later Iron Age)
LIA	Later Iron Age (also Later Farmer Period)
LSA	Later Stone Age
MIA	Middle Iron Age (also Early later Farmer Period)
MRA	Mining Rights Application
MSA	Middle Stone Age
NHRA	National Heritage Resources Act No.25 of 1999, Section 35
SAHRA	South African Heritage Resources Association
YCE	Years before Common Era (Present)

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1 EXECUTIVE SUMMARY

This report details the results of an Archaeological Impact Assessment (AIA) study of remaining surface portions of the farm Syferfontein 293 IQ in the Westonaria region, Gauteng Province, where Ceramic Industries (Pty) Ltd is planning a clay quarry. The report includes background information on the area's archaeology, its representation in southern Africa, and the history of the larger southern Highveld area as well as survey methodology, results and heritage legislation and conservation policies. A copy of the report will be supplied to the South African Heritage Resources Agency (SAHRA) and recommendations contained in this document will be reviewed in order to consider the conservation priority of sites located in the area.

An array of archaeological and historical sites occurs in the larger Highveld areas north of the Vaal River. However, no occurrences of heritage value were located during the AIA Study of surface portions of approximately 2.5ha, at Syferfontein.

Palaeontological Remains

No palaeontological occurrences were observed in the survey area.

Stone Age Remains:

No Stone Age remains were observed in the survey area.

Iron Age / Farmer Period Remains:

No Iron Age (Farmer Period) occurrences were observed in the survey area.

Historical /Recent Remains

No Historical / Colonial Period remains were documented in the survey areas

Graves

No graves / burial places were observed in the survey area.

Recommendations

As no heritage resources were documented in the AIA survey area at Syferfontein, no impact on such resources is foreseen. However, due cognisance should be taken of the larger archaeological landscape of the area in order to avoid the destruction of previously undetected heritage sites in the area.

It should be noted that mitigation measures are valid for the duration of the development process, and mitigation measures might have to be implemented on additional features of heritage importance not detected during this Phase 1 assessment (e.g. uncovered during the construction process).

2 BACKGROUND

2.1 Scope and Motivation

AGES was commissioned by Ceramic Industries (Pty) Ltd to conduct an Archaeological Impact Assessment (AIA) Study of demarcated areas on portions farm Syferfontein 293 IQ near Westonaria, Gauteng Province where a clay mine is planned. The rationale of this AIA study was to determine the presence of heritage resources such as archaeological and historical sites and features, graves and places of religious and cultural significance; to consider the impact of the proposed project on such heritage resources, and to submit appropriate recommendations with regard to the cultural resources management measures that may be required at affected sites / features.

2.2 Project Direction

AGES's expertise ensures that all projects be conducted to the highest ethical and professional standards and as archaeological specialist for AGES, Mr Neels Kruger acted as field director for the AIA study. Mr Kruger is an accredited archaeologist and cultural resource management (CRM) Practitioner with the Association of South African Professional Archaeologists (ASAPA) and a Master's Degree candidate in archaeology at the University of Pretoria.

2.3 Terms of Reference

Environmental Impact Assessments (EIAs) should, in all cases, include the assessment of Heritage Resources. The heritage component of the EIA is provided for in the **National Environmental Management Act, (Act 107 of 1998)** and endorsed by section 38 of the **National Heritage Resources Act (NHRA - Act 25 of 1999)**. In addition, the NHRA protects all structures and features older than 60 years (see Section 34), archaeological sites and material (see Section 35) and graves as well as burial sites (see Section 36). The objective of this legislation is to enable and to facilitate developers to employ measures to limit the potentially negative effects that the development could have on heritage resources.

Based hereon, this project functioned subject to the following **terms of reference:**

- *Provide a detailed description of all archaeological artefacts, structures (including graves) and settlements, if any.*
- *Estimate the level of significance/importance of the archaeological remains within the area.*
- *Assess any possible impact on the archaeological and historical remains within the area emanating from the proposed development activities.*
- *Propose possible mitigation measures provided that such action is necessitated by the development.*
- *Liaise and consult with the South African Heritage Resources Agency (SAHRA).*

2.4 CRM: Legislation, Conservation and Heritage Management

The broad generic term *Cultural Heritage Resources* refers to any physical and spiritual property associated with past and present human use or occupation of the environment, cultural activities and history. The term includes sites, structures, places, natural features and material of palaeontological, archaeological, historical, aesthetic, scientific, architectural, religious, symbolic or traditional importance to specific individuals or groups, traditional systems of cultural practice, belief or social interaction.

2.4.1 Legislation regarding archaeology and heritage sites

The SAHRA and their provincial offices aim to conserve and control the management, research, alteration and destruction of cultural resources of South Africa. It is therefore vitally important to adhere to heritage resource legislation at all times.

- *National Heritage Resources Act No 25 of 1999, section 35*

According to the National Heritage Resources Act of 1999 a historical site is "any identifiable building or part thereof, marker, milestone, gravestone, landmark or tell older than 60 years." This clause is commonly known as the "60-years clause". Buildings are amongst the most enduring features of human occupation, and this definition therefore includes all buildings older than 60 years, modern architecture as well as ruins, fortifications and Iron Age settlements. "Tell" refers to the evidence of human existence which is no longer above ground level, such as building foundations and buried remains of settlements (including artefacts).

The Act identifies heritage objects as:

- objects recovered from the soil or waters of South Africa including archaeological and palaeontological objects, meteorites and rare geological specimens
- visual art objects
- military objects
- numismatic objects
- objects of cultural and historical significance
- objects to which oral traditions are attached and which are associated with living heritage
- objects of scientific or technological interest
- any other prescribed category

With regards to activities and work on archaeological and heritage sites this Act states that:

"No person may alter or demolish any structure or part of a structure which is older than 60 years without a permit by the relevant provincial heritage resources authority." (34. [1] 1999:58)

and

"No person may, without a permit issued by the responsible heritage resources authority-

(a) destroy, damage, excavate, alter, deface or otherwise disturb any archaeological or palaeontological site or any meteorite;

(b) destroy, damage, excavate, remove from its original position, collect or own any archaeological or palaeontological material or object or any meteorite;

(c) trade in, sell for private gain, export or attempt to export from the Republic any category of archaeological or palaeontological material or object, or any meteorite; or

(d) bring onto or use at an archaeological or palaeontological site any excavation equipment or any equipment which assist in the detection or recovery of metals or archaeological and palaeontological material or objects, or use such equipment for the recovery of meteorites. (35. [4] 1999:58)."

And:

"No person may, without a permit issued by SAHRA or a provincial heritage resources agency-

(a) destroy, damage, alter, exhume or remove from its original position or otherwise disturb the grave of a victim of conflict, or any burial ground or part thereof which contains such graves;

(b) destroy, damage, alter, exhume, remove from its original position or otherwise disturb any grave or burial ground older than 60 years which is situated outside a formal cemetery administered by a local authority;

(c) bring onto or use at a burial ground or grave referred to in paragraph (a) or (b) and excavation equipment, or any equipment which assists in the detection or recovery of metals (36. [3] 1999:60)."

- *Human Tissue Act of 1983 and Ordinance on the Removal of Graves and Dead Bodies of 1925*

Graves 60 years or older are heritage resources and fall under the jurisdiction of both the National Heritage Resources Act and the Human Tissues Act of 1983. However, graves younger than 60 years are specifically protected by the Human Tissues Act (Act 65 of 1983) and the Ordinance on the Removal of Graves and Dead Bodies (Ordinance 7 of 1925) as well as any local and regional provisions, laws and by-laws. Such burial places also fall under the jurisdiction of the National Department of Health and the Provincial Health Departments. Approval for the exhumation and re-burial must be obtained from the relevant Provincial MEC as well as the relevant Local Authorities.

2.4.2 Background to HIA and AIA Studies

South Africa's unique and non-renewable archaeological and palaeontological heritage sites are 'Generally' protected in terms of the National Heritage Resources Act (Act No 25 of 1999, section 35) and may not be disturbed at all without a permit from the relevant heritage resources authority. Heritage sites are frequently threatened by development projects and both the environmental and heritage legislation require Heritage Impact Assessments (HIAs) & AIAs) that identify all heritage resources in areas to be developed. Particularly, these assessments are required to make recommendations for protection or mitigation of the impact of the sites. HIAs and AIAs should be done by qualified professionals with adequate knowledge to (a) identify all heritage resources including archaeological and palaeontological sites that might occur in areas of developed and (b) make recommendations for protection or mitigation of the impact on the sites.

The National Heritage Resources Act (Act No. 25 of 1999, section 38) provides guidelines for Cultural Resources Management (CRM) and prospective developments:

***"38.** (1) Subject to the provisions of subsections (7), (8) and (9), any person who intends to undertake a development categorised as:*

(a) the construction of a road, wall, powerline, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;

(b) the construction of a bridge or similar structure exceeding 50 m in length;

(c) any development or other activity which will change the character of a site:

(i) exceeding 5 000 m² in extent; or

(ii) involving three or more existing erven or subdivisions thereof; or

(iii) involving three or more erven or divisions thereof which have been consolidated within the past five years; or

(iv) the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority;

(d) the re-zoning of a site exceeding 10 000 m² in extent; or

(e) any other category of development provided for in regulations by SAHRA or a provincial heritage resources authority,

must at the very earliest stages of initiating such a development, notify the responsible heritage resources authority and furnish it with details regarding the location, nature and extent of the proposed development."

And:

"The responsible heritage resources authority must specify the information to be provided in a report required in terms of subsection (2)(a): Provided that the following must be included:

(a) The identification and mapping of all heritage resources in the area affected;

(b) an assessment of the significance of such resources in terms of the heritage assessment criteria set out in section 6(2) or prescribed under section 7;

(c) an assessment of the impact of the development on such heritage resources;

(d) an evaluation of the impact of the development on heritage resources relative to the sustainable social and economic benefits to be derived from the development;

(e) the results of consultation with communities affected by the proposed development and other interested parties regarding the impact of the development on heritage resources;

(f) if heritage resources will be adversely affected by the proposed development, the consideration of alternatives; and

(g) plans for mitigation of any adverse effects during and after the completion of the proposed development (38. [3] 1999:64)."

Consequently, section 35 of the Act requires HIAs or AIAs to be done for such developments in order for all heritage resources, that is, all places or objects of aesthetics, architectural, historic, scientific, social, spiritual, linguistic or technological value or significance to be protected. Thus any assessment should make provision for the protection of all these heritage components, including archaeology, shipwrecks, battlefields, graves, and structures older than 60 years, living heritage, historical settlements, landscapes, geological sites, palaeontological sites and objects.

3 REGIONAL CONTEXT

3.1 Area Location

The farm Syferfontein 293 IQ is situated directly south of the Soweto Township, approximately 30km south west of Westonaria in the Gauteng Province at **S26°20'27.03" E27°46'52.99".** The site is situated amidst gold fields and a number of gold mines. The farm, located along a secondary road connecting the area with the N12 freeway to Potchefstroom, occurs in an expanding industrial and residential area. The Baragwanath airfield is situated direct south of the property.

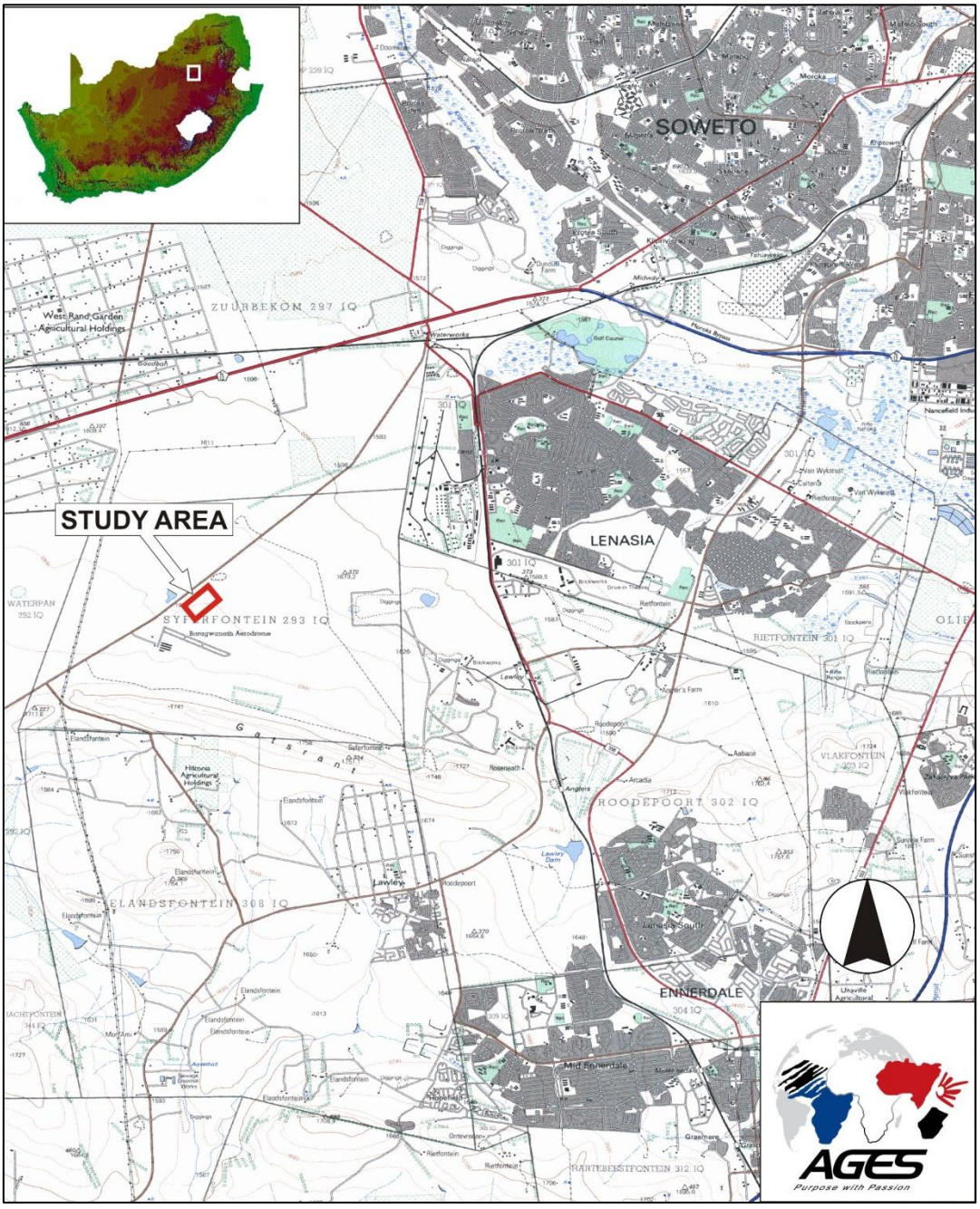

Figure 3-1: 1:50 00 Map representation of Syferfontein, indicating AIA study area (2627BD).

3.2 Area Description: Receiving Environment

The study area falls within the Savanna Biome, which is the largest Biome in southern Africa, occupying over one-third of the surface area of South Africa (Accocs 1988). It is characterised by a grassy ground layer and a distinct upper layer of woody plants. The geological formation of the larger landscape consists of a composite of rocks which have a very weak structure, known as the terra rossa. This weak structure is the case of numerous sink holes in southern Gauteng. The Witwatersrand and surroundings contribute significantly towards the activity of gold mining, which dominates the current human footprint on the area.

Figure 3-2: General surroundings of the Study Area, looking west to the existing Syferfontein mine.

Figure 3-3: Old quarry and diggings situated north of the survey area.

3.3 Site Description

Figure 3-3: Aerial representation of the Syferfontein area, detailing the locations of the current mine, old quarry and survey area.

The survey area for the proposed quarry at Syferfontein, situated east of the existing Syferfontein mine, covers more or less 2.5ha in surface extent. An old quarry and diggings occur directly north of the site (See Figure 3-3). This feature is currently used as informal refuse disposal facility.

4 METHOD OF ENQUIRY

4.1 Sources of Information

4.1.1 Desktop Study

A desktop study was prepared in order to contextualize the proposed project within a larger historical milieu. The study focused on relevant previous studies, archaeological and archival sources, aerial photographs, historical maps and local histories, all pertaining to the Highveld and southern Gauteng areas.

4.1.2 Aerial Representations and Survey

Aerial photography is often employed to locate and study archaeological sites. This method was applied to aid the pedestrian survey of the Syferfontein project area, where contour lines of elevations, depressions, variation in vegetation, soil marks and landmarks were examined. Specific attention was given to shadow sites (shadows of walls or earthworks which are visible early or late in the day), crop mark sites (crop mark sites are visible because disturbances beneath crops cause variations in their height, vigour and type) and soil marks (e.g. differently coloured or textured soil (soil marks) might indicate ploughed-out burial mounds). Attention was also given to moisture differences, as prolonged dampening of soil as a result of precipitation frequently occurs over walls or embankments. By superimposing high frequency aerial photographs with images generated with Google Earth, potential sensitive areas were subsequently identified. These areas served as referenced points from where further transect surveys were carried out.

4.1.3 Field Survey

Archaeological survey implies the systematic procedure of the identification of archaeological sites. An archaeological survey of the Syferfontein project area was done by means of a systematic pedestrian survey in accordance with standard archaeological practise by which heritage resources are observed and documented. In order to sample surface areas systematically and to ensure a high probability of site recording, a transect grid system to a frequency of 10m was created across the survey area which, in turn acted as guide for the pedestrian survey. A Garmin E-trex Legend GPS was used to follow transects and general features in the landscape were recorded and photographed with a Canon 450D Digital camera. As most archaeological material occur in single or multiple stratified layers beneath the soil surface, special attention was given to disturbances, both man-made such as roads and clearings, as well as those made by natural agents such as burrowing animals and erosion.

4.2 Limitations

4.2.1 Access

Access to the farm Syferfontein is gained through a regional road connecting to the N12 freeway. No access control applies to the property and no access constraints were encountered during the site survey.

4.2.2 Visibility

The surrounding vegetation in the Syferfontein area is mostly comprised out of a combination of scattered bush, trees and grasslands. The general visibility at the time of the AIA survey (October 2011) was high due to sparse surface vegetation cover and surface disturbances in places. In single cases during the survey sub-surface inspection was possible. Where applied, this revealed no archaeological deposits.

Figure 4-1: View of the general surroundings at Syferfontein looking south. The Baragwanath airfield is visible in the distance.

Figure 4-2: View of the general surroundings, looking west at current mining activities.

Figure 4-3: Surfaces disturbances and refuse near the old quarry at Syferfontein. .

4.2.3 Constraints

No constraints were encountered during the survey. Maintaining due cognisance of the integrity and accuracy of the archaeological survey, it should be stated that the heritage resources identified during the study do not necessarily represent *all* the heritage resources present on the property. The subterranean nature of some archaeological sites, dense vegetation cover and visibility constraints sometimes distort heritage representations and any additional heritage resources located during consequent development phases must be reported to the Heritage Resources Authority or an archaeological specialist.

5 ARCHAE0-HISTORICAL CONTEXT

5.1 The archaeology of Southern Africa

Archaeology in southern Africa is typically divided into two main fields of study, the **Stone Age** and the **Iron Age** or **Farmer Period**. The following table gives a concise outline of the chronological sequence of periods in Southern African history:

Period	Epoch	Associated cultural groups	Typical Material Expressions
Early Stone Age 2.5m – 250 000 YCE	Pleistocene	Early Hominins: *Australopithecines* *Homo habilis* *Homo erectus*	Typically large stone tools such as hand axes, choppers and cleavers.
Middle Stone Age 250 000 – 25 000 YCE	Pleistocene	First *Homo sapiens* species	Typically smaller stone tools such as scrapers, blades and points.
Late Stone Age 20 000 BC – present	Pleistocene / Holocene	*Homo sapiens sapiens* including San people	Typically small to minute stone tools such as arrow heads, points and bladelets.
Early Iron Age / Early Farmer Period 300 – 900 AD	Holocene	First Bantu-speaking groups	Typically distinct ceramics, bead ware, iron objects, grinding stones.

Middle Iron Age (Mapungubwe / K2) / early Later Farmer Period 900 – 1350 AD	Holocene	Bantu-speaking groups, ancestors of present-day groups	Typically distinct ceramics, bead ware and iron / gold / copper objects, trade goods and grinding stones.
Late Iron Age / Later Farmer Period 1400 AD -1850 AD	Holocene	Various Bantu-speaking groups including Venda, Thonga, Sotho-Tswana and Zulu	Distinct ceramics, grinding stones, iron objects, trade objects, remains of iron smelting activities including iron smelting furnace, iron slag and residue as well as iron ore.
Historical / Colonial Period ±1850 AD – present	Holocene	Various Bantu-speaking groups as well as European farmers, settlers and explorers	Remains of historical structures e.g. homestead, missionary schools etc. as well as, glass, porcelain, metal and ceramics.

5.1.1 The Stone Ages

- **The Earlier Stone Age (ESA)**

Earlier Stone Age deposits typically occur on the flood-plains of perennial rivers and may date to between 2 million and 250 000 years ago. These ESA open sites sometimes contain stone tool scatters and manufacturing debris ranging from pebble tool choppers to core tools such as handaxes and cleavers. These stone tools were made by the earliest hominins. These groups seldom actively hunted and relied heavily on the opportunistic scavenging of meat from carnivore fill sites.

- **The Middle Stone Age (MSA)**

The majority of Middle Stone Age (MSA) sites occur on flood plains and sometimes in caves and rock shelters. Sites usually consist of large concentrations of knapped stone flakes such as scrapers, points and blades and associated manufacturing debris. Tools may have been hafted but organic materials, such as those used in hafting, seldom remain preserved in the archaeological record. Limited drive-hunting activities are also associated with the MSA.

- **The Later Stone Age (LSA)**

Sites dating to the Later Stone Age (LSA) are better preserved in rock shelters, although open sites with scatters of mainly stone tools can occur. Well-protected deposits in shelters allow for stable conditions that result in the preservation of organic materials such as wood, bone, hearths, ostrich eggshell beads and even bedding material. By using San (Bushman) ethnographic data a better understanding of this period is possible. South African rock art is also associated with the LSA.

5.1.2 The Iron Age (Farmer Period)

- **Early Iron Age (Early Farming Communities)**

The Early Iron Age (also Early Farmer Period) marks the movement of Bantu speaking farming communities into South Africa at around 200 A.D. These groups were agro-pastoralists that settled in the vicinity of water in order to provide subsistence for their cattle and crops. Artefact evidence from Early Farmer Period sites is mostly found in the form of ceramic assemblages and the origins and archaeological identities of this period are largely based upon ceramic typologies and sequences, where diagnostic pottery assemblages can be used to infer group identities and to trace movements across the landscape. Early Farmer Period ceramic traditions are classified by some scholars into different “streams” or trends in pot types and decoration that, over time emerged in southern Africa. These “streams” are identified as the Kwale Branch (east), the Nkope Branch (central) and the Kalundu Branch (west). More specifically, in the northern regions of South Africa at least three settlement phases have been distinguished for prehistoric Bantu-speaking agropastoralists. The first phase of the Early Iron Age, known as Happy Rest (named after the site where the ceramics were first identified), is representative of the

Western Stream of migrations, and dates to AD 400 - AD 600. The second phase of Diamant is dated to AD 600 - AD 900 and was first recognized at the eponymous site of Diamant in the western Waterberg. The third phase, characterised by herringbone-decorated pottery of the Eiland tradition, is regarded as the final expression of the Early Iron Age (EIA) and occurs over large parts of the North West Province, Northern Province, Gauteng and Mpumalanga. This phase has been dated to about AD 900 - AD 1200. Early Farmer Period ceramics typically display features such as large and prominent inverted rims, large neck areas and fine elaborate decorations. The Early Iron Age continued up to the end of the first millennium AD.

- **Middle Iron Age / K2 Mapungubwe Period (early Later Farming Communities)**

The onset of the middle Iron Age dates back to ±900 AD, a period more commonly known as the Mapungubwe / K2 phase. These names refer to the well known archaeological sites that are today the pinnacle of South Africa's Iron Age heritage. The inhabitants of K2 and Mapungubwe, situated on the banks of the Limpopo, were agriculturalists and pastoralists and were engaged in extensive trade activities with local and foreign traders. Although the identity of this Bantu-speaking group remains a point of contestation, the Mapungubwe people were the first state-organized society southern Africa has known. A considerable amount of golden objects, ivory, beads (glass and gold), trade goods and clay figurines as well as large amounts of potsherds were found at these sites and also appear in sites dating back to this phase of the Iron Age. Ceramics of this tradition take the form of beakers with upright sides and decorations around the base (K2) and shallow-shouldered bowls with decorations as well as globular pots with long necks. (Mapungubwe). The site of Mapungubwe was deserted at around 1250 AD and this also marks the relative conclusion of this phase of the Iron Age.

- **Later Iron Age (Later Farming Communities)**

The late Iron Age of southern Africa marks the grouping of Bantu speaking groups into different cultural units. It also signals one of the most influential events of the second millennium AD in southern Africa, the difaqane. The difaqane (also known as "the scattering") brought about a dramatic and sudden ending to centuries of stable society in southern Africa. Reasons for this change was essentially the first penetration of the southern African interior by Portuguese traders, military conquests by various Bantu speaking groups primarily the ambitious Zulu King Shaka and the beginning of industrial developments in South Africa. Different cultural groups were scattered over large areas of the interior. These groups conveyed with them their customs that in the archaeological record manifest in ceramics, beads and other artefacts. This means that distinct pottery typologies can be found in the different late Iron Age groups of South Africa.

5.1.3 Historical and Colonial Times and Recent History:

The Historical period in southern Africa encompass the course of Europe's discovery of South Africa and the spreading of European settlements along the East Coast and subsequently into the interior. In addition, the formation stages of this period are marked by the large scale movements of various Bantu-speaking groups in the interior of South Africa, which profoundly influenced the course of European settlement. Finally, the final retreat of the San and Khoekhoen groups into their present-day living areas also occurred in the Historical period in southern Africa.

6 RESULTS: ARCHAEOLOGICAL SURVEY

6.1 Palaeontology

No Palaeontological sites or features were observed in the survey area.

6.2 The Stone Age

No Stone Age occurrences were observed in the survey area.

6.3 The Iron Age (Farmer Period)

No Iron Age (Farmer Period) occurrences were observed in the survey area.

6.4 Historical / Colonial Period and recent times

No Historical / Colonial Period sites were observed in the survey area.

6.5 Graves

No graves or burial places were observed in the survey area.

6.6 Discussion: Syferfontein Regional History

A large variety of pre-colonial and colonial archaeological and heritage sites exist on the Highveld areas north of the Vaal River in Gauteng. Sites dating to different periods of the Stone Age, as well as the Farmer period occur widely in the region. However, the most notable and frequent incidences of heritage remains can be attributed to Iron Age Bantu-speaking communise that settled in this landscape in previous centuries.

6.6.1 Bantu speaking groups north of the Vaal River

Ethnographers generally divide major Bantu-speaking groups of southern Africa into two broad linguistic groups, the Nguni and the Sotho. Smaller subdivisions obviously existed under these two main groups. Nguni groups were found in the eastern parts of the interior of South Africa and can be divided into the north Nguni and the south Nguni. The various Zulu and Swazi groups were generally associated with the north Nguni whereas the south Nguni contained the Xhosa, Mpondo, Thembu and Mpondomise groups. The same geographically based divisions could be found among Sotho groups, where, under the Western Sotho (or Tswana) one would be able to identify groups such as the Rolong, Hurutshe, Kwena, Fokeng and Kgatla. The north Sotho, in turn was characterised by the Pedi and an amalgamation of smaller groups united to become the Basutho, or the south Sotho group. Other smaller language groups such as the Venda, Lemba and Tshonga Shangana transpired outside these major entities but as time progressed they were, however to lesser or greater extend influenced and absorbed by neighbouring groups. One should remember the terms “Nguni” and “Sotho” refer to broad and comprehensive groups that demonstrated similarities in their origins and language. It does not imply that these Nguni / Sotho groups were homogeneous and static; they rather moved through the landscape and influenced each other in continuous processes marked by cultural fluidity.

6.6.2 Later History: Farmer Stone Walled Settlements

Complex stone wall clusters are scattered across the landscapes of the Central Highveld and the Free State. These stone structures, commonly associated with Bantu speaking farming communities, are the remnants of a complex 500 year old sequence of stone wall building in central interior of South Africa. Tim Maggs, noted archaeologist of the later Farmer Period in southern Africa, named the first phase in this sequence “Type N” walling, dating to the 15th to 17th centuries AD (Maggs 1976). This phase, which mostly developed in the Free State, was characterised by central cattle kraals linked by outer walls, while the whole settlement was surrounded by a perimeter wall which also incorporated small stock enclosures. After the 17th century, the “Type N” style of building spread across the Vaal River in consecutive phases where it later became known as “Klipriviersberg” type walling (Taylor 1979a). These settlements typically displayed outer scalloped walls that demarcated back courtyards, a large number of small stock kraals and straight walls which separated household units in the domestic zone. Beehive huts would have housed communities on these sites. The Klipriviersberg walling type dates to the 18th and 19th centuries and are associated with the Fokeng cluster of the Sotho-Tswana

speaker group.

Figure 6-1: Site plan and aerial representation of Klipriviersberg-type settlements (after Huffman [2007]).

Knowledge of the early history of the Fokeng is limited but we do know that a group of Fokeng predecessors settled in the Free State by the 14th century. Later, two Fokeng groups detached from the main entity and settled near Broederstroom at the foot of the Magaliesberg, and near the Vaal River respectively. The latter yet again divided and one of these divisions settled over a large area in the northern Free State and the southern Highveld.

6.6.3 Historical Period / Recent Times

Westonaria was proclaimed in 1938 as a result of all the mining activities that took place in this area since 1910 when the first mining shaft known as the Pullinger Shaft was sunk. The farm Syferfontein was established in the early part of the 20th century and mining rights were transferred for the property in 1946. The property is situated in the Soweto area, which was established with the discovery of Gold in 1885. The first residents in the Soweto area were located in Klipspruit in 1905. During the 1930's the demand for housing for the large numbers of black people who had moved into Johannesburg grew to such an extent that new housing was built in an area known as Orlando.

7 STATEMENTS OF SIGNIFICANCE

7.1 Heritage resources management and conservation

Archaeological sites, as previously defined in the National Heritage Resources Act (Act 25 of 1999) are places in the landscape where people have lived in the past – generally more than 60 years ago – and have left traces of their presence behind. In South Africa, archaeological sites include hominid fossil sites, places where people of the Earlier, Middle and Later Stone Age lived in open sites, river gravels, rock shelters and caves, Iron Age sites, graves, and a variety of historical sites and structures in rural areas, towns and cities. Palaeontological sites are those with fossil remains of plants and animals where people were not involved in the accumulation of the deposits. The basic principle of cultural heritage conservation is that archaeological and other heritage sites are valuable, scarce and *non-renewable.* Many such sites are unfortunately lost on a daily basis through development for housing, roads and infrastructure and once archaeological sites are damaged, they cannot be re-created as site integrity and authenticity is permanently lost. Archaeological sites have the potential to contribute to our understanding of the history of the region and of our country and continent. By preserving links with our past, we may not be able to revive lost cultural traditions, but it enables us to

appreciate the role they have played in the history of our country.

7.2 Categories of significance

Rating the significance of archaeological sites, and consequently grading the potential impact on the resources is linked to the significance of the site itself. The significance of an archaeological site is based on the amount of deposit, the integrity of the context, the kind of deposit and the potential to help answer present research questions. Historical structures are defined by Section 34 of the National Heritage Resources Act, 1999, while other historical and cultural significant sites, places and features, are generally determined by community preferences. The guidelines as provided by the NHRA (Act No. 25 of 1999) in Section 3, with special reference to subsection 3 are used when determining the cultural significance or other special value of archaeological or historical sites. In addition, ICOMOS (the Australian Committee of the International Council on Monuments and Sites) highlights four cultural attributes, which are valuable to any given culture:

- *Aesthetic value:*

Aesthetic value includes aspects of sensory perception for which criteria can and should be stated. Such criteria include consideration of the form, scale, colour, texture and material of the fabric, the general atmosphere associated with the place and its uses and also the aesthetic values commonly assessed in the analysis of landscapes and townscape.

- *Historic value:*

Historic value encompasses the history of aesthetics, science and society and therefore to a large extent underlies all of the attributes discussed here. Usually a place has historical value because of some kind of influence by an event, person, phase or activity.

- *Scientific value:*

The scientific or research value of a place will depend upon the importance of the data involved, on its rarity, quality and on the degree to which the place may contribute further substantial information.

- *Social value:*

Social value includes the qualities for which a place has become a focus of spiritual, political, national or other cultural sentiment to a certain group.

In terms of the evaluation of sites, the certainty of prediction is definite, unless stated otherwise and if the significance of the site is rated high, the significance of the impact will also result in a high rating. The same rule applies if the significance rating of the site is low. Sites of archaeological (over 100 years old) and historical value (over 60 years) are protected in terms of Sections 35 and 38 of the National Heritage Resources Act (Act No. 25 of 1999). Recognizable human graves are also protected by the same legislation. According to the spirit of the National Heritage Resources Act, human graves have high social value regardless of their historical significance.

For other archaeological, cultural or historical sites, five criteria determine site significance:

- integrity of deposit (primary versus secondary context);
- amount of deposit;
- number and variety of features;
- uniqueness; and
- potential to answer present research questions.

Following the above criteria, sites with “no significance” do not require further consideration; “low significance”

may require mitigation; "medium significance" will require mitigation; while sites with "high significance" should not be disturbed at all. This significance rating protocol is further illustrated by the following table:

Significance	Rating Action
No significance: sites that do not require mitigation.	None
Low significance: sites, which may require mitigation.	2a. Recording and documentation (Phase 1) of site; no further action required 2b. Controlled sampling (shovel test pits, augering), mapping and documentation (Phase 2 investigation); permit required for sampling and destruction
Medium significance: sites, which require mitigation.	3. Excavation of representative sample, C14 dating, mapping and documentation (Phase 2 investigation); permit required for sampling and destruction [including 2a & 2b]
High significance: sites, where disturbance should be avoided.	4a. Nomination for listing on Heritage Register (National, Provincial or Local) (Phase 2 & 3 investigation); site management plan; permit required if utilised for education or tourism
High significance: Graves and burial places	4b. Locate demonstrable descendants through social consulting; obtain permits from applicable legislation, ordinances and regional by-laws; exhumation and reinterment [including 2a, 2b & 3]

A fundamental aspect in assessing the significance and protection status of a heritage resource is often whether or not the sustainable social and economic benefits of a proposed development outweigh the conservation issues at stake. When, for whatever reason the protection of a heritage site is not deemed necessary or practical, its research potential must be assessed and mitigated in order to gain data / information, which would otherwise be lost. Such sites must be adequately recorded and sampled before being destroyed. These are generally sites graded as of low or medium significance.

7.3 Evaluation of Significance: Syferfontein Quarry Project Area

- As no sites of archaeological/heritage significance, graves and other occurrences of heritage conservation value were documented in the survey area for this AIA study on the farm Syferfontein 293 IQ, the author of this report is of the opinion that no heritage resources will be impacted by the proposed Syferfontein Mine and no significance ratings applies to the area.

8 RECOMMENDATIONS

A varied selection of pre-colonial and colonial archaeological and heritage sites exist on the Highveld areas of the Gauteng Province. Therefore, the following recommendations are made based on general observations at the site:

- No heritage sites were documented in the Syferfontein AIA study area and no impact on heritage resources by mining activates is anticipated.
- A careful watching brief monitoring process is recommended for all stages of development. Should any subsurface paleontological / archaeological / historical material be exposed during construction activities, all activities should be suspended and the archaeological specialist should be notified immediately
- Due cognisance should be taken of the larger archaeological landscape of the area in order to avoid the destruction of previously undetected heritage sites in the area.

9 GENERAL COMMENTS AND CONDITIONS

This Phase 1 AIA report serves to confirm that no archaeological / heritage features were documented in the AIA survey area on the farm Syferfontein 293 IQ. The author of this report is of the opinion that no heritage resources will be impacted by the Syferfontein Mine development.

However, the Highveld areas north of the Vaal River encompass a rich and diverse archaeological landscape and cognisance should be taken of archaeological material that might be present in surface and sub-surface deposits.

Such material might include Stone Age remains:

- Formal Earlier Stone Age stone tools such as handaxes, choppers and cleavers.
- Formal Middle Stone Age stone tools such as points, blades and scrapers.
- Formal Later Stone Age stone tools such as microlithic blades, points and scrapers.
- Lithic residues and debris such as stone cores and flakes.

In addition, Farmer and Colonial Period remains might be present in subsurface deposits since the survey area. These remains could include:

- Iron Age remains such as decorated and undecorated potsherds.
- Iron Age remains such as iron objects.
- Beads made from ostrich eggshell and glass.
- Ash middens and cattle dung deposits and accumulations.
- Animal bones and faunal remains.
- Human remains/graves.
- Iron age stone walling or any sub-surface structures.
- Historical brick structures.
- Glass fragments.
- Porcelain / earthenware / stoneware.
- Tin.
- Metal objects.

If such artefacts / sites were to be encountered or impacted by any proposed developments, recommendations contained in this report, as well as endorsement of mitigation measures as set out by SAHRA, the National Resources Act and the CRM section of ASAPA will be required. Please note that this report is a Phase 1 archaeological heritage impact assessment/investigation only and does not include or exempt other required heritage impact assessments.

It must be emphasised that the conclusions and recommendations expressed in this archaeological heritage sensitivity investigation are based on the visibility of archaeological sites/features and may not therefore, represent the area's complete archaeological legacy. Many sites/features may be covered by soil and vegetation and might only be located during sub-surface investigations. If subsurface archaeological deposits, artefacts or skeletal material were to be recovered in the area during construction activities, all activities should be suspended and the archaeological specialist should be notified immediately **(*cf.* NHRA (Act No. 25 of 1999)**, Section 36 (6)).

It must also be clear that Archaeological Specialist Reports (AIAs) will be assessed by the relevant heritage resources authority. The final decision rests with the heritage resources authority, which should give a permit or a formal letter of permission for the destruction of any cultural sites.

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