



**Archaeological Scoping Report**  
**PAMDC (PTY) LTD: PROSPECTING PROJECT, NORTHWEST & NORTHERN CAPE PROVINCES**

March 2014

Prepared for: PAMDC (Pty) Ltd  
Document version 2 - FINAL  
Compiled by N. Kruger



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# ARCHAEOLOGICAL SCOPING STUDY FOR THE PAMDC PTY (LTD) PROSPECTING PROJECT, NORTHWEST & NORTHERN CAPE PROVINCES

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March 2014

Document Version 2 (Final)

## Conducted on behalf of:

PAMDC (Pty) Ltd.  
AGES Gauteng

## Compiled by:

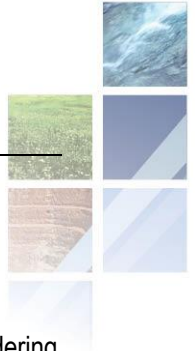
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**DECLARATION**

I, Nelius Le Roux Kruger, declare that –

- I act as the independent specialist;
- I am conducting any work and activity relating to the Prospecting Heritage Scoping Study in an objective manner, even if this results in views and findings that are not favourable to the client;
- I declare that there are no circumstances that may compromise my objectivity in performing such work;
- I have expertise in conducting the specialist report relevant to this application, including knowledge of the relevant Heritage Legislation (National Heritage Resources Act no. 25 of 1999, Human Tissue Act 65 of 1983 as amended, Removal of Graves and Dead Bodies Ordinance no. 7 of 1925, Excavations Ordinance no. 12 of 1980), the Minimum Standards: Archaeological and Palaeontological Components of Impact Assessment (SAHRA and the CRM section of ASAPA), regulations and any guidelines that have relevance to the proposed activity;
- I will comply with the Act, regulations and all other applicable legislation;
- I have not, and will not engage in, conflicting interests in the undertaking of the activity;
- I undertake to disclose to the applicant and the competent authority all material information in my possession that reasonably has or may have the potential of influencing - any decision to be taken with respect to the application by the competent authority; and - the objectivity of any report, plan or document to be prepared by myself for submission to the competent authority;
- All the particulars furnished by me in this declaration are true and correct.



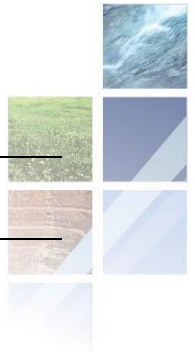
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**SIGNATURE OF SPECIALIST**

**Company:** Africa Geo-Environmental Services Gauteng (Pty) Ltd.

**Date:** 5 March 2014

## NOTATIONS AND TERMS

**Absolute dating:**

Absolute dating provides specific dates or range of dates expressed in years.

**Archaeology:**

The study of the human past through its material remains.

**Archaeological record:**

The archaeological record minimally includes all the material remains documented by archaeologists. More comprehensive definitions also include the record of culture history and everything written about the past by archaeologists.

**Artefact:**

Entities whose characteristics result or partially result from human activity. The shape and other characteristics of the artifact are not altered by removal of the surroundings in which they are discovered. In the southern African context examples of artefacts include potsherds, iron objects, stone tools, beads and hut remains.

**Assemblage:**

A group of artefacts recurring together at a particular time and place, and representing the sum of human activities.

**<sup>14</sup>C or radiocarbon dating:**

The <sup>14</sup>C method determines the absolute age of organic material by studying the radioactivity of carbon. It is reliable for objects not older 70 000 years by means of isotopic enrichment. The method becomes increasingly inaccurate for samples younger than ±250 years.

**Ceramic Facies:**

In terms of the cultural representation of ceramics, a facies is denoted by a specific branch of a larger ceramic tradition. A number of ceramic facies thus constitute a ceramic tradition.

**Ceramic Tradition:**

In terms of the cultural representation of ceramics, a series of ceramic units constitutes as ceramic tradition.

**Context:**

An artefact's context usually consists of its immediate *matrix*, its *provenience* and its *association* with other artefacts. When found in *primary context*, the original artefact or structure was undisturbed by natural or human factors until excavation and if in *secondary context*, disturbance or displacement by later ecological action or human activities occurred.

**Culture:**

A contested term, "culture" could minimally be defined as the learned and shared things that people have, do and think.

**Cultural Heritage Resource:**

The broad generic term *Cultural Heritage Resources* refers to any physical and spiritual property associated with past and present human use or occupation of the environment, cultural activities and history. The term includes sites, structures, places, natural features and material of palaeontological, archaeological, historical, aesthetic, scientific, architectural, religious, symbolic or traditional importance to specific individuals or groups, traditional systems of cultural practice, belief or social interaction.

**Cultural landscape:**

A cultural landscape refers to a distinctive geographic area with cultural significance.

**Cultural Resource Management (CRM):**

A system of measures for safeguarding the archaeological heritage of a given area, generally applied within the framework of legislation designed to safeguard the past.

**Ecofact:**

Non artifactual material remains that has cultural relevance which provides information about past human activities. Examples would include remains or evidence of domesticated animals or plant species.

**Excavation:**

The principal method of data acquisition in archaeology, involving the systematic uncovering of archaeological remains through the removal of



the deposits of soil and the other material covering and accompanying it.

**Feature:**

Non-portable artifacts, in other words artifacts that cannot be removed from their surroundings without destroying or altering their original form. Hearths, roads, and storage pits are examples of archaeological features

**GIS:**

Geographic Information Systems are computer software that allows layering of various types of data to produce complex maps; useful for predicting site location and for representing the analysis of collected data within sites and across regions.

**Historical archaeology:**

Primarily that aspect of archaeology which is complementary to history based on the study of written sources. In the South African context it concerns the recovery and interpretation of relics left in the ground in the course of Europe's discovery of South Africa, as well as the movements of the indigenous groups during, and after the "Great Scattering" of Bantu-speaking groups – known as the *mfecane* or *difaqane*.

**Impact:** A description of the effect of an aspect of the development on a specified component of the biophysical, social or economic environment within a defined time and space.

**Iron Age:**

Also known as "Farmer Period", the "Iron Age" is an archaeological term used to define a period associated with domesticated livestock and grains, metal working and ceramic manufacture.

**Lithic:**

Stone tools or waste from stone tool manufacturing found in on archaeological sites.

**Management / Management Actions:** Actions – including planning and design changes - that enhance benefits associated with a proposed development, or that avoid, mitigate, restore, rehabilitate or compensate for the negative impacts.

**Matrix:**

The material in which an artefact is situated (sediments such as sand, ashy soil, mud, water, etcetera). The matrix may be of natural origin or human-made.

**Megalith:**

A large stone, often found in association with others and forming an alignment or monument, such as large stone statues.

**Midden:**

Refuse that accumulates in a concentrated heap.

**Microlith:**

A small stone tool, typically knapped of flint or chert, usually about three centimetres long or less.

**Monolith:**

A geological feature such as a large rock, consisting of a single massive stone or rock, or a single piece of rock placed as, or within, a monument or site.

**Oral Histories:**

The historical narratives, stories and traditions passed from generation to generation by word of mouth.

**Phase 1 CRM Assessment:**

An Impact Assessment which identifies archaeological and heritage sites, assesses their significance and comments on the impact of a given development on the sites. Recommendations for site mitigation or conservation are also made during this phase.

**Phase 2 CRM Study:**

In-depth studies which could include major archaeological excavations, detailed site surveys and mapping / plans of sites, including historical / architectural structures and features. Alternatively, the sampling of sites by collecting material, small test pit excavations or auger sampling is required. Mitigation / Rescue involves planning the protection of significant sites or sampling through excavation or collection (in terms of a permit) at sites that may be lost as a result of a given development.

**Phase 3 CRM Measure:**

A Heritage Site Management Plan (for heritage conservation), is required in rare cases where the site is so important that development will not

be allowed and sometimes developers are encouraged to enhance the value of the sites retained on their properties with appropriate interpretive material or displays.

**Prehistoric archaeology:**

That aspect of archaeology which concerns itself with the development of humans and their culture before the invention of writing. In South Africa, prehistoric archaeology comprises the study of the Early Stone Age, the Middle Stone Age and the greater part of the Later Stone Age and the Iron Age.

**Probabilistic Sampling:**

A sampling strategy that is not biased by any person's judgment or opinion. Also known as statistical sampling, it includes systematic, random and stratified sampling strategies.

**Provenience**

Provenience is the three-dimensional (horizontal and vertical) position in which artefacts are found. Fundamental to ascertaining the provenience of an artefact is *association*, the co-occurrence of an artefact with other archaeological remains; and *superposition*, the principle whereby artefacts in lower levels of a matrix were deposited before the artefacts found in the layers above them, and are therefore older.

**Random Sampling:**

A probabilistic sampling strategy whereby randomly selected sample blocks in an area are surveyed. These are fixed by drawing coordinates of the sample blocks from a table of random numbers.

**Relative dating:**

The process whereby the relative antiquity of sites and objects are determined by putting them in sequential order but not assigning specific dates.

**Remote Sensing:**

The small or large-scale acquisition of information of an object or phenomenon, by the use of either recording or real-time sensing device(s) that is not in physical or intimate contact with the object (such as by way of aircraft, spacecraft or satellite). Here, ground-based geophysical methods such as Ground Penetrating Radar and Magnetometry are often used for archaeological imaging.

**Rock Art Research:**

Rock art can be "decoded" in order to inform about cultural attributes of prehistoric societies, such as dress-code, hunting and food gathering, social behaviour, religious practice, gender issues and political issues.

**Scoping Assessment:** The process of determining the spatial and temporal boundaries (i.e. extent) and key issues to be addressed in an impact assessment. The main purpose is to focus the impact assessment on a manageable number of important questions on which decision making is expected to focus and to ensure that only key issues and reasonable alternatives are examined. The outcome of the scoping process is a Scoping Report that includes issues raised during the scoping process, appropriate responses and, where required, terms of reference for specialist involvement.

**Sensitive:**

Often refers to graves and burial sites although not necessarily a heritage place, as well as ideologically significant sites such as ritual / religious places. *Sensitive* may also refer to an entire landscape / area known for its significant heritage remains.

**Site (Archaeological):**

A distinct spatial clustering of artefacts, features, structures, and organic and environmental remains, as the residue of human activity. These include surface sites, caves and rock shelters, larger open-air sites, sealed sites (deposits) and river deposits. Common functions of archaeological sites include living or habitation sites, kill sites, ceremonial sites, burial sites, trading, quarry, and art sites,

**Slag:**

The material residue of smelting processes from metalworking.

**Stone Age:**

An archaeological term used to define a period of stone tool use and manufacture.

**Stratigraphy:**



This principle examines and describes the observable layers of sediments and the arrangement of strata in deposits

**Stratified Sampling:**

A probabilistic sampling strategy whereby a study area is divided into appropriate zones – often based on the probable location of archaeological areas, after which each zone is sampled at random.

**Systematic Sampling:**

A probabilistic sampling strategy whereby a grid of sample blocks is set up over the survey area and each of these blocks is equally spaced and searched.

**Tradition:**

Artefact types, assemblages of tools, architectural styles, economic practices or art styles that last longer than a phase and even a horizon are describe by the term *tradition*. A common example of this is the early Iron Age tradition of Southern Africa that originated ± 200 AD and came to an end at about 900 AD.

**Trigger:** A particular characteristic of either the receiving environment or the proposed project which indicates that there is likely to be an *issue* and/or potentially significant *impact* associated with that proposed development that may require specialist input. Legal requirements of existing and future legislation may also trigger the need for specialist involvement.

**Tuyère:**

A ceramic blow-tube used in the process of iron smelting / reduction.

**LIST OF ABBREVIATIONS**

Abbreviation	Description
ASAPA	Association for South African Professional Archaeologists
AIA	Archaeological Impact Assessment
BP	Before Present
BCE	Before Common Era
EIA	Early Iron Age (also Early Farmer Period)
EIA	Environmental Impact Assessment
EFP	Early Farmer Period (also Early Iron Age)
ESA	Earlier Stone Age
GIS	Geographic Information Systems
HIA	Heritage Impact Assessment
K2/Map	K2/Mapungubwe Period
LFP	Later Farmer Period (also Later Iron Age)
LIA	Later Iron Age (also Later Farmer Period)
LSA	Later Stone Age
MIA	Middle Iron Age (also Early later Farmer Period)
MRA	Mining Rights Application
MSA	Middle Stone Age
NHRA	National Heritage Resources Act No.25 of 1999, Section 35
SAHRA	South African Heritage Resources Association
YCE	Years before Common Era (Present)

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## 1 EXECUTIVE SUMMARY

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This Archaeological Scoping Report is the result of an archae-historical study of the farms Skelek 189/14, Collins 189/15, Greenwich 302, Belper 331, Sydney 312, Taunton 315, Govie 324 and Ross 330, as part of a Heritage Scoping Study for the proposed Prospecting Project in the Northwest Province and the Northern Cape. The report includes information on the archaeology and history of the Pomfret area in the Northwest Province and the Heuningvlei area in the Northern Cape Province, past archaeological research projects conducted in the area and an inventory of heritage sites around the project area. It also details preliminary significance ratings, site location probabilities and recommendations pertaining to proposed mining activities for the Prospecting Project. Finally, a summary of heritage legislation and conservation policies is included.

Results from the desktop study and a limited site visit to the project area infer a varied cultural landscape. The landscape directly surrounding the Prospecting Project seems to have been relatively sparsely populated by humans in the past, possibly as a result of the general scarcity of sustainable water sources as well as the absence of hills or outcrops for shelter. However, material from the earlier, middle and later Stone Age occur widely across the Northwest Province and such sites are likely to occur along drainage lines and at sources of water on the Project properties. Moving into recent history, farms in the area were proclaimed in the 19<sup>th</sup> century and related infrastructure emerged. Farmsteads and buildings were constructed on farms in the project area when they were proclaimed in the 19<sup>th</sup> century and beginning of the 20<sup>th</sup> century, an example being historical structures on the farm Skelek. Such remnants are of historical significance since they exceed the 60year age delineation for heritage structures as set out by the NHRA No. 25 of 1999). In addition, burial grounds dating to the Colonial Period in the area, as well as recent graves are to be expected in the Project Area. An example of such a Historical Period grave was documented on the farm Skelek. Any burials in the project area are of high heritage significance and require special management attention. In principle, all activities pertaining to potential prospecting should be conducted in such a way as to avoid impact on any burial graves. In addition, a conservation buffer zone of at least 20m around the graves, as well as the fencing off of all cemeteries and graves are usually required. In the event that impact on in any way by prospecting any grave or conservation buffer zone cannot be averted, full grave relocations should be conducted. This measure is undertaken by a qualified archaeologist, and in accordance with relevant legislation and subject to any local and regional provisions and laws and by-laws pertaining to human remains. A full social consultation process should occur in conjunction with the mitigation of cemeteries and burials.

It is essential that all stakeholders (SAHRA, Advisory bodies, local traditional authorities, the Local Municipality, the Department of Mineral Resources & Department of Environmental Affairs) be consulted prior to the commencement of prospecting activities in the area in order to facilitate the process of development. As a general guideline and to reduce impacts on possible heritage resources in the project area, it is recommended that full heritage impact assessment (HIA) projects, supported by detailed background desktop study be conducted for all areas to be impacted on by any activity pertaining to the Prospecting Project. This is in order to establish the possible existence of sites of cultural significance and archaeological value, and to minimise possible impact on such sites. In addition, possible sites of "Living Heritage" in the Prospecting Project Area should be noted and managed. The majority of groups, farmers and locals living in the area have occupied the region for many generations and have expressed long-term cultural associations with the region. Therefore, it is important to ascertain from these respondents whether there are any further undetected sites of cultural significance in the area to which they relate and / or attach cultural meaning. Finally, since the project area falls within a palaeontologically sensitive zone it is recommended that full palaeontological impact assessment (PIA) projects be conducted on areas to be impacted on by any activities by suitably qualified specialists.

It is essential that cognisance be taken of the larger archaeological landscape of the area in order to avoid the

destruction of previously undetected heritage sites. Here, care should be taken around rock faces and outcrops in the larger landscape, as rock art is known to occur on these outcrops. Water sources such as drainage lines and rivers should also be regarded as potentially sensitive in terms of possible Stone Age and Iron Age deposits. The possible existence of Historical Period resources deriving from the area's more recent history should also be considered. Graves and cemeteries generally occur around homesteads and villages and utmost care should be taken not to disturb these high risk heritage resources as they involve complex intrinsic social and ritual attributes within the community. Ultimately, it essential that the archaeological and cultural heritage of the Northwest Province and the Northern Cape Province be respected. The management of heritage resources should be aligned with exploration and possible future mining activities by means of cultural mitigation and / or management plans developed in conjunction with heritage authorities and specialists.

Please note that all conclusions and recommendations expressed in this archaeological heritage sensitivity investigation are based on limited site observations and desktop study findings and do not therefore represent a complete archaeological legacy for the Prospecting Project Area.

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## 2 BACKGROUND AND SCOPE

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### 2.1 Scope and Motivation

AGES was commissioned by PAMDC (Pty) Ltd for a Heritage Resources Scoping Study as part of a prospecting right application on the farms Skelek 189/14, Collins 189/15, Greenwich 302, Belper 331, Sydney 312, Taunton 315, Govie 324 and Ross 330, as part of a Heritage Scoping Study for the proposed Prospecting Project in the Northwest Province. The rationale of the FFA was to determine the presence of heritage resources such as paleontological, archaeological and historical sites and features, graves and places of religious and cultural significance within the proposed area, to preliminarily rate such sites according to heritage significance and value, and to inform on sensitive heritage areas in the study area in the light of the proposed development. Ultimately, the process aims to identify significant heritage issues or constraints which may be encountered during prospecting activities.

### 2.2 Project Area

The sites for the Prospecting Project are located along two farm clusters. A northern cluster west of the small town of Tosca, and north of Pomfret includes the following farms:

- Skelek 189/14
- Collins 189/15

A southern cluster is situated directly west of the village of Heuningvlei and includes the following farms:

- Greenwich 302
- Belper 331
- Sydney 312
- Taunton 315
- Govie 324
- Ross 330



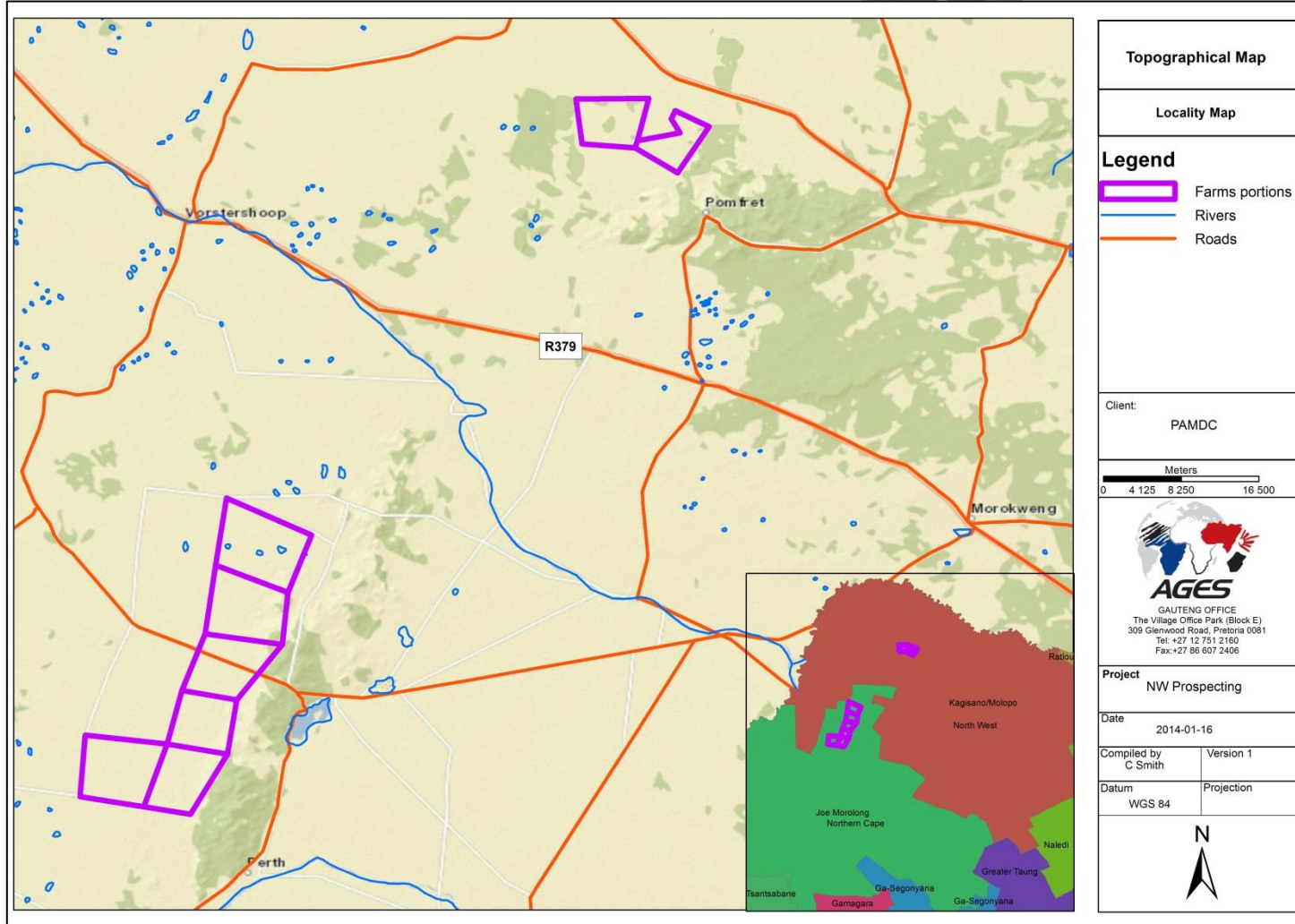


Figure 2-1: Map illustrating the regional location of the Prospecting Project.

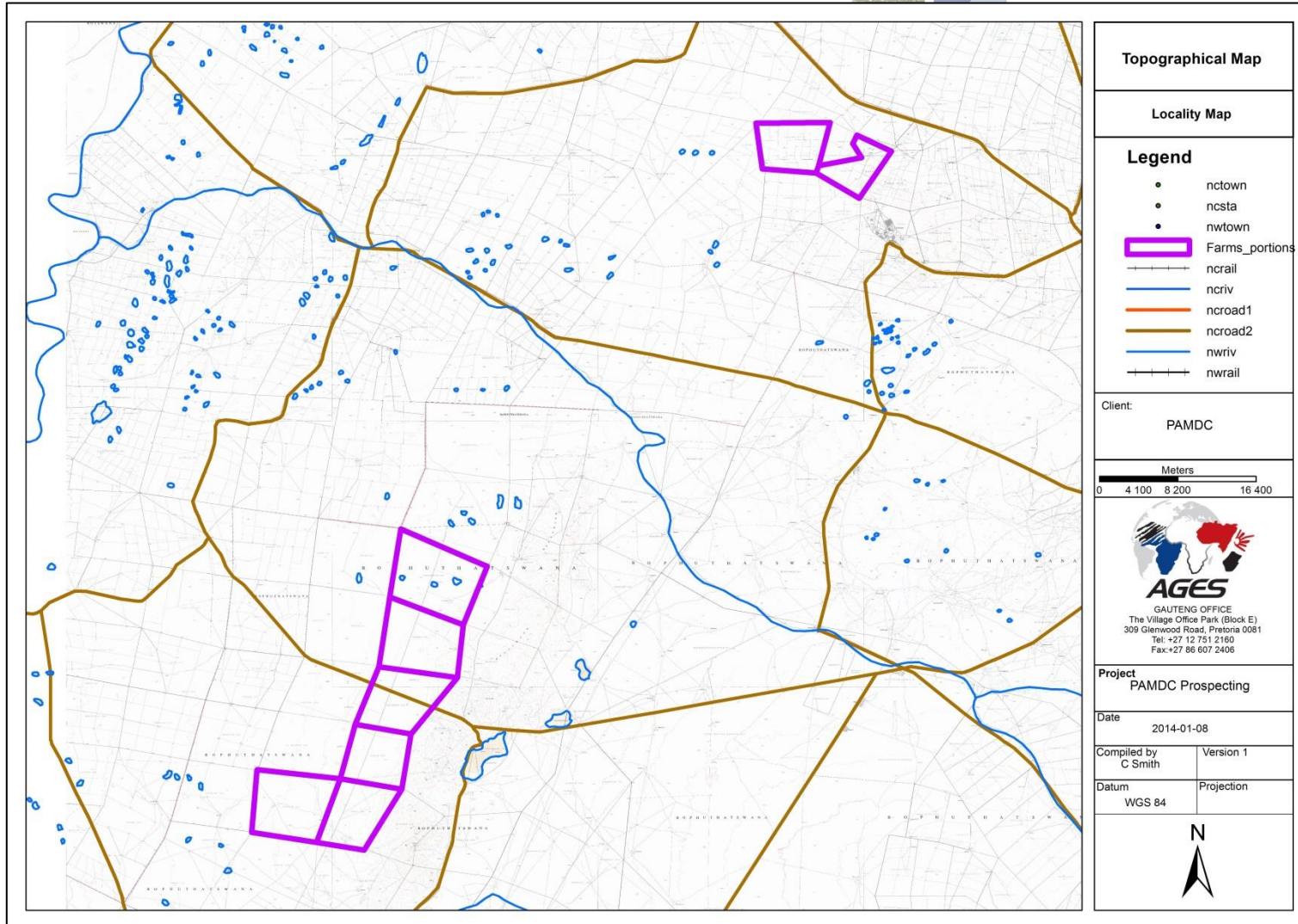


Figure 2-2: Additional map illustrating the locations of properties subject to the Prospecting Project.

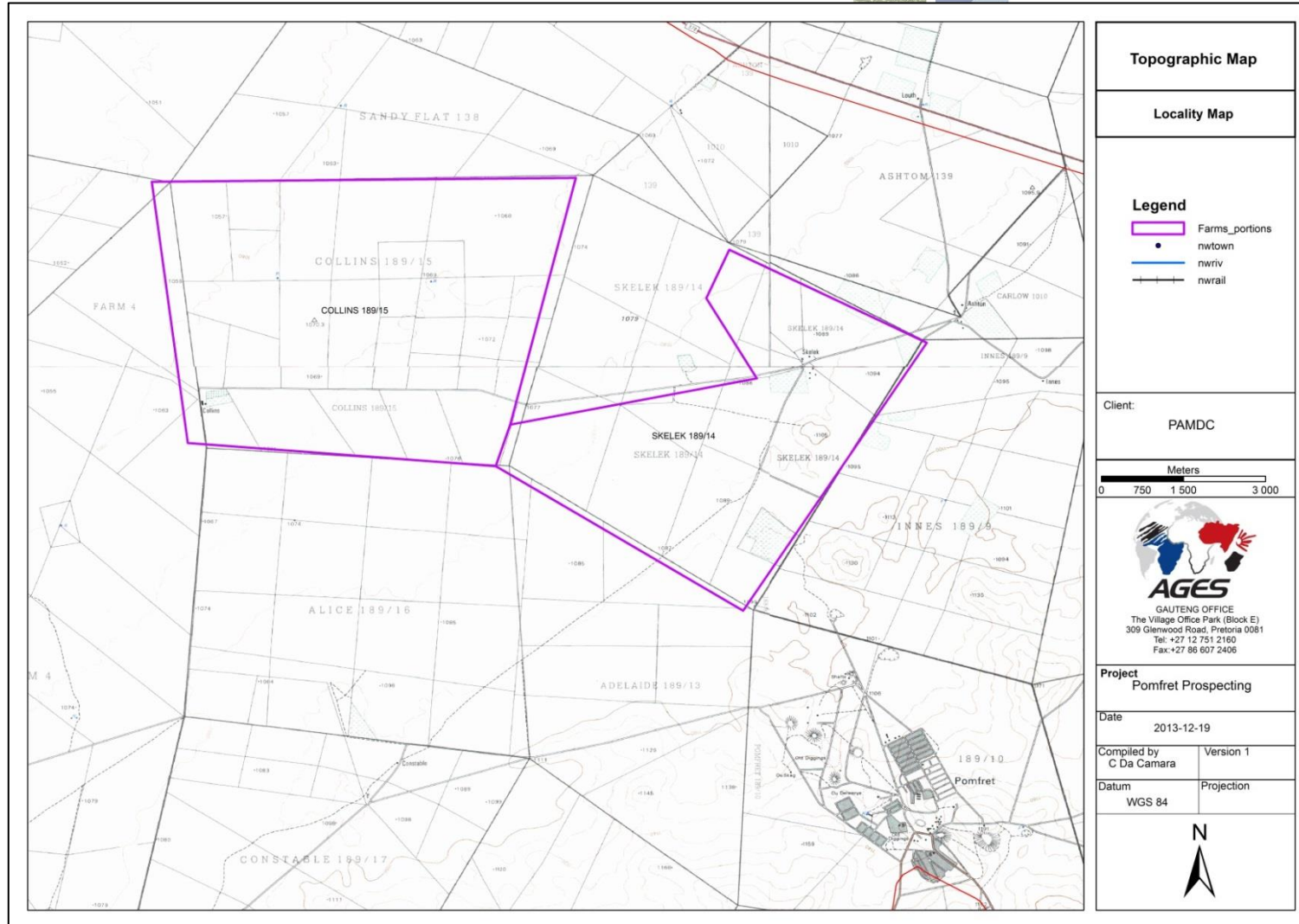


Figure 2-3: Topographic map illustrating the locations of the northern properties subject to the Prospecting Project.



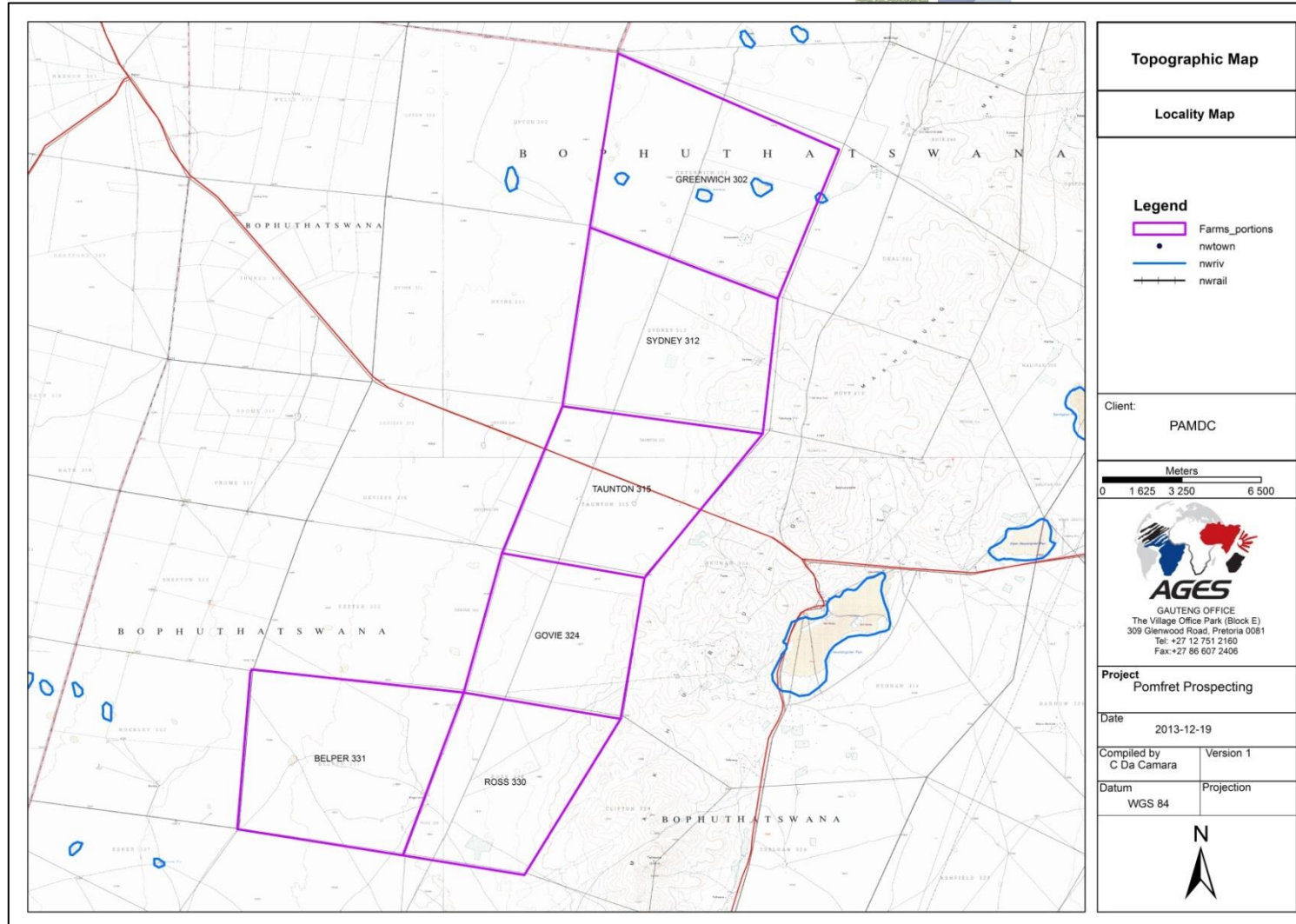


Figure 2-4: Topographic map illustrating the locations of the southern properties subject to the Prospecting Project

## 2.3 Project Direction

AGES's expertise ensures that all projects be conducted to the highest international ethical and professional standards. As archaeological specialist for AGES, Mr Neels Kruger acted as field director for the project; responsible for the assimilation of all information, the compilation of the final Scoping Report and recommendations in terms of heritage resources on the demarcated project areas. Mr Kruger is an accredited archaeologist and Culture Resources Management (CRM) practitioner with the Association of South African Professional Archaeologists (ASAPA), a member of the Society for Africanist Archaeologists (SAFA) and the Pan African Archaeological Association (PAA) as well as a Master's Degree candidate in archaeology at the University of Pretoria.

## 2.4 Terms of Reference

Any prospective development requires an assessment of possible environmental, social and heritage impacts bound to be imposed on the landscape. Here, environmental Impact Assessments (EIA's) include the assessment of heritage resources as stipulated in the **National Environmental Management Act, (Act 107 of 1998)** and endorsed by section 38 of the **National Heritage Resources Act (NHRA - Act 25 of 1999)**. In addition, the NHRA protects all structures and features older than 60 years (see Section 34), archaeological sites and material (see Section 35) and graves as well as burial sites (see Section 36). The objective of this legislation is to enable and to facilitate developers to employ measures to limit the potentially negative effects that the development could have on heritage resources.

Based hereon, this project functioned according to the following **terms of reference** for heritage specialist input:

- *Provide a summary of the cultural and archaeo-historical landscape of the study area and the larger landscape;*
- *Provide a cultural context and provenience for archaeological artefacts, structures (including graves) and settlements which may occur in the Prospecting Project Area by means of a detailed desktop background study;*
- *Assess the nature and degree of significance of such resources within the area;*
- *Establish heritage informants/constraints to guide the development process through establishing thresholds of impact significance;*
- *Assess any possible impact on the archaeological and historical remains within the area emanating from the proposed development activities;*
- *Propose possible heritage management measures provided that such action is necessitated by the development, where applicable.*

## 3 METHOD OF ENQUIRY

### 3.1 Desktop Study

This scoping study primarily functioned around data from a desktop study which employed existing sources of information in order to inform on the Northwest Province and Northern Cape Province archaeo-historical landscape. The large extent of the area under study necessitated the utilization of several unpublished archival databases and unpublished Heritage Assessment reports to give a comprehensive representation of known sites in the study area. Furthermore, numerous academic papers and research articles supplied a historical context for the proposed project and archival sources, aerial photographs, historical maps and local histories were used to map out the landscape's heritage.

### 3.2 Aerial Representations and Survey

Aerial photography is often employed to locate and study archaeological sites, particularly where larger scale area surveys are performed. This method was applied intensively to aid the limited pedestrian and vehicular survey of selected areas on some of the Prospecting Project properties, where contour lines of elevations, depressions, variation in vegetation, soil marks and landmarks were examined. Specific attention was given to shadow sites (shadows of walls or earthworks which are visible early or late in the day), crop mark sites (crop mark sites are visible because disturbances beneath crops cause variations in their height, vigour and type) and soil marks (e.g. differently coloured or textured soil might indicate ploughed-out burial mounds). Attention was also given to moisture differences, as prolonged dampening of soil as a result of precipitation frequently occurs over walls or embankments.

By superimposing high frequency aerial photographs with images generated with Google Earth, potential sensitive areas were subsequently identified, geo-referenced and transferred to a handheld GPS device. In addition, based on existing knowledge of the local heritage landscape, the areas subject to the site inspections were divided into smaller survey zones centred around areas of higher site catchment probability (where human activity was likely to occur in prehistoric and historic times e.g. around water sources, near soils fit for agriculture, on ridges). These survey zones were then transferred to a handheld GPS device. These areas served as referenced points from where further vehicular and pedestrian surveys were carried out.



Figure 3-1: Aerial imagery of the northern properties subject to the Prospecting Project .





Figure 3-2: Detailed aerial imagery of the southern properties subject to the Prospecting Project

### 3.3 Mapping of sites

By merging data generated during the desktop study and the aerial survey areas of heritage potential were plotted on 1:50 000 topographic maps of the Pomfret area using ArcGIS 9.3. These maps were then superimposed on high definition aerial representations in order to graphically demonstrate the geographical locations and distribution of sensitive areas. Information on areas with dense clusters of heritage sites were expanded in the text employing academic and research based literature.

### 3.4 Site Inspections

Archaeological survey implies the systematic procedure of the identification of archaeological sites. A brief heritage and archaeological site inspection of one of the properties subject to the Prospecting Project (Skelek) was done by means of a random and arbitrary survey in accordance with standard archaeological practise by which heritage resources are observed and documented. Using a Garmin E-trex Legend GPS objects and structures of archaeological / heritage value were recorded and photographed with a Canon 450D Digital camera. Real time aerial orientation, by means of a mobile Google Earth application was also employed to investigate possible disturbed areas during the survey.

As most archaeological material occur in single or multiple stratified layers beneath the soil surface, special attention was given to disturbances, both man-made such as roads and clearings, as well as those made by natural agents such as burrowing animals and erosion.



Figure 3-3: General surroundings in the Prospecting Project area on the farm Skelek.



Figure 3-4: General surroundings in the Prospecting Project are on the farm Skelek.





Figure 3-5: General surroundings in the Prospecting Project on the farm Skelek.

### 3.4.1 General Public Liaison

In a number of instances, consultation with local residents and farmers provided information on the general history of the area, possible locations of heritage resources and brief commentaries on the recent history of the area.

### 3.5 Limitations and constraints

The main limitation of this Scoping Study is the fact that it was for the largest part, undertaken at a desktop level, employing secondary information and data generated through off-site methods (e.g. aerial survey, literature review). Since limited and randomly elected field reconnaissance of some of the areas under question was conducted, the study merely infers a level of probability of the presence of cultural, historical, or archaeological sites of significance. In this instance, more detailed assessments would have to be required once impact areas have been established in order to confirm the presence of sites of significance.

Due to the large extent of the surface area subject to the heritage scoping study, the brief pedestrian and vehicular site survey primarily focused around areas tentatively identified as sensitive and of high heritage probability (i.e. those noted during the aerial survey) as well as areas of high human settlement catchment.

However, the following constraints were encountered:

- **Access:** Due to permission constraints, access could only be obtained for the farm Skelek 189/14. Thus, site inspections of Collins 189/15, Greenwich 302, Belper 331, Sydney 312, Taunton 315, Govie 324 and Ross 330 was not possible. As such, access proved to be a major constraint in this study.
- **Visibility:** Visibility proved to be a constraint in more pristine and mountainous areas where documented sites proved to be densely overgrown and obstructed by surface vegetation.

Thus, even though it might be assumed that survey findings are representative of the heritage landscape of the Prospecting Project, it should be stated that the possibility exists that individual sites could be missed due to the localised nature of some heritage remains as well as the possible presence of sub-surface archaeology.

Therefore, maintaining due cognisance of the integrity and accuracy of the archaeological survey, it should be stated that the heritage resources identified during the study do not necessarily represent *all* the heritage resources present in the project area. The subterranean nature of some archaeological sites, dense vegetation cover and visibility constraints sometimes distort heritage representations and any additional heritage resources located during consequent prospecting phases must be reported to the Heritage Resources Authority or an archaeological specialist.

## 4 GENERAL ARCHAEO-HISTORICAL CONTEXT

### 4.1 The archaeology of Southern Africa

Archaeology in southern Africa is typically divided into two main fields of study, the **Stone Age** and the **Iron Age** or **Farmer Period**. The following table gives a concise outline of the chronological sequence of periods in Southern African history:

Period	Epoch	Associated cultural groups	Typical Material Expressions
Early Stone Age 2.5m – 250 000 YCE	Pleistocene	Early Hominins: <i>Australopithecines</i> <i>Homo habilis</i> <i>Homo erectus</i>	Typically large stone tools such as hand axes, choppers and cleavers.
Middle Stone Age 250 000 – 25 000 YCE	Pleistocene	First <i>Homo sapiens</i> species	Typically smaller stone tools such as scrapers, blades and points.
Late Stone Age 20 000 BC – present	Pleistocene / Holocene	<i>Homo sapiens sapiens</i> including San people	Typically small to minute stone tools such as arrow heads, points and bladelets.
Early Iron Age / Early Farmer Period 300 – 900 AD	Holocene	First Bantu-speaking groups	Typically distinct ceramics, bead ware, iron objects, grinding stones.
Middle Iron Age (Mapungubwe / K2) / early Later Farmer Period 900 – 1350 AD	Holocene	Bantu-speaking groups, ancestors of present-day groups	Typically distinct ceramics, bead ware and iron / gold / copper objects, trade goods and grinding stones.
Late Iron Age / Later Farmer Period 1400 AD -1850 AD	Holocene	Various Bantu-speaking groups including Venda, Thonga, Sotho-Tswana and Zulu	Distinct ceramics, grinding stones, iron objects, trade objects, remains of iron smelting activities including iron smelting furnace, iron slag and residue as well as iron ore.
Historical / Colonial Period ±1850 AD – present	Holocene	Various Bantu-speaking groups as well as European farmers, settlers and explorers	Remains of historical structures e.g. homestead, missionary schools etc. as well as, glass, porcelain, metal and ceramics.

#### 4.1.1 The Stone Ages

##### - The Earlier Stone Age (ESA)

Earlier Stone Age deposits typically occur on the flood-plains of perennial rivers and may date to between 2 million and 250 000 years ago. These ESA open sites sometimes contain stone tool scatters and manufacturing debris ranging from pebble tool choppers to core tools such as handaxes and cleavers. These stone tools were made by the earliest hominins. These groups seldom actively hunted and relied heavily on the opportunistic scavenging of meat from carnivore kill sites.

##### - The Middle Stone Age (MSA)

The majority of Middle Stone Age (MSA) sites occur on flood plains and sometimes in caves and rock shelters. Sites usually consist of large concentrations of knapped stone flakes such as scrapers, points and blades and associated manufacturing debris. Tools may have been hafted but organic materials, such as those used in

hafting, seldom remain preserved in the archaeological record. Limited drive-hunting activities are also associated with the MSA.

- **The Later Stone Age (LSA)**

Sites dating to the Later Stone Age (LSA) are better preserved in rock shelters, although open sites with scatters of mainly stone tools can occur. Well-protected deposits in shelters allow for stable conditions that result in the preservation of organic materials such as wood, bone, hearths, ostrich eggshell beads and even bedding material. By using San (Bushman) ethnographic data a better understanding of this period is possible. South African rock art is also associated with the LSA.

**4.1.2 The Iron Age (Farmer Period)**

- **Early Iron Age (Early Farming Communities)**

The Early Iron Age (also Early Farmer Period) marks the movement of Bantu speaking farming communities into South Africa at around 200 A.D. These groups were agro-pastoralists that settled in the vicinity of water in order to provide subsistence for their cattle and crops. Artefact evidence from Early Farmer Period sites is mostly found in the form of ceramic assemblages and the origins and archaeological identities of this period are largely based upon ceramic typologies and sequences, where diagnostic pottery assemblages can be used to infer group identities and to trace movements across the landscape. Early Farmer Period ceramic traditions are classified by some scholars into different “streams” or trends in pot types and decoration that, over time emerged in southern Africa. These “streams” are identified as the Kwale Branch (east), the Nkope Branch (central) and the Kalundu Branch (west). More specifically, in the northern regions of South Africa at least three settlement phases have been distinguished for prehistoric Bantu-speaking agropastoralists. The first phase of the Early Iron Age, known as Happy Rest (named after the site where the ceramics were first identified), is representative of the Western Stream of migrations, and dates to AD 400 - AD 600. The second phase of Diamant is dated to AD 600 - AD 900 and was first recognized at the eponymous site of Diamant in the western Waterberg. The third phase, characterised by herringbone-decorated pottery of the Eiland tradition, is regarded as the final expression of the Early Iron Age (EIA) and occurs over large parts of the North West Province, Northern Province, Gauteng and Mpumalanga. This phase has been dated to about AD 900 - AD 1200. Early Farmer Period ceramics typically display features such as large and prominent inverted rims, large neck areas and fine elaborate decorations. The Early Iron Age continued up to the end of the first millennium AD.

- **Middle Iron Age / K2 Mapungubwe Period (early Later Farming Communities)**

The onset of the middle Iron Age dates back to  $\pm 900$  AD, a period more commonly known as the Mapungubwe / K2 phase. These names refer to the well known archaeological sites that are today the pinnacle of South Africa's Iron Age heritage. The inhabitants of K2 and Mapungubwe, situated on the banks of the Limpopo, were agriculturalists and pastoralists and were engaged in extensive trade activities with local and foreign traders. Although the identity of this Bantu-speaking group remains a point of contestation, the Mapungubwe people were the first state-organized society southern Africa has known. A considerable amount of golden objects, ivory, beads (glass and gold), trade goods and clay figurines as well as large amounts of potsherds were found at these sites and also appear in sites dating back to this phase of the Iron Age. Ceramics of this tradition take the form of beakers with upright sides and decorations around the base (K2) and shallow-shouldered bowls with decorations as well as globular pots with long necks (Mapungubwe). The site of Mapungubwe was deserted at around 1250 AD and this also marks the relative conclusion of this phase of the Iron Age.

- **Later Iron Age (Later Farming Communities)**

The late Iron Age of southern Africa marks the grouping of Bantu speaking groups into different cultural units. It also signals one of the most influential events of the second millennium AD in southern Africa, the difaqane. The difaqane (also known as “the scattering”) brought about a dramatic and sudden ending to centuries of stable

society in southern Africa. Reasons for this change was essentially the first penetration of the southern African interior by Portuguese traders, military conquests by various Bantu speaking groups primarily the ambitious Zulu King Shaka and the beginning of industrial developments in South Africa. Different cultural groups were scattered over large areas of the interior. These groups conveyed with them their customs that in the archaeological record manifests in ceramics, beads and other artefacts. This means that distinct pottery typologies can be found in the different late Iron Age group of South Africa.

- **Bantu Speaking Groups in the South African interior**

*It should be noted that terms such as “Nguni”, “Sotho”, “Venda” and others refer to broad and comprehensive language groups that demonstrated similarities in their origins and language. It does not imply that these Nguni / Sotho groups were homogeneous and static; they rather moved through the landscape and influenced each other in continuous processes marked by cultural fluidity.*

Ethnographers generally divide major Bantu-speaking groups of southern Africa into two broad linguistic groups, the Nguni and the Sotho with smaller subdivisions under these two main groups. Nguni groups were found in the eastern parts of the interior of South Africa and can be divided into the northern Nguni and the southern Nguni. The various Zulu and Swazi groups were generally associated with the northern Nguni whereas the southern Nguni comprised the Xhosa, Mpondo, Thembu and Mpondomise groups. The same geographically based divisions exist among Sotho groups where, under the western Sotho (or Tswana), groups such as the Rolong, Hurutshe, Kwena, Fokeng and Kgatla are found. The northern Sotho included the Pedi and amalgamation of smaller groups united to become the southern Sotho group or the Basutho. Other smaller language groups such as the Venda, Lemba and Tshonga Shangana transpired outside these major entities but as time progressed they were, however to lesser or greater extend influenced and absorbed by neighbouring groups.

**4.1.3 Historical and Colonial Times and Recent History:**

The Historical period in southern Africa encompass the course of Europe's discovery of South Africa and the spreading of European settlements along the East Coast and subsequently into the interior. In addition, the formation stages of this period are marked by the large scale movements of various Bantu-speaking groups in the interior of South Africa, which profoundly influenced the course of European settlement. Finally, the final retreat of the San and Khoekhoen groups into their present-day living areas also occurred in the Historical period in southern Africa.

**5 THE NORTHWEST & NORTHERN CAPE PROVINCE CULTURAL LANDSCAPE**

The landscape of the Northwest Province has always played an important ecological and cultural role in the history of South Africa. Thus, the area presents the most important time periods in the history of South Africa, the signs of which are still visible today in the hundreds of archaeological sites scattered across the landscape. These signs range from 300 000 year old handaxes from the Earlier Stone Age, microlithic tools from the Later Stone Age, pot sherds, grinding stones and spectacular stone walling of previous Tswana inhabitants, to rock paintings and engravings.

**5.1 The Prospecting Project Area: Cultural Landscape**

**5.1.1 Early history: The Stone Ages**

The landscape directly surrounding properties subject to the prospecting application seems to have been sparsely populated by humans in the past, possibly as a result of the general scarcity of sustainable water sources as well as the absence of hills or outcrops for shelter. As such, Stone Age sites are not randomly scattered within the landscape and they occur either near water sources or close to local sources of two highly-prized raw materials, specularite and jaspilite. However, the larger landscape around the Prospecting Project



location, and south towards the town of Kuruman is rich in archaeological material dating to Earlier and Middle Stone Ages. Sites such as Wonderwerk Cave, Kathu Pan and Kathu Townlands (see below) have yielded significant Stone Age assemblages that all inform on our general understanding of the technological sequences of the Stone Age in the Northern Cape (e.g. see Beaumont 2008; Morris 2006; Morris 2007; Dreyer 2007). In addition, a large amount of Middle and Later Stone Age sites have been documented across the landscape on calcrete lined pans and road cuttings.

### 5.1.2 Rock Markings

Rock engravings are mostly situated in the semi-arid plateau with most of these engravings situated at the Orange – Vaal basin, Karoo and Namibia. The upper Vaal, Limpopo basin and eastern Free State regions have a small quantity of rock engravings as well. Generally, rock paintings exist at cave areas and rock engravings at open surface areas. The Cape interior consists of a technical, formal and thematic variation between and within sites (Morris 1988). Two major techniques existed namely the incised and pecked engravings. Morris (1988) indicated technical and formal characteristics through space and a sharp contrast exists between engravings positioned north of the Orange River that are mostly pecked and those in the Karoo where scraping was mostly used. According to Morris (1988) hairline engravings occur at the North and the South, but they are rare at the Vryburg region. Finger painting techniques mostly occur at the Kuruman Hills, Asbestos Mountains, Ghaap Escarpment, Langeberg, Koranaberg ranges, scattered sites at the Karoo and the Kareeberge (Morris 1988). The development petroglyphs (i.e. carving or line drawing on rock) were associated with three different types of techniques, namely incised fine lines, pecked engravings and scraped engravings. According to Peter Beaumont the pecked and scraped engravings at the Upper Karoo are coeval (i.e. having the same age or date of origin) (Beaumont P B et al. 1989). Dating of rock art includes the use of carbonate fraction dating of ostrich eggshell pieces, dating of charcoal and ostrich eggshell at various rock art shelters. Unifacial points, double segments and thin – walled sherds may indicate the presence of the Khoikhoi at the Northern Cape during 2500 BP (years Before the Present) (Beaumont 1989).

#### - Hunter-Gatherer rock paintings

The delicate and frequently detailed San fine-line paintings were made using brushes made from twigs, quills, sticks or feathers. Red and yellow pigments applied in this way were made from various shades of ferric oxides or ochres; black pigments were prepared from charcoal and minerals like specularite, and white pigments from silicas and various riverine clays.

#### - Khoekhoe rock paintings

Khoekhoe rock art mainly comprises red and white finger paintings of dots, strokes, geometric forms, handprints and a component of representational motifs. This painting tradition extends from Central Africa to the southern parts of South Africa. Khoekhoe art comprises handprints, finger dots and strokes, variations of the circle motif, and images of fringed and unfringed women's aprons. The accompanying chart illustrates the image classes found in the region. The paintings are large and bold, and were painted in red or white, applied by human fingers, unlike the more familiar San paintings which are fine and delicate, painted with sticks and bristles in a variety of colours, and depict things we can recognise: animals and people. Like the San paintings, however, Geometric Tradition pigments were carefully applied, albeit by finger, as evidenced by the crisp clear outlines and with no sign of splashing — images clearly made without haste and without a mess. Again, like the San paintings, Khoekhoe paintings are made with colourants like red ochres and white minerals that were finely ground and mixed with binders, judging from the way the paints penetrate and adhere to the rock and are not easily washed off by water seepage. Although the art is sometimes found in the same rock shelters as

engravings, San paintings, or Northern Sotho paintings, or various combinations of these techniques and traditions the Khoekhoe paintings are often found in small low-ceilinged shelters high up on the sides of hills or between tumbled rocks on the summits of hills — one has to bend down or even crawl in order to view the art where it is frequently placed on the ceiling. They are also frequently found in huge shelters with sharply sloping floors. All these locations are in stark contrast to San preferences for painting sites. The San generally used comfortable rock shelters at ground level, with horizontal, usually sandy floors — and preferred to paint on vertical rock faces.

- **The rock paintings of Bantu-speakers**

Another tradition of painting known as “Late Whites” is found in the Northwest and the Limpopo Valley. These finger-paintings consist of anthropomorphic, zoomorphic and geometric designs. These paintings were often daubed in several colours, but generally speaking the imagery is predominantly white. Recent research in south-central Africa suggests that the Late White tradition is at least partially explicable. Because the art is fairly recent; and the people who live near the sites are only a few generations removed from the painters, it has been possible to relate the symbolism depicted in the art to modern forms of ritual and the use of symbolism. In the Limpopo Province, at least some of the Late White tradition paintings can be linked to Sotho-speakers. It is likely that the imagery was linked to rites of passage.

- **Rock engravings: Utilitarian hollows, Mafuvha and Cupules**

Utilitarian hollows are small pecked depressions usually about the size of a bottle cap and roughly 20 millimetres deep. These hollows are typically found on horizontal surfaces: pavements in the open, or on stone floors and on loose rocks within shelters. They may have been used as anvils for cracking open the seeds of the Marula or Sour Plum, for example, which both contain edible nuts, or as receptacles for holding ostrich-eggshell ‘blanks’ or ‘roughouts’ whilst the central hole was being drilled. Although the San may have made some of the hollows that were used as work surfaces, others were possibly also made and/or used by Khoekhoen and Bantu-speakers. Another type of hollow is that of the mafuvha board game. Used mainly as a form of recreation, the game also has a ritual function and is linked to rain and fertility throughout Africa. Although mainly associated with Khoekhoen and Bantu-speakers, this game, generally known as mankala, is also played by San people so it is quite possible that at least some of the game boards on stone pavements in the Limpopo River Valley were also made by San hunter-gatherers. A final category of small hollows, called ‘cupules’, comprises groups of apparently randomly distributed depressions situated on sloping or vertical rock faces or on large boulders within rock shelters. In some shelters up to 1000 cupules are found on rounded free-standing boulders, and to a lesser extent, on vertical rock faces. Some of these rows or random arrangements of cupules are situated up to 3,5 metres above ground level, suggesting that the engravers built some sort of scaffold to laboriously peck some of these marks into the relatively hard and durable sandstone rock faces. Their situation on the rock also suggests that they were made for a specific ritual rather than a mundane purpose. Their position and planar orientation on big boulders similarly suggest a ritual and symbolic function. Some of the cupules, in contrast to the utilitarian hollows, have a silica skin over them, the result of a process of salt deposition that must have occurred over a very long period of time. The apparent age of these cupules alone suggests that they were probably made by hunter-gatherers.

- **Rock engravings: Grooves**

Grooves are elongated, usually parallel, marks incised or abraded into the rock face. They generally range from the length of a matchstick to the length of an outstretched hand. Some have rounded profiles, while others are V-shaped. Grooves, like cupules discussed in the previous section, are divided into the utilitarian: those found on open, horizontal pavements or on loose rocks within shelters and the symbolic, those occurring on vertical or

sloping rock faces in shelters. The utilitarian grooves may have been used for sharpening iron, bone or wooden points. They are situated in places in which it would have been comfortable to sit at ease while executing such a task. These grooves might have been made by anyone, however, not necessarily the San. Symbolic grooves are situated on rock faces up to four metres above ground level. Their great height suggests that they also served some symbolic function. Like the symbolic cupules, some of the grooves are covered in a silica skin, a phenomenon that suggests some antiquity. More often than not, cupules and grooves are associated — their co-occurrence hints at a related, symbolic function.

- **Rock engravings: Engraved animals**

San peoples or their ancestors undoubtedly made the engravings of animals, because similar engravings all over southern Africa have been shown to have San authorship. Like San paintings, these engravings have been shown to have their roots in a shamanistic cosmology. In most areas of the subcontinent engravings were associated with ideas about rainmaking or depict elements of the medicine dance and the supernaturally potent animals.

### 5.1.3 Iron Age / Farmer Period Sites

The beginnings of the Iron Age (Farmer Period) in southern Africa are associated with the arrival of a new Bantu speaking population group at around the third century AD. These newcomers introduced a new way of life into areas that were occupied by Later Stone Age hunter-gatherers and Khoekhoe herders. Distinctive features of the Iron Age are a settled village life, food production (agriculture and animal husbandry), metallurgy (the mining, smelting and working of iron, copper and gold) and the manufacture of pottery. Stone ruins indicate the occurrence of Iron Age settlements in the Northern Cape specifically at sites such as Dithakong where evidence exists that the Thlaping used to be settled in the Kuruman – Dithakong areas prior to 1800 (Humphreys 1976). Here, the assessment of the contact between the Stone Age, Iron Age and Colonial societies are significant in order to understand situations of contact and assimilation between societies. As an example, Trade occurred between local Thlaping Tswana people and the Khoikhoi communities. It means that the Tswana traded as far south as the Orange River at least the same time as the Europeans at the Cape (Humphreys 1976).

### 5.1.4 Later History: Historical archaeology and living heritage

To the south of the study area, Kuruman played a strategic role during the Anglo-Boer and towns such as Postmasburg, situated about 100km south of Kuruman, acted as an important link between the Boer forces from Transvaal to the Cape Colony south of the Orange River, providing ammunition and horses (Snyman 1985). The oral and written history of the Northern Cape pertaining to the last centuries is relatively abundant resulting from an assimilation of local folklore and Historical sources such as missionary accounts. The Historical period commenced when pioneers (in most cases, missionaries) arrived between the nineteenth century and early twentieth century, depending on the region. Later, larger populations established villages in the area, some of which are often still occupied today. During the 1930's some of the Tswana communities consisted of a wealth of cattle that could be used to gain capital and purchase additional land. The Khoisan and Khoikhoi communities were not so lucky, because they were mostly used as labourers at various Tswana and European households (Wylie 1989). The Northern Cape was subjected to a resettlement program during the apartheid years. Tswana families were divided into the men who had to live in a compound and the women who were sent to a relocation centre (Hallett 1984). Between 1960 and 1962 it was estimated that an average of 834,000 people were affected by the Group Areas Act (Hallett 1984).

The farms subject to the Prospecting Project were all declared towards the end of the 19<sup>th</sup> century. On the farm Skelek, an example of a Historical Period farmstead building with the later more recent farmer residence still remains at **S25°44'59.63" E23°30'17.45"**. In addition, a Historical Period grave is situated in the veld on this

farm at **S25°45'10.35"S E23°29'48.92"**. The grave is inscribed with the following text:

*Hier rus ons geliefde moeder*

*Martie Susanna Prinsloo*

*Geb. Janneke*

*Geb. 16 Febr 1892*

*Oorl. 26 Apr 1946*

*Ges. 120.1*



Figure 5-1: View of more recent farmhouse on Skelek.





Figure 5-2: The original Skelek farmhouse.



Figure 5-3: Marked burial site on Skelek.

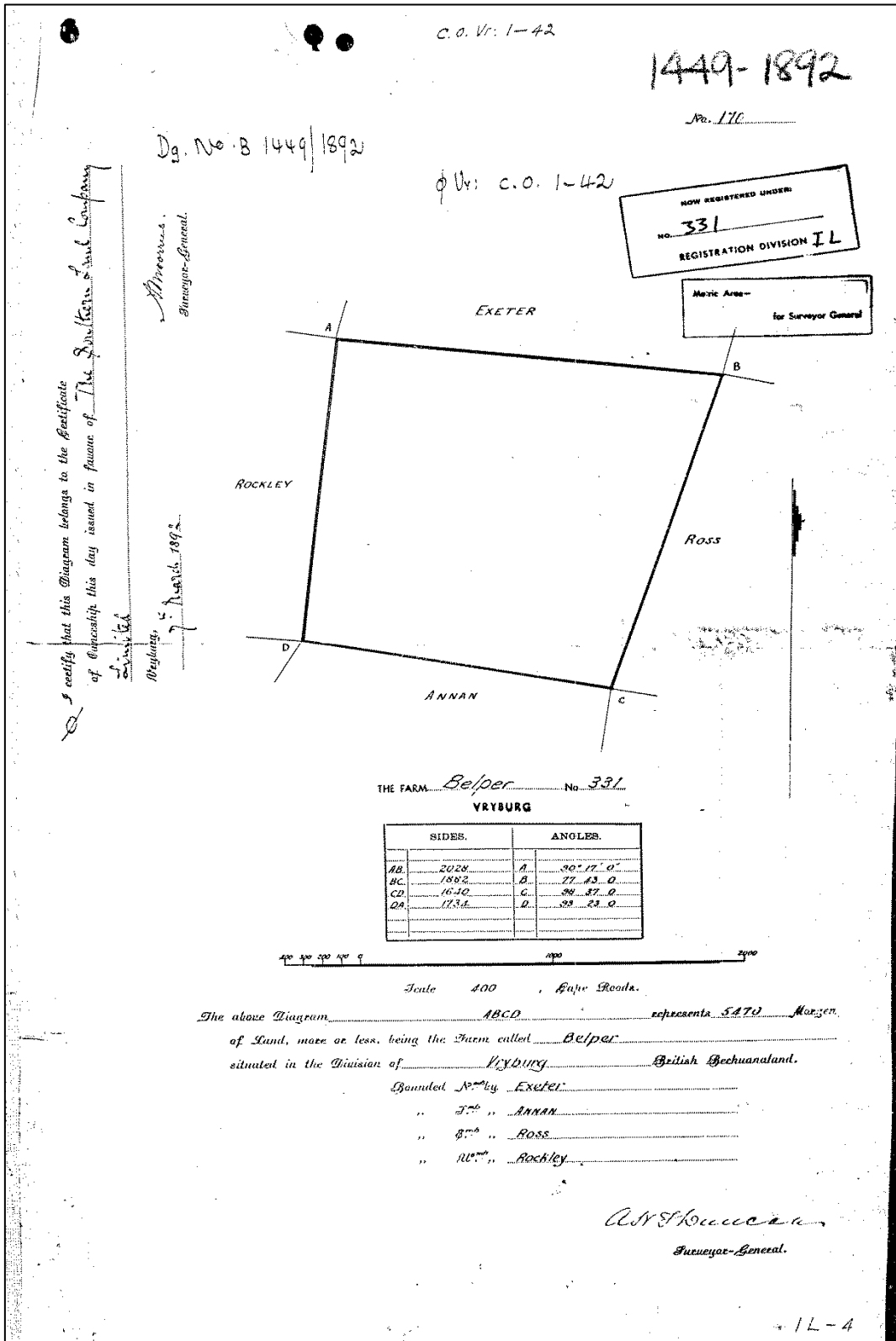


Figure 5-4: The original title deed for the farm Belper, proclaimed in 1892.



PAMDC Pomfret Prospecting Project: Archaeological Scoping Report

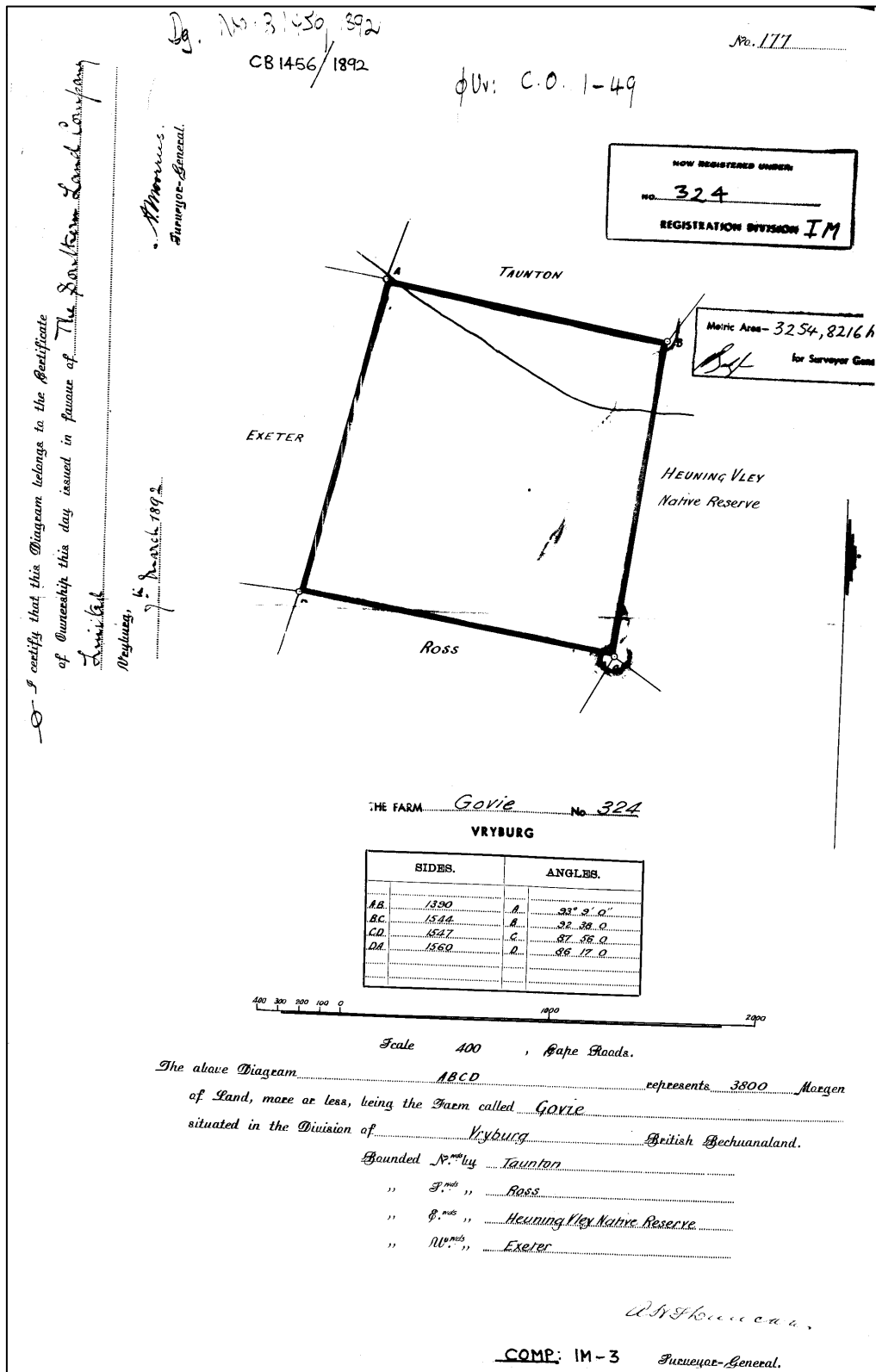


Figure 5-5: The original title deed for the farm Taunton, proclaimed in 1892.

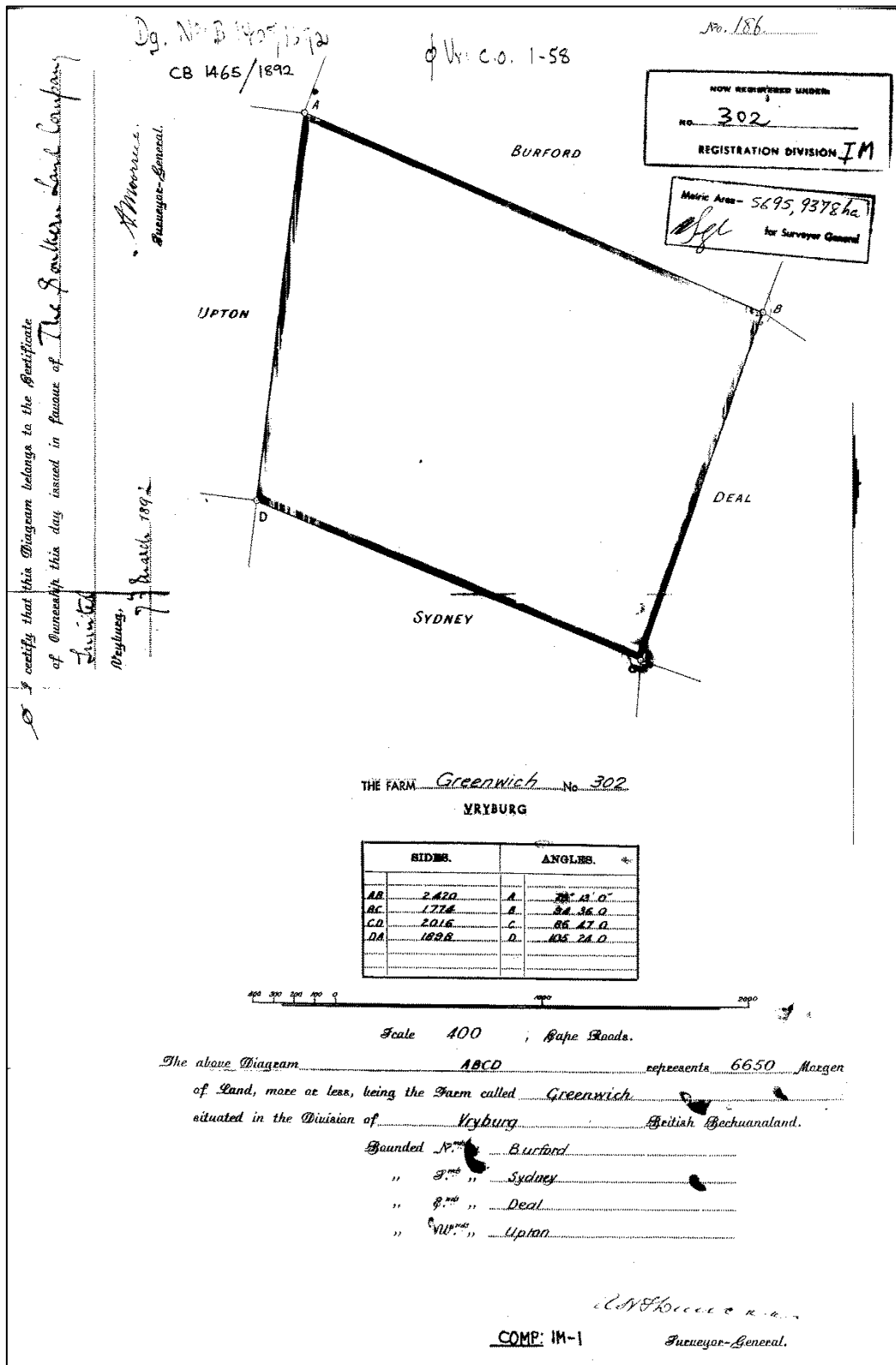


Figure 5-6: The original title deed for the farm Greenwich, proclaimed in 1892.

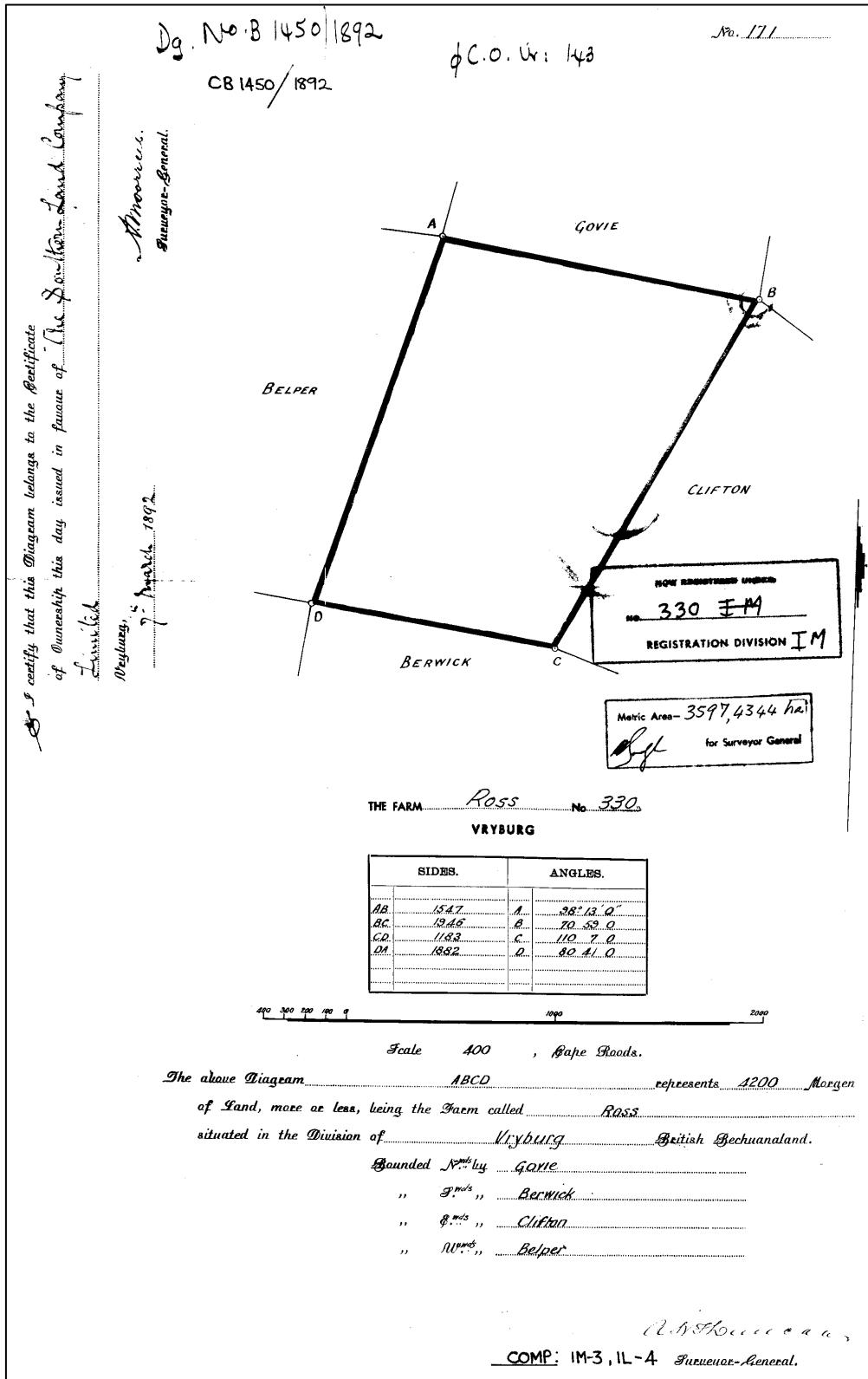


Figure 5-7: The original title deed for the farm Ross, proclaimed in 1892.

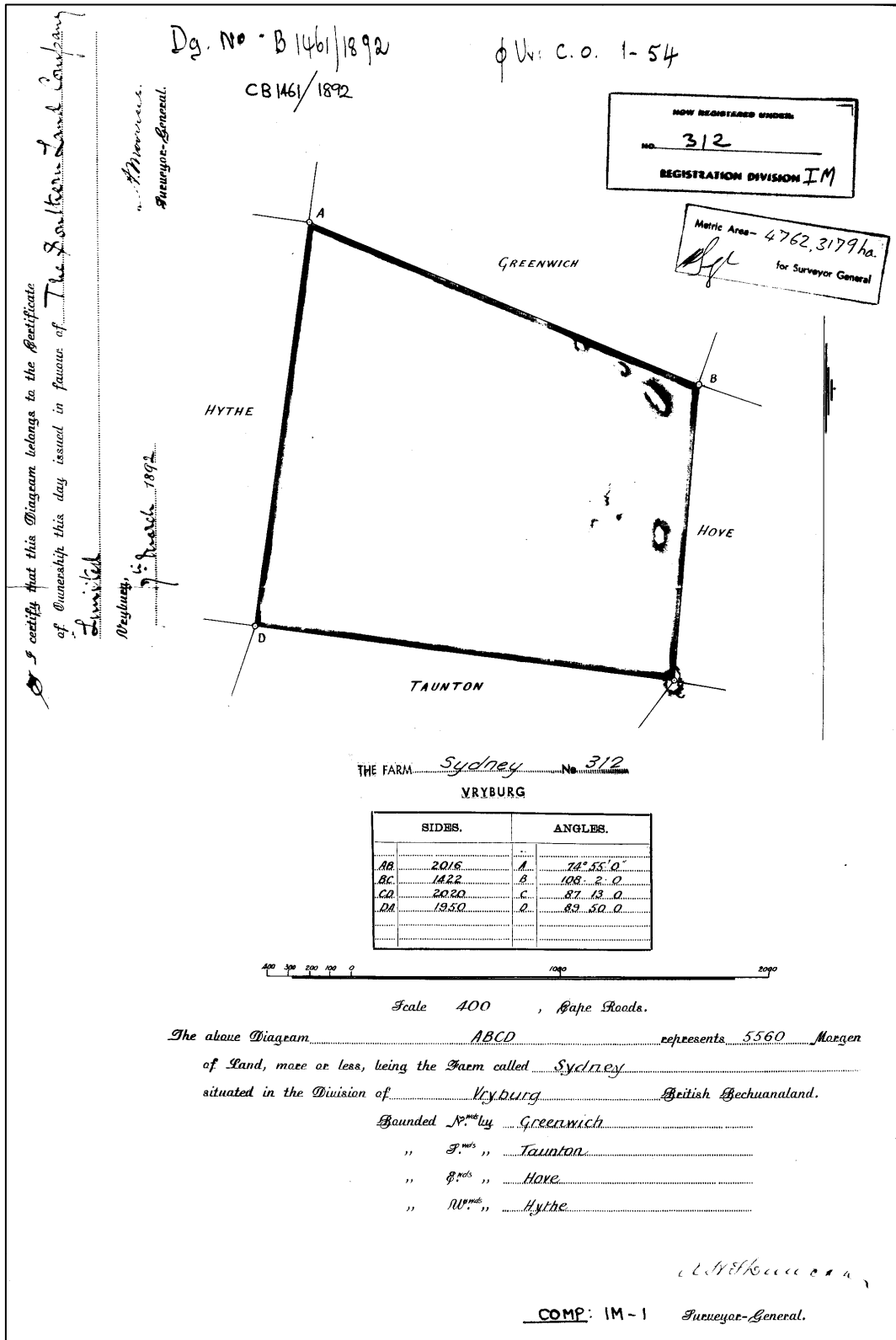


Figure 5-8: The original title deed for the farm Sydney, proclaimed in 1892.

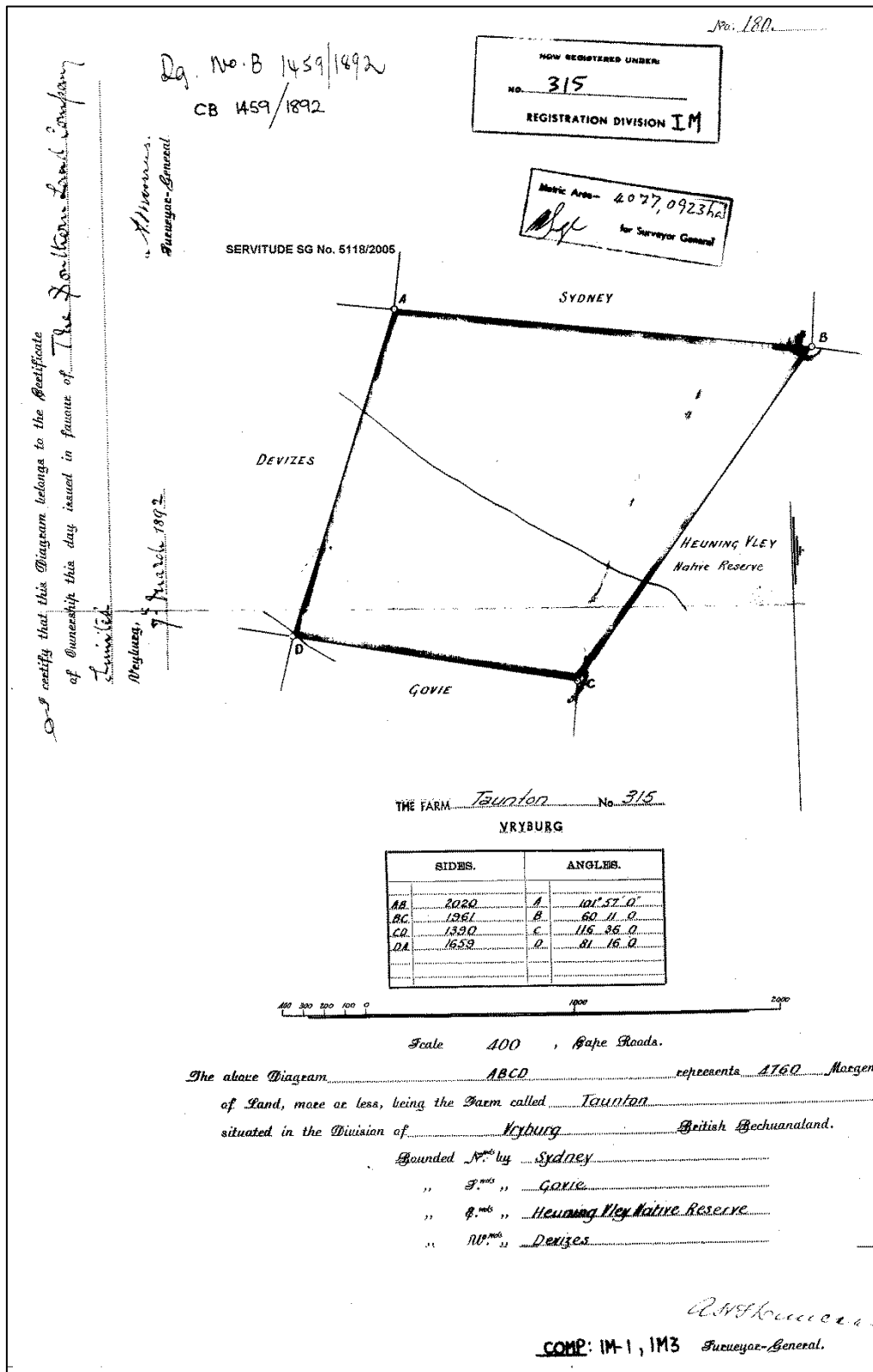


Figure 5-9: The original title deed for the farm Taunton, proclaimed in 1892.

### 5.1.5 Mining and Metallurgy

Surface occurrence of specularite (i.e. a variety of hematite) and prehistoric specularite workings are known to occur in the Northern Cape. One of these historic mines occurs at Doornfontein near Postmasburg, which dates

to 1200 BP (Thackeray 1983). Specularite used to be transported in ostrich eggshells and pottery containers (Thackeray 1983). Various oral accounts indicate that Skeyfontein was visited by Khoi Herding people, Iron Age Tswana and San hunter – gatherers. More recently, asbestos mines were operated north-west of Kuruman on the farms Riries and Mt Vera during the 20<sup>th</sup> century.

#### 5.1.6 Significant Heritage Sites in this section of the Northern Cape Province

The Northern Cape has a wealth of pre-colonial archaeological sites (Beaumont & Morris 1990; Morris & Beaumont 2004). Some of these sites in the larger landscape around the Prospecting Project area include:

##### - ***Wonderwerk Cave***

One of the most important archaeological sites in the region is the world renowned long-sequence Wonderwerk Cave, formed originally as an ancient solution cavity in Dolomite rocks of the Kuruman Hills. The cave, situated between Danielskuil and Kuruman, contains up to 6 m depth of archaeological deposits reflecting human and environmental history through the Earlier, Middle and Later Stone Ages to the present. Rock art occurs in the form of parietal paintings within the first 40 metres from the entrance, possibly all less than 1000 years old, and small engraved stones found within the deposit, mainly from the Later Stone Age sequence where they date back some 10 500 years. The associations of older engraved or striated pieces have yet to be substantiated.



Figure 5-10: Interior of the Wonderwerk Cave

##### - ***Dithakong***

Important farmer period Iron Age remnants occur at the major Tswana town and pre-colonial stone-walled settlements of Dithakong. Local BaTlhaping communities claimed not to have known who had made or lived in this earlier town but archaeological investigations have established Tswana affinities in the earlier settlement which includes features indicative of frontier complexity at this south-western edge of Tswana expansion. Early traveller accounts refer to an impressively large town consisting of mud houses, traces of which have yet to be located archaeologically.



- ***Gamohana Shelters***

Two rock shelters on the northern and southern faces of GaMohaana (Gamohana), situated in the Kuruman Hills north west of the town, contain Later Stone Age remains and rock paintings.

- ***Moffat Mission Station and the Kuruman Mission***

Historically, Kuruman boasts one of the longest trajectories of African-colonial interaction centred on the nearly two-century old Moffat Mission. The Kuruman Mission was established by the London Missionary Society (LMS) in 1816 at Maruping near Kuruman where a town of about 10 000 Batswana were resident. Robert Moffat (1795-1887) arrived in Kuruman from Scotland in 1820, and soon organised permission from Chief Mothibi to relocate it to the present position at Seodin in the valley of the Kuruman River. From here he preached Christianity to the local people. Moffat laboured at the mission for 50 years, and his period is considered the “golden age” of missionary work amongst the Batswana. He was a man of considerable talents and oversaw the building of staff houses, a school house, store rooms, and the “cathedral of the Kalahari”, the great Moffat Church (1838) which can seat 800 people. The mission is also well-known as the first African home of Dr. David Livingstone. He arrived as an LMS missionary in 1841, and remained in contact with the mission due to his marriage to Moffat’s eldest daughter Mary.

- ***Kathu Pan***

This site, situated near the town of Kathu, is a shallow water pan about 30ha in extent. The site was extensively studied from 1974 to 1990 by Humpreys and Beaumont, amongst others. Kathu Pan is an extremely significant site as it represents the major industries of the Stone Age, more specifically two phases of the Earlier Stone Age, two phases of the Middle Stone Age, and more or less the entire Later Stone Age (Beaumont 1990). The site yielded large amounts of hand axes and faunal remains, including the concentrated remains of large mammal remains. More recently, research by Jayne Wilkins revealed a hoard of stone points, each between 4 and 9 centimeters long, that they think belonged to the earliest stone-tipped spears yet found. The stone points are the right shape and size for the job, and some have fractured tips that suggest they were used as weapons. Since stone points used on spears had been found only at sites that date back no more than 300 000 years, these discoveries in the 500 000-year-old deposits at Kathu is greatly significant. The abundance of Stone Age material at Kathu Pan can probably be attributed to the presence of a permanent water source at the pan.



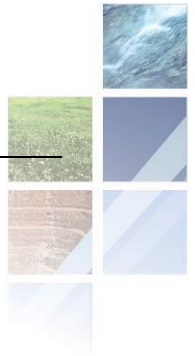


Figure 5-11: Early Stone Age (Acheul) handaxe from the Kathu Pan site (<http://www.museumsonc.co.za>).



Figure 5-12: Middle Stone Age hafted points, similar to those documented at the Kathu Pan site (<http://www.newscientist.com/article/dn22508-first-stonetipped-spear-thrown-earlier-than-thought.html>).

- **Thaba Sione Rock Art Site**

Thaba Sione is a well-known rock engraving site near Khunwana and Kraaipan and, with over 559 engravings, the site is a major Bushman spiritual site dominated by depictions of rhinoceros – some of which have been rubbed smooth. There is also buffalo, eland, isolated animal horns, shamanic human figures, a rare lizard, wildebeest. Many rocks have been rubbed smooth by rhinoceros. The site is still important today to local Tswana people and is used by the Zionist Christian Church as a rain-making centre.

- **Pomfret**

The small village of Pomfret is situated in the middle of the larger study region. The presence of asbestos in the subsoil was the major reason for the creation of the town. Asbestos was mined and used in the motor industry for the making of brake pads, roofing, and water pipes. The mine is now closed, and is a tourist attraction. Many of its inhabitants are former members of 32 Battalion, also known as Buffalo Battalion. These soldiers were predominantly Portuguese-speaking Angolans who decided to fight on the South African government side in Angola and Namibia, and after the end of the South African Border War to police the black townships. The community remains largely Portuguese-speaking

**5.2 The Prospecting Project Area: Site Probability**

The synthesis of data in this report suggests a landscape rich in cultural heritage resources and a further medium probability of the occurrence of cultural heritage sites could be expected in the Prospecting Project area. The following criteria could be employed as a general guideline as to areas of heritage potential:

- **Stone Age, Iron Age Farmer, Colonial / Historical Period Sites:** Vegetation disturbances, changes in vegetation and patches bare of vegetation might be old settlement sites or archaeological deposits.
- **Stone Age, Iron Age Farmer Sites:** Riverbanks, rims of pans, watercourses and drainage lines might expose settlement areas for pre-historical communities.
- **Iron Age Farmer, Stone Age, Rock Art Sites:** Later Iron Age groups preferred saddle areas, hills and outcrops. Ridges, high lying areas and rock outcrops might also contain rock shelters, engravings and rock art.

The following table provides a rough outline as to archaeological remains to be expected within the study area based on the wealth of archaeological evidence in these regions:

Time Period	Sites	Characteristic Material Culture	Archaeological Footprint	Probability of site occurrence
Palaeontology and Fossils	Makapansgat Cave of Hearths	Fossilized faunal and botanical remain.	Such resources are typically found in specific geographical areas, e.g. the Karoo and are embedded in ancient rock and limestone/calcrete formations. Exposed by road cuttings and quarry excavation.	Medium Probability
Earlier Stone Age	Marico District Olifantspoort	Large hand axes, cleavers, cores and residue material.	Buried unless disturbed.	Medium Probability
Middle Stone Age	Marico District Olifantspoort	Specialised formal stone tools such as points, blades and scrapers. Cores and residue.	Surface scatters, found in erosion gullies, dongas and open scatters.	Medium - High Probability
Later Stone Age	Marico District Olifantspoort	Specialised formal microlithic stone tools such as points, blades and scrapers as well as cores and residue. Rock Art.	Usually associated with rock shelters. Artefacts occur in buried deposits or surface scatters.	High Probability

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Early Iron Age	Broederstroom	Potsherds, iron objects, house remains, glass beads, ostrich egg shell beads, middens, fauna.	Generally buried with few ceramics on surface.	Medium - Low Probability
Middle Iron Age	For example: Mapungubwe Pontdrif Kromdraai	Potsherds, iron objects, house remains, glass beads, ostrich egg shell beads, middens, trade goods such as porcelain, some stone walling.	Sites are primarily open, visible kraals, grain bin foundations and ceramic scatters.	Medium - Low Probability
Later Iron Age	Kaditswene Schietkraal Magozastad Buispoort Rietfontein	Potsherds, iron objects, house remains, glass beads, ostrich egg shell beads, middens, trade goods such as porcelain, extensive stone walling.	Khami/Venda sites specifically have a high visibility due to the stone walling and visible ceramic scatters kraal.	High Probability
Mining / Metallurgy	Kaditswene Schietkraal	Residues associated with metallurgy including slag, ore, metal objects, and hammer stones.	Sites are primarily open, visible stone enclosures in secluded areas.	High Probability
Rock Art and Markings	Maremani Mapungubwe Machete Ratho K2	Fine line and finger paintings, grooves, cupules, engravings.	Usually associated with rock shelters and outcrops.	High Probability
Colonial Period: Structures	Mahikeng Zeerust Farmsteads	Foundation structures, house remains.	Colonial period sites generally have a high visibility due to preservation and visible material remains scatters.	High Probability
Colonial Period: Middens / Dumps	Mahikeng Zeerust Farmsteads	Glass, porcelain, potsherds, metal objects such as tin cans.	Colonial period sites generally have a high visibility due to preservation and visible material remains scatters.	High Probability
Battle and military sites	Mahikeng Zeerust	Artefacts associated with conflict including spears, arrow heads, ammunition, rifles.	It is sometimes hard to identify sites of conflict as a result of the short duration and limited impact that such events incur.	High Probability
Burials over 100 years	Mahikeng Zeerust Farmsteads	Stone cairns, circles and ovals.	Prehistoric burials are sometimes hard to identify as they frequently occur in cattle kraals or as parts of stone wall structures.	High Probability
Burials younger than 60 years	Zeerust Town Farmsteads	Marble head stones	More recent burials can be identified by headstones and grave dressings frequently present on these structures.	High Probability



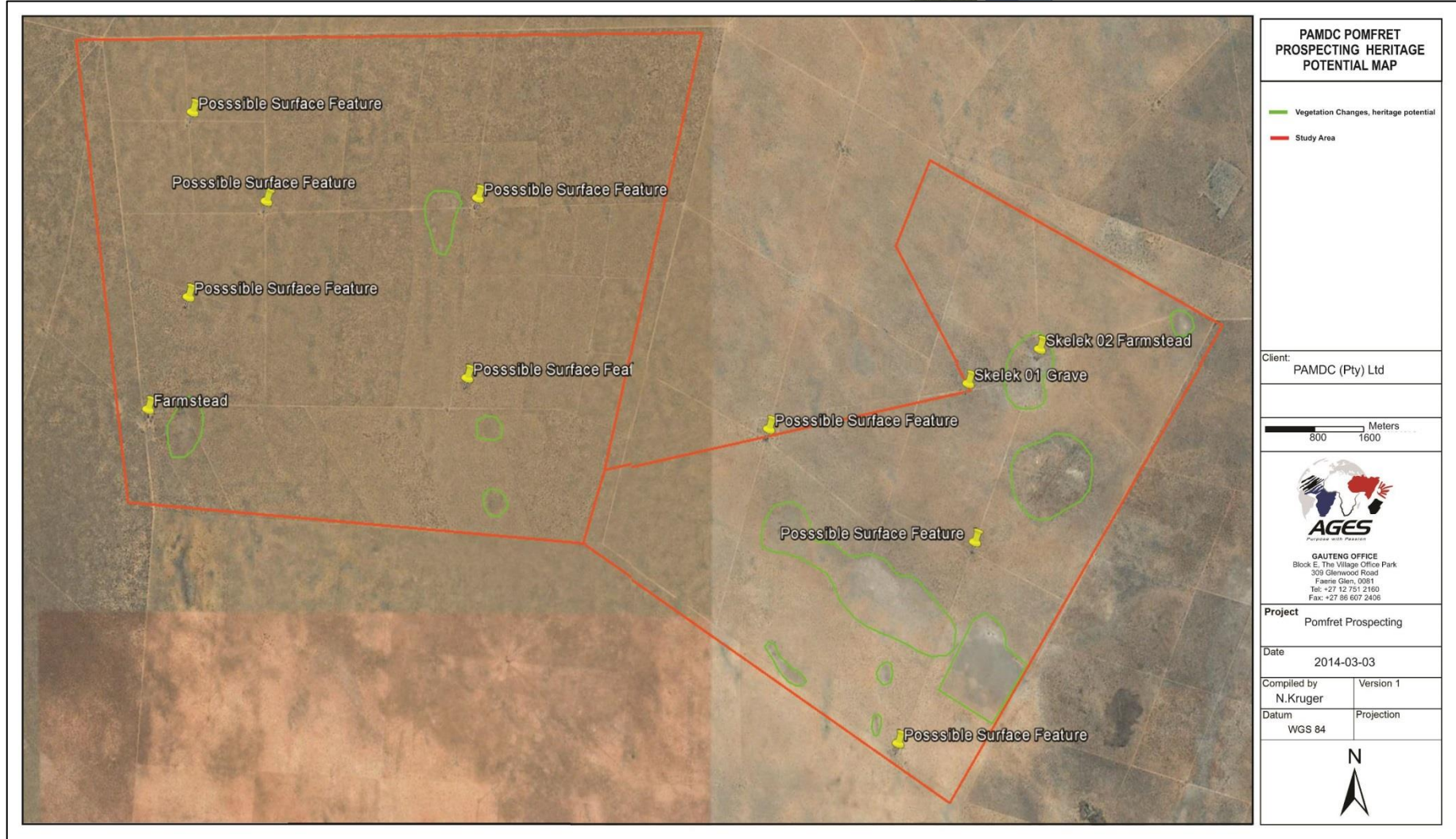


Figure 5-13: Map of heritage sensitive area sites in the Prospecting Project Area. Map of potential heritage sensitive area sites in the Prospecting Project Area.



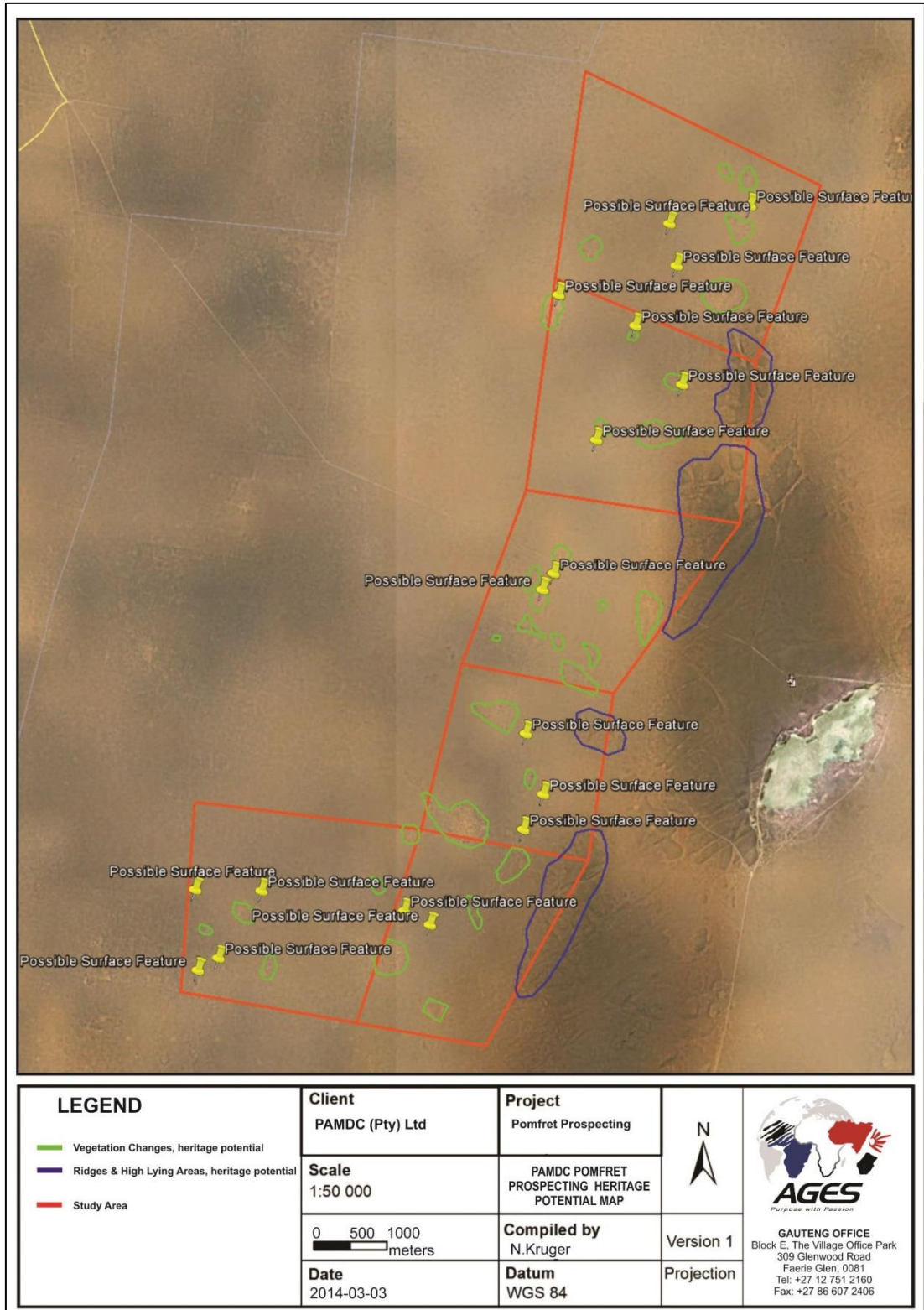
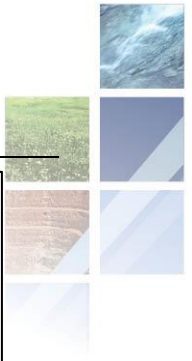


Figure 5-14: Map of heritage sensitive area sites in the Prospecting Project Area. Map of potential heritage sensitive area sites in the Prospecting Project Area.

## 6 SITE SIGNIFICANCE & RECOMMENDATIONS

### 6.1 Heritage resources management and conservation

Archaeological sites are places in the landscape where people have lived in the past – generally more than 60 years ago – and have left traces of their presence behind. Archaeological sites include hominid fossil sites, places where people of the Earlier, Middle and Later Stone Age lived in open sites, river gravels, rock shelters and caves, Iron Age sites, graves, and a variety of historical sites and structures in rural areas, towns and cities. Palaeontological sites are those with fossil remains of plants and animals where people were not involved in the accumulation of the deposits. The basic principle of cultural heritage conservation is that archaeological and other heritage sites are valuable, scarce and *non-renewable*. Many such sites are unfortunately lost on a daily basis through development for housing, roads and infrastructure and once archaeological sites are damaged, they cannot be re-created as site integrity and authenticity is permanently lost. Archaeological sites have the potential to contribute to our understanding of the history of the region and of our country and continent.

### 6.2 Categories of significance

Rating the significance of archaeological sites, and consequently grading the potential impact on the resources is linked to the significance of the site itself. The significance of an archaeological site is based on the amount of deposit, the integrity of the context, the kind of deposit and the potential to help answer present research questions. Historical structures are defined by Section 34 of the National Heritage Resources Act, 1999, while other historical and cultural significant sites, places and features, are generally determined by community preferences. The guidelines as provided by the NHRA (Act No. 25 of 1999) in Section 3, with special reference to subsection 3 are used when determining the cultural significance or other special value of archaeological or historical sites.

In addition, ICOMOS (the Australian Committee of the International Council on Monuments and Sites) highlights four cultural attributes, which are valuable to any given culture:

- *Aesthetic value:*

Aesthetic value includes aspects of sensory perception for which criteria can and should be stated. Such criteria include consideration of the form, scale, colour, texture and material of the fabric, the general atmosphere associated with the place and its uses and also the aesthetic values commonly assessed in the analysis of landscapes and townscape.

- *Historic value:*

Historic value encompasses the history of aesthetics, science and society and therefore to a large extent underlies all of the attributes discussed here. Usually a place has historical value because of some kind of influence by an event, person, phase or activity.

- *Scientific value:*

The scientific or research value of a place will depend upon the importance of the data involved, on its rarity, quality and on the degree to which the place may contribute further substantial information.

- *Social value:*

Social value includes the qualities for which a place has become a focus of spiritual, political, national or other cultural sentiment to a certain group.

In terms of the evaluation of sites, the certainty of prediction is definite, unless stated otherwise and if the

significance of the site is rated high, the significance of the impact will also result in a high rating. The same rule applies if the significance rating of the site is low. Sites of archaeological (over 100 years old) and historical value (over 60 years) are protected in terms of Sections 35 and 38 of the National Heritage Resources Act (Act No. 25 of 1999). Recognizable human graves are also protected by the same legislation. According to the spirit of the National Heritage Resources Act, human graves have high social value regardless of their historical significance.

For other archaeological, cultural or historical sites, five criteria determine site significance:

- integrity of deposit (primary versus secondary context);
- amount of deposit;
- number and variety of features;
- uniqueness; and
- potential to answer present research questions.

Following the above criteria, sites with “no significance” do not require further consideration; “low significance” may require mitigation; “medium significance” will require mitigation; while sites with “high significance” should not be disturbed at all. This significance rating protocol is further illustrated by the following table:

Significance	Rating Action
No significance: sites that do not require mitigation.	None
Low significance: sites, which may require mitigation.	2a. Recording and documentation (Phase 1) of site; no further action required 2b. Controlled sampling (shovel test pits, augering), mapping and documentation (Phase 2 investigation); permit required for sampling and destruction
Medium significance: sites, which require mitigation.	3. Excavation of representative sample, C14 dating, mapping and documentation (Phase 2 investigation); permit required for sampling and destruction [including 2a & 2b]
High significance: sites, where disturbance should be avoided.	4a. Nomination for listing on Heritage Register (National, Provincial or Local) (Phase 2 & 3 investigation); site management plan; permit required if utilised for education or tourism
High significance: Graves and burial places	4b. Locate demonstrable descendants through social consulting; obtain permits from applicable legislation, ordinances and regional by-laws; exhumation and reinterment [including 2a, 2b & 3]

**A fundamental aspect in assessing the significance and protection status of a heritage resource is often whether or not the sustainable social and economic benefits of a proposed development outweigh the conservation issues at stake. When, for whatever reason the protection of a heritage site is not deemed necessary or practical, its research potential must be assessed and mitigated in order to gain data / information which would otherwise be lost. Such sites must be adequately recorded and sampled before being destroyed. These are generally sites graded as of low or medium significance.**

### 6.2.1 Direct impact rating

**Direct or primary effects** on heritage resources occur at the same time and in the same space as the activity, e.g. loss of historical fabric through demolition work. **Indirect effects or secondary effects** on heritage resources occur later in time or at a different place from the causal activity, or as a result of a complex pathway, e.g. restriction of access to a heritage resource resulting in the gradual erosion of its significance, which is dependent on ritual patterns of access. The following table provides an outline as to the relationship between the significance of a heritage context, the intensity of development and the significance of heritage impacts to be expected.

HERITAGE CONTEXT	TYPE OF DEVELOPMENT			
	CATEGORY A	CATEGORY B	CATEGORY C	CATEGORY D
<b>CONTEXT 1</b> High heritage Value	Moderate heritage impact expected	High heritage impact expected	Very high heritage impact expected	Very high heritage impact expected
<b>CONTEXT 2</b> Medium to high heritage value	Minimal heritage impact expected	Moderate heritage impact expected	High heritage impact expected	Very high heritage impact expected
<b>CONTEXT 3</b> Medium to low heritage value	Little or no heritage impact expected	Minimal heritage impact expected	Moderate heritage impact expected	High heritage impact expected
<b>CONTEXT 4</b> Low to no heritage value	Little or no heritage impact expected	Little or no heritage impact expected	Minimal heritage value expected	Moderate heritage impact expected
<b>NOTE: A DEFAULT "LITTLE OR NO HERITAGE IMPACT EXPECTED" VALUE APPLIES WHERE A HERITAGE RESOURCE OCCURS OUTSIDE THE IMPACT ZONE OF THE DEVELOPMENT.</b>				
HERITAGE CONTEXTS		CATEGORIES OF DEVELOPMENT		
<p><b>Context 1:</b> Of high intrinsic, associational and contextual heritage value within a national, provincial and local context, i.e. formally declared or potential Grade 1, 2 or 3A heritage resources</p> <p><b>Context 2:</b> Of moderate to high intrinsic, associational and contextual value within a local context, i.e. potential Grade 3B heritage resources.</p> <p><b>Context 3:</b> Of medium to low intrinsic, associational or contextual heritage value within a national, provincial and local context, i.e. potential Grade 3C heritage resources</p> <p><b>Context 4:</b> Of little or no intrinsic, associational or contextual heritage value due to disturbed, degraded conditions or extent of irreversible damage.</p>		<p><b>Category A: Minimal intensity development</b></p> <ul style="list-style-type: none"> <li>- No rezoning involved; within existing use rights.</li> <li>- No subdivision involved.</li> <li>- Upgrading of existing infrastructure within existing envelopes</li> <li>- Minor internal changes to existing structures</li> <li>- New building footprints limited to less than 1000m<sup>2</sup>.</li> </ul> <p><b>Category B: Low-key intensity development</b></p> <ul style="list-style-type: none"> <li>- Spot rezoning with no change to overall zoning of a site.</li> <li>- Linear development less than 100m</li> <li>- Building footprints between 1000m<sup>2</sup>-2000m<sup>2</sup></li> <li>- Minor changes to external envelop of existing structures (less than 25%)</li> <li>- Minor changes in relation to bulk and height of immediately adjacent structures (less than 25%).</li> </ul> <p><b>Category C: Moderate intensity development</b></p> <ul style="list-style-type: none"> <li>- Rezoning of a site between 5000m<sup>2</sup>-10 000m<sup>2</sup>.</li> <li>- Linear development between 100m and 300m.</li> <li>- Building footprints between 2000m<sup>2</sup> and 5000m<sup>2</sup></li> <li>- Substantial changes to external envelop of existing structures (more than 50%)</li> <li>- Substantial increase in bulk and height in relation to immediately adjacent buildings (more than 50%)</li> </ul> <p><b>Category D: High intensity development</b></p> <ul style="list-style-type: none"> <li>- Rezoning of a site in excess of 10 000m<sup>2</sup></li> <li>- Linear development in excess of 300m.</li> <li>- Any development changing the character of a site exceeding 5000m<sup>2</sup> or involving the subdivision of a site into three or more erven.</li> <li>- Substantial increase in bulk and height in relation to immediately adjacent buildings (more than 100%)</li> </ul>		

### 6.2.2 Management actions

Recommendations on relevant heritage resources management actions are vital to the conservation of heritage resources. Recommended management actions may include the following:



**No further action / Monitoring**

Where no heritage resources have been documented, heritage resources occur well outside the impact zone of any development or the primary context of the surroundings at a development footprint has been largely destroyed or altered, no further immediate action is required. Site monitoring during development, by an ECO or the heritage specialist are often added to this recommendation in order to ensure that no undetected heritage remains are destroyed.

**Avoidance**

This is appropriate where any type of development occurs within a formally protected or significant or sensitive heritage context and is likely to have a high negative impact. Mitigation is not acceptable or not possible.

**Mitigation**

This is appropriate where development occurs in a context of heritage significance and where the impact is such that it can be mitigated to a degree of medium to low significance, e.g. the high to medium impact of a development on an archaeological site could be mitigated through sampling/excavation of the remains. Not all negative impacts can be mitigated.

**Compensation**

Compensation is generally not an appropriate heritage management action. The main function of management actions should be to conserve the resource for the benefit of future generations. Once lost it cannot be renewed. The circumstances around the potential public or heritage benefits would need to be exceptional to warrant this type of action, especially in the case of where the impact was high.

**Rehabilitation**

Rehabilitation is considered in heritage management terms as an intervention typically involving the adding of a new heritage layer to enable a new sustainable use. It is not appropriate when the process necessitates the removal of previous historical layers, i.e. restoration of a building or place to the previous state/period. It is an appropriate heritage management action in the following cases:

- The heritage resource is degraded or in the process of degradation and would benefit from rehabilitation.
- Where rehabilitation implies appropriate conservation interventions, i.e. adaptive reuse, repair and maintenance, consolidation and minimal loss of historical fabric.
- Where the rehabilitation process will not result in a negative impact on the intrinsic value of the resource.

**Enhancement**

Enhancement is appropriate where the overall heritage significance and its public appreciation value are improved. It does not imply creation of a condition that might never have occurred during the evolution of a place, e.g. the tendency to sanitize the past. This management action might result from the removal of previous layers where these layers are culturally of low significance and detract from the significance of the resource. It would be appropriate in a range of heritage contexts and applicable to a range of resources. In the case of formally protected or significant resources, appropriate enhancement action should be encouraged. Care should, however, be taken to ensure that the process does not have a negative impact on the character and context of the resource. It would thus have to be carefully monitored.

## 7 RECOMMENDATIONS AND FURTHER TERMS OF REFERENCE

As this report illustrates, the Prospecting Project is situated in a rich and diverse cultural landscape and due cognisance should be taken of the archaeological and historical landscape. It must be emphasised that the conclusions and recommendations expressed in this archaeological heritage sensitivity investigation are based on limited field observations and more so, on desktop study findings and therefore, do not represent the Prospecting Project area's complete archaeological legacy.

The following recommendations and terms of reference provide a general outline for the location, documentation, conservation and ultimately, the management of the vast and significant heritage landscape around the Prospecting Project area.

### 7.1 Initial recommendations

As a general guideline and to reduce impacts on heritage resources to a minimum, the following recommendations should be considered in the planning, implementation and management phases of the Project:

- It is therefore recommended that full Heritage Impact Assessment (HIA) projects, supported by detailed background desktop studies be conducted for all areas to be impacted on by any activity pertaining to the Prospecting Project. This is in order to establish the possible existence of sites of cultural significance and archaeological value, and to minimise possible impact on such sites.
- The project area falls within a palaeontologically sensitive zone and it is recommended that full palaeontological impact assessment (PIA) projects be conducted on areas to be impacted on by any activities. These studies should be conducted by suitably qualified specialists.
- The term "Living Heritage" can broadly refer to a place of cultural heritage and sacred nature; with cultural attributions that are not generally physically manifested. Ritual and symbolic spaces and practices, and the material residues thereof convey an intangible cultural significance beyond the physical site or artefact, where the meaning of the ritual area speaks directly of a sense of place and lived experience. Such sites might occur in the Prospecting Project area and due cognisance should be taken of these sites of "Living Heritage" in the cultural landscape.
- It is recommended that all graves and cemeteries in the Project area be conserved and excluded from future mining development. Where impact on such resources would prove to be inevitable, the correct human remains repatriation procedures should be observed at all times. These procedures should include public notification of intent to relocate the remains, consultation with descendant communities, close liaison with - and approval from local authorities, adherence to any local laws and / bylaws, and correct grave relocation methodologies.
- The majority of groups, farmers and locals living in the area have occupied the region for many generations and have expressed long-term cultural associations with the region. Therefore, it is important to ascertain from these respondents whether there are any further undetected sites of cultural significance in the area to which they relate and / or attach cultural meaning.
- Ultimately, it is recommended that the archaeological and cultural heritage of the Northwest Province cultural landscape be respected. The management of heritage resources, as stipulated by National and International Heritage resources agencies (e.g. SAHRA & UNESCO) should be aligned with any future activity by means of cultural mitigation and / or management plans developed in conjunction with heritage authorities and specialists.

### 7.2 Risks and Impact Areas

As a general guideline and to reduce impacts on heritage resources to a minimum, the following principles

should always be considered in the planning, implementation and management phases of any development:

- Riverbanks, rims of pans and smaller watercourses should be avoided as far as possible as past communities settled near water sources.
- In the Marico area, Later Iron Age groups preferred saddle areas and higher flat plains between mountains, hills and outcrops. These areas should also be avoided.
- Avoid all patches bare of vegetation unless previously inspected by an archaeologist. These might be old settlement sites.
- Rock outcrops might contain rock shelters, engravings or stone walled settlements, and should be avoided unless previously inspected by an archaeologist.
- Communities living close to areas demarcated for development should be consulted as to the existence of sites of cultural significance, e.g. graves, as well as sites that do not show any structures but have emotional significance, such as battlefields, etc.
- All graves or cemeteries should be avoided, unless when totally impossible. The correct procedure, i.e. notification of intent to relocate them, consultation with descendants and permit application, should then be followed in relocating the graves. If any of the graves are older than 60 years, they can only be exhumed by an archaeologist. Graves of victims of conflict requires additional permits from SAHRA before they can be relocated.
- Archaeological material, by its very nature, occurs below ground. It should be considered that archaeological sites might be exposed during the construction work. If anything is noticed, work in that area should be stopped and the occurrence should immediately be reported to a museum, preferably one at which an archaeologist is available. The archaeologist should then investigate and evaluate the find.
- Any mitigation measures applied by an archaeologist, in the sense of excavation and documentation, should be published in order to bring this information into the public domain.

### 7.3 Further Terms of Reference

The following additional terms of reference, subject to the initial recommendations above, are required specifically for the Prospecting Project areas in terms of proposed operations:

- Provide a detailed description of all archaeological and heritage artefacts, structures, graves and settlements by means of the field inspection of all surface areas to be impacted by the planned exploration activities.
- Closely liaise with local communities and farm owners in order to identify additional archaeological, heritage and living heritage sites in the Project area.
- Contextualize any heritage resources and archaeological sites within the larger historical landscape by means of a detailed desktop-based background study.
- Estimate the level of significance/importance of the archaeological remains within the area.
- Assess any possible impact on the archaeological and historical remains within the area emanating from the proposed development activities.
- If necessitated by the development, propose possible mitigation measures for heritage resources, subject to a mandate from local authorities and according to international standards for best practise in Cultural Resources Management (CRM).
- Develop protection procedures for sacred sites and any other heritage features excluded from mitigation in conjunction with traditional guardians and elders and the local community.
- Liaise and consult with the relevant heritage resources management authorities (SAHRA, Advisory

bodies, local traditional authorities, the Department of Mineral Resources & Department of Environmental Affairs).

It must be emphasised that the conclusions and recommendations expressed in this heritage scoping and sensitivity investigation are based on limited field observations and more so, on desktop study findings and is thus not representative of the Project area's complete archaeological and historical legacy. Many sites/features may be covered by soil and vegetation and might only be located during sub-surface investigations. If subsurface archaeological deposits, artefacts or skeletal material were to be recovered in the area during construction activities, all activities should be suspended and the archaeological specialist should be notified immediately. With reference to the potential impacts that may occur as a result of the operational activities of the proposed development it should be noted that such impacts are considered to be of a similar nature to those related to the construction phase

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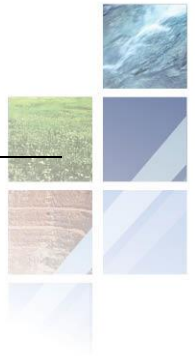
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## 9 ADDENDUM: CRM LEGISLATION, CONSERVATION AND HERITAGE MANAGEMENT

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The broad generic term *Cultural Heritage Resources* refers to any physical and spiritual property associated with past and present human use or occupation of the environment, cultural activities and history. The term includes sites, structures, places, natural features and material of palaeontological, archaeological, historical, aesthetic, scientific, architectural, religious, symbolic or traditional importance to specific individuals or groups, traditional systems of cultural practice, belief or social interaction.

### 9.1.1 Legislation regarding archaeology and heritage sites

The South African Heritage Resources Agency (SAHRA) and their provincial offices aim to conserve and control the management, research, alteration and destruction of cultural resources of South Africa. It is therefore vitally important to adhere to heritage resource legislation contained in the Government Gazette of the Republic of South Africa at all times.

*i. National Heritage Resources Act No 25 of 1999, section 35*

According to the National Heritage Resources Act of 1999 a historical site is “any identifiable building or part thereof, marker, milestone, gravestone, landmark or tell older than 60 years.” This clause is commonly known as the “60-years clause”. Buildings are amongst the most enduring features of human occupation, and this definition therefore includes all buildings older than 60 years, modern architecture as well as ruins, fortifications and Iron Age settlements. “Tell” refers to the evidence of human existence which is no longer above ground level, such as building foundations and buried remains of settlements (including artefacts).

The Act identifies heritage objects as:

- objects recovered from the soil or waters of South Africa including archaeological and palaeontological objects, meteorites and rare geological specimens
- visual art objects
- military objects
- numismatic objects
- objects of cultural and historical significance
- objects to which oral traditions are attached and which are associated with living heritage
- objects of scientific or technological interest
- any other prescribed category

With regards to activities and work on archaeological and heritage sites this Act states that:

*“No person may alter or demolish any structure or part of a structure which is older than 60 years without a permit by the relevant provincial heritage resources authority.” (34. [1] 1999:58)*

and

*“No person may, without a permit issued by the responsible heritage resources authority-*

- (a) destroy, damage, excavate, alter, deface or otherwise disturb any archaeological or palaeontological site or any meteorite;*
- (b) destroy, damage, excavate, remove from its original position, collect or own any archaeological or palaeontological material or object or any meteorite;*
- (c) trade in, sell for private gain, export or attempt to export from the Republic any category of archaeological or palaeontological material or object, or any meteorite; or*
- (d) bring onto or use at an archaeological or palaeontological site any excavation equipment or any equipment which assist in the detection or recovery of metals or archaeological and palaeontological material or objects, or use such equipment for the recovery of meteorites. (35. [4] 1999:58).”*

And:

*“No person may, without a permit issued by SAHRA or a provincial heritage resources agency-*

- (a) destroy, damage, alter, exhume or remove from its original position or otherwise disturb the grave of a victim of conflict, or any burial ground or part thereof which contains such graves;*
- (b) destroy, damage, alter, exhume, remove from its original position or otherwise disturb any grave or burial ground older than 60 years which is situated outside a formal cemetery administered by a local authority;*
- (c) bring onto or use at a burial ground or grave referred to in paragraph (a) or (b) and excavation equipment, or any equipment which assists in the detection or recovery of metals (36. [3] 1999:60).”*

ii. *Human Tissue Act of 1983 and Ordinance on the Removal of Graves and Dead Bodies of 1925*

Graves 60 years or older fall under the jurisdiction of the Human Tissues Act of 1983 and the National Heritage Resources Act, as these sites areas are heritage resources. The Human Tissues Act (Act 65 of 1983) and the Ordinance on the Removal of Graves and Dead Bodies (Ordinance 7 of 1925) as well as any local and regional provisions, laws and by-laws protect graves younger than 60 years. Such burial places also fall under the jurisdiction of the National Department of Health and the Provincial Health Departments. Approval for the exhumation and re-burial must be obtained from the relevant Provincial MEC as well as the relevant Local Authorities.



## 9.1.2 Background to HIA and AIA Studies

South Africa's unique and non-renewable archaeological and paleontological heritage sites are 'Generally' protected in terms of the National Heritage Resources Act (Act No 25 of 1999, section 35) and may not be disturbed at all without a permit from the relevant heritage resources authority. Heritage sites are frequently threatened by development projects and both the environmental and heritage legislation require impact assessments (HIA's & AIA's) that identify all heritage resources in areas to be developed. Particularly, these assessments are required to make recommendations for protection or mitigation of the impact of the sites.

HIA's and AIA's should be done by qualified professionals with adequate knowledge to (a) identify all heritage resources including archaeological and paleontological sites that might occur in areas of developed and (b) make recommendations for protection or mitigation of the impact of the sites.

The National Heritage Resources Act (Act No. 25 of 1999, section 38) provides guidelines for Cultural Resources Management and prospective developments:

**“38.** (1) *Subject to the provisions of subsections (7), (8) and (9), any person who intends to undertake a development categorised as:*

- (a) the construction of a road, wall, powerline, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;*
- (b) the construction of a bridge or similar structure exceeding 50 m in length;*
- (c) any development or other activity which will change the character of a site:*
  - (i) exceeding 5 000 m<sup>2</sup> in extent; or*
  - (ii) involving three or more existing erven or subdivisions thereof; or*
  - (iii) involving three or more erven or divisions thereof which have been consolidated within the past five years; or*
  - (iv) the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority;*
- (d) the re-zoning of a site exceeding 10 000 m<sup>2</sup> in extent; or*
- (e) any other category of development provided for in regulations by SAHRA or a provincial heritage resources authority,*

*must at the very earliest stages of initiating such a development, notify the responsible heritage resources authority and furnish it with details regarding the location, nature and extent of the proposed development.”*

And:

*“The responsible heritage resources authority must specify the information to be provided in a report required in terms of subsection (2)(a): Provided that the following must be included:*

- (a) The identification and mapping of all heritage resources in the area affected;*
- (b) an assessment of the significance of such resources in terms of the heritage assessment criteria set out in section 6(2) or prescribed under section 7;*
- (c) an assessment of the impact of the development on such heritage resources;*

- (d) *an evaluation of the impact of the development on heritage resources relative to the sustainable social and economic benefits to be derived from the development;*
- (e) *the results of consultation with communities affected by the proposed development and other interested parties regarding the impact of the development on heritage resources;*
- (f) *if heritage resources will be adversely affected by the proposed development, the consideration of alternatives; and*
- (g) *plans for mitigation of any adverse effects during and after the completion of the proposed development (38. [3] 1999:64)."*

Consequently, section 35 of the Act requires Heritage Impact Assessments (HIA's) or Archaeological Impact Assessments (AIA's) to be done for such developments in order for all heritage resources, that is, all places or objects of aesthetics, architectural, historic, scientific, social, spiritual linguistic or technological value or significance to be protected. Thus any assessment should make provision for the protection of all these heritage components, including archaeology, shipwrecks, battlefields, graves, and structures older than 60 years, living heritage, historical settlements, landscapes, geological sites, paleontological sites and objects.