

Phase 1 Cultural Heritage Impact Assessment:

THE REPLACEMENT OF WATER PIPELINES IN HAMBERG SUBURB, ROODEPOORT REGION, CITY OF JOHANNESBURG METROPOLITAN MUNICIPALITY, GAUTENG PROVINCE

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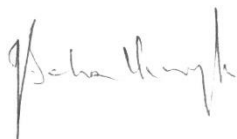


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Specialist competency:

Johan A van Schalkwyk, D Litt et Phil, heritage consultant, has been working in the field of heritage management for more than 40 years. Originally based at the National Museum of Cultural History, Pretoria, he has actively done research in the fields of anthropology, archaeology, museology, tourism and impact assessment. This work was done in Limpopo Province, Gauteng, Mpumalanga, North West Province, Eastern Cape Province, Northern Cape Province, Botswana, Zimbabwe, Malawi, Lesotho and Swaziland. Based on this work, he has curated various exhibitions at different museums and has published more than 70 papers, most in scientifically accredited journals. During this period, he has done more than 2000 impact assessments (archaeological, anthropological, historical and social) for various government departments and developers. Projects include environmental management frameworks, roads, pipeline-, and power line developments, dams, mining, water purification works, historical landscapes, refuse dumps and urban developments.



J A van Schalkwyk
Heritage Consultant
February 2019

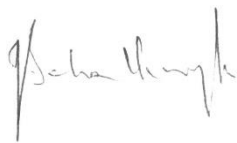


SPECIALIST DECLARATION

I, J A van Schalkwyk, as the appointed independent specialist, in terms of the 2014 EIA Regulations (as amended), hereby declare that I:

- I act as the independent specialist in this application;
- I perform the work relating to the application in an objective manner, even if this results in views and findings that are not favourable to the applicant;
- regard the information contained in this report as it relates to my specialist input/study to be true and correct, and do not have and will not have any financial interest in the undertaking of the activity, other than remuneration for work performed in terms of the NEMA, the Environmental Impact Assessment Regulations, 2014 (as amended) and any specific environmental management Act;
- I declare that there are no circumstances that may compromise my objectivity in performing such work;
- I have expertise in conducting the specialist report relevant to this application, including knowledge of the Act, Regulations and any guidelines that have relevance to the proposed activity;
- I will comply with the Act, Regulations and all other applicable legislation;
- I have no, and will not engage in, conflicting interests in the undertaking of the activity;
- I have no vested interest in the proposed activity proceeding;
- I undertake to disclose to the applicant and the competent authority all material information in my possession that reasonably has or may have the potential of influencing - any decision to be taken with respect to the application by the competent authority; and the objectivity of any report, plan or document to be prepared by myself for submission to the competent authority;
- I have ensured that information containing all relevant facts in respect of the specialist input/study was distributed or made available to interested and affected parties and the public and that participation by interested and affected parties was facilitated in such a manner that all interested and affected parties were provided with a reasonable opportunity to participate and to provide comments on the specialist input/study;
- I have ensured that the comments of all interested and affected parties on the specialist input/study were considered, recorded and submitted to the competent authority in respect of the application;
- all the particulars furnished by me in this specialist input/study are true and correct; and
- I realise that a false declaration is an offence in terms of regulation 48 and is punishable in terms of section 24F of the Act.

Signature of the specialist



J A van Schalkwyk
February 2019

EXECUTIVE SUMMARY

**Phase 1 Cultural Heritage Impact Assessment:
THE REPLACEMENT OF WATER PIPELINES IN HAMBERG SUBURB, ROODEPOORT REGION, CITY OF
JOHANNESBURG METROPOLITAN MUNICIPALITY, GAUTENG PROVINCE**

Johannesburg Water SOC Ltd (JWater) propose the replacement of water reticulation pipelines along some roads in Hamberg suburb of the Roodepoort region of the City of Johannesburg.

This report describes the methodology used, the limitations encountered, the heritage features that were identified and the recommendations and mitigation measures proposed relevant to this. The HIA consisted of a desktop study (archival sources, database survey, maps and aerial imagery) and a physical survey that included the interviewing of relevant people. It should be noted that the implementation of the mitigation measures is subject to SAHRA/PHRA's approval.

The cultural landscape qualities of the region are made up of a pre-colonial element consisting of very limited Stone Age and Iron Age occupation, as well as a much later colonial (farmer) component, which eventually gave rise to an urban and industrial (mining) component.

Identified sites

During the physical survey, no sites, features or objects of cultural significance were identified.

Impact assessment

Impact analysis of cultural heritage resources under threat of the proposed development, is based on the present understanding of the development:

- Although some sections of Hamberg suburb is older than sixty years, the pipeline replacement would take place in the road reserve, which limits the possibility of it impacting on the built environment. In the rest of the suburb, all features that might have existed prior to the development of the suburb taking place would have been destroyed by the building processes. Consequently, there would be no impact as a result of the proposed development.

| Heritage sites | Significance of impact | Mitigation measures |
|--|------------------------|---------------------|
| Hamberg Pipelines: Construction Phase | | |
| Without mitigation | n/a | n/a |
| With mitigation | n/a | n/a |
| Hamberg Pipelines: Operation Phase | | |
| Without mitigation | n/a | n/a |
| With mitigation | n/a | n/a |

Legal requirements


The legal requirements related to heritage specifically are specified in Section 3 of this report. For this proposed project, the assessment has determined that no sites, features or objects of heritage significance occur in the study area. If heritage features are identified during construction, as stated in the management recommendation, these finds would have to be assessed by a specialist, after which a decision will be made regarding the application for relevant permits.

Reasoned opinion as to whether the proposed activity should be authorised:

- From a heritage point of view, it is recommended that the proposed development be allowed to continue on acceptance of the conditions proposed below.

Conditions for inclusion in the environmental authorisation:

- Should archaeological sites or graves be exposed in other areas during construction work, it must immediately be reported to a heritage practitioner so that an investigation and evaluation of the finds can be made.



J A van Schalkwyk
Heritage Consultant
February 2019

TECHNICAL SUMMARY

| Project description | |
|----------------------------|---|
| Description | Replacement of water pipelines in Hamberg |
| Project name | Hamberg Water Pipelines Replacement |

| Applicant |
|---------------------------------|
| Johannesburg Water SOC (JWater) |

| Environmental assessors |
|--------------------------------|
| Envirovolution Consulting |
| Ms S Ismail |

| Property details | | | | | | |
|-------------------------|----------------------------|------------|------------|----|----------|-----------|
| Province | Gauteng | | | | | |
| Magisterial district | Roodepoort | | | | | |
| Local municipality | City of Johannesburg | | | | | |
| Topo-cadastral map | 2627BB | | | | | |
| Farm name | - | | | | | |
| Closest town | Roodepoort | | | | | |
| Coordinates | Centre point (approximate) | | | | | |
| | No | Latitude | Longitude | No | Latitude | Longitude |
| | 1 | S 26,16972 | E 27,88921 | 2 | | |

| Development criteria in terms of Section 38(1) of the NHR Act | Yes/No |
|---|--------|
| Construction of road, wall, power line, pipeline, canal or other linear form of development or barrier exceeding 300m in length | Yes |
| Construction of bridge or similar structure exceeding 50m in length | No |
| Development exceeding 5000 sq m | No |
| Development involving three or more existing erven or subdivisions | No |
| Development involving three or more erven or divisions that have been consolidated within past five years | No |
| Rezoning of site exceeding 10 000 sq m | No |
| Any other development category, public open space, squares, parks, recreation grounds | No |

| Land use | |
|-------------------|--------|
| Previous land use | Mining |
| Current land use | Urban |

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GLOSSARY OF TERMS AND ABBREVIATIONS

TERMS

Bioturbation: The burrowing by small mammals, insects and termites that disturb archaeological deposits.

Cumulative impacts: “Cumulative Impact”, in relation to an activity, means the past, current and reasonably foreseeable future impact of an activity, considered together with the impact of activities associated with that activity, that in itself may not be significant, but may become significant when added to existing and reasonably foreseeable impacts eventuating from similar or diverse activities.

Debitage: Stone chips discarded during the manufacture of stone tools.

Factory site: A specialised archaeological site where a specific set of technological activities has taken place – usually used to describe a place where stone tools were made.

Historic Period: Since the arrival of the white settlers - c. AD 1830 - in this part of the country.

Holocene: The most recent time period, which commenced c. 10 000 years ago.

Iron Age (also referred to as **Early Farming Communities**): Period covering the last 1800 years, when new people brought a new way of life to southern Africa. They established settled villages, cultivated domestic crops such as sorghum, millet and beans, and they herded cattle as well as sheep and goats. As they produced their own iron tools, archaeologists call this the Iron Age.

| | |
|-----------------|-------------------|
| Early Iron Age | AD 200 - AD 900 |
| Middle Iron Age | AD 900 - AD 1300 |
| Later Iron Age | AD 1300 - AD 1830 |

Midden: The accumulated debris resulting from human occupation of a site.

Mitigation, means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

National Estate: The collective heritage assets of the Nation.

Pleistocene: Geological time period of 3 000 000 to 20 000 years ago.

Stone Age: The first and longest part of human history is the Stone Age, which began with the appearance of early humans between 3-2 million years ago. Stone Age people were hunters, gatherers and scavengers who did not live in permanently settled communities. Their stone tools preserve well and are found in most places in South Africa and elsewhere.

| | |
|------------------|------------------------------------|
| Early Stone Age | 2 500 000 - 150 000 Before Present |
| Middle Stone Age | 150 000 - 30 000 BP |
| Later Stone Age | 30 000 - until c. AD 200 |

Tradition: As used in archaeology, it is a seriated sequence of artefact assemblages, particularly ceramics.

ACRONYMS and ABBREVIATIONS

| | |
|-------|---|
| ASAPA | Association of Southern African Professional Archaeologists |
| BCE | Before the Common Era (the year 0) |

| | |
|----------|--|
| BP | Before Present (calculated from 1950 when radio-carbon dating was established) |
| CE | Common Era (the year 0) |
| ESA | Early Stone Age |
| EIA | Early Iron Age |
| HIA | Heritage Impact Assessment |
| I & AP's | Interested and Affected Parties |
| LIA | Late Iron Age |
| LSA | Later Stone Age |
| MIA | Middle Iron Age |
| MSA | Middle Stone Age |
| NASA | National Archives of South Africa |
| NHRA | National Heritage Resources Act |
| PHRA | Provincial Heritage Resources Agency |
| SAHRA | South African Heritage Resources Agency |
| SAHRIS | South African Heritage Resources Information System |

COMPLIANCE WITH THE APPENDIX 6 OF THE 2014 EIA REGULATIONS (AS AMENDED)

| Requirements of Appendix 6 – GN R982 | Addressed in the Specialist Report |
|---|---|
| 1. (1) A specialist report prepared in terms of these Regulations must contain- | |
| a) details of- | |
| i. the specialist who prepared the report; and | Front page |
| ii. the expertise of that specialist to compile a specialist report including a curriculum vitae; | Page i Addendum Section 6 |
| b) a declaration that the specialist is independent in a form as may be specified by the competent authority; | Page ii |
| c) an indication of the scope of, and the purpose for which, the report was prepared; | Section 1 |
| (cA) an indication of the quality and age of base data used for the specialist report; | Section 4 |
| (cB) a description of existing impacts on the site, cumulative impacts of the proposed development and levels of acceptable change; | Section 7.3 |
| d) the duration, date and season of the site investigation and the relevance of the season to the outcome of the assessment; | Section 4.2.2 |
| e) a description of the methodology adopted in preparing the report or carrying out the specialised process inclusive of equipment and modelling used; | Section 4 |
| f) details of an assessment of the specific identified sensitivity of the site related to the proposed activity or activities and its associated structures and infrastructure, inclusive of a site plan identifying site alternatives; | Addendum Section 5; Figure 11 |
| g) an identification of any areas to be avoided, including buffers; | Section 8 |
| h) a map superimposing the activity including the associated structures and infrastructure on the environmental sensitivities of the site including areas to be avoided, including buffers; | Figure 11 Addendum Section 5 |
| i) a description of any assumptions made and any uncertainties or gaps in knowledge; | Section 2 |
| j) a description of the findings and potential implications of such findings on the impact of the proposed activity or activities; | Section 7 |
| k) any mitigation measures for inclusion in the EMPr; | Section 9 & 10 |
| l) any conditions for inclusion in the environmental authorisation; | Section 10 |
| m) any monitoring requirements for inclusion in the EMPr or environmental authorisation; | Section 9 |
| n) a reasoned opinion- | |
| i. whether the proposed activity, activities or portions thereof should be authorised; | Section 10 |
| (iA) regarding the acceptability of the proposed activity or activities; and | |
| ii. if the opinion is that the proposed activity, activities or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan; | Section 8, 9, 10 |
| o) a description of any consultation process that was undertaken during the course of preparing the specialist report; | - |
| p) a summary and copies of any comments received during any consultation process and where applicable all responses thereto; and | - |
| q) any other information requested by the competent authority. | - |
| (2) Where a government notice by the Minister provides for any protocol or minimum information requirement to be applied to a specialist report, the requirements as indicated in such notice will apply. | - |

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1. INTRODUCTION

1.1 Background

Johannesburg Water SOC Ltd (JWater) propose the replacement of water reticulation pipelines along some roads in Hamberg suburb of the Roodepoort region of the City of Johannesburg.

Envirovolution Consulting was contracted by *Johannesburg Water SOC Ltd* as independent environmental consultant to undertake the Basic Assessment and Water Use License process for the replacement of the existing water pipelines.

South Africa's heritage resources, also described as the 'national estate', comprise a wide range of sites, features, objects and beliefs. However, according to Section 27(18) of the National Heritage Resources Act (NHRA), No. 25 of 1999, no person may destroy, damage, deface, excavate, alter, remove from its original position, subdivide or change the planning status of any heritage site without a permit issued by the heritage resources authority responsible for the protection of such site.

In accordance with Section 38 of the NHRA, an independent heritage consultant was appointed by *Envirovolution Consulting (Pty) Ltd* to conduct a cultural heritage assessment to determine if the replacement of the existing water pipelines would have an impact on any sites, features or objects of cultural heritage significance.

This report forms part of the Environmental Impact Assessment (EIA) as required by the EIA Regulations in terms of the National Environmental Management Act, 1998 (Act No. 107 of 1998) as amended and is intended for submission to the South African Heritage Resources Agency (SAHRA).

1.2 Terms and references

The aim of a full HIA investigation is to provide an informed heritage-related opinion about the proposed development by an appropriate heritage specialist. The objectives are to identify heritage resources (involving site inspections, existing heritage data and additional heritage specialists if necessary); assess their significances; assess alternatives in order to promote heritage conservation issues; and to assess the acceptability of the proposed development from a heritage perspective.

The result of this investigation is a heritage impact assessment report indicating the presence/absence of heritage resources and how to manage them in the context of the proposed development.

Depending on SAHRA's acceptance of this report, the developer will receive permission to proceed with the proposed development, on condition of successful implementation of proposed mitigation measures.

1.2.1 Scope of work

The aim of this study is to determine if any sites, features or objects of cultural heritage significance occur within the boundaries of the area where the replacement of the water pipelines is to take place. This included:

- Conducting a desk-top investigation of the area;
- A visit to the proposed development site.

The objectives were to:

- Identify possible archaeological, cultural and historic sites within the proposed development areas;
- Evaluate the potential impacts of construction, operation and maintenance of the proposed development on archaeological, cultural and historical resources;
- Recommend mitigation measures to ameliorate any negative impacts on areas of archaeological, cultural or historical importance.

1.2.2 Assumptions and Limitations

The investigation has been influenced by the following factors:

- It is assumed that the description of the proposed project, provided by the client, is accurate.
- The unpredictability of buried archaeological remains.
- No subsurface investigation (i.e. excavations or sampling) were undertaken, since a permit from SAHRA is required for such activities.
- It is assumed that the public consultation process undertaken as part of the Environmental Impact Assessment (EIA) is sufficient and that it does not have to be repeated as part of the heritage impact assessment.

2. LEGISLATIVE FRAMEWORK

2.1 Background

Heritage Impact Assessments are governed by national legislation and standards and International Best Practise. These include:

- South African Legislation
 - National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA);
 - Mineral and Petroleum Resources Development Act, 2002 (Act No. 22 of 2002) (MPRDA);
 - National Environmental Management Act 1998 (Act No. 107 of 1998) (NEMA); and
 - National Water Act, 1998 (Act No. 36 of 1998) (NWA).
- Standards and Regulations
 - South African Heritage Resources Agency (SAHRA) Minimum Standards;
 - Association of Southern African Professional Archaeologists (ASAPA) Constitution and Code of Ethics;
 - Anthropological Association of Southern Africa Constitution and Code of Ethics.
- International Best Practise and Guidelines
 - ICOMOS Standards (Guidance on Heritage Impact Assessments for Cultural World Heritage Properties); and
 - The UNESCO Convention concerning the Protection of the World Cultural and Natural Heritage (1972).

2.2 Heritage Impact Assessment Studies

South Africa's unique and non-renewable archaeological and palaeontological heritage sites are 'generally' protected in terms of the National Heritage Resources Act (Act No 25 of 1999, Section 35) and may not be disturbed at all without a permit from the relevant heritage resources authority.

The National Heritage Resources Act (Act No. 25 of 1999, Section 38) provides guidelines for Cultural Resources Management and prospective developments:

“38 (1) Subject to the provisions of subsections (7), (8) and (9), any person who intends to undertake a development categorised as:

- (a) the construction of a road, wall, powerline, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;*
- (b) the construction of a bridge or similar structure exceeding 50m in length;*
- (c) any development or other activity which will change the character of a site:*
 - (i) exceeding 5 000 m² in extent; or*
 - (ii) involving three or more existing erven or subdivisions thereof; or*
 - (iii) involving three or more erven or divisions thereof which have been consolidated within the past five years; or*
 - (iv) the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority;*
- (d) the re-zoning of a site exceeding 10 000 m² in extent; or*
- (e) any other category of development provided for in regulations by SAHRA or a provincial heritage resources authority, must at the very earliest stages of initiating such a development, notify the responsible heritage resources authority and furnish it with details regarding the location, nature and extent of the proposed development.”*

And:

“38 (3) The responsible heritage resources authority must specify the information to be provided in a report required in terms of subsection (2)(a): Provided that the following must be included:

- (a) The identification and mapping of all heritage resources in the area affected;*
- (b) an assessment of the significance of such resources in terms of the heritage assessment criteria set out in section 6(2) or prescribed under section 7;*
- (c) an assessment of the impact of the development on such heritage resources;*
- (d) an evaluation of the impact of the development on heritage resources relative to the sustainable social and economic benefits to be derived from the development;*
- (e) the results of consultation with communities affected by the proposed development and other interested parties regarding the impact of the development on heritage resources;*
- (f) if heritage resources will be adversely affected by the proposed development, the consideration of alternatives; and*
- (g) plans for mitigation of any adverse effects during and after the completion of the proposed development.”*

3. HERITAGE RESOURCES

3.1 The National Estate

The National Heritage Resources Act (No. 25 of 1999) defines the heritage resources of South Africa which are of cultural significance or other special value for the present community and for future generations that must be considered part of the national estate to include:

- places, buildings, structures and equipment of cultural significance;
- places to which oral traditions are attached or which are associated with living heritage;
- historical settlements and townscapes;
- landscapes and natural features of cultural significance;
- geological sites of scientific or cultural importance;
- archaeological and palaeontological sites;
- graves and burial grounds, including-
 - ancestral graves;
 - royal graves and graves of traditional leaders;
 - graves of victims of conflict;

- graves of individuals designated by the Minister by notice in the Gazette;
- historical graves and cemeteries; and
- other human remains which are not covered in terms of the Human Tissue Act, 1983 (Act No. 65 of 1983);
- sites of significance relating to the history of slavery in South Africa;
- movable objects, including-
 - objects recovered from the soil or waters of South Africa, including archaeological and palaeontological objects and material, meteorites and rare geological specimens;
 - objects to which oral traditions are attached or which are associated with living heritage;
 - ethnographic art and objects;
 - military objects;
 - objects of decorative or fine art;
 - objects of scientific or technological interest; and
 - books, records, documents, photographic positives and negatives, graphic, film or video material or sound recordings, excluding those that are public records as defined in section 1(xiv) of the National Archives of South Africa Act, 1996 (Act No. 43 of 1996).

3.2 Cultural significance

In the NHRA, Section 2 (vi), it is stated that “cultural significance” means aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technological value or significance. This is determined in relation to a site or feature’s uniqueness, condition of preservation and research potential.

According to Section 3(3) of the NHRA, a place or object is to be considered part of the national estate if it has cultural significance or other special value because of

- its importance in the community, or pattern of South Africa's history;
- its possession of uncommon, rare or endangered aspects of South Africa's natural or cultural heritage;
- its potential to yield information that will contribute to an understanding of South Africa's natural or cultural heritage;
- its importance in demonstrating the principal characteristics of a particular class of South Africa's natural or cultural places or objects;
- its importance in exhibiting particular aesthetic characteristics valued by a community or cultural group;
- its importance in demonstrating a high degree of creative or technical achievement at a particular period;
- its strong or special association with a particular community or cultural group for social, cultural or spiritual reasons;
- its strong or special association with the life or work of a person, group or organisation of importance in the history of South Africa; and
- sites of significance relating to the history of slavery in South Africa.

A matrix (see **Section 2 of Addendum**) was developed whereby the above criteria were applied for the determination of the significance of each identified site. This allowed some form of control over the application of similar values for similar identified sites.

4. STUDY APPROACH AND METHODOLOGY

4.1 Extent of the Study

This survey and impact assessment covers all facets of cultural heritage located in the study area as presented in Section 5 below and illustrated in Figures 3 & 4.

4.2 Methodology

4.2.1.1 Survey of the literature

A survey of the relevant literature was conducted with the aim of reviewing the previous research done and determining the potential of the area. In this regard, various anthropological, archaeological and historical sources were consulted – see list of references in Section 11.

- Information on events, sites and features in the larger region were obtained from these sources.

4.2.1.2 Survey of heritage impact assessments (HIAs)

A survey of HIAs done for projects in the region by various heritage consultants was conducted with the aim of determining the heritage potential of the area – see list of references in Section 11.

- Information on sites and features in the larger region were obtained from these sources.

4.2.1.3 Data bases

The *Heritage Atlas Database*, various SAHRA databases, the *Environmental Potential Atlas*, the *Chief Surveyor General* and the *National Archives of South Africa* were consulted.

- Database surveys produced a number of sites located in the larger region of the proposed development.

4.2.1.4 Other sources

Aerial photographs and topocadastral and other maps were also studied - see the list of references below.

- Information of a very general nature were obtained from these sources

The results of the above investigation are presented in Figure 1 below – see list of references in Section 11 – and can be summarised as follows:

- Historic structures, inclusive of buildings, monuments occur sporadically throughout the region;
- Structures and features relating to the mining industry occur sporadically throughout the region.
- Formal cemeteries occur sporadically throughout the region.

*Based on the above assessment, the probability of cultural heritage sites, features and objects occurring in the study area is deemed to be **very low**.*

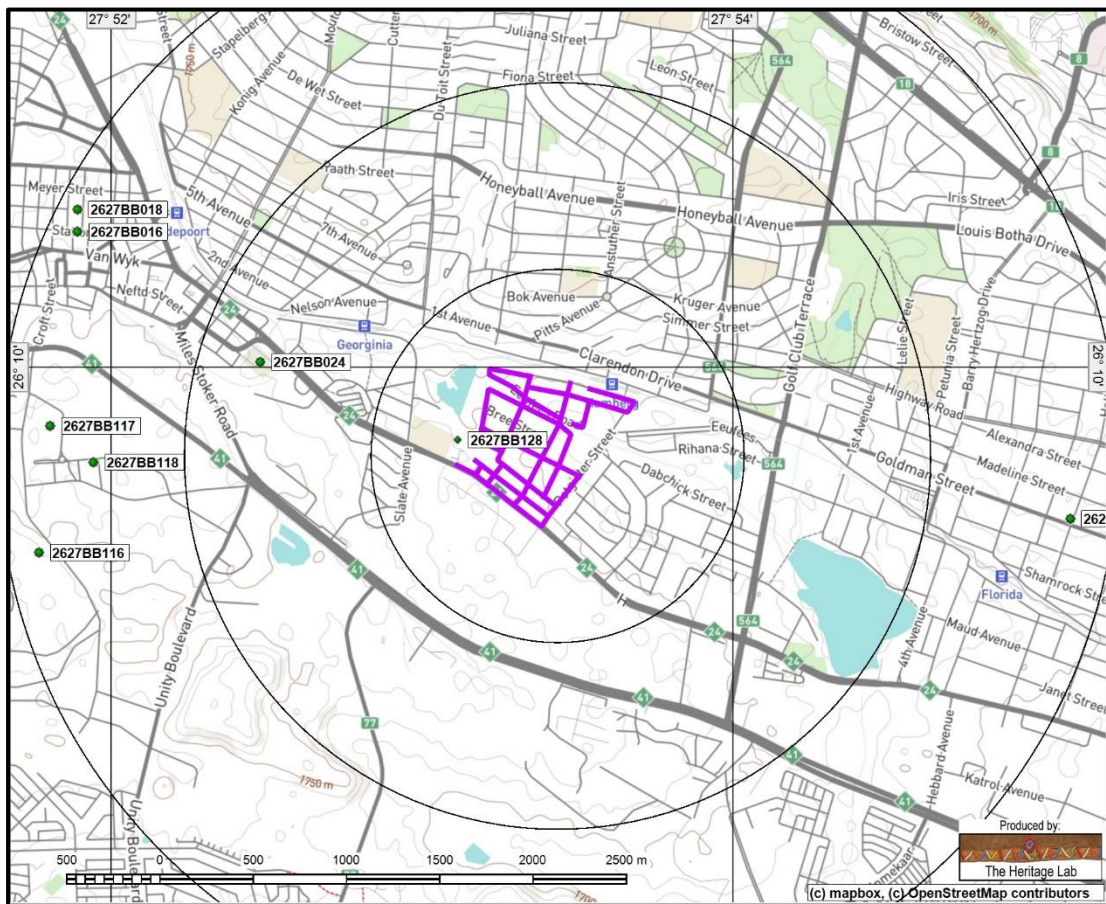


Figure 1. Location of known heritage sites and features in relation to the study area (Circles spaced at a distance of 1km: heritage sites = coded green dots)

4.2.2 Field survey

The field survey was done according to generally accepted archaeological practices, and was aimed at locating all possible sites, objects and structures. The area that had to be investigated was identified by the *Envirovolution Consulting* by means of maps and .kml files indicating the development area. This was loaded onto an ASUS digital device and used in Google Earth during the field survey to access the areas.

The site was visited on 25 February 2019 and was investigated by driving the roads where the pipeline upgrade is to take place – see Fig. 2 below. During the site visit, archaeological visibility was limited as the area consists of landscaped gardens, roads and pavements.

4.2.4 Documentation

All sites, objects and structures that are identified are documented according to the general minimum standards accepted by the archaeological profession. Coordinates of individual localities are determined by means of the *Global Positioning System* (GPS) and plotted on a map. This information is added to the description in order to facilitate the identification of each locality. Map datum used: Hartebeeshoek 94 (WGS84).

The track log and identified sites were recorded by means of a Garmin Oregon 550 handheld GPS device. Photographic recording was done by means of a Canon EOS 550D digital camera.

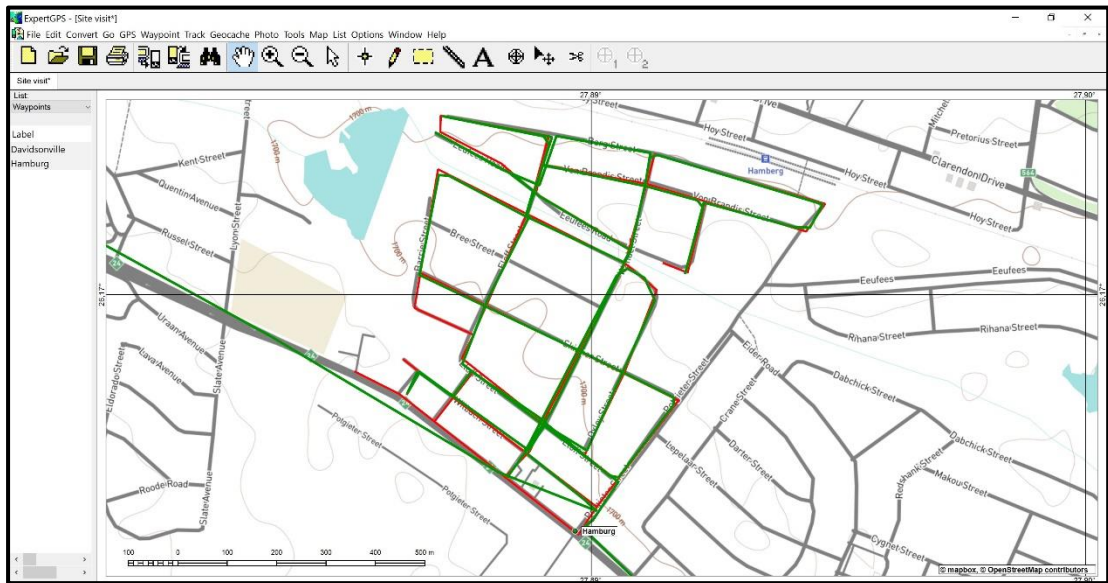


Figure 2. Map indicating the track log of the field survey. (Site = red line; track log = dark green line)

5. PROJECT DESCRIPTION

5.1 Site location

The proposed pipeline replacement is to take place in some roads in the Hamberg region of the Roodepoort region of the City of Johannesburg. Hamberg is located north of Soweto and south of Discovery in Roodepoort (Fig. 3). For more information, see the Technical Summary on p. V above.

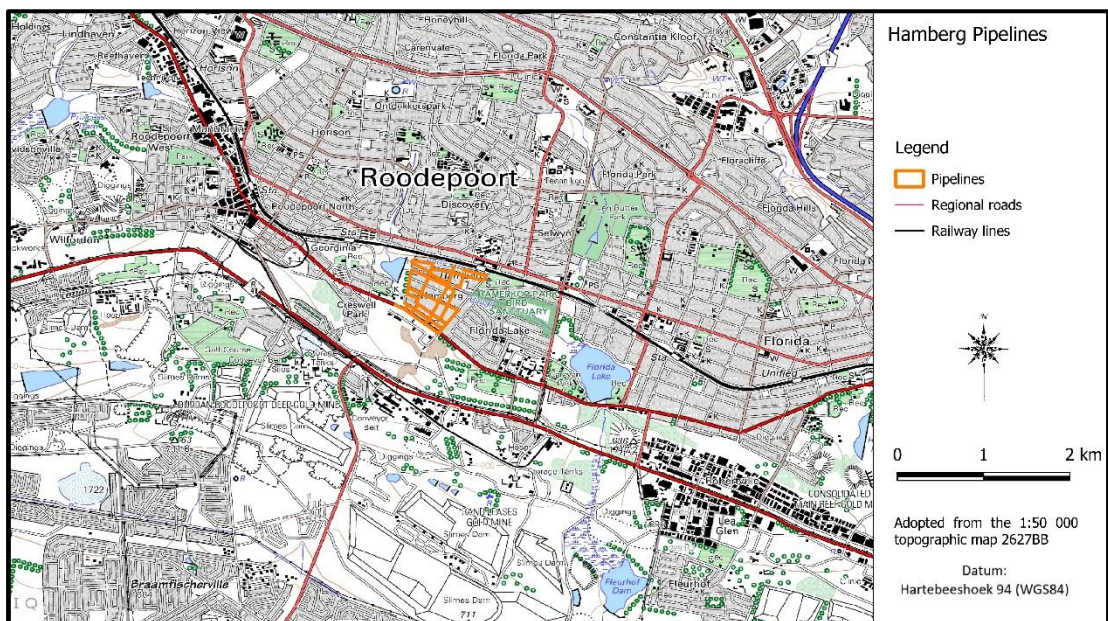


Figure 3. Location of the study area in regional context. (Study area = orange polylines)

5.2 Development proposal

Johannesburg Water SOC Ltd (JWater) propose the replacement of water reticulation pipelines along some roads in the Hamborg suburb of the Roodepoort region of the City of Johannesburg.

The pipe replacement programme within the City of Johannesburg is one of the key strategies aimed at combating water losses and improving the level of service to the residents of the City. In order to create an objective pipe replacement priority list, Johannesburg Water Infrastructure Planning Section commissioned a desktop study to identify areas requiring urgent pipe replacement interventions. From the investigation and assessment, ageing is the main contributing factor to the frequent pipe bursts. Site investigations were carried out by Johannesburg Water Design Section, to assess the project site and ensure that the scope of works is adequately understood and measured.

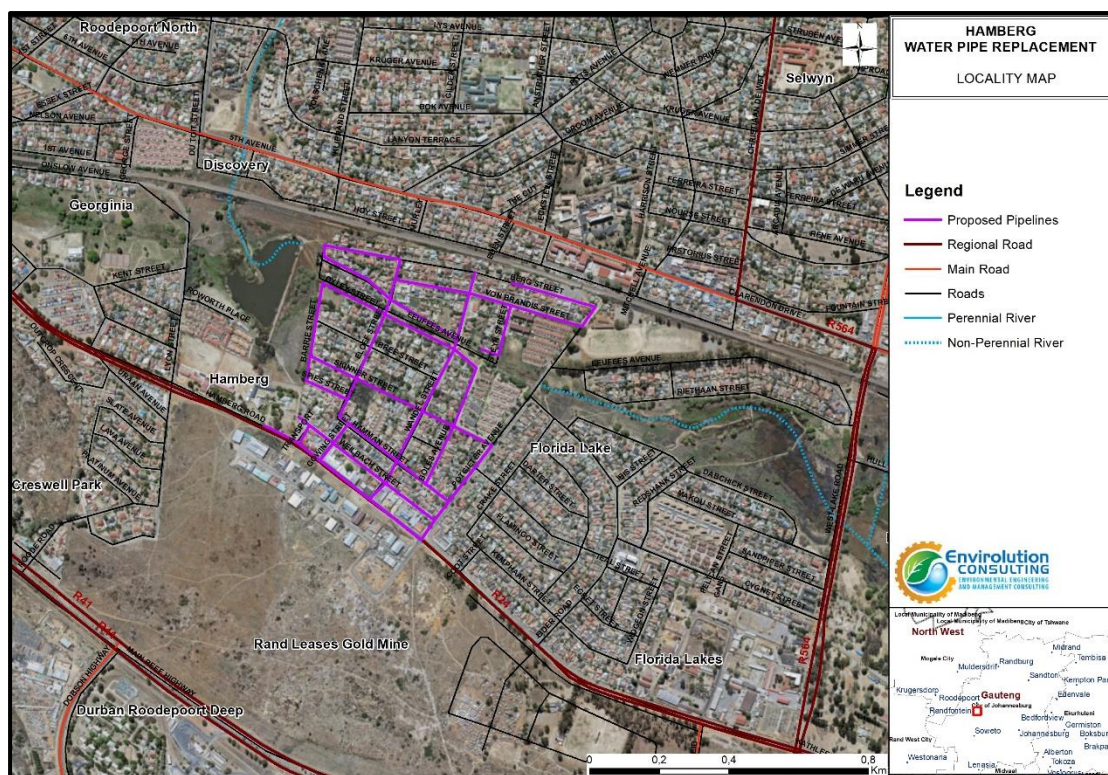


Figure 4. Layout of the proposed development (Map supplied by Envirolution)

6. DESCRIPTION OF THE AFFECTED ENVIRONMENT

6.1 Natural Environment

The study area lies in a transformed environment with a well-established urban and industrial setting. The geology of the region is made up of quartz. The original vegetation is classified as Soweto Highveld Grassland, falling in the Mesic Highveld Grassland Bioregion. However, most of this has been transformed due to urbanisation and mining activities. The topography of the region is classified as hills and lowlands (Fig 5).



Figure 5. Views over the study area

The Palaeontological Sensitivity Map (SAHRIS) indicate that the study area (indicated by the red arrow in Fig. 6) has a low sensitivity of fossil remains to be found and therefore no palaeontological study of the area is required:

| Colour | Sensitivity | Required Action |
|---------------|--------------------|---|
| RED | VERY HIGH | field assessment and protocol for finds is required |
| ORANGE/YELLOW | HIGH | desktop study is required and based on the outcome of the desktop study, a field assessment is likely |
| GREEN | MODERATE | desktop study is required |
| BLUE | LOW | no palaeontological studies are required however a protocol for finds is required |
| GREY | INSIGNIFICANT/ZERO | no palaeontological studies are required |
| WHITE/CLEAR | UNKNOWN | these areas will require a minimum of a desktop study. As more information comes to light, SAHRA will continue to populate the map. |



Figure 6. The Palaeontological sensitivity of the study area (arrowed)

6.2 Cultural Landscape

The aim of this section is to present an overview of the history of the larger region in order to eventually determine the significance of heritage sites identified in the study area, within the context of their historic, aesthetic, scientific and social value, rarity and representivity.

The cultural landscape qualities of the region are made up of a pre-colonial element consisting of very limited Stone Age and Iron Age occupation, as well as a much later colonial (farmer) component, which eventually gave rise to an urban and industrial (mining) component.

6.3.1 Stone Age

The larger City of Johannesburg and Mogale City regions have been inhabited by different hominids since early Pliocene times, but it was only from about 2.5 million years ago that they started to produce stone tools, effectively beginning the Early Stone Age (ESA) (Pollarolo *et al* 2010). During Middle Stone Age (MSA) times (c. 150 000 - 30 000 BP), people became more mobile, occupying areas formerly avoided.

Late Stone Age (LSA) people had even more advanced technology than the MSA people and therefore succeeded in occupying even more diverse habitats. Also, for the first time we now get evidence of people's activities derived from material other than stone tools. Ostrich eggshell beads, ground bone arrowheads, small bored stones and wood fragments with incised markings are traditionally linked with the LSA. A number of sites dating to this period have been studied by Wadley (1987) in the Magaliesberg area. In the case of the LSA people, they have also left us with a rich legacy of rock art, which is an expression of their complex social and spiritual beliefs.

6.3.2 Iron Age

Iron Age people started to settle in southern Africa c. AD 300, with one of the oldest known sites at Broederstroom south of Hartebeespoort Dam dating to AD 470. Having only had cereals (sorghum,

millet) that need summer rainfall, Early Iron Age (EIA) people did not move outside this rainfall zone, and neither did they occupy the central interior highveld area. Because of their specific technology and economy, Iron Age people preferred to settle on the alluvial soils near rivers for agricultural purposes, but also for firewood and water.

The occupation of the larger geographical area (including the study area) did not start much before the 1500s. By the 16th century things changed, with the climate becoming warmer and wetter, creating conditions that allowed Late Iron Age (LIA) farmers to occupy areas previously unsuitable, for example the Witwatersrand and the treeless plains of the Free State. A number of sites dating to this period have been excavated in the larger region, to the east and northeast of the power line site, by Prof Revil Mason (1986).

6.3.3 Historic period

Originally the voortrekkers who settled in the region occupied themselves with farming. After the discovery of gold on the Witwatersrand, exploration also started in this area, e.g. the well-known Harry and Fred Struben were exploring in the Sterkfontein area during 1884. One of the oldest gold mines was established in 1874 at Blaauwbank and another in 1891 on the farm Kromdraai. By this time the fossil-bearing caves were already known, and lime quarrying started about 1895. However, it was more than forty years later, in 1936, that Robert Broom first identified the remains of a number of fossil hominids.

The Struben brothers, Fred and Henry, are largely credited with the discovery of gold in the Witwatersrand. In 1884 they formed the Sterkfontein Junction Mining Syndicate to work on the farms Sterkfontein and Swartkrans. In 1885 they obtained a concession for Wilgespruit and this was soon followed up by taking up a lease on Vogelstruisfontein.

Apart from the Struben brothers prospecting in the larger region, a prospector named Jan Bantjes secured prospecting right on the farm Roodepoort – he discovered gold on the farm in 1886. In the same year, gold was also discovered on Paardekraal. On 15 September 1886, along with the farms that was to become Johannesburg, the farms Paardekraal, Vogelstruisfontein and Roodepoort were proclaimed a goldfield. Soon afterwards the Main Reef Leader was identified on Vogelstruisfontein (Mendelsohn & Potgieter 1986).

Between 1886 and 1888 four mining towns, Roodepoort, Florida, Hamberg and Maraisburg developed on these farms. Larger mining houses with the capability and finances to establish larger industrialised mines, would be required to exploit the deeper deposits (Du Piesanie 2014).

An important event that took place in the region is the “Doornkop Battle” in December 1895, during which the “Uitlanders” under the leadership of Dr Leander Star Jameson were defeated in their effort to overthrow the government of Pres. Paul Kruger. A small monument used to mark the spot. It was later moved a short distance to the north near the old farmhouse that used to serve as a hospital. The dead were later reburied in the Krugersdorp cemetery.

As the mines had to dig deeper to reach the gold bearing layers, they soon ran out of money. However, as the price of gold increased, it again became feasible/affordable to mine, but this time at depth. In 1933 a new mining group – Anglo-Transvaal Consolidated Investment Company Limited was established. They had pegged claims on various properties, e.g. the old Vogel Deep Mine, Vogelstruis Estate (where the Strubens pegged their claims in 1886) and the Bantjes Consolidated Mines, all of which had ceased operations by the late 1910s. This new venture became Rand Leases Gold Mine and was one of the first ventures in which the public at large were allowed to subscribe for a portion on the shares (Cartwright 1962).

By the late 1940s a number of mines, including Durban Roodepoort Deep Gold Mine, Rand Leases Gold Mine and Consolidated Main Reef Gold Mine, all located in the vicinity of the study region, reached depths of 8 000 ft (2 450 m) allowing them access to reefs such as Ventersdorp Contact and Carbon Leader (Cartwright 1962:319).

However, rising costs, lower ore content and difficult mining challenges forced many of the mines to finally close down by the early 1960s, with the Durban Roodepoort Deep Mine lasting until 1993. DRD were experts in mining the various reefs, especially the Kimberley Reef, probably giving rise to the old shaft now referred to as the Kimberley Shaft.

Durban Roodepoort Deep Mine and Rand Leases (Vogelstruisfontein) Gold Mine were established in the 1890's to exploit the deeper levels in the West Rand. The Durban Roodepoort Deep Mine was administered by Rand Lease Gold Mining Co Ltd (Rand Mines) from approximately 1897, after the Rand Mines shareholder began a systematic acquisition of the deep levels of many of the mines that started on the Central Rand. Mining at Durban Roodepoort Deep Mine was discontinued in 1993, two years short of 100 years of operation (Du Piesanie 2014).

6.3 Site specific review

From the aerial photograph in Fig. 7, dating to 1938, it can be seen that the southern section Hamberg was already well developed, not only with houses, but some light industries as well. However, the largest area was still used as agricultural plots. Some houses have already been established adjacent to the railway line. A small cemetery is located to the west of the study area. Most graves date to the 1930s and 1940s, with one of the last death dates being 1973. This is supported by the information depicted on the 1943 version of the 1:50 000 topographical map (Fig. 8).



Figure 7. Aerial view of the study area dating to 1938
(Photo: 129_014_74005)

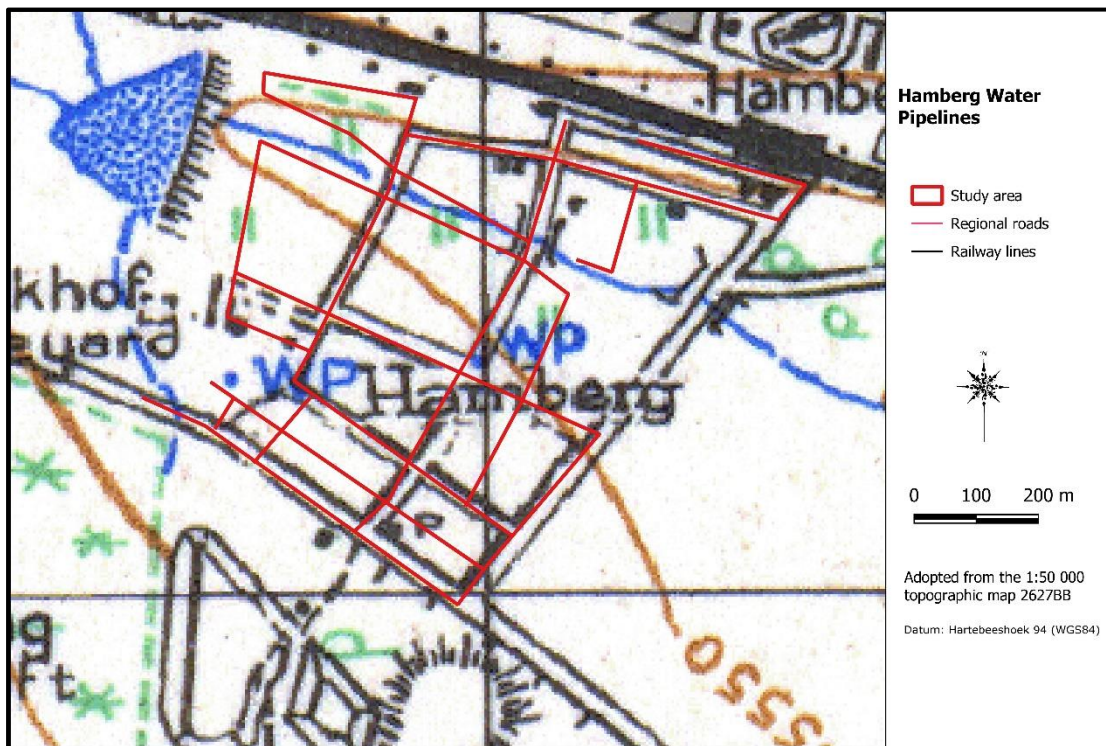


Figure 8. Study area on the 1943 version of the 1:50 000 topographic map

By the early 1960s, many more houses were built, although the central section of the suburb was still vacant, as is indicated by the aerial photograph dating to 1961 (Fig. 9).

However, all of this would eventually change as a result of the intensive urbanization process that took place during the past few decades (Fig. 10).



Figure 9. Aerial view of the study area dating to 1961
(Photo: 438_013_02796)



Figure 10. Aerial view of the study area dating to 2018
(Image: Google Earth)

7. SURVEY RESULTS

During the physical survey, the following sites, features and objects of cultural significance were identified in the study area (Fig. 11):

7.1 Stone Age

- No sites, features or objects of cultural significance dating to the Stone Age were identified in the study area

7.2 Iron Age

- No sites, features or objects of cultural significance dating to the Iron Age were identified in the study area.

7.3 Historic period

- No sites, features or objects of cultural significance dating to the historic period were identified in the study area.

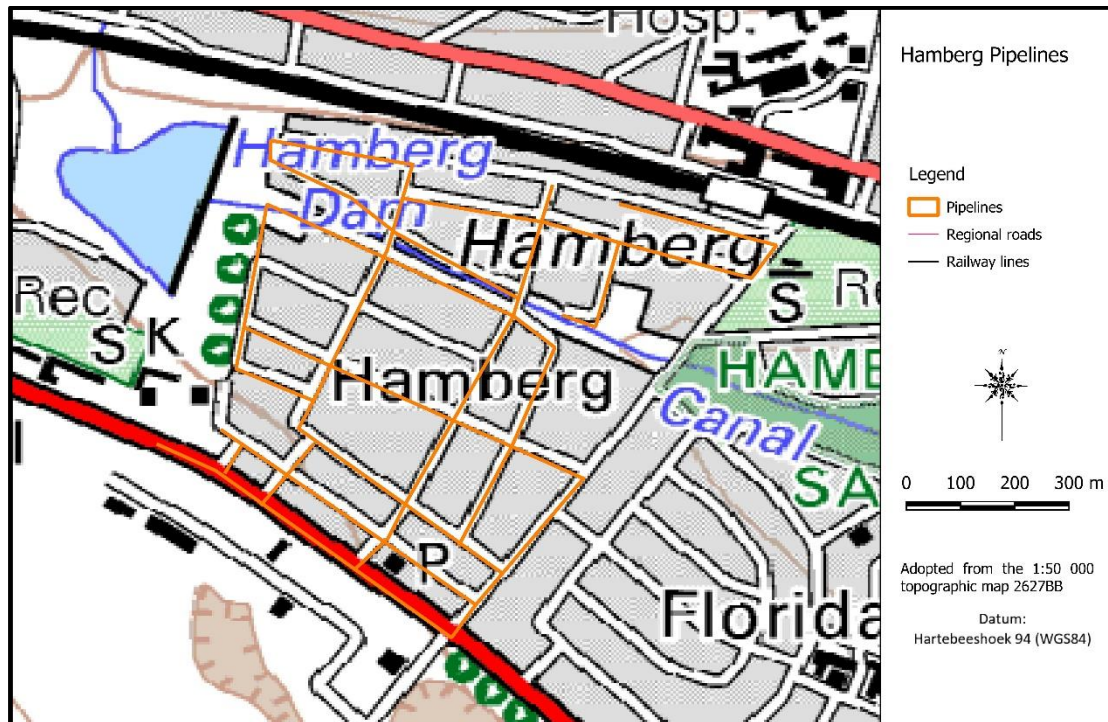


Figure 11. Location of heritage sites in the study area
(Please note that as no heritage sites were identified, nothing is indicated on the map)

8. RESULTS: STATEMENT OF SIGNIFICANCE AND IMPACT RATINGS

8.1 Impact assessment

Heritage impacts are categorised as:

- Direct or physical impacts, implying alteration or destruction of heritage features within the project boundaries;
- Indirect impacts, e.g. restriction of access or visual intrusion concerning the broader environment;
- Cumulative impacts that are combinations of the above.

Impact analysis of cultural heritage resources under threat of the proposed development, is based on the present understanding of the development and is summarised in Table 1 below:

- Although some sections of Hamberg suburb is older than sixty years, the pipeline replacement would take place in the road reserve, which limits the possibility of it impacting on the built environment. In the rest of the suburb, all features that might have existed prior to the development of the suburb taking place would have been destroyed by the building processes. Consequently, there would be no impact as a result of the proposed development.

Table 1: Impact assessment

| Heritage sites | Significance of impact | Mitigation measures |
|---------------------------------------|------------------------|---------------------|
| Hamberg Pipelines: Construction Phase | | |
| Without mitigation | n/a | n/a |
| With mitigation | n/a | n/a |
| Hamberg Pipelines: Operation Phase | | |
| Without mitigation | n/a | n/a |
| With mitigation | n/a | n/a |

9. MANAGEMENT AND MITIGATION MEASURES

Heritage sites are fixed features in the environment, occurring within specific spatial confines. Any impact upon them is permanent and non-reversible. Those resources that cannot be avoided and that are directly impacted by the proposed development can be excavated/recorded and a management plan can be developed for future action. Those sites that are not impacted on can be written into the management plan, whence they can be avoided or cared for in the future.

Sources of risk were considered with regards to development activities defined in Section 2(viii) of the NHRA that may be triggered and are summarised in Table 3A and 3B below. These issues formed the basis of the impact assessment described. The potential risks are discussed according to the various phases of the project below.

9.1 Objectives

- Protection of archaeological, historical and any other site or land considered being of cultural value within the project boundary against vandalism, destruction and theft.
- The preservation and appropriate management of new discoveries in accordance with the NHRA, should these be discovered during construction activities.

The following shall apply:

- Known sites should be clearly marked in order that they can be avoided during construction activities.
- The contractors and workers should be notified that archaeological sites might be exposed during the construction activities.
- Should any heritage artefacts be exposed during excavation, work on the area where the artefacts were discovered, shall cease immediately and the Environmental Control Officer shall be notified as soon as possible;
- All discoveries shall be reported immediately to a heritage practitioner so that an investigation and evaluation of the finds can be made. Acting upon advice from these specialists, the Environmental Control Officer will advise the necessary actions to be taken;
- Under no circumstances shall any artefacts be removed, destroyed or interfered with by anyone on the site; and
- Contractors and workers shall be advised of the penalties associated with the unlawful removal of cultural, historical, archaeological or palaeontological artefacts, as set out in the National Heritage Resources Act (Act No. 25 of 1999), Section 51. (1).

9.2 Control

In order to achieve this, the following should be in place:

- A person or entity, e.g. the Environmental Control Officer, should be tasked to take responsibility for the heritage sites and should be held accountable for any damage.
- Known sites should be located and isolated, e.g. by fencing them off. All construction workers should be informed that these are no-go areas, unless accompanied by the individual or persons representing the Environmental Control Officer as identified above.
- In areas where the vegetation is threatening the heritage sites, e.g. growing trees pushing walls over, it should be removed, but only after permission for the methods proposed has been granted by SAHRA. A heritage official should be part of the team executing these measures.

Table 2A: Construction Phase: Environmental Management Programme for the project

| | | | |
|--|--|-------------------------------|--------------------------|
| Action required | Protection of heritage sites, features and objects | | |
| Potential Impact | The identified risk is damage or changes to resources that are generally protected in terms of Sections 27, 28, 31, 32, 34, 35, 36 and 37 of the NHRA that may occur in the proposed project area. | | |
| Risk if impact is not mitigated | Loss or damage to sites, features or objects of cultural heritage significance | | |
| Activity / issue | Mitigation: Action/control | Responsibility | Timeframe |
| 1. Removal of Vegetation 2. Construction of required infrastructure, e.g. access roads, water pipelines | See discussion in Section 9.1 above | Environmental Control Officer | During construction only |
| Monitoring | See discussion in Section 9.2 above | | |

Table 2B: Operation Phase: Environmental Management Programme for the project

| | | | |
|--|--|-------------------------------|--------------------------|
| Action required | Protection of heritage sites, features and objects | | |
| Potential Impact | It is unlikely that the negative impacts identified for pre-mitigation will occur if the recommendations are followed. | | |
| Risk if impact is not mitigated | Loss or damage to sites, features or objects of cultural heritage significance | | |
| Activity / issue | Mitigation: Action/control | Responsibility | Timeframe |
| 1. Removal of Vegetation 2. Construction of required infrastructure, e.g. access roads, water pipelines | See discussion in Section 9.1 above | Environmental Control Officer | During construction only |
| Monitoring | See discussion in Section 9.2 above | | |

9.3 Mitigation measures

Mitigation: means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

- For the current study, as no sites, features or objects of cultural historic significance have been identified in the study area, no mitigation measures are proposed.

10. CONCLUSIONS AND RECOMMENDATIONS

Johannesburg Water SOC Ltd (JWater) propose the replacement of water reticulation pipelines along some roads in Hamberg suburb of the Roodepoort region of the City of Johannesburg.

This report describes the methodology used, the limitations encountered, the heritage features that were identified and the recommendations and mitigation measures proposed relevant to this. The HIA consisted of a desktop study (archival sources, database survey, maps and aerial imagery) and a physical survey that included the interviewing of relevant people. It should be noted that the implementation of the mitigation measures is subject to SAHRA/PHRA's approval.

The cultural landscape qualities of the region are made up of a pre-colonial element consisting of very limited Stone Age and Iron Age occupation, as well as a much later colonial (farmer) component, which eventually gave rise to an urban and industrial (mining) component.

Identified sites

During the physical survey, no sites, features or objects of cultural significance were identified.

Impact assessment

Impact analysis of cultural heritage resources under threat of the proposed development, is based on the present understanding of the development:

- Although some sections of Hamberg suburb is older than sixty years, the pipeline replacement would take place in the road reserve, which limits the possibility of it impacting on the built environment. In the rest of the suburb, all features that might have existed prior to the development of the suburb taking place would have been destroyed by the building processes. Consequently, there would be no impact as a result of the proposed development.

| Heritage sites | Significance of impact | Mitigation measures |
|---------------------------------------|------------------------|---------------------|
| Hamberg Pipelines: Construction Phase | | |
| Without mitigation | n/a | n/a |
| With mitigation | n/a | n/a |
| Hamberg Pipelines: Operation Phase | | |
| Without mitigation | n/a | n/a |
| With mitigation | n/a | n/a |

Legal requirements

The legal requirements related to heritage specifically are specified in Section 3 of this report. For this proposed project, the assessment has determined that no sites, features or objects of heritage significance occur in the study area. If heritage features are identified during construction, as stated in the management recommendation, these finds would have to be assessed by a specialist, after which a decision will be made regarding the application for relevant permits.

Reasoned opinion as to whether the proposed activity should be authorised:

- From a heritage point of view, it is recommended that the proposed development be allowed to continue on acceptance of the conditions proposed below.

Conditions for inclusion in the environmental authorisation:

- Should archaeological sites or graves be exposed in other areas during construction work, it must immediately be reported to a heritage practitioner so that an investigation and evaluation of the finds can be made.

11. REFERENCES

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11.2 Literature

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11.3 Maps and aerial photographs

1: 50 000 Topocadastral maps

Google Earth

Aerial photographs: Chief Surveyor-General

12. ADDENDUM

1. Indemnity and terms of use of this report

The findings, results, conclusions and recommendations given in this report are based on the author's best scientific and professional knowledge as well as available information. The report is based on survey and assessment techniques which are limited by time and budgetary constraints relevant to the type and level of investigation undertaken and the author reserve the right to modify aspects of the report including the recommendations if and when new information may become available from ongoing research or further work in this field or pertaining to this investigation.

Although all possible care is taken to identify all sites of cultural importance during the investigation of study areas, it is always possible that hidden or sub-surface sites could be overlooked during the study. The author of this report will not be held liable for such oversights or for costs incurred as a result of such oversights.

Although the author exercises due care and diligence in rendering services and preparing documents, he accepts no liability and the client, by receiving this document, indemnifies the author against all actions, claims, demands, losses, liabilities, costs, damages and expenses arising from or in connection with services rendered, directly or indirectly by the author and by the use of the information contained in this document.

This report must not be altered or added to without the prior written consent of the author. This also refers to electronic copies of this report which are supplied for the purposes of inclusion as part of other reports, including main reports. Similarly, any recommendations, statements or conclusions drawn from or based on this report must make reference to this report. If these form part of a main report relating to this investigation or report, this report must be included in its entirety as an appendix or separate section to the main report.

2. Assessing the significance of heritage resources and potential impacts

A system for site grading was established by the NHRA and further developed by the South African Heritage Resources Agency (SAHRA 2007) and has been approved by ASAPA for use in southern Africa and was utilised during this assessment.

2.1 Significance of the identified heritage resources

According to the NHRA, Section 2(vi) the **significance** of a heritage sites and artefacts is determined by it aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technical value in relation to the uniqueness, condition of preservation and research potential. It must be kept in mind that the various aspects are not mutually exclusive, and that the evaluation of any site is done with reference to any number of these.

Matrix used for assessing the significance of each identified site/feature

| 1. SITE EVALUATION | | | | |
|---|---|------|--------|-----|
| 1.1 Historic value | | | | |
| Is it important in the community, or pattern of history | | | | |
| Does it have strong or special association with the life or work of a person, group or organisation of importance in history | | | | |
| Does it have significance relating to the history of slavery | | | | |
| 1.2 Aesthetic value | | | | |
| It is important in exhibiting particular aesthetic characteristics valued by a community or cultural group | | | | |
| 1.3 Scientific value | | | | |
| Does it have potential to yield information that will contribute to an understanding of natural or cultural heritage | | | | |
| Is it important in demonstrating a high degree of creative or technical achievement at a particular period | | | | |
| 1.4 Social value | | | | |
| Does it have strong or special association with a particular community or cultural group for social, cultural or spiritual reasons | | | | |
| 1.5 Rarity | | | | |
| Does it possess uncommon, rare or endangered aspects of natural or cultural heritage | | | | |
| 1.6 Representivity | | | | |
| Is it important in demonstrating the principal characteristics of a particular class of natural or cultural places or objects | | | | |
| Importance in demonstrating the principal characteristics of a range of landscapes or environments, the attributes of which identify it as being characteristic of its class | | | | |
| Importance in demonstrating the principal characteristics of human activities (including way of life, philosophy, custom, process, land-use, function, design or technique) in the environment of the nation, province, region or locality. | | | | |
| 2. Sphere of Significance | | High | Medium | Low |
| International | | | | |
| National | | | | |
| Provincial | | | | |
| Regional | | | | |
| Local | | | | |
| Specific community | | | | |
| 3. Field Register Rating | | | | |
| 1. | National/Grade 1: High significance - No alteration whatsoever without permit from SAHRA | | | |
| 2. | Provincial/Grade 2: High significance - No alteration whatsoever without permit from provincial heritage authority. | | | |
| 3. | Local/Grade 3A: High significance - Mitigation as part of development process not advised. | | | |

| | | |
|----|--|--|
| 4. | Local/Grade 3B: High significance - Could be mitigated and (part) retained as heritage register site | |
| 5. | Generally protected A: High/medium significance - Should be mitigated before destruction | |
| 6. | Generally protected B: Medium significance - Should be recorded before destruction | |
| 7. | Generally protected C: Low significance - Requires no further recording before destruction | |

2.2 Significance of the anticipated impact on heritage resources

All impacts identified during the HIA stage of the study will be classified in terms of their significance. Issues would be assessed in terms of the following criteria:

Nature of the impact

A description of what causes the effect, what will be affected and how it will be affected.

Extent

The physical **extent**, wherein it is indicated whether:

- 1 - The impact will be limited to the site;
- 2 - The impact will be limited to the local area;
- 3 - The impact will be limited to the region;
- 4 - The impact will be national; or
- 5 - The impact will be international.

Duration

Here it should be indicated whether the lifespan of the impact will be:

- 1 - Of a very short duration (0–1 years);
- 2 - Of a short duration (2-5 years);
- 3 - Medium-term (5–15 years);
- 4 - Long term (where the impact will persist possibly beyond the operational life of the activity); or
- 5 - Permanent (where the impact will persist indefinitely).

Magnitude (Intensity)

The magnitude of impact, quantified on a scale from 0-10, where a score is assigned:

- 0 - Small and will have no effect;
- 2 - Minor and will not result in an impact;
- 4 - Low and will cause a slight impact;
- 6 - Moderate and will result in processes continuing but in a modified way;
- 8 - High, (processes are altered to the extent that they temporarily cease); or
- 10 - Very high and results in complete destruction of patterns and permanent cessation of processes.

Probability

This describes the likelihood of the impact actually occurring and is estimated on a scale where:

- 1 - Very improbable (probably will not happen);
- 2 - Improbable (some possibility, but low likelihood);
- 3 - Probable (distinct possibility);
- 4 - Highly probable (most likely); or
- 5 - Definite (impact will occur regardless of any prevention measures).

Significance

The significance is determined through a synthesis of the characteristics described above (refer to the formula below) and can be assessed as low, medium or high:

$S = (E+D+M) \times P$; where

S = Significance weighting

E = Extent
 D = Duration
 M = Magnitude
 P = Probability

| Significance of impact | | |
|------------------------|-----------------------|--|
| Points | Significant Weighting | Discussion |
| < 30 points | Low | Where this impact would not have a direct influence on the decision to develop in the area. |
| 31-60 points | Medium | Where the impact could influence the decision to develop in the area unless it is effectively mitigated. |
| > 60 points | High | Where the impact must have an influence on the decision process to develop in the area. |

Confidence

This should relate to the level of confidence that the specialist has in establishing the nature and degree of impacts. It relates to the level and reliability of information, the nature and degree of consultation with I&AP's and the dynamic of the broader socio-political context.

- High, where the information is comprehensive and accurate, where there has been a high degree of consultation and the socio-political context is relatively stable.
- Medium, where the information is sufficient but is based mainly on secondary sources, where there has been a limited targeted consultation and socio-political context is fluid.
- Low, where the information is poor, a high degree of contestation is evident and there is a state of socio-political flux.

Status

- The status, which is described as either positive, negative or neutral.

Reversibility

- The degree to which the impact can be reversed.

Mitigation

- The degree to which the impact can be mitigated.

| Nature: | | |
|----------------------------------|--------------------|-----------------|
| | Without mitigation | With mitigation |
| Construction Phase | | |
| Probability | | |
| Duration | | |
| Extent | | |
| Magnitude | | |
| Significance | | |
| Status (positive or negative) | | |
| Operation Phase | | |
| Probability | | |
| Duration | | |
| Extent | | |
| Magnitude | | |
| Significance | | |
| Status (positive or negative) | | |
| Reversibility | | |
| Irreplaceable loss of resources? | | |
| Can impacts be mitigated | | |

3. Mitigation measures

- *Mitigation: means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.*

Impacts can be managed through one or a combination of the following mitigation measures:

- Avoidance
- Investigation (archaeological)
- Rehabilitation
- Interpretation
- Memorialisation
- Enhancement (positive impacts)

For the current study, the following mitigation measures are proposed, to be implemented only if any of the identified sites or features are to be impacted on by the proposed development activities:

- (1) Avoidance/Preserve: This is viewed to be the primary form of mitigation and applies where any type of development occurs within a formally protected or significant or sensitive heritage context and is likely to have a high negative impact. This measure often includes the change / alteration of development planning and therefore impact zones in order not to impact on resources. The site should be retained *in situ* and a buffer zone should be created around it, either temporary (by means of danger tape) or permanently (wire fence or built wall). Depending on the type of site, the buffer zone can vary from
 - 10 metres for a single grave, or a built structure, to
 - 50 metres where the boundaries are less obvious, e.g. a Late Iron Age site.
- (2) Archaeological investigation/Relocation of graves: This option can be implemented with additional design and construction inputs. This is appropriate where development occurs in a context of heritage significance and where the impact is such that it can be mitigated. Mitigation is to excavate the site by archaeological techniques, document the site (map and photograph) and analyse the recovered material to acceptable standards. This can only be done by a suitably qualified archaeologist.
 - This option should be implemented when it is impossible to avoid impacting on an identified site or feature.
 - This also applies for graves older than 60 years that are to be relocated. For graves younger than 60 years a permit from SAHRA is not required. However, all other legal requirements must be adhered to.
 - Impacts can be beneficial – e.g. mitigation contribute to knowledge
- (3) Rehabilitation: When features, e.g. buildings or other structures are to be re-used. Rehabilitation is considered in heritage management terms as an intervention typically involving the adding of a new heritage layer to enable a new sustainable use.
 - The heritage resource is degraded or in the process of degradation and would benefit from rehabilitation.
 - Where rehabilitation implies appropriate conservation interventions, i.e. adaptive reuse, repair and maintenance, consolidation and minimal loss of historical fabric.
 - Conservation measures would be to record the buildings/structures as they are (at a particular point in time). The records and recordings would then become the 'artefacts' to be preserved and managed as heritage features or (movable) objects.
 - This approach automatically also leads to the enhancement of the sites or features that are re-used.

- (4) Mitigation is also possible with additional design and construction inputs. Although linked to the previous measure (rehabilitation) a secondary though 'indirect' conservation measure would be to use the existing architectural 'vocabulary' of the structure as guideline for any new designs.
 - The following principle should be considered: **heritage informs design**.
 - This approach automatically also leads to the enhancement of the sites or features that are re-used.
- (5) No further action required: This is applicable only where sites or features have been rated to be of such low significance that it does not warrant further documentation, as it is viewed to be fully documented after inclusion in this report.
 - Site monitoring during development, by an ECO or the heritage specialist are often added to this recommendation in order to ensure that no undetected heritage/remains are destroyed.

4. Relocation of graves

If the graves are younger than 60 years, an undertaker can be contracted to deal with the exhumation and reburial. This will include public participation, organising cemeteries, coffins, etc. They need permits and have their own requirements that must be adhered to.

If the graves are older than 60 years old or of undetermined age, an archaeologist must be in attendance to assist with the exhumation and documentation of the graves. This is a requirement by law.

Once it has been decided to relocate particular graves, the following steps should be taken:

- Notices of the intention to relocate the graves need to be put up at the burial site for a period of 60 days. This should contain information where communities and family members can contact the developer/archaeologist/public-relations officer/undertaker. All information pertaining to the identification of the graves needs to be documented for the application of a SAHRA permit. The notices need to be in at least 3 languages, English, and two other languages. This is a requirement by law.
- Notices of the intention needs to be placed in at least two local newspapers and have the same information as the above point. This is a requirement by law.
- Local radio stations can also be used to try contact family members. This is not required by law, but is helpful in trying to contact family members.
- During this time (60 days) a suitable cemetery need to be identified close to the development area or otherwise one specified by the family of the deceased.
- An open day for family members should be arranged after the period of 60 days so that they can gather to discuss the way forward, and to sort out any problems. The developer needs to take the families requirements into account. This is a requirement by law.
- Once the 60 days has passed and all the information from the family members have been received, a permit can be requested from SAHRA. This is a requirement by law.
- Once the permit has been received, the graves may be exhumed and relocated.
- All headstones must be relocated with the graves as well as any items found in the grave.

Information needed for the SAHRA permit application

- The permit application needs to be done by an archaeologist.
- A map of the area where the graves have been located.
- A survey report of the area prepared by an archaeologist.
- All the information on the families that have identified graves.
- If graves have not been identified and there are no headstones to indicate the grave, these are then unknown graves and should be handled as if they are older than 60 years. This information also needs to be given to SAHRA.
- A letter from the landowner giving permission to the developer to exhume and relocate the graves.
- A letter from the new cemetery confirming that the graves will be reburied there.
- Details of the farm name and number, magisterial district and GPS coordinates of the gravesite.

5. Inventory of identified cultural heritage sites

Nil

6. Curriculum vitae

Johan Abraham van Schalkwyk

Personal particulars

Date of birth: 14 April 1952
Identity number: 520414 5099 08 4
Marital status: Married; one daughter
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Qualifications

1995 DLitt et Phil (Anthropology), University of South Africa
1985 MA (Anthropology), University of Pretoria
1981 BA (Hons), Anthropology, University of Pretoria
1979 Post Graduate Diploma in Museology, University of Pretoria
1978 BA (Hons), Archaeology, University of Pretoria
1976 BA, University of Pretoria

Non-academic qualifications

12th HSRC-School in Research Methodology - July 1990
Dept. of Education and Training Management Course - June 1992
Social Assessment Professional Development Course - 1994
Integrated Environmental Management Course, UCT - 1994

Professional experience

Private Practice
2017 - current: Professional Heritage Consultant

National Museum of Cultural History

1992 - 2017: Senior researcher: Head of Department of Research. Manage an average of seven researchers in this department and supervise them in their research projects. Did various projects relating to Anthropology and Archaeology in Limpopo Province, Mpumalanga, North West Province and Gauteng. Headed the Museum's Section for Heritage Impact Assessments.
1978 - 1991: Curator of the Anthropological Department of the Museum. Carried out extensive fieldwork in both anthropology and archaeology

Department of Archaeology, University of Pretoria

1976 - 1977: Assistant researcher responsible for excavations at various sites in Limpopo Province and Mpumalanga.

Awards and grants

1. Hanisch Book Prize for the best final year Archaeology student, University of Pretoria - 1976.
2. Special merit award, National Cultural History Museum - 1986.
3. Special merit award, National Cultural History Museum - 1991.
4. Grant by the Department of Arts, Culture, Science and Technology, to visit the various African countries to study museums, sites and cultural programmes - 1993.
5. Grant by the USA National Parks Service, to visit the United States of America to study museums, sites, tourism development, cultural programmes and impact assessment programmes - 1998.
6. Grant by the USA embassy, Pretoria, under the Bi-national Commission Exchange Support Fund, to visit cultural institutions in the USA and to attend a conference in Charleston - 2000.
7. Grant by the National Research Foundation to develop a model for community-based tourism - 2001.

8. Grant by the National Research Foundation to develop a model for community-based tourism - 2013. In association with RARI, Wits University.

Publications

Published more than 70 papers, mostly in scientifically accredited journals, but also as chapters in books.

Conference Contributions

Regularly presented papers at conferences, locally as well as internationally, on various research topics, ranging in scope from archaeology, anthropological, historical, cultural historical and tourism development.

Heritage Impact Assessments

Since 1992, I have done more than 2000 Phase 1 and Phase 2 impact assessments (archaeological, anthropological, historical and social) for various government departments and developers. Projects include environmental management frameworks, roads, pipeline-, and power line developments, dams, mining, water purification works, historical landscapes, refuse dumps and urban developments.