



**MAGOGUDI CONSTRUCTION PROJECTS: PROPOSED  
MAGOGUDI TYRE STORAGE FACILITIES OLYVENHOUTSDRIFT  
PROJECT ON OLYVENHOUTSDRIFT PLOT 1298, DAWID  
KRUIPER LOCAL MUNICIPALITY, NORTHERN CAPE PROVINCE**

**Archaeological Impact Assessment**



Prepared for: **Magogudi Construction Projects**

Prepared by: **Exigo Sustainability**



## ARCHAEOLOGICAL IMPACT ASSESSMENT (AIA) ON OLYVENHOUTSDRIFT PLOT 1298 FOR THE PROPOSED MAGOGUDI TYRE STORAGE FACILITIES OLYVENHOUTSDRIFT PROJECT, DAWID KRUIPER LOCAL MUNICIPALITY, NORTHERN CAPE PROVINCE

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**EXECUTIVE SUMMARY**

This report details the results of an Archaeological Impact Assessment (AIA) study subject to an Environmental Basic Assessment (BA) process for the proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project on Olyvenhoutsdrift Plot 1298 in the Dawid Kruiper Local Municipality of the Northern Cape Province. The proposed project entails the establishment of a tyre storage facility over a surface area of approximately 4ha on the plot, which is situated approximately 12km southwest of the town of Upington. The report includes background information on the area’s archaeology, its representation in Southern Africa, and the history of the larger area under investigation, survey methodology and results as well as heritage legislation and conservation policies. A copy of the report will be supplied to the South African Heritage Resources Agency (SAHRA) and recommendations contained in this document will be reviewed.

|  |   |
|--|---|
| <b>Project Title</b>                         | Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project |
| <b>Project Location</b>                      | S28.530220° E21.165269°                                   |
| <b>1:50 000 Map Sheet</b>                    | 2821CA  |
| <b>Farm Portion / Parcel</b>                 | Olyvenhoutsdrift Plot 1298                                |
| <b>Magisterial District / Municipal Area</b> | Dawid Kruiper Local Municipality                          |
| <b>Province</b>                              | Northern Cape Province                                    |

The history of the Northern Cape Province is reflected in a rich archaeological landscape, mostly dominated by Stone Age occurrences. A number of archaeological studies have been conducted in the Upington area and these studies all infer a varied and rich heritage landscape. Even though the landscape of this section of the Northern Cape seems to have been relatively sparsely populated by humans in the past, Middle Stone Age (MSA) and Later Stone Age (LSA) scatters and quarries occur frequently in low lying areas on plains between dune straights and outcrops along the Orange River. Sites dating to the Iron Age occur in the north eastern part of the Northern Cape Province and environmental factors delegated that the spread of Iron Age farming westwards from the 17th century was constrained mainly to these areas. However, no evidence of an Iron Age presence as far as the Upington area in the eighteenth century exists. Moving into recent times, the archaeological record reflects the development of a rich colonial frontier, characterised by, amongst others, a complex industrial archaeological landscape such as mining developments at Kimberley, which herald the modern era in South African history.

The town of Upington was surveyed on portions of the original Olyvenhoutsdrift property in 1871 but no particular reference to archaeological sites or features of heritage potential were recorded during an examination of literature thematically or geographically related to the project area subject to this assessment. A careful analysis of historical aerial imagery and archive maps indicate that the larger Olyvenhoutsdrift property had been utilized for agriculture characteristic of the Orange River Basin during the last century. However, it is evident that the proposed project footprint has been altered and transformed extensively by quarrying and this inference was confirmed during an archaeological site assessment where it was noted that much of the project area had been transformed by a large quarry to the south. However, a scatter of Stone Age archaeological material was noted along the more pristine northern portion of the project footprint.

Cognizant thereof, the following recommendations are made based on general observations in the proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project in terms of heritage resources management.

- A Palaeontological Impact Assessment is recommended where bedrock is to be impacted and, should fossil remains such as fossil fish, reptiles or petrified wood be exposed during construction, these objects should be carefully safeguarded and the relevant heritage resources authority (SAHRA) should be notified immediately so that the appropriate action can be taken by a professional palaeontologist.
- A single Earlier Stone Age handaxe and a low-density scatter of Middle Stone Age material was found in the more pristine northern section of the project footprint. The MSA lithics, which occur within the decomposed calcrete rock layer characteristic of most of the site, include formal tools such as broken points, scrapers and blades. The transformed nature of the local landscape has resulted in a loss of primary context and as such, the scientific value of the artefacts has largely been lost. However, it is recommended that any development activities be monitored in order to avoid the destruction of previously undetected Stone Age occurrences.
- Even though no graves or signs of human burials were observed in the project footprint, cognizance should be taken of the fact that a community cemetery occurs approximately 400m south of the site at the Oranjevallei settlement.
- Considering the localised nature of heritage remains, the general monitoring of the development progress by an ECO or by the heritage specialist is recommended for all stages of the project. Should any subsurface palaeontological, archaeological or historical material, or burials be exposed during construction activities, all activities should be suspended and the archaeological specialist should be notified immediately.
- It is essential that cognizance be taken of the larger archaeological landscape of the area in order to avoid the destruction of previously undetected heritage sites. It should be stated that it is likely that further undetected archaeological remains might occur elsewhere in the Study Area along water sources and drainage lines, fountains and pans would often have attracted human activity in the past. Also, since Stone Age material seems to originate from below present soil surfaces in eroded areas, the larger landscape should be regarded as potentially sensitive in terms of possible subsurface deposits. Burials and historically significant structures dating to the Colonial Period occur on farms in the area and these resources should be avoided during all phases of construction and development, including the operational phases of the development.

***Cognizant of known site distribution patterns in this section of the Northern Cape Province along the Orange River, and based on general on-site observations and off-site assessments and, notably the fact that the project site and its immediate surrounds have previously been transformed by intensive quarrying, the author of this report is of the opinion that the Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project, will have a minimal (if any) impact on archaeological artefacts, features or structures surviving in primary context, subject to the fact that no previously undetected heritage remains (for example, those in sub-surface deposits) are exposed at any stage of the development.***

This report details the methodology, limitations and recommendations relevant to these heritage areas, as well as areas of proposed development. It should be noted that recommendations and possible mitigation measures are valid for the duration of the development process, and mitigation measures might have to be implemented on additional features of heritage importance not detected during this Phase 1 assessment (e.g. uncovered during the construction process).

## NOTATIONS AND TERMS/TERMINOLOGY

**Absolute dating:** Absolute dating provides specific dates or range of dates expressed in years.

**Archaeological record:** The archaeological record minimally includes all the material remains documented by archaeologists. More comprehensive definitions also include the record of culture history and everything written about the past by archaeologists.

**Artefact:** Entities whose characteristics result or partially result from human activity. The shape and other characteristics of the artefact are not altered by removal of the surroundings in which they are discovered. In the Southern African context examples of artefacts include potsherds, iron objects, stone tools, beads and hut remains.

**Assemblage:** A group of artefacts recurring together at a particular time and place, and representing the sum of human activities.

**Context:** An artefact's context usually consists of its immediate *matrix*, its *provenience* and its *association* with other artefacts. When found in *primary context*, the original artefact or structure was undisturbed by natural or human factors until excavation and if in *secondary context*, disturbance or displacement by later ecological action or human activities occurred.

**Cultural Heritage Resource:** The broad generic term *Cultural Heritage Resources* refers to any physical and spiritual property associated with past and present human use or occupation of the environment, cultural activities and history. The term includes sites, structures, places, natural features and material of palaeontological, archaeological, historical, aesthetic, scientific, architectural, religious, symbolic or traditional importance to specific individuals or groups, traditional systems of cultural practice, belief or social interaction.

**Cultural landscape:** A cultural landscape refers to a distinctive geographic area with cultural significance.

**Cultural Resource Management (CRM):** A system of measures for safeguarding the archaeological heritage of a given area, generally applied within the framework of legislation designed to safeguard the past.

**Feature:** Non-portable artefacts, in other words artefacts that cannot be removed from their surroundings without destroying or altering their original form. Hearths, roads, and storage pits are examples of archaeological features

**Impact:** A description of the effect of an aspect of the development on a specified component of the biophysical, social or economic environment within a defined time and space.

**Lithic:** Stone tools or waste from stone tool manufacturing found on archaeological sites.

**Matrix:** The material in which an artefact is situated (sediments such as sand, ashy soil, mud, water, etcetera). The matrix may be of natural origin or human-made.

**Midden:** Refuse that accumulates in a concentrated heap.

**Microlith:** A small stone tool, typically knapped of flint or chert, usually about three centimetres long or less.

**Monolith:** A geological feature such as a large rock, consisting of a single massive stone or rock, or a single piece of rock placed as, or within, a monument or site.

**Phase 1 CRM Assessment:** An Impact Assessment which identifies archaeological and heritage sites, assesses their significance and comments on the impact of a given development on the sites. Recommendations for site mitigation or conservation are also made during this phase.

**Phase 2 CRM Study:** In-depth studies which could include major archaeological excavations, detailed site surveys and mapping / plans of sites, including historical / architectural structures and features. Alternatively, the sampling of sites by collecting material, small test pit excavations or auger sampling is required. Mitigation / Rescue involves planning the protection of significant sites or sampling through excavation or collection (in terms of a permit) at sites that may be lost as a result of a given development.

**Phase 3 CRM Measure:** A Heritage Site Management Plan (for heritage conservation), is required in rare cases where the site is so important that development will not be allowed and sometimes developers are encouraged to enhance the value of the sites retained on their properties with appropriate interpretive material or displays.

**Provenience:** Provenience is the three-dimensional (horizontal and vertical) position in which artefacts are found. Fundamental to ascertaining the provenience of an artefact is *association*, the co-occurrence of an artefact with other archaeological remains; and *superposition*, the principle whereby artefacts in lower levels of a matrix were deposited before the artefacts found in the layers above them, and are therefore older.

**Random Sampling:** A probabilistic sampling strategy whereby randomly selected sample blocks in an area are surveyed. These are fixed by drawing coordinates of the sample blocks from a table of random numbers.

**Scoping Assessment:** The process of determining the spatial and temporal boundaries (i.e. extent) and key issues to be addressed in an impact assessment. The main purpose is to focus the impact assessment on a manageable number of important questions on which decision making is expected to focus and to ensure that only key issues and reasonable alternatives are examined. The outcome of the scoping process is a Scoping Report that includes issues raised during the scoping process, appropriate responses and, where required, terms of reference for specialist involvement.

**Site (Archaeological):** A distinct spatial clustering of artefacts, features, structures, and organic and environmental remains, as the residue of human activity. These include surface sites, caves and rock shelters, larger open-air sites, sealed sites (deposits) and river deposits. Common functions of archaeological sites include living or habitation sites, kill sites, ceremonial sites, burial sites, trading, quarry, and art sites,

**Stratigraphy:** This principle examines and describes the observable layers of sediments and the arrangement of strata in deposits

**Systematic Sampling:** A probabilistic sampling strategy whereby a grid of sample blocks is set up over the survey area and each of these blocks is equally spaced and searched.

**Trigger:** A particular characteristic of either the receiving environment or the proposed project which indicates that there is likely to be an *issue* and/or potentially significant *impact* associated with that proposed development that may require specialist input. Legal requirements of existing and future legislation may also trigger the need for specialist involvement.

**LIST OF ABBREVIATIONS**

| <b>Abbreviation</b> | <b>Description</b>  |
|---------------------|---|
| ASAPA               | Association for South African Professional Archaeologists |
| AIA                 | Archaeological Impact Assessment                          |
| BP                  | Before Present  |
| BCE                 | Before Common Era   |
| BGG                 | Burial Grounds and Graves                                 |
| CRM                 | Culture Resources Management                              |
| EIA                 | Early Iron Age (also Early Farmer Period)                 |
| EIA                 | Environmental Impact Assessment                           |
| EFP                 | Early Farmer Period (also Early Iron Age)                 |
| ESA                 | Earlier Stone Age   |
| GIS                 | Geographic Information Systems                            |
| HIA                 | Heritage Impact Assessment                                |
| ICOMOS              | International Council on Monuments and Sites              |
| K2/Map              | K2/Mapungubwe Period                                      |
| LFP                 | Later Farmer Period (also Later Iron Age)                 |
| LIA                 | Later Iron Age (also Later Farmer Period)                 |
| LSA                 | Later Stone Age   |
| MIA                 | Middle Iron Age (also Early later Farmer Period)          |
| MRA                 | Mining Right Area   |
| MSA                 | Middle Stone Age  |
| NHRA                | National Heritage Resources Act No.25 of 1999, Section 35 |
| PFS                 | Pre-Feasibility Study                                     |
| PHRA                | Provincial Heritage Resources Authorities                 |
| SAFA                | Society for Africanist Archaeologists                     |
| SAHRA               | South African Heritage Resources Association              |
| YCE                 | Years before Common Era (Present)                         |

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## 1 BACKGROUND

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### 1.1 Scope and Motivation

Exigo Sustainability (Pty) Ltd (Exigo) was commissioned by Magogudi Construction Projects to conduct an Archaeological Impact Assessment (AIA) study subject to an Environmental Basic Assessment (BA) process for the proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project in the Northern Cape Province. The rationale of this AIA is to determine the presence of heritage resources such as archaeological and historical sites and features, graves and places of religious and cultural significance in previously unstudied areas; to consider the impact of the proposed project on such heritage resources, and to submit appropriate recommendations with regard to the cultural resources management measures that may be required at affected sites / features.

### 1.2 Project Direction

Exigo's expertise ensures that all projects be conducted to the highest international ethical and professional standards. As archaeological specialist for Exigo Sustainability, Mr Neels Kruger acted as field director for the project; responsible for the assimilation of all information, the compilation of the final consolidated AIA report and recommendations in terms of heritage resources on the demarcated project areas. Mr Kruger is an accredited archaeologist and Culture Resources Management (CRM) practitioner with the Association of South African Professional Archaeologists (ASAPA), a member of the Society for Africanist Archaeologists (SAFA) and the Pan African Archaeological Association (PAA) as well as a Master's Degree candidate in archaeology at the University of Pretoria.

### 1.3 Project Brief

Magogudi Construction Projects proposes the establishment of a tyre storage facility over a surface area of approximately **4ha** on a portion of the farm Olyvenhoutsdrift (see Figure 1-1). The proposed facility will consist out of the following components:

- **A concrete surface of 4ha for tyre storage,**
- **Water and electricity supply infrastructure,**
- **A site office**
- **An access road to the site.**

The proposed facility, trucks will deliver scrap tyres for temporary storage. The scrap tyres will be shred and baled whereafter the bales will be transported to a recycling facility.



Figure 1-1: Aerial map indicating the proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project area. Note the large quarrying area which covers the site (white / grey shade).

## 1.4 Terms of Reference

Heritage specialist input into the Environmental Impact Assessment (EIA) process is essential to ensure that, through the management of change, developments still conserve our heritage resources. It is also a legal requirement for certain development categories which may have an impact on heritage resources. Thus, EIAs should always include an assessment of heritage resources. The heritage component of the EIA is provided for in the **National Environmental Management Act, (Act 107 of 1998)** and endorsed by section 38 of the **National Heritage Resources Act (NHRA - Act 25 of 1999)**. In addition, the NHRA protects all structures and features older than 60 years, archaeological sites and material and graves as well as burial sites. The objective of this legislation is to ensure that developers implement measures to limit the potentially negative effects that the development could have on heritage resources. Based hereon, this project functioned according to the following **terms of reference** for heritage specialist input:

- *Provide a detailed description of all archaeological artefacts, structures (including graves) and settlements which may be affected, if any.*
- *Assess the nature and degree of significance of such resources within the area.*
- *Establish heritage informants/constraints to guide the development process through establishing thresholds of impact significance;*
- *Assess and rate any possible impact on the archaeological and historical remains within the area emanating from the proposed development activities.*
- *Propose possible heritage management measures provided that such action is necessitated by the development.*
- *Liaise and consult with the South African Heritage Resources Agency (SAHRA). A Notification of Intent to Develop (NID) will be submitted to SAHRA at the soonest opportunity.*

## 1.5 CRM: Legislation, Conservation and Heritage Management

The broad generic term *Cultural Heritage Resources* refers to any physical and spiritual property associated with past and present human use or occupation of the environment, cultural activities and history. The term includes sites, structures, places, natural features and material of palaeontological, archaeological, historical, aesthetic, scientific, architectural, religious, symbolic or traditional importance to specific individuals or groups, traditional systems of cultural practice, belief or social interaction.

### 1.5.1 Legislation regarding archaeology and heritage sites

The South African Heritage Resources Agency (SAHRA) and its provincial offices aim to conserve and control the management, research, alteration and destruction of cultural resources of South Africa. It is therefore vitally important to adhere to heritage resource legislation at all times.

#### a. National Heritage Resources Act No 25 of 1999, section 35

According to the National Heritage Resources Act No 25 of 1999 (section 35) the following features are protected as cultural heritage resources:

- a. Archaeological artefacts, structures and sites older than 100 years
- b. Ethnographic art objects (e.g. prehistoric rock art) and ethnography

- c. Objects of decorative and visual arts
- d. Military objects, structures and sites older than 75 years
- e. Historical objects, structures and sites older than 60 years
- f. Proclaimed heritage sites
- g. Grave yards and graves older than 60 years
- h. Meteorites and fossils
- i. Objects, structures and sites of scientific or technological value.

In addition, the national estate includes the following:

- a. Places, buildings, structures and equipment of cultural significance
- b. Places to which oral traditions are attached or which are associated with living heritage
- c. Historical settlements and townscapes
- d. Landscapes and features of cultural significance
- e. Geological sites of scientific or cultural importance
- f. Archaeological and paleontological sites
- g. Graves and burial grounds
- h. Sites of significance relating to the history of slavery
- i. Movable objects (e.g. archaeological, paleontological, meteorites, geological specimens, military, ethnographic, books etc.)

With regards to activities and work on archaeological and heritage sites this Act states that:

*“No person may alter or demolish any structure or part of a structure which is older than 60 years without a permit by the relevant provincial heritage resources authority.” (34. [1] 1999:58)*

and

*“No person may, without a permit issued by the responsible heritage resources authority-*

- (a) destroy, damage, excavate, alter, deface or otherwise disturb any archaeological or palaeontological site or any meteorite;*
- (b) destroy, damage, excavate, remove from its original position, collect or own any archaeological or palaeontological material or object or any meteorite;*
- (c) trade in, sell for private gain, export or attempt to export from the Republic any category of archaeological or palaeontological material or object, or any meteorite; or*
- (d) bring onto or use at an archaeological or palaeontological site any excavation equipment or any equipment which assist in the detection or recovery of metals or archaeological and palaeontological material or objects, or use such equipment for the recovery of meteorites. (35. [4] 1999:58).”*

and

*“No person may, without a permit issued by SAHRA or a provincial heritage resources agency-*

- (a) *destroy, damage, alter, exhume or remove from its original position or otherwise disturb the grave of a victim of conflict, or any burial ground or part thereof which contains such graves;*
- (b) *destroy, damage, alter, exhume, remove from its original position or otherwise disturb any grave or burial ground older than 60 years which is situated outside a formal cemetery administered by a local authority;*
- (c) *bring onto or use at a burial ground or grave referred to in paragraph (a) or (b) and excavation equipment, or any equipment which assists in the detection or recovery of metals (36. [3] 1999:60)."*

**b. Human Tissue Act of 1983 and Ordinance on the Removal of Graves and Dead Bodies of 1925**

Graves and burial grounds are commonly divided into the following subsets:

- a. ancestral graves
- b. royal graves and graves of traditional leaders
- c. graves of victims of conflict
- d. graves designated by the Minister
- e. historical graves and cemeteries
- f. human remains

Graves 60 years or older are heritage resources and fall under the jurisdiction of both the National Heritage Resources Act and the Human Tissues Act of 1983. However, graves younger than 60 years are specifically protected by the Human Tissues Act (Act 65 of 1983) and Ordinance on Excavations (Ordinance no. 12 of 1980) as well as any local and regional provisions, laws and by-laws. Such burial places also fall under the jurisdiction of the National Department of Health and the Provincial Health Departments.

**c. National Heritage Resources Act No 25 of 1999, section 35**

This act (Act 107 of 1998) states that a survey and evaluation of cultural resources must be done in areas where development projects, that will change the face of the environment, will be undertaken. The impact of the development on these resources should be determined and proposals for the mitigation thereof are made. Environmental management should also take the cultural and social needs of people into account. Any disturbance of landscapes and sites that constitute the nation's cultural heritage should be avoided as far as possible and where this is not possible the disturbance should be minimized and remedied.

**1.5.2 Background to HIA and AIA Studies**

South Africa's unique and non-renewable archaeological and palaeontological heritage sites are 'generally' protected in terms of the National Heritage Resources Act (Act No 25 of 1999, section 35) and may not be disturbed at all without a permit from the relevant heritage resources authority. Heritage sites are frequently threatened by development projects and both the environmental and heritage legislation require impact assessments (HIAs & AIAs) that identify all heritage resources in areas to be developed. Particularly, these assessments are required to make recommendations for protection or mitigation of the impact of the sites. HIAs and AIAs should be done by qualified professionals with adequate knowledge to (a) identify all heritage resources including archaeological and palaeontological sites that might occur in areas of developed and (b) make recommendations for protection or mitigation of the impact on the sites.

**A detailed guideline of statutory terms and requirements is supplied in Addendum 1.**

## 2 REGIONAL CONTEXT

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### 2.1 Area Location

The proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project occurs on Olyvenhoutsdrift Plot 1298 in the Dawid Kruiper Local Municipality of the Northern Cape Province. The plot, comprising mainly of uncultivated open grasslands, is located directly north of the small settlement of Oranjevallei along the northern borders of the Orange River. The N14 route forms the northwestern boundary of the project area. The project area is situated 13km southwest of the town of Upington and Keimoes occurs 35km to the southwest of Olyvenhoutsdrift.

The study areas appear on 1:50000 map sheet 2821CA (see Figure 2-1) and coordinates for the proposed project are as follows:

- S28.530220° E21.165269°

### 2.2 Area Description: Receiving Environment

The development site lies within the Nama Karoo biome which occurs on the central plateau and western half of South Africa, at altitudes between 500 and 2000 m, with most of the biome. The dominant vegetation is a grassy, dwarf shrubland. Grasses tend to be more common in depressions and on sandy soils, and less abundant on clayey soils. The geology underlying the biome is varied, as the distribution of the biome is determined primarily by rainfall. This also determines the predominant soil type with over 80% of the area covered by lime-rich weakly developed soil over rock (Low & Rebelo, 1996). The most recent classification of the area by Mucina & Rutherford (2006) shows that the site is classified as Bushmanland Arid Grassland. The landscape features of the Bushmanland Arid Grassland vegetation type are extensive to irregular plains on a slightly sloping plateau sparsely vegetated by grassland dominated by white grasses. The Orange River occurs 1km south of the site.

### 2.3 Site Description

Olyvenhoutsdrift consists of slightly undulating plains on shallow calcareous soils with low density vegetation and surfaces covered in mostly soft red sands and decomposing calcrete formations. The site gradually slopes southeast towards the Orange River, which is situated no more than 1000m from the site. A large calcrete quarry covers much of the site to the southeast. As such, the major land-use on the plot is quarrying to the south and neighboring farms are being used for crop cultivation characteristic to the Orange River valley. Besides for the quarry, there are no significant landscape features on the project footprint and man-made structures on the property include a small pump-house building and a number of concrete foundation structures and trenches.

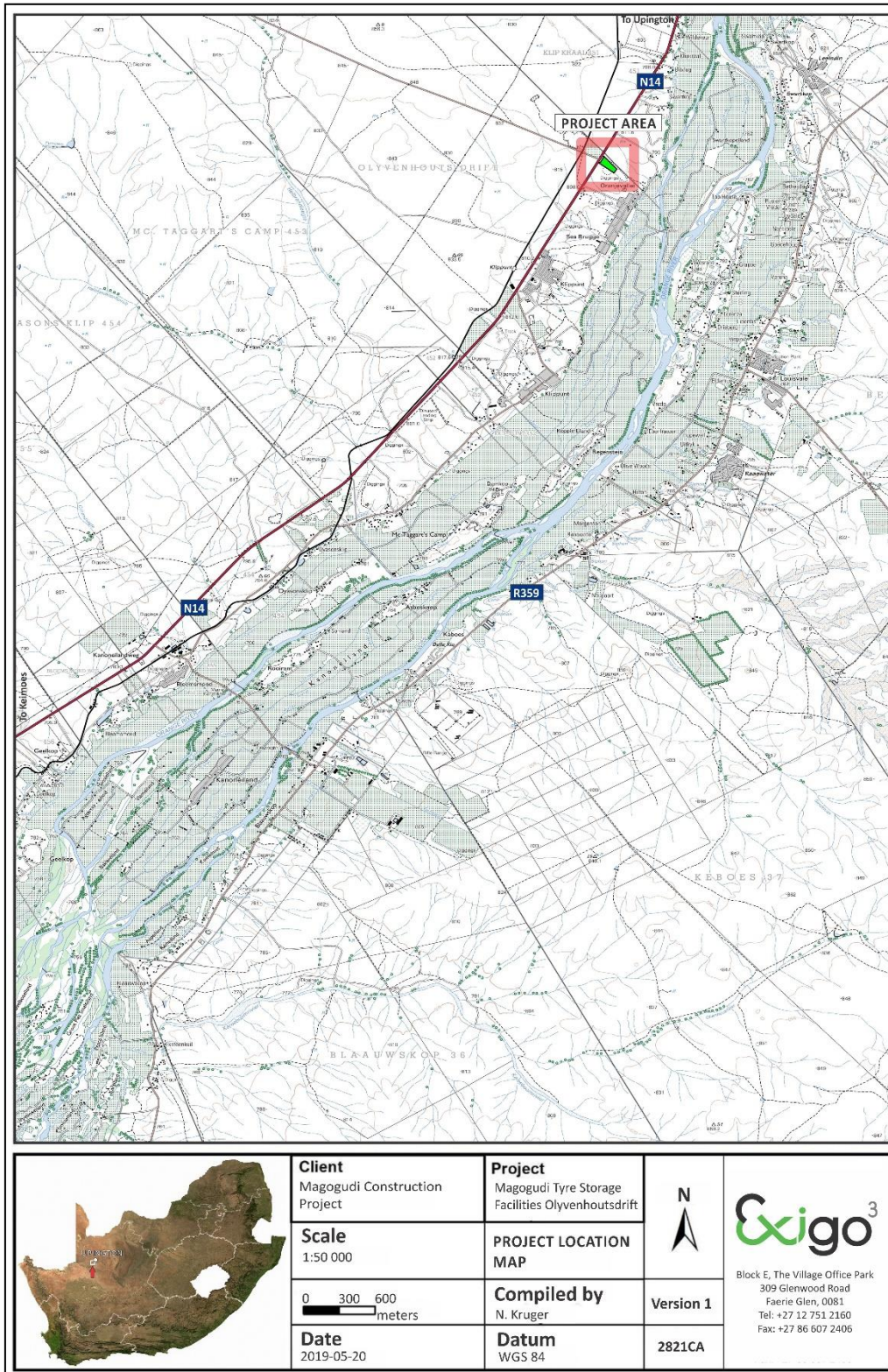


Figure 2-1: 1:50 00 Map representation of the location of the proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project (sheet 2821CA).



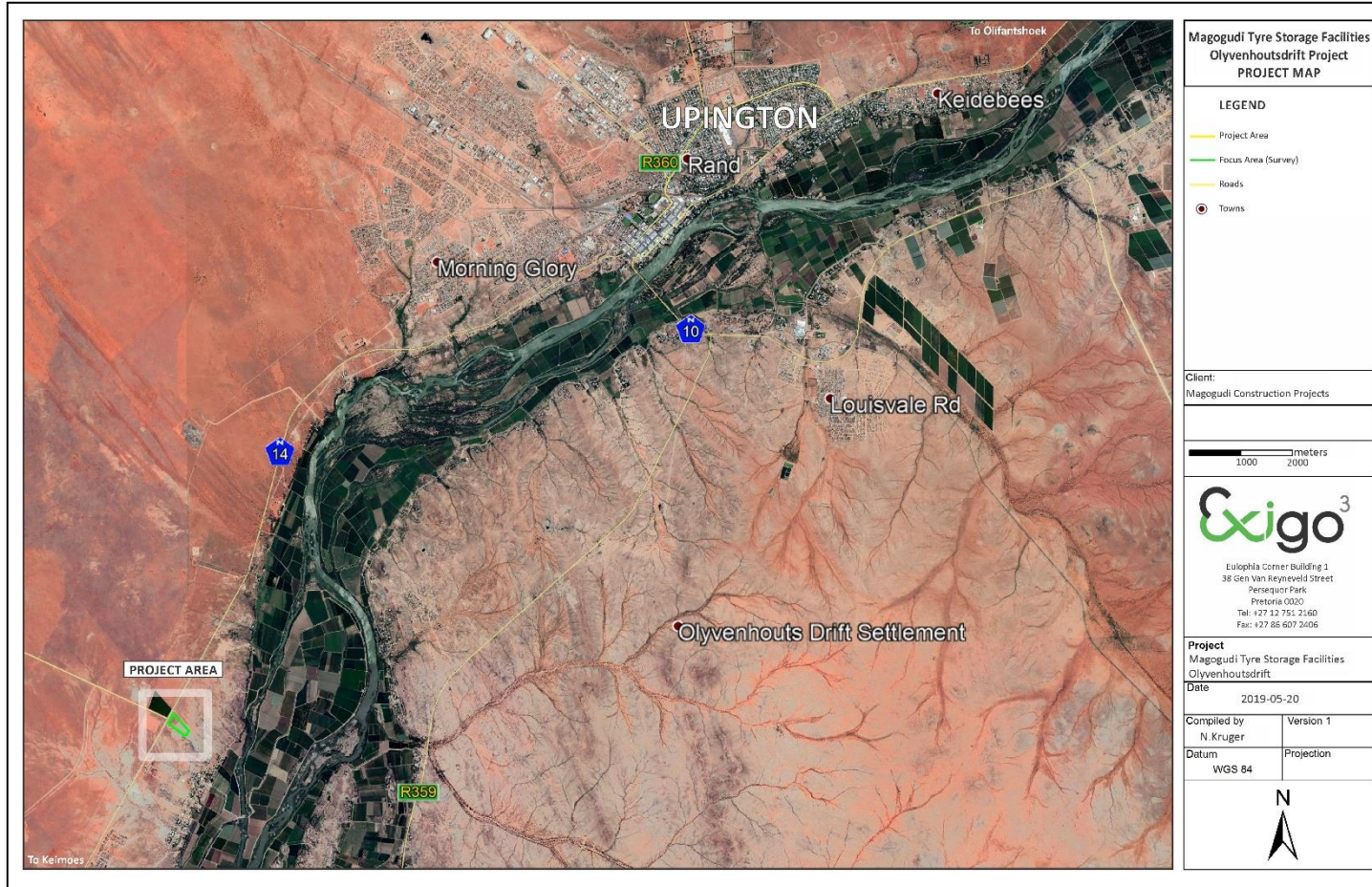


Figure 2-2: Aerial map providing a regional context for the proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project area.

### 3 METHOD OF ENQUIRY

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#### 3.1 Sources of Information

Data from detailed desktop, aerial and field studies were employed in order to sample surface areas systematically and to ensure a high probability of heritage site recording.

##### 3.1.1 Desktop Study

The larger landscape around Kimberley has been well documented in terms of its archaeology and history. Numerous academic papers and research articles supplied a historical context for the proposed project and archival sources, aerial photographs, historical maps and local histories were used to create a baseline of the landscape's heritage. In addition, the study drew on available unpublished Heritage Assessment reports to give a comprehensive representation of known sites in the study area. These included:

Heritage Impact Assessment for the Proposed Establishment of the Ilanga Solar Thermal Power Plant, near Upington, Northern Cape

Heritage Impact Assessment of the proposed Hydropower station on the Orange River at Neus Island on the farm Zwartbooisberg, east of Kakamas, Northern Cape

First Phase Archaeological & Heritage Assessment of the Housing Developments at Melkstroom 563, Upington, Northern Cape

Phase 1 Archaeological Impact Assessment Report on Portions of the Farm Alheit near Kakamas, Siyanda District Municipality, Northern Cape Province.

HIA for the construction of five substations along the Sishen-Saldanha railway line.

Report on a Phase 1 Archaeological Assessment of the site of proposed Borrow Pits for road- building purposes along Road MR 897 in the vicinity of Swartkop, Jooste Island, near Upington, Northern Cape.

Report on a Phase 1 Archaeological Assessment of the site of proposed Borrow Pits for road- building purposes along Road DR 3322 at Karakoel near Upington, Northern Cape.

Heritage Impact Assessment Report for the Proposed Establishment of the African Rainbow Energy, Upington.

Heritage Scoping Assessment for the Proposed Establishment of the Medenergy Upington PV Power Plant.

Archaeological Impact Assessment for the Environmental Impact Management Plan for the Proposed Upington Solar Thermal Plant, Northern Cape Province.

Heritage Impact Assessment for the Proposed Kangnas Wind and Solar Energy Facilities, Namakwa Magisterial District, Northern Cape

Proposed Kwartelspan PV Power Station I and Associated Infrastructure, Pixley ka Seme District Municipality,

Northern Cape Province.

Spatial patterning of the ceramic Later Stone Age in the northern Cape Province, South Africa.

Phase 1 Archaeological Impact Assessment proposed for the proposed Keren Energy Kakamas Solar Plant on Erf 1654, Kakamas.

Phase 1 Archaeological Impact Assessment for the proposed construction of a water treatment plant and supply pipeline from Keimoes to Kenhardt, Western Cape Province.

Heritage Impact Assessment for the proposed Augrabies Solar Energy Facility, Kenhardt Magisterial District, Northern Cape

### 3.1.2 Aerial Survey

Aerial photography is often employed to locate and study archaeological sites, particularly where larger scale area surveys are performed. This method was applied to assist the foot and automotive site surveys where depressions, variation in vegetation, soil marks and landmarks were examined. Specific attention was given to shadow sites (shadows of walls or earthworks which are visible early or late in the day), crop mark sites (crop mark sites are visible because disturbances beneath crops cause variations in their height, vigour and type) and soil marks (e.g. differently coloured or textured soil (soil marks) might indicate ploughed-out burial mounds). Attention was also given to moisture differences, as prolonged dampening of soil as a result of precipitation frequently occurs over walls or embankments. In addition, historical aerial photos obtained during the archival search were scrutinized and features that were regarded as important in terms of heritage value were identified and if they were located within the boundaries of the project area they were physically visited in an effort to determine whether they still exist and in order to assess their current condition and significance. By superimposing high frequency aerial photographs with images generated with Google Earth as well as historical aerial imagery, potential sensitive areas were subsequently identified, geo-referenced and transferred to a handheld GPS device. These areas served as reference points from where further vehicular and pedestrian surveys were carried out.

### 3.1.3 Mapping of sites

Historical and current maps of the project area were examined. By merging data obtained from the desktop study and the aerial survey, sites and areas of possible heritage potential were plotted on these maps of the larger Upington area using GIS software. These maps were then superimposed on high definition aerial representations in order to graphically demonstrate the geographical locations and distribution of potentially sensitive landscapes.

### 3.1.4 Field Survey

Archaeological survey implies the systematic procedure of the identification of archaeological sites. An archaeological survey of the Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project area was conducted in May 2019. The process encompassed a systematic field survey in accordance with standard archaeological practice by which heritage resources are observed and documented. In order to sample surface areas systematically and to ensure a high probability of site recording, the proposed **project footprint** was investigated (see Figure 1-1). Particular focus was placed on proposed infrastructure footprint areas provided to the specialist. GPS reference points identified during the aerial survey were also visited and random spot checks were made (see detail in previous section). Using a Garmin GPS, the survey was tracked and general surroundings were photographed with a Samsung Digital camera. Real time aerial orientation,

by means of a mobile Google Earth application was also employed to investigate possible disturbed areas during the survey.

### 3.2 Limitations

#### 3.2.1 Access

The study area is accessed directly via the N14 National Road. Access control is not applied to the property and no restrictions were encountered during the site in terms of site access.

#### 3.2.2 Visibility

The surrounding vegetation in the project area mostly comprised out of mixed grasslands and occasional trees in calcrete and deep red sand formations. The general visibility at the time of the AIA survey (May 2019) ranged from high in transformed areas, to moderate in more pristine and overgrown zones. In single cases during the survey sub-surface inspection was possible. Where applied, this revealed no archaeological deposits.



Figure 3-1: View of a the project site, looking southeast towards the Orange River in the distance.



Figure 3-2: View of the project area, looking south.



Figure 3-3: View of concrete foundation structures and trenches in the project area.



Figure 3-4: A small modern pump house present in the project area.



Figure 3-5: View of exposed calcrete surfaces in the project area.



Figure 3-6: View of grasses and deep red sands occurring in the project area.



Figure 3-7: View of a large calcrete quarry in the project area.



Figure 3-8: Another view of the large calcrete quarry in the project area, looking north.

### 3.2.3 Summary: Limitations and Constraints

The site survey for the Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project AIA primarily focused around areas tentatively identified as sensitive and of high heritage probability (i.e. those noted during the aerial survey) as well as areas of high human settlement catchment. In summary, no major constraints were encountered during the site survey. It should be noted that, even though it might be assumed that survey findings are representative of the heritage landscape of the project area for the Project, it should be stated that the possibility exists that individual sites could be missed due to the localised nature of some heritage remains as well as the possible presence of sub-surface archaeology. Therefore, maintaining due cognisance of the integrity and accuracy of the archaeological survey, it should be stated that the heritage resources identified during the study do not necessarily represent all the heritage resources present in the project area. The subterranean nature of some archaeological sites, dense vegetation cover and visibility constraints sometimes distort heritage representations and any additional heritage resources located during consequent development phases must be reported to the Heritage Resources Authority or an archaeological specialist.

### 3.3 Impact Assessment

For consistency among specialists, impact assessment ratings by Exigo Specialist are generally done using the Plomp<sup>1</sup> impact assessment matrix scale supplied by Exigo. According to this matrix scale, each heritage receptor in the study area is given an impact assessment. The significances of the impacts were determined through a synthesis of the criteria below:

## 4 ARCHAEO-HISTORICAL CONTEXT

### 4.1 The archaeology of Southern Africa

Archaeology in Southern Africa is typically divided into two main fields of study, the **Stone Age** and the **Iron Age** or **Farmer Period**. The following table provides a concise outline of the chronological sequence of periods, events, cultural groups and material expressions in Southern African pre-history and history.

**Table 1 Chronological Periods across Southern Africa**

| Period   | Epoch                     | Associated cultural groups   | Typical Material Expressions   |
|--|---------------------------|--|--|
| Early Stone Age<br>2.5m – 250 000 YCE  | Pleistocene               | Early Hominins:<br><i>Australopithecines</i><br><i>Homo habilis</i><br><i>Homo erectus</i> | Typically large stone tools such as hand axes, choppers and cleavers.            |
| Middle Stone Age<br>250 000 – 25 000 YCE   | Pleistocene               | First <i>Homo sapiens</i> species  | Typically smaller stone tools such as scrapers, blades and points.               |
| Late Stone Age<br>20 000 BC – present  | Pleistocene /<br>Holocene | <i>Homo sapiens sapiens</i><br>including San people  | Typically small to minute stone tools such as arrow heads, points and bladelets. |
| Early Iron Age / Early Farmer<br>Period 300 – 900 AD<br><b>(commonly restricted to the interior and north-east coastal areas of Southern Africa)</b> | Holocene                  | First Bantu-speaking groups  | Typically distinct ceramics, bead ware, iron objects, grinding stones.           |

<sup>1</sup> Plomp, H., 2004

|   |          |   |  |
|---|----------|---|--|
| <p>Middle Iron Age (Mapungubwe / K2) / early Later Farmer Period 900 – 1350 AD<br/><b>(commonly restricted to the interior and north-east coastal areas of Southern Africa)</b></p> | Holocene | Bantu-speaking groups, ancestors of present-day groups                            | Typically distinct ceramics, bead ware and iron / gold / copper objects, trade goods and grinding stones.  |
| <p>Late Iron Age / Later Farmer Period<br/>1400 AD -1850 AD<br/><b>(commonly restricted to the interior and north-east coastal areas of Southern Africa)</b></p>                    | Holocene | Various Bantu-speaking groups including Venda, Thonga, Sotho-Tswana and Zulu      | Distinct ceramics, grinding stones, iron objects, trade objects, remains of iron smelting activities including iron smelting furnace, iron slag and residue as well as iron ore. |
| <p>Historical / Colonial Period<br/>±1850 AD – present</p>  | Holocene | Various Bantu-speaking groups as well as European farmers, settlers and explorers | Remains of historical structures e.g. homesteads, missionary schools etc. as well as, glass, porcelain, metal and ceramics.  |

## 4.2 Discussion: The Upington Heritage Landscape

The history of this section of the Northern Cape Province is reflected in a rich archaeological landscape, mostly dominated by Stone Age and Colonial Period occurrences. Numerous sites, documenting Earlier, Middle and Later Stone Age habitation occur across the landscape, mostly in open air locales or in sediments alongside rivers or pans. In addition, a wealth of Later Stone Age rock art sites, most of which are in the form of rock engravings are to be found in the larger landscape. These sites occur on hilltops, slopes, rock outcrops and occasionally in river beds. Sites dating to the Iron Age occur in the north eastern part of the Northern Cape Province but environmental factors delegated that the spread of Iron Age farming westwards from the 17th century was constrained mainly to the area east of the Langeberg Mountains. However, evidence of an Iron Age presence as far as the Upington area in the eighteenth century occurs in the larger landscape area. Moving into recent times, the archaeological record reflects the development of a rich colonial frontier, characterised by, amongst others, a complex industrial archaeological landscape such as mining developments at Kimberley, which herald the modern era in South African history. Finally, the Northern Cape Province saw a number of war conflicts, particularly the Anglo Boer War (or the South African War) left behind the remnants of battlefields, skirmishes and concentration camps.

### 4.2.1 Early History and the Stone Ages

According to archaeological research, the earliest ancestors of modern humans emerged some two to three million years ago. The remains of Australopithecine and *Homo habilis* have been found in dolomite caves and underground dwellings in the Riverton Area at places such as Sterkfontein and Swartkrans near Krugersdorp. *Homo habilis*, one of the Early Stone Age hominids, is associated with Oldowan artefacts, which include crude implements manufactured from large pebbles. The Acheulian industrial complex replaced the Oldowan industrial complex during the Early Stone Age. This phase of human existence was widely distributed across South Africa and is associated with *Homo erectus*, who manufactured hand axes and cleavers from as early as one and a half million years ago. Middle Stone Age sites dating from as early as two hundred thousand years ago have been found all over South Africa. Middle Stone Age hunter-gatherer bands also lived and hunted in the Orange and Vaal River valleys. These people, who probably looked like modern humans, occupied campsites near water but also used caves as dwellings. They manufactured a wide range



of stone tools, including blades and points that may have had long wooden sticks as hafts and were used as spears.

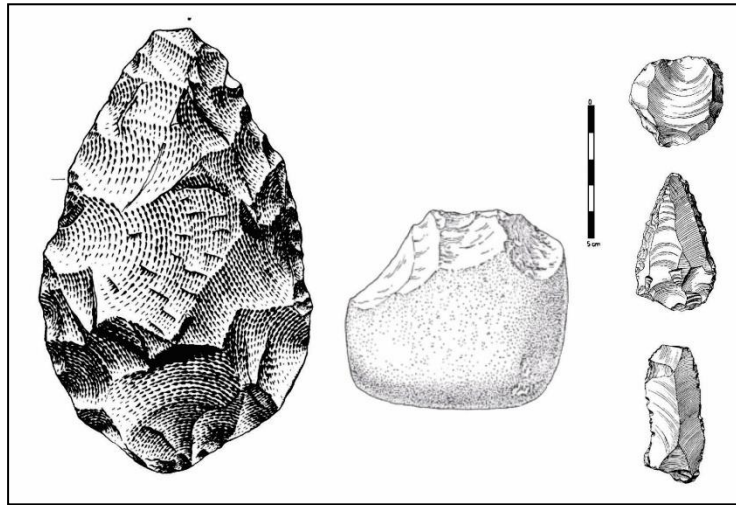


Figure 4-1: Typical ESA handaxe (left) and cleaver (center). To the right is a MSA scraper (right, top), point (right, middle) and blade (right, bottom).

Earlier Stone Age sites have been documented to the south of Eenzaamheid Pan in areas strewn with Dwyka tillite, which provided ample raw material. John Masson (2006) has reported such material at Eenzaamheid Pan. Other known sites in the region are Biesje Poort 2, about 10 km to the west, where an extensive Doornfontein site was dated to 1400 BP (Beaumont *et al.* 1995), and Renosterkop, 10km to the south west, where two Ceramic LSA sites were found, the one, in a small shelter (Morris & Beaumont 1991). This site and another cave site closer to Keimoes (Smith 1995), are the only regional sites to have yielded stratified successions, with both indicating a MSA presence of likely early MIS 5 age and then LSA occupations of the Holocene. Some Acheulean sites are found on the farms Droëhout and Ratel Draai, however these are not stratified (Beaumont *et al.* 1995). Late Holocene Later Stone Age (LSA) sites are often mentioned in surveys in the wider region and along the Orange River (e.g. Morris & Beaumont 1991; Beaumont *et al.* 1995). These are most probably short-duration occupations by groups of hunter-gatherers. In contrast, there are substantial herder encampments along the Orange River floodplain itself (Morris & Beaumont 1991) and in the hills north of Kakamas (Parsons 2003). Beaumont *et al.* (1995:240-1) notes a widespread low-density stone artefact scatter of Pleistocene age across much of Bushmanland to the south where raw materials from Dwyka glacial till produced mainly quartzite cobble. Similar occurrences have been noted north of Upington closer to the study area, in situations where raw materials are abundant. Systematic collections of this material at Olyvenkolk south west of Kenhardt and Maans Pannen east of Gamoep could be separated out by abrasion state into a fresh component of Middle Stone Age (MSA) with prepared cores, blades and points, and a large aggregate of moderately to heavily weathered Earlier Stone Age (ESA) (Beaumont *et al.* 1995). Very low density "off-site" scatters of ESA and MSA material has been noted over large areas on plains both north and south of the Orange River where raw materials are less readily to hand. These most likely reflect opportunistic knapping of nodules of raw material. These once again could also be anticipated on site (Parsons 2003). Webley (2009) mentions the possibility of discovering Middle Stone Age artifacts on the dune plains. Such artifacts have been reported by Morris (2007a) from the Groblershoop area, while Webley, Lanham & Miller (2010) have recovered similar scatters to the east of the Langeberg. These have been found on the edge of calcrete-lined pans and in road cuttings (Webley & Halkett, 2010). Both Middle and Later Stone Age sites have been reported from amongst the dunes to the south of the Langeberg, at Witsand (Morris 1990). The LSA here is classified as Wilton and includes scrapers and backed pieces. Some sites also contain pottery and are termed Ceramic LSA assemblages. Webley, Lanham & Miller (2010) have found a ceramic

LSA site on the farm Gaston some 20km northeast in the foothills of the Langeberg Mountains (Webley & Halkett, 2010).

#### 4.2.2 A Later Stone Age landscape of Rock Markings

Rock engravings are mostly situated in the semi-arid plateau with most of these engravings situated at the Orange – Vaal basin, Karoo and Namibia. The upper Vaal, Limpopo basin and eastern Free State regions have a small quantity of rock engravings as well. Generally, rock paintings exist at cave areas and rock engravings at open surface areas. The Cape interior consists of a technical, formal and thematic variation between and within sites (Morris 1988). Two major techniques existed namely the incised and pecked engravings. Morris (1988) indicated technical and formal characteristics through space and a sharp contrast exists between engravings positioned north of the Orange River that are mostly pecked and those in the Karoo where scraping was mostly used. According to Morris (1988) hairline engravings occur at the North and the South, but they are rare at the Vryburg region. Finger painting techniques mostly occur at the Kuruman Hills, Asbestos Mountains, Ghaap Escarpment, Langeberg, Koranaberg ranges, scattered sites at the Karoo and the Kareeberge (Morris 1988). The development petroglyphs (i.e. carving or line drawing on rock) were associated with three different types of techniques, namely incised fine lines, pecked engravings and scraped engravings. According to Peter Beaumont the pecked and scraped engravings at the Upper Karoo are coeval (i.e. having the same age or date of origin) (Beaumont P B et al. 1989). Dating of rock art includes the use of carbonate fraction dating of ostrich eggshell pieces, dating of charcoal and ostrich eggshell at various rock art shelters. Unifacial points, double segments and thin – walled sherds may indicate the presence of the Khoikhoi at the Northern Cape during 2500 BP (years Before the Present) (Beaumont 1989).

#### 4.2.3 Iron Age / Farmer Period

The beginnings of the Iron Age (Farmer Period) in southern Africa are associated with the arrival of a new Bantu speaking population group at around the third century AD. These newcomers introduced a new way of life into areas that were occupied by Later Stone Age hunter-gatherers and Khoekhoe herders. Distinctive features of the Iron Age are a settled village life, food production (agriculture and animal husbandry), metallurgy (the mining, smelting and working of iron, copper and gold) and the manufacture of pottery. Stone ruins indicate the occurrence of Iron Age settlements in the Northern Cape specifically at sites such as Dithakong where evidence exists that the Tlhaping used to be settled in the Kuruman – Dithakong areas prior to 1800 (Humphreys 1976). Here, the assessment of the contact between the Stone Age, Iron Age and Colonial societies are significant in order to understand situations of contact and assimilation between societies. As an example, Trade occurred between local Tlhaping Tswana people and the Khoikhoi communities. It means that the Tswana traded as far south as the Orange River at least the same time as the Europeans at the Cape (Humphreys 1976). Morris (1990) reports that the area to the west of the Langeberg was once settled by the BaTlhaping. He notes that 35 km due north of Witsand lies the modern farm of Nokana, which he says equates with the former BaTlhaping capital of Nokana or Nokaneng. Historically, the Trekboers traversed this area during the late 19<sup>th</sup> century. More recent research by Jacobs shows occupational Tswana site to occur during the later "Bantu Expansion" and "Proto-Difiqane between c1750 and 1830 in the study area. Specifically the Tlhaping and Tlharo chiefdoms are referred to here (N. J. Jacobs, 1999). It is even suggested that some Sotho-Tswana people might have preceded the Tlhaping and Tlharo in this region. This is however not a recent postulations since Ellenberger and MacGregor already proposed earlier Iron Age communities in these areas as early as 1912 (Ellenberger & MacGregor, 1912).

#### 4.2.4 Pastoralism and the last 2000 years

Until 2000 years ago, hunter-gatherer communities traded, exchanged goods, encountered and interacted with other hunter-gatherer communities. From about 2000 years ago the social dynamics of the Southern African

landscape started changing with the immigration of two 'other' groups of people, different in physique, political, economic and social systems, beliefs and rituals. One of these groups, the Khoekhoen pastoralists or herders entered Southern Africa with domestic animals, namely fat-tailed sheep and goats, travelling through the south towards the coast. They also introduced thin-walled pottery common in the interior and along the coastal regions of Southern Africa. Their economic systems were directed by the accumulation of wealth in domestic stock numbers and their political make-up was more hierarchical than that of the hunter-gatherers.

#### **4.2.5 Later History: Colonial Period and the Anglo Boer War**

The German missionary Rev Schröder founded the town of Upington, originally known as Olijvenhoutsdrift, in 1871 as part of a mission station. The town was renamed in 1884 after Sir Thomas Upington, who was the Prime Minister of the Cape Colony and who visited the town in 1884. In 1895 British Bechuanaland became part of the Cape Colony, which meant that the Lower Orange River regions, Gordonias, Namaqualand and Bushman land, now fell under the Cape Colonial Government. The farm Avondale was established in 1892. During the Anglo-Boer War, areas around Kuruman to the east played a strategic role and towns such as Postmasburg, situated about 200km east of Upington, acted as an important link between the Boer forces from Transvaal to the Cape Colony south of the Orange River, providing ammunition and horses (Snyman 1985). The oral and written history of the Northern Cape pertaining to the last centuries is relatively abundant resulting from an assimilation of local folklore and Historical sources such as missionary accounts. The Historical period commenced when pioneers (in most cases, missionaries) arrived between the nineteenth century and early twentieth century, depending on the region. Later, larger populations established villages in the area, some of which are often still occupied today. During the 1930's some of the Tswana communities consisted of a wealth of cattle that could be used to gain capital and purchase additional land. The Khoisan and Khoikhoi communities were not so lucky, because they were mostly used as labourers at various Tswana and European households (Wylie 1989).

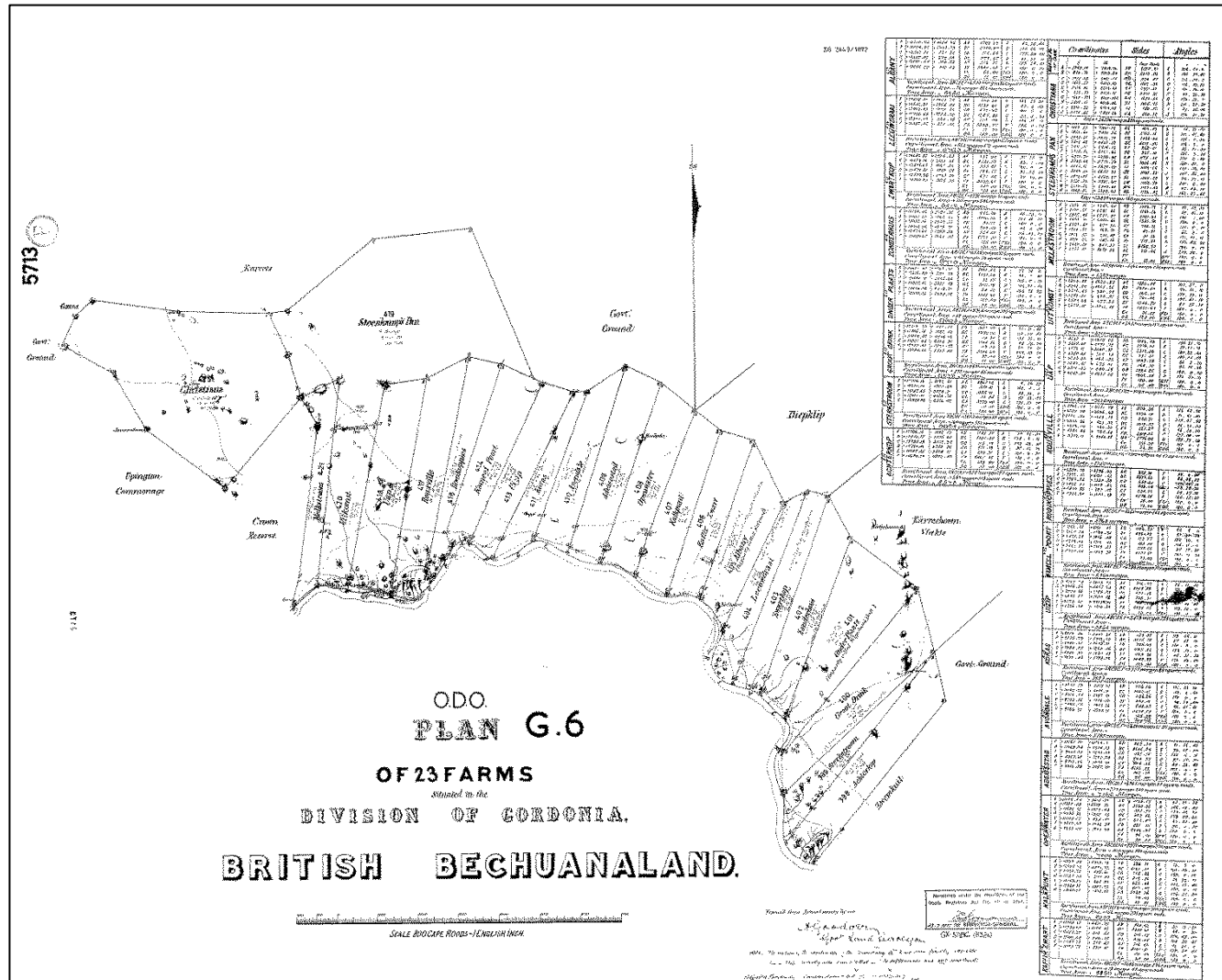


Figure 4-2: Historical map of proclaimed farms in "British Bechuanaland" dating to the last part of the 19<sup>th</sup> century.

## 5 RESULTS: ARCHAEOLOGICAL SURVEY

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### 5.1 The Off-Site Desktop Survey

In terms of heritage resources, the general landscape around the project area is primarily well known for its Earlier, Middle Stone Age and Colonial / Historical Period archaeology, the latter primarily related to a rich farming horizon along the banks of the Orange River. The town of Upington was surveyed on portions of the original Olijvenhoutsdrift property in 1871 but no particular reference to archaeological sites or features of heritage potential were recorded during an examination of literature thematically or geographically related to the project area subject to this assessment.

A careful analysis of historical aerial imagery and archive maps indicate that the larger Olyvenhoutsdrift property had been utilized for crop farming along the Orange River where a number of small settlements were established along the river banks on the farm in the 20<sup>th</sup> century. However, these sources suggest that no man-made structures occurred on the portion of Olyvenhoutsdrift during the 20<sup>th</sup> century (see Figure 5-1 and Figure 5-2). More recent aerial images indicate that the proposed footprint area subject to this assessment have been altered and transformed extensively by quarrying activities.



Figure 5-1: An aerial image of Olyvenhoutsdrift dating to 1944 indicating the location of the project area (yellow outlines) during the early 20<sup>th</sup> century. Note the apparent absence of man-made structures in the footprint area.

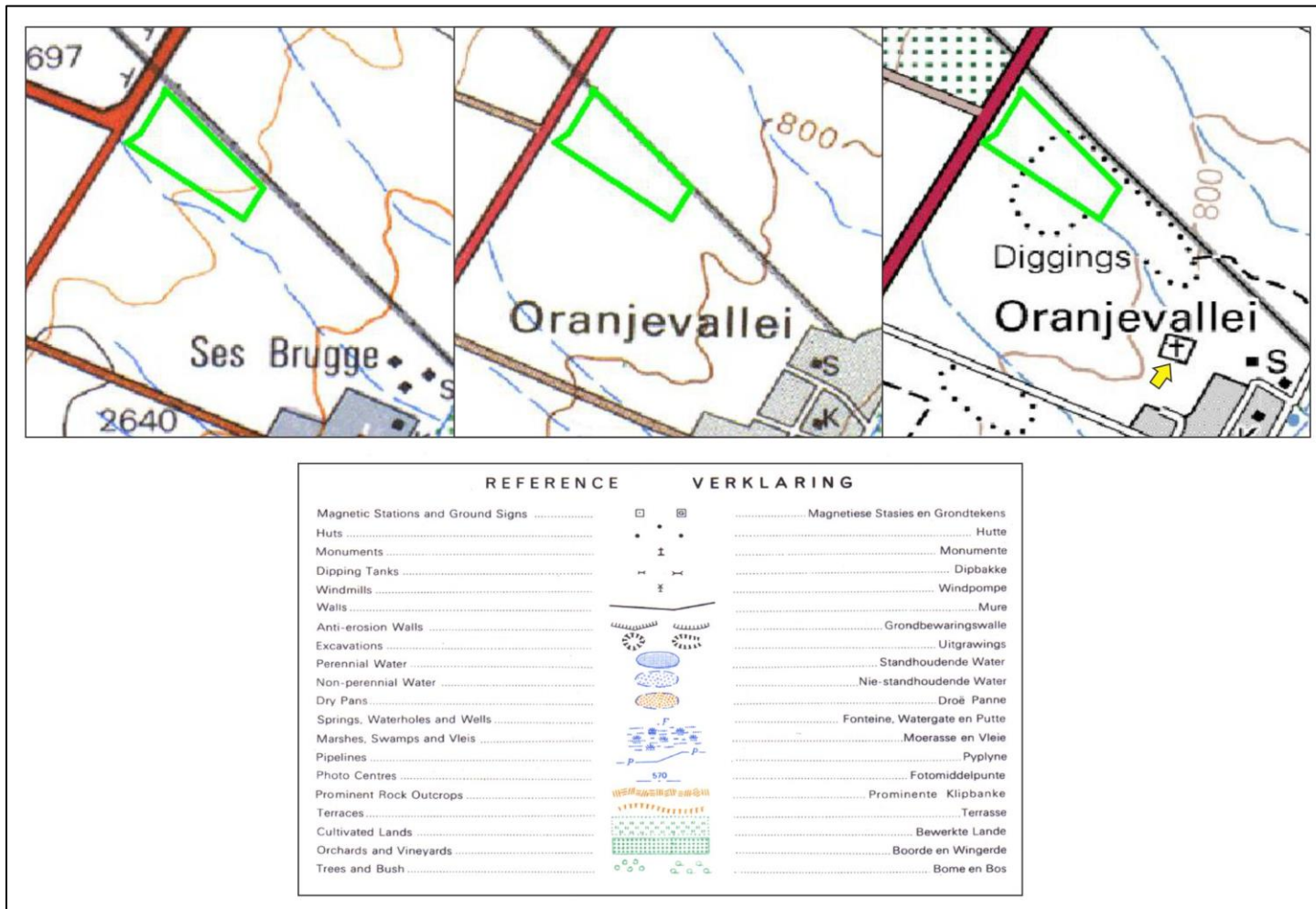


Figure 5-2: Historical topographic maps of Olyvenhoutsdrift dating to 1970 (left), 1990 (middle) and 2009 (right), indicating the location of the project area (green outline) in the past decades. Note the presence of a small cemetery indicated on the 2009 map (yellow arrow). The large quarry in the footprint area is also visible on the 2009 map (dotted lines)

## 5.2 The Archaeological Site Survey

An analysis of historical aerial imagery and archive maps of areas subject to this assessment suggests that Olyvenhoutsdrift remained relatively undeveloped in previous centuries but the project footprint itself seems to have been subjected to more recent calcrete quarrying potentially sterilising the area of heritage remains. This inference was confirmed during an archaeological site assessment where it was noted that much of the project area had been transformed by a large quarry to the south. However, a scatter of Stone Age archaeological material was noted along the more pristine northern portion of the project footprint. The density of the scatter was arbitrarily estimated by placing a one-meter drawing frame, sub-divided into quadrants, on a randomly-selected area displaying higher amounts of surface lithics. By plotting the counts of all lithic elements present in the 1x1 metre square relative density per m<sup>2</sup> was established and rated on a scale of low (<10), medium (10-20) and high (>20). This method has been adapted as expedient and non-invasive sampling technique that is particularly useful in value assessment of lithic occurrences during Phase 1 AIA's (see Van Der Ryst 2012).

The following observations were made during the site survey:

- **Stone Age** remains occur abundantly along the banks of the Orange River in the Kalahari where locally available raw material for the manufacture of stone tools is available in the geological landscape. Similarly, a single Earlier Stone Age handaxe and a low-density scatter of Middle Stone Age material was found in the more pristine northern section of the project footprint. These localities were noted where precipitation and groundwater have exposed the stone tools which occur within the decomposed calcrete rock layer characteristic of most of the site. Although there may be some mixing of an earlier MSA assemblage with a few lithics from the more recent LSA utilization, the surface collection shows a predominant MSA signature. Preliminary examinations of some of the lithics, which includes chunks and utilised flake, and formal tools such as scrapers, blades and points as well as adzes, indicate that a number of flakes display faceted platforms, characteristic of the MSA. Here, prepared cores show evidence of the use of the Levallois technique, where surfaces on the core are shaped in order to generate a specific formal tool when flaked from the core. Use wear and marks are clearly visible on formal tools. The raw material used in the production of the lithics is mostly hornfels, shale and banded ironstone. Banded ironstone is known to have been a favored raw material for making stone artefacts and occurs on a number of sites that have been documented by the archaeologist and others throughout the Northern Cape. It is not possible to assign an age estimate without an in-depth analysis of a more representative sample. At this stage it would be prudent to say that these open-air collections probably represent a palimpsest of visits by prehistoric groups up to the MSA. No evidence of any factory or workshop site, or the result of any human settlement was identified. A number of the tools are also abraded or weathered suggesting that they have lain on the surface for many years. Most of the stone implements documented during the study comprise isolated occurrences that are spread thinly and unevenly over the surrounding landscape. Generally, the occurrences are lacking in context as no organic remains such as bone, pottery or ostrich eggshell was found. The fairly small numbers and isolated context in which they were found means that the archaeological remains in the Study Area have been rated as having low archaeological significance.



Figure 5-3: View of eroded calcrete surfaces holding Stone Age material in the project area.



Figure 5-4: MSA core tools noted in the project area.



Figure 5-5: A scraper (left) an adze (center) and a point (right) from the MSA located in the project area.





Figure 5-6: A small ESA handaxe located on the surface in the project area



Figure 5-7: A collection of MSA tools from the project area including a blade, broken point and debitage.

- A frontier zone between the east and the west, the Northwest and Northern Cape landscape contains traces of precolonial **Iron Age Farmer Period** remnants. However, the site inspection produced no Iron Age farmer sites or remains.
- Kimberley and its surroundings have a long and extensive **Colonial Period** settlement history. From around the first half of the 19th century, the area was frequented by explorers, missionaries and farmers who all contributed to a recent history of contact and conflict. Even though structures dating to Historical Period farming occurs south of the project footprint no features relating to the built environment of the early Historical Period were observed in the project footprint.
- No **graves** or signs of **human burials** were observed in the project. However, cognizance should be taken of the fact that a community cemetery occurs approximately 400m south of the site at the Oranjevallei settlement. In the rural areas of the Northern Cape Province graves and cemeteries often occur within settlements or around homesteads but this seem not to be the case in this area owing to the centralization of burials at the dedicated municipal cemetery. However, the probability of additional and informal human burials encountered during

development should not be excluded. If any human bones are found during the course of construction work then they should be reported to an archaeologist and work in the immediate vicinity should cease until the appropriate actions have been carried out by the archaeologist.,

## 6 RESULTS: STATEMENT OF SIGNIFICANCE AND IMPACT RATING

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### 6.1 Potential Impacts and Significance Ratings<sup>2</sup>

The following section provides a background to the identification and assessment of possible impacts and alternatives, as well as a range of risk situations and scenarios commonly associated with heritage resources management. A guideline for the rating of impacts and recommendation of management actions for areas of heritage potential within the study area is supplied in Section 10.2 of Addendum 3.

#### 6.1.1 General assessment of impacts on resources

Generally, the value and significance of archaeological and other heritage sites might be impacted on by any activity that would result immediately or in the future in the destruction, damage, excavation, alteration, removal or collection from its original position, of any archaeological material or object (as indicated in the National Heritage Resources Act (No 25 of 1999)). Thus, the destructive impacts that are possible in terms of heritage resources would tend to be direct, once-off events occurring during the initial construction period. However, in the long run, the proximity of operations in any given area could result in secondary indirect impacts. The EIA process therefore specifies impact assessment criteria which can be utilised from the perspective of a heritage specialist study which elucidates the overall extent of impacts.

#### 6.1.2 Direct impact rating

**Direct or primary effects** on heritage resources occur at the same time and in the same space as the activity, e.g. loss of historical fabric through demolition work. **Indirect effects or secondary effects** on heritage resources occur later in time or at a different place from the causal activity, or as a result of a complex pathway, e.g. restriction of access to a heritage resource resulting in the gradual erosion of its significance, which is dependent on ritual patterns of access (refer to Section 10.3 in the Addendum for an outline of the relationship between the significance of a heritage context, the intensity of development and the significance of heritage impacts to be expected).

*Cognisant of known site distribution patterns in this section of the Northern Cape Province along the Orange River, and based on general on-site observations and off-site assessments and, notably the fact that the project site and its immediate surrounds have previously been transformed by intensive quarrying, the author of this report is of the opinion that the Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project, will have a minimal (if any) impact on archaeological artefacts, features or structures surviving in primary context, subject to the fact that no previously undetected heritage remains (for example, those in sub-surface deposits) are exposed at any stage of the development.*

### 6.2 Evaluation Impacts

A number of archaeological and historical studies have been conducted in the Upington area which points to a rich and diverse archaeological landscape. The heritage legacy of this area is mostly dominated by Stone Age occurrences. Numerous sites, documenting Earlier, Middle and Later Stone Age habitation occur across the province, mostly in open air locales or in sediments alongside rivers or pans. In addition, a wealth of Later

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<sup>2</sup> Based on: Winter, S. & Baumann, N. 2005. *Guideline for involving heritage specialists in EIA processes: Edition 1.*

Stone Age rock art sites, most of which are in the form of rock engravings are to be found in the larger landscape. These sites occur on hilltops, slopes, rock outcrops and occasionally in river beds. Sites dating to the Iron Age occur in the north eastern part of the Province but environmental factors delegated that the spread of Iron Age farming westwards from the 17th century was constrained mainly to the area east of the Langeberg Mountains. However, no evidence of an Iron Age presence as far as Upington in the eighteenth century exists. Moving into recent times, the archaeological record reflects the development of a rich colonial frontier, characterised by, amongst others complex social developments related to the expansion of farms in the area.

### 6.2.1 Archaeology

A single ESA tool and a scatter of MSA lithics occur in the proposed project footprint. The sites are generally of low significance and unmitigated impact on some of the sites is expected to be direct. In terms of the area's Stone Age it is important to note a concern raised by Morris (2014: unpagged) that a "consistent issue in the assessment of the presence or absence of archaeological deposits ... is the fact that the landscape is often capped by (1) calcrete (not uniformly ancient – Walker et al 2013) and (2) younger Gordonia Formation Aeolian sands (Almond 2014)". In addition, the project area is situated in close proximity of the Orange River which renders it is prone to alluvial deposits that could burry potential Stone Age material. As such, subsurface archaeological remains may occur under overlying soils and calcretes where the clearing of topsoils during development activities frequently exposes archaeological deposits.

### 6.2.2 Built Environment

The project area is situated southwest of the town of Upington where a number of Historical Period buildings and features, monuments and heritage sites are to be found. In the immediate surroundings of the project area is a small, modern pump house which might be impacted on by the proposed development. For the rest of the project footprint, it seems to bear no significance in terms of the built environment as old farmsteads or Historical Buildings of structures are absent from the site.

### 6.2.3 Cultural Landscape

The larger Upington area comprises a rich cultural landscape which is typical of the Kalahari around the Orange River with large flat parcels with deep Hutton sands and grasses, areas of undulating hills and flatter plains in-between. This landscape stretches over many kilometres and the proposed project is unlikely to result in a significant impact on the landscape.

### 6.2.4 Graves / Human Burials Sites

A community cemetery occurs approximately 400m south of the site at the Oranjevallei settlement but the site will not be impacted on by the proposed development. In the rural areas of the Northern Cape Province, graves and cemeteries often occur around farmsteads in family burial grounds but they are also randomly scattered around archaeological and historical settlements. The probability of informal human burials encountered during development should thus not be excluded. In addition, human remains and burials are commonly found close to archaeological sites; they may be found in "lost" graveyards, or occur sporadically anywhere as a result of prehistoric activity, victims of conflict or crime. It is often difficult to detect the presence of archaeological human remains on the landscape as these burials, in most cases, are not marked at the surface. Human remains are usually observed when they are exposed through erosion. In some instances packed stones or rocks may indicate the presence of informal pre-colonial burials. If any human bones are found during the course of construction work then they should be reported to an archaeologist and work in the immediate vicinity should cease until the appropriate actions have been carried out by the archaeologist. Where human remains are part of a burial they would need to be exhumed under a permit

from either SAHRA (for pre-colonial burials as well as burials later than about AD 1500). Should any unmarked human burials/remains be found during the course of construction, work in the immediate vicinity should cease and the find must immediately be reported to the archaeologist, or the South African Heritage Resources Agency (SAHRA). Under no circumstances may burials be disturbed or removed until such time as necessary statutory procedures required for grave relocation have been met

**6.3 Management actions**

Recommendations for relevant heritage resource management actions are vital to the conservation of heritage resources. A general guideline for recommended management actions is included in Section 10.4 of Addendum 3.

**OBJECTIVE:** ensure conservation of heritage resources of significance, prevent unnecessary disturbance and/or destruction of previously undetected heritage receptors.

*No specific action in terms of mitigation is required for the footprint areas of the Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project. However, the following general procedure is required for the site:*

|  |   |  |  |
|--|---|--|--|
| <b>PROJECT COMPONENT/S</b>   | All phases of construction and operation.   |  |  |
| <b>POTENTIAL IMPACT</b>  | Damage/destruction of sites.  |  |  |
| <b>ACTIVITY RISK/SOURCE</b>  | Digging foundations and trenches into sensitive deposits that are not visible at the surface.   |  |  |
| <b>MITIGATION: TARGET/OBJECTIVE</b>                                      | To locate previously undetected heritage remains / graves as soon as possible after disturbance so as to maximize the chances of successful rescue/mitigation work. |  |  |
| <b>MITIGATION: ACTION/CONTROL</b>  | <b>RESPONSIBILITY</b>   | <b>TIMEFRAME</b>                               |  |
| Fixed Mitigation Procedure ( <b>required</b> )                           |   |  |  |
| <b>Site Monitoring:</b> Regular examination of trenches and excavations. | ECO   | Monitor as frequently as practically possible. |  |
| <b>PERFORMANCE INDICATOR</b>   | Archaeological sites are discovered and mitigated with the minimum amount of unnecessary disturbance.   |  |  |
| <b>MONITORING</b>  | Successful location of sites by person/s monitoring.  |  |  |

## 7 RECOMMENDATIONS

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The larger landscape around the project area indicate a rich heritage horizon encompassing Stone Age and Colonial / Historical Period archaeology primarily related to the development of crop farming along the Orange River of the past century and resulting urbanization and industrialization. Locally, the project area has been largely transformed by quarrying activities potentially sterilising surface and subsurface of heritage remains, especially those dating to pre-colonial and prehistorical times. Cognisance should nonetheless be taken of archaeological material that might be present in surface and sub-surface deposits along drainage lines and in pristine areas. The following recommendations are made based on general observations in the proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project area:

- A Palaeontological Impact Assessment is recommended where bedrock is to be impacted and, should fossil remains such as fossil fish, reptiles or petrified wood be exposed during construction, these objects should carefully safeguarded and the relevant heritage resources authority (SAHRA) should be notified immediately so that the appropriate action can be taken by a professional palaeontologist.
- A single Earlier Stone Age handaxe and a low-density scatter of Middle Stone Age material was found in the more pristine northern section of the project footprint. The MSA lithics, which occur within the decomposed calcrete rock layer characteristic of most of the site, include formal tools such as broken points, scrapers and blades. The transformed nature of the local landscape has resulted in a loss of primary context and as such, the scientific value of the artefacts has largely been lost. However, it is recommended that any development activities be monitored in order to avoid the destruction of previously undetected Stone Age occurrences.
- Even though no graves or signs of human burials were observed in the project footprint, cognisance should be taken of the fact that a community cemetery occurs approximately 400m south of the site at the Oranjevallei settlement.
- Considering the localised nature of heritage remains, the general monitoring of the development progress by an ECO or by the heritage specialist is recommended for all stages of the project. Should any subsurface palaeontological, archaeological or historical material, or burials be exposed during construction activities, all activities should be suspended and the archaeological specialist should be notified immediately.
- It is essential that cognisance be taken of the larger archaeological landscape of the area in order to avoid the destruction of previously undetected heritage sites. It should be stated that it is likely that further undetected archaeological remains might occur elsewhere in the Study Area along water sources and drainage lines, fountains and pans would often have attracted human activity in the past. Also, since Stone Age material seems to originate from below present soil surfaces in eroded areas, the larger landscape should be regarded as potentially sensitive in terms of possible subsurface deposits. Burials and historically significant structures dating to the Colonial Period occur on farms in the area and these resources should be avoided during all phases of construction and development, including the operational phases of the development.

In addition to these site-specific recommendations, careful cognisance should be taken of the following:

- As Palaeontological remains occur where bedrock has been exposed, all geological features should be regarded as sensitive.
- Water sources such as drainage lines, fountains and pans would often have attracted human activity in the past. As Stone Age material occur in the larger landscape, such resources should be regarded as potentially sensitive in terms of possible subsurface deposits.

## 8 GENERAL COMMENTS AND CONDITIONS

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This AIA report serves to confirm the extent and significance of the heritage landscape of the proposed Magogudi Tyre Storage Facilities Olyvenhoutsdrift Project area. The larger heritage horizon encompasses rich and diverse archaeological landscapes and cognisance should be taken of heritage resources and archaeological material that might be present in surface and sub-surface deposits. If, during construction, any possible archaeological material culture discoveries are made, the operations must be stopped and a qualified archaeologist be contacted for an assessment of the find. Such material culture might include:

- Formal Earlier Stone Age stone tools.
- Formal MSA stone tools.
- Formal LSA stone tools.
- Potsherds
- Iron objects.
- Beads made from ostrich eggshell and glass.
- Ash middens and cattle dung deposits and accumulations.
- Faunal remains.
- Human remains/graves.
- Stone walling or any sub-surface structures.
- Historical glass, tin or ceramics.
- Fossils.

If such sites were to be encountered or impacted by any proposed developments, recommendations contained in this report, as well as endorsement of mitigation measures as set out by AMAFA, SAHRA, the National Resources Act and the CRM section of ASAPA will be required. It must be emphasised that the conclusions and recommendations expressed in this archaeological heritage sensitivity investigation are based on the visibility of archaeological sites/features and may not therefore, represent the area's complete archaeological legacy. Many sites/features may be covered by soil and vegetation and might only be located during sub-surface investigations. If subsurface archaeological deposits, artefacts or skeletal material were to be recovered in the area during construction activities, all activities should be suspended and the archaeological specialist should be notified immediately (**cf. NHRA (Act No. 25 of 1999), Section 36 (6)**). It must also be clear that Archaeological Specialist Reports will be assessed by the relevant heritage resources authority (SAHRA).

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## 10 ADDENDUM 1: HERITAGE LEGISLATION BACKGROUND

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### 10.1 CRM: Legislation, Conservation and Heritage Management

The broad generic term Cultural Heritage Resources refers to any physical and spiritual property associated with past and present human use or occupation of the environment, cultural activities and history. The term includes sites, structures, places, natural features and material of palaeontological, archaeological, historical, aesthetic, scientific, architectural, religious, symbolic or traditional importance to specific individuals or groups, traditional systems of cultural practice, belief or social interaction.

#### 10.1.1 Legislation regarding archaeology and heritage sites

The South African Heritage Resources Agency (SAHRA) and their provincial offices aim to conserve and control the management, research, alteration and destruction of cultural resources of South Africa. It is therefore vitally important to adhere to heritage resource legislation at all times.

##### d. National Heritage Resources Act No 25 of 1999, section 35

According to the National Heritage Resources Act of 1999 a historical site is any identifiable building or part thereof, marker, milestone, gravestone, landmark or tell older than 60 years. This clause is commonly known as the "60-years clause". Buildings are amongst the most enduring features of human occupation, and this definition therefore includes all buildings older than 60 years, modern architecture as well as ruins, fortifications and Iron Age settlements. "Tell" refers to the evidence of human existence which is no longer above ground level, such as building foundations and buried remains of settlements (including artefacts).

The Act identifies heritage objects as:

- objects recovered from the soil or waters of South Africa including archaeological and palaeontological objects, meteorites and rare geological specimens
- visual art objects
- military objects
- numismatic objects
- objects of cultural and historical significance
- objects to which oral traditions are attached and which are associated with living heritage
- objects of scientific or technological interest
- any other prescribed category

With regards to activities and work on archaeological and heritage sites this Act states that:

*"No person may alter or demolish any structure or part of a structure which is older than 60 years without a permit by the relevant provincial heritage resources authority."* (34. [1] 1999:58)

and

*"No person may, without a permit issued by the responsible heritage resources authority-*

- (d) *destroy, damage, excavate, alter, deface or otherwise disturb any archaeological or palaeontological site or any meteorite;*
- (e) *destroy, damage, excavate, remove from its original position, collect or own any archaeological or palaeontological material or object or any meteorite;*

- (f) *trade in, sell for private gain, export or attempt to export from the Republic any category of archaeological or palaeontological material or object, or any meteorite; or*
- (g) *bring onto or use at an archaeological or palaeontological site any excavation equipment or any equipment which assist in the detection or recovery of metals or archaeological and palaeontological material or objects, or use such equipment for the recovery of meteorites. (35. [4] 1999:58)."*

and

*"No person may, without a permit issued by SAHRA or a provincial heritage resources agency-*

- (h) *destroy, damage, alter, exhume or remove from its original position or otherwise disturb the grave of a victim of conflict, or any burial ground or part thereof which contains such graves;*
- (i) *destroy, damage, alter, exhume, remove from its original position or otherwise disturb any grave or burial ground older than 60 years which is situated outside a formal cemetery administered by a local authority;*
- (j) *bring onto or use at a burial ground or grave referred to in paragraph (a) or (b) and excavation equipment, or any equipment which assists in the detection or recovery of metals (36. [3] 1999:60)."*

#### **e. Human Tissue Act of 1983 and Ordinance on the Removal of Graves and Dead Bodies of 1925**

Graves 60 years or older are heritage resources and fall under the jurisdiction of both the National Heritage Resources Act and the Human Tissues Act of 1983. However, graves younger than 60 years are specifically protected by the Human Tissues Act (Act 65 of 1983) and the Ordinance on the Removal of Graves and Dead Bodies (Ordinance 7 of 1925) as well as any local and regional provisions, laws and by-laws. Such burial places also fall under the jurisdiction of the National Department of Health and the Provincial Health Departments. Approval for the exhumation and re-burial must be obtained from the relevant Provincial MEC as well as the relevant Local Authorities.

#### **10.1.2 Background to HIA and AIA Studies**

South Africa's unique and non-renewable archaeological and palaeontological heritage sites are 'generally' protected in terms of the National Heritage Resources Act (Act No 25 of 1999, section 35) and may not be disturbed at all without a permit from the relevant heritage resources authority. Heritage sites are frequently threatened by development projects and both the environmental and heritage legislation require impact assessments (HIAs & AIAs) that identify all heritage resources in areas to be developed. Particularly, these assessments are required to make recommendations for protection or mitigation of the impact of the sites. HIAs and AIAs should be done by qualified professionals with adequate knowledge to (a) identify all heritage resources including archaeological and palaeontological sites that might occur in areas of developed and (b) make recommendations for protection or mitigation of the impact on the sites.

The National Heritage Resources Act (Act No. 25 of 1999, section 38) provides guidelines for Cultural Resources Management and prospective developments:

*"38. (1) Subject to the provisions of subsections (7), (8) and (9), any person who intends to undertake a*

development categorised as:

- (a) the construction of a road, wall, powerline, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;
- (b) the construction of a bridge or similar structure exceeding 50m in length;
- (c) any development or other activity which will change the character of a site:
  - (i) exceeding 5 000 m<sup>2</sup> in extent; or
  - (ii) involving three or more existing erven or subdivisions thereof; or
  - (iii) involving three or more erven or divisions thereof which have been consolidated within the past five years; or
  - (iv) the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority;
- (d) the re-zoning of a site exceeding 10 000 m<sup>2</sup> in extent; or
- (e) any other category of development provided for in regulations by SAHRA or a provincial heritage resources authority,

must at the very earliest stages of initiating such a development, notify the responsible heritage resources authority and furnish it with details regarding the location, nature and extent of the proposed development.”

And:

“The responsible heritage resources authority must specify the information to be provided in a report required in terms of subsection (2)(a): Provided that the following must be included:

- (k) The identification and mapping of all heritage resources in the area affected;
- (l) an assessment of the significance of such resources in terms of the heritage assessment criteria set out in section 6(2) or prescribed under section 7;
- (m) an assessment of the impact of the development on such heritage resources;
- (n) an evaluation of the impact of the development on heritage resources relative to the sustainable social and economic benefits to be derived from the development;
- (o) the results of consultation with communities affected by the proposed development and other interested parties regarding the impact of the development on heritage resources;
- (p) if heritage resources will be adversely affected by the proposed development, the consideration of alternatives; and
- (q) plans for mitigation of any adverse effects during and after the completion of the proposed development (38. [3] 1999:64).”

Consequently, section 35 of the Act requires Heritage Impact Assessments (HIAs) or Archaeological Impact Assessments (AIAs) to be done for such developments in order for all heritage resources, that is, all places or objects of aesthetics, architectural, historic, scientific, social, spiritual, linguistic or technological value or significance to be protected. Thus any assessment should make provision for the protection of all these heritage components, including archaeology, shipwrecks, battlefields, graves, and structures older than 60

years, living heritage, historical settlements, landscapes, geological sites, palaeontological sites and objects. Heritage resources management and conservation.

## 10.2 Assessing the Significance of Heritage Resources

Archaeological sites, as previously defined in the National Heritage Resources Act (Act 25 of 1999) are places in the landscape where people have lived in the past – generally more than 60 years ago – and have left traces of their presence behind. In South Africa, archaeological sites include hominid fossil sites, places where people of the Earlier, Middle and Later Stone Age lived in open sites, river gravels, rock shelters and caves, Iron Age sites, graves, and a variety of historical sites and structures in rural areas, towns and cities. Palaeontological sites are those with fossil remains of plants and animals where people were not involved in the accumulation of the deposits. The basic principle of cultural heritage conservation is that archaeological and other heritage sites are valuable, scarce and *non-renewable*. Many such sites are unfortunately lost on a daily basis through development for housing, roads and infrastructure and once archaeological sites are damaged, they cannot be re-created as site integrity and authenticity is permanently lost. Archaeological sites have the potential to contribute to our understanding of the history of the region and of our country and continent. By preserving links with our past, we may not be able to revive lost cultural traditions, but it enables us to appreciate the role they have played in the history of our country.

### - Categories of significance

Rating the significance of archaeological sites, and consequently grading the potential impact on the resources is linked to the significance of the site itself. The significance of an archaeological site is based on the amount of deposit, the integrity of the context, the kind of deposit and the potential to help answer present research questions. Historical structures are defined by Section 34 of the National Heritage Resources Act, 1999, while other historical and cultural significant sites, places and features, are generally determined by community preferences. The guidelines as provided by the NHRA (Act No. 25 of 1999) in Section 3, with special reference to subsection 3 are used when determining the cultural significance or other special value of archaeological or historical sites. In addition, ICOMOS (the Australian Committee of the International Council on Monuments and Sites) highlights four cultural attributes, which are valuable to any given culture:

#### - *Aesthetic value:*

Aesthetic value includes aspects of sensory perception for which criteria can and should be stated. Such criteria include consideration of the form, scale, colour, texture and material of the fabric, the general atmosphere associated with the place and its uses and also the aesthetic values commonly assessed in the analysis of landscapes and townscape.

#### - *Historic value:*

Historic value encompasses the history of aesthetics, science and society and therefore to a large extent underlies all of the attributes discussed here. Usually a place has historical value because of some kind of influence by an event, person, phase or activity.

#### - *Scientific value:*

The scientific or research value of a place will depend upon the importance of the data involved, on its rarity, quality and on the degree to which the place may contribute further substantial information.

#### - *Social value:*

Social value includes the qualities for which a place has become a focus of spiritual, political, national or other cultural sentiment to a certain group.

It is important for heritage specialist input in the EIA process to take into account the heritage management structure set up by the NHR Act. It makes provision for a 3-tier system of management including the South Africa Heritage Resources Agency (SAHRA) at a national level, Provincial Heritage Resources Authorities (PHRAs) at a provincial and the local authority. The Act makes provision for two types or forms of protection of heritage resources; i.e. formally protected and generally protected sites:

**Formally protected sites:**

- Grade 1 or national heritage sites, which are managed by SAHRA
- Grade 2 or provincial heritage sites, which are managed by the provincial HRA (MP-PHRA).
- Grade 3 or local heritage sites.

**Generally protected sites:**

- Human burials older than 60 years.
- Archaeological and palaeontological sites.
- Shipwrecks and associated remains older than 60 years.
- Structures older than 60 years.

With reference to the evaluation of sites, the certainty of prediction is definite, unless stated otherwise and if the significance of the site is rated high, the significance of the impact will also result in a high rating. The same rule applies if the significance rating of the site is low. The significance of archaeological sites is generally ranked into the following categories.

| Significance   | Rating Action  |
|--|--|
| No significance: sites that do not require mitigation.         | None   |
| Low significance: sites, which may require mitigation.         | 2a. Recording and documentation (Phase 1) of site; no further action required<br>2b. Controlled sampling (shovel test pits, auguring), mapping and documentation (Phase 2 investigation); permit required for sampling and destruction |
| Medium significance: sites, which require mitigation.          | 3. Excavation of representative sample, C14 dating, mapping and documentation (Phase 2 investigation); permit required for sampling and destruction [including 2a & 2b]  |
| High significance: sites, where disturbance should be avoided. | 4a. Nomination for listing on Heritage Register (National, Provincial or Local) (Phase 2 & 3 investigation); site management plan; permit required if utilised for education or tourism  |
| High significance: Graves and burial places                    | 4b. Locate demonstrable descendants through social consulting; obtain permits from applicable legislation, ordinances and regional by-laws; exhumation and reinterment [including 2a, 2b & 3]  |

Furthermore, the significance of archaeological sites was based on six main criteria:

- Site integrity (i.e. primary vs. secondary context),
- Amount of deposit, range of features (e.g., stonewalling, stone tools and enclosures),
- Density of scatter (dispersed scatter),
- Social value,
- Uniqueness, and
- Potential to answer current and future research questions.

**11 ADDENDUM 2: CONVENTIONS USED TO ASSESS THE SIGNIFICANCE OF HERITAGE**

**11.1 Site Significance Matrix**

According to the NHRA, Section 2(vi) the **significance** of heritage sites and artefacts is determined by its aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technical value in relation to the uniqueness, condition of preservation and research potential. It must be kept in mind that the various aspects are not mutually exclusive, and that the evaluation of any site is done with reference to any number of these. The following matrix is used for assessing the significance of each identified site/feature.

| 2. SITE EVALUATION  |      |        |     |
|---|------|--------|-----|
| 2.1 Heritage Value (NHRA, section 2 [3])  | High | Medium | Low |
| It has importance to the community or pattern of South Africa’s history or pre-colonial history.  |      |        |     |
| It possesses unique, uncommon, rare or endangered aspects of South Africa’s natural or cultural heritage.   |      |        |     |
| It has potential to yield information that will contribute to an understanding of South Africa’s natural and cultural heritage.                   |      |        |     |
| It is of importance in demonstrating the principle characteristics of a particular class of South Africa’s natural or cultural places or objects. |      |        |     |
| It has importance in exhibiting particular aesthetic characteristics valued by a particular community or cultural group.                          |      |        |     |
| It has importance in demonstrating a high degree of creative or technical achievement at a particular period.                                     |      |        |     |
| It has marked or special association with a particular community or cultural group for social, cultural or spiritual reasons (sense of place).    |      |        |     |
| It has strong or special association with the life or work of a person, group or organisation of importance in the history of South Africa.       |      |        |     |
| It has significance through contributing towards the promotion of a local sociocultural identity and can be developed as a tourist destination.   |      |        |     |
| It has significance relating to the history of slavery in South Africa.   |      |        |     |
| It has importance to the wider understanding of temporal changes within cultural landscapes, settlement patterns and human occupation.            |      |        |     |
| 2.2 Field Register Rating   |      |        |     |
| National/Grade 1 [should be registered, retained]   |      |        |     |
| Provincial/Grade 2 [should be registered, retained]   |      |        |     |
| Local/Grade 3A [should be registered, mitigation not advised]   |      |        |     |
| Local/Grade 3B [High significance; mitigation, partly retained]   |      |        |     |
| Generally Protected A [High/Medium significance, mitigation]  |      |        |     |
| Generally protected B [Medium significance, to be recorded]   |      |        |     |
| Generally Protected C [Low significance, no further action]   |      |        |     |
| 2.3 Sphere of Significance  | High | Medium | Low |
| International   |      |        |     |
| National  |      |        |     |
| Provincial  |      |        |     |
| Local   |      |        |     |
| Specific community  |      |        |     |

## 11.2 Impact Assessment Criteria

The following table provides a guideline for the rating of impacts and recommendation of management actions for sites of heritage potential.

### Significance of the heritage resource

This is a statement of the nature and degree of significance of the heritage resource being affected by the activity. From a heritage management perspective, it is useful to distinguish between whether the significance is embedded in the physical fabric or in associations with events or persons or in the experience of a place; i.e. its visual and non-visual qualities. This statement is a primary informant to the nature and degree of significance of an impact and thus needs to be thoroughly considered. Consideration needs to be given to the significance of a heritage resource at different scales (i.e. site-specific, local, regional, national or international) and the relationship between the heritage resource, its setting and its associations.

### Nature of the impact

This is an assessment of the nature of the impact of the activity on a heritage resource, with some indication of its positive and/or negative effect/s. It is strongly informed by the statement of resource significance. In other words, the nature of the impact may be historical, aesthetic, social, scientific, linguistic or architectural, intrinsic, associational or contextual (visual or non-visual). In many cases, the nature of the impact will include more than one value.

### Extent

Here it should be indicated whether the impact will be experienced:

- On a site scale, i.e. extend only as far as the activity;
- Within the immediate context of a heritage resource;
- On a local scale, e.g. town or suburb
- On a metropolitan or regional scale; or
- On a national/international scale.

### Duration

Here it should be indicated whether the lifespan of the impact will be:

- Short term, (needs to be defined in context)
- Medium term, (needs to be defined in context)
- Long term where the impact will persist indefinitely, possibly beyond the operational life of the activity, either because of natural processes or by human intervention; or
- Permanent where mitigation either by natural process or by human intervention will not occur in such a way or in such a time span that the impact can be considered transient.

Of relevance to the duration of an impact are the following considerations:

- Reversibility of the impact; and
- Renewability of the heritage resource.

### Intensity

Here it should be established whether the impact should be indicated as:

- Low, where the impact affects the resource in such a way that its heritage value is not affected;
- Medium, where the affected resource is altered but its heritage value continues to exist albeit in a modified way; and
- High, where heritage value is altered to the extent that it will temporarily or permanently be damaged or destroyed.

### Probability

This should describe the likelihood of the impact actually occurring indicated as:

- Improbable, where the possibility of the impact to materialize is very low either because of design or historic experience;
- Probable, where there is a distinct possibility that the impact will occur;
- Highly probable, where it is most likely that the impact will occur; or
- Definite, where the impact will definitely occur regardless of any mitigation measures

### Confidence



This should relate to the level of confidence that the specialist has in establishing the nature and degree of impacts. It relates to the level and reliability of information, the nature and degree of consultation with I&AP's and the dynamic of the broader socio-political context.

- High, where the information is comprehensive and accurate, where there has been a high degree of consultation and the socio-political context is relatively stable.
- Medium, where the information is sufficient but is based mainly on secondary sources, where there has been a limited targeted consultation and socio-political context is fluid.
- Low, where the information is poor, a high degree of contestation is evident and there is a state of socio-political flux.

**Impact Significance**

The significance of impacts can be determined through a synthesis of the aspects produced in terms of the nature and degree of heritage significance and the nature, duration, intensity, extent, probability and confidence of impacts and can be described as:

- Low; where it would have a negligible effect on heritage and on the decision
- Medium, where it would have a moderate effect on heritage and should influence the decision.
- High, where it would have, or there would be a high risk of, a big effect on heritage. Impacts of high significance should have a major influence on the decision;
- Very high, where it would have, or there would be high risk of, an irreversible and possibly irreplaceable negative impact on heritage. Impacts of very high significance should be a central factor in decision-making.

**11.3 Direct Impact Assessment Criteria**

The following table provides an outline of the relationship between the significance of a heritage context, the intensity of development and the significance of heritage impacts to be expected

| HERITAGE CONTEXT   | TYPE OF DEVELOPMENT                   |  |                                    |                                    |
|--|---------------------------------------|--|------------------------------------|------------------------------------|
|  | CATEGORY A                            | CATEGORY B   | CATEGORY C                         | CATEGORY D                         |
| <b>CONTEXT 1</b><br>High heritage Value  | Moderate heritage impact expected     | High heritage impact expected  | Very high heritage impact expected | Very high heritage impact expected |
| <b>CONTEXT 2</b><br>Medium to high heritage value  | Minimal heritage impact expected      | Moderate heritage impact expected  | High heritage impact expected      | Very high heritage impact expected |
| <b>CONTEXT 3</b><br>Medium to low heritage value   | Little or no heritage impact expected | Minimal heritage impact expected   | Moderate heritage impact expected  | High heritage impact expected      |
| <b>CONTEXT 4</b><br>Low to no heritage value   | Little or no heritage impact expected | Little or no heritage impact expected  | Minimal heritage value expected    | Moderate heritage impact expected  |
| <b>NOTE: A DEFAULT "LITTLE OR NO HERITAGE IMPACT EXPECTED" VALUE APPLIES WHERE A HERITAGE RESOURCE OCCURS OUTSIDE THE IMPACT ZONE OF THE DEVELOPMENT.</b>  |                                       |  |                                    |                                    |
| HERITAGE CONTEXTS  |                                       | CATEGORIES OF DEVELOPMENT  |                                    |                                    |
| <p><b>Context 1:</b><br/>Of high intrinsic, associational and contextual heritage value within a national, provincial and local context, i.e. formally declared or potential Grade 1, 2 or 3A heritage resources</p> <p><b>Context 2:</b><br/>Of moderate to high intrinsic, associational and contextual value within a local context, i.e. potential Grade 3B heritage resources.</p> <p><b>Context 3:</b></p> |                                       | <p><b>Category A: Minimal intensity development</b></p> <ul style="list-style-type: none"> <li>- No rezoning involved; within existing use rights.</li> <li>- No subdivision involved.</li> <li>- Upgrading of existing infrastructure within existing envelopes</li> <li>- Minor internal changes to existing structures</li> <li>- New building footprints limited to less than 1000m<sup>2</sup>.</li> </ul> <p><b>Category B: Low-key intensity development</b></p> <ul style="list-style-type: none"> <li>- Spot rezoning with no change to overall zoning of a site.</li> <li>- Linear development less than 100m</li> </ul> |                                    |                                    |

|  |  |
|--|--|
| <p>Of medium to low intrinsic, associational or contextual heritage value within a national, provincial and local context, i.e. potential Grade 3C heritage resources</p> <p><b>Context 4:</b><br/>Of little or no intrinsic, associational or contextual heritage value due to disturbed, degraded conditions or extent of irreversible damage.</p> | <ul style="list-style-type: none"> <li>- Building footprints between 1000m2-2000m2</li> <li>- Minor changes to external envelop of existing structures (less than 25%)</li> <li>- Minor changes in relation to bulk and height of immediately adjacent structures (less than 25%).</li> </ul> <p><b>Category C: Moderate intensity development</b></p> <ul style="list-style-type: none"> <li>- Rezoning of a site between 5000m2-10 000m2.</li> <li>- Linear development between 100m and 300m.</li> <li>- Building footprints between 2000m2 and 5000m2</li> <li>- Substantial changes to external envelop of existing structures (more than 50%)</li> <li>- Substantial increase in bulk and height in relation to immediately adjacent buildings (more than 50%)</li> </ul> <p><b>Category D: High intensity development</b></p> <ul style="list-style-type: none"> <li>- Rezoning of a site in excess of 10 000m2</li> <li>- Linear development in excess of 300m.</li> <li>- Any development changing the character of a site exceeding 5000m2 or involving the subdivision of a site into three or more erven.</li> <li>- Substantial increase in bulk and height in relation to immediately adjacent buildings (more than 100%)</li> </ul> |
|--|--|

#### 11.4 Management and Mitigation Actions

The following table provides a guideline of relevant heritage resources management actions is vital to the conservation of heritage resources.

|  |
|--|
| <p><b>No further action / Monitoring</b></p> <p>Where no heritage resources have been documented, heritage resources occur well outside the impact zone of any development or the primary context of the surroundings at a development footprint has been largely destroyed or altered, no further immediate action is required. Site monitoring during development, by an ECO or the heritage specialist are often added to this recommendation in order to ensure that no undetected heritage\ remains are destroyed.</p> <p><b>Avoidance</b></p> <p>This is appropriate where any type of development occurs within a formally protected or significant or sensitive heritage context and is likely to have a high negative impact. Mitigation is not acceptable or not possible. This measure often includes the change / alteration of development planning and therefore impact zones in order not to impact on resources.</p> <p><b>Mitigation</b></p> <p>This is appropriate where development occurs in a context of heritage significance and where the impact is such that it can be mitigated to a degree of medium to low significance, e.g. the high to medium impact of a development on an archaeological site could be mitigated through sampling/excavation of the remains. Not all negative impacts can be mitigated.</p> <p><b>Compensation</b></p> <p>Compensation is generally not an appropriate heritage management action. The main function of management actions should be to conserve the resource for the benefit of future generations. Once lost it cannot be renewed. The circumstances around the potential public or heritage benefits would need to be exceptional to warrant this type of action, especially in the case of where the impact was high.</p> <p><b>Rehabilitation</b></p> <p>Rehabilitation is considered in heritage management terms as a intervention typically involving the adding of a new heritage layer to enable a new sustainable use. It is not appropriate when the process necessitates the removal of previous historical layers, i.e. restoration of a building or place to the previous state/period. It is an appropriate heritage management action in the following cases:</p> <ul style="list-style-type: none"> <li>- The heritage resource is degraded or in the process of degradation and would benefit from rehabilitation.</li> <li>- Where rehabilitation implies appropriate conservation interventions, i.e. adaptive reuse, repair and maintenance, consolidation and minimal loss of historical fabric.</li> <li>- Where the rehabilitation process will not result in a negative impact on the intrinsic value of the resource.</li> </ul> <p><b>Enhancement</b></p> |
|--|

