Appendix 5

# Palaeontological Impact Assessment for the proposed mining right on a Portion of the Pniel 281 and the Vaal Riverside, Barkly West, Northern Cape Province

**Desktop Study** 

For

**Edward Matenga** 

22 January 2019

Prof Marion Bamford
Palaeobotanist
P Bag 652, WITS 2050
Johannesburg, South Africa
Marion.bamford@wits.ac.za

# **Expertise of Specialist**

The Palaeontologist Consultant is: Prof Marion Bamford Qualifications: PhD (Wits Univ, 1990); FRSSAf, ASSAf Experience: 30 years research; 22 years PIA studies

# **Declaration of Independence**

This report has been compiled by Professor Marion Bamford, of the University of the Witwatersrand, sub-contracted by Dr Edward Matenga, South Africa. The views expressed in this report are entirely those of the author and no other interest was displayed during the decision making process for the Project.

Specialist: Prof Marion Bamford

Signature:

# **Executive Summary**

A palaeontological Impact Assessment was requested for a mining right on the remainder of Farm Pniel 281, and a Portion of State Land alongside the Vaal River, Barkly West, Northern Cape Province. The client is submitting a mining right application, along with the required environmental authorisation application. To comply with the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed mining activity.

The farm lies on the sands of the Quaternary group with underlying ancient volcanic rocks of the Allanridge Formation that do not contain fossils. Assuming that diamonds are being mined then there might be fluvial channels in the Quaternary sediments, and possibly fossils associated with the clasts. To err on the side of caution a Fossil Chance Find Protocol should be added to the EMPr. Based on this information it is recommended that no palaeontological site visit is required and a mining right be granted.

# Table of Contents

	Expertise of Specialist	
	Declaration of Independence	'
1	Background	4
2.	Methods and Terms of Reference	6
i.	Project location and geological context	7
ii.	Palaeontological context	7
4.	Impact assessment	.10
5.	Assumptions and uncertainties	.11
6.	Recommendation	.11
7.	References	.11
8.	Chance Find Protocol	.12
App	endix A (examples of fossils)	13
Apı	pendix B (short CV of specialist)	14

# 1. Background

A Palaeontological Impact Assessment was requested for a mining right application and related infrastructure on the remainder of Farm Pniel 281, and a Portion of State Land alongside the Vaal River, Barkly West, Northern Cape Province. (Fig 1), situated in the Magisterial District Barkly West, Northern Cape Province. The approximate centre of the property is 28°27'23.68" S; 24°22'55.82" E.

The client is submitting a mining rights application, along with the required environmental authorisation application. To comply with the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed project.

Table 1: Specialist report requirements in terms of Appendix 6 of the EIA Regulations (2014)

A specialist report prepared in terms of the Environmental Impact Regulations of 2014 must contain:	Relevant section in report	
Details of the specialist who prepared the report	Appendix A	
The expertise of that person to compile a specialist report including a curriculum vitae	Appendix A	
A declaration that the person is independent in a form as may be specified by the competent authority	Page 1	
An indication of the scope of, and the purpose for which, the report was prepared	Section 1	
The date and season of the site investigation and the relevance of the season to the outcome of the assessment	N/A	
A description of the methodology adopted in preparing the report or carrying out the specialised process	Section 2	
The specific identified sensitivity of the site related to the activity and its associated	Section Error! Reference source not found.	
structures and infrastructure	Error! Reference source not found.	
An identification of any areas to be avoided, including buffers	N/A	
A map superimposing the activity including the associated structures and infrastructure on the environmental sensitivities of the site including areas to be avoided, including buffers;	N/A	
A description of any assumptions made and any uncertainties or gaps in knowledge;	Section 5	
A description of the findings and potential implications of such findings on the impact of the proposed activity, including identified alternatives, on the environment	Section 4	
Any mitigation measures for inclusion in the EMPr	n/a	
Any conditions for inclusion in the environmental authorisation	n/a	

Any monitoring requirements for inclusion in the EMPr or environmental authorisation	Section 8
A reasoned opinion as to whether the proposed activity or portions thereof should be authorised	N/A
If the opinion is that the proposed activity or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan	N/A
A description of any consultation process that was undertaken during the course of carrying out the study	N/A
A summary and copies if any comments that were received during any consultation process	N/A
Any other information requested by the competent authority.	N/A

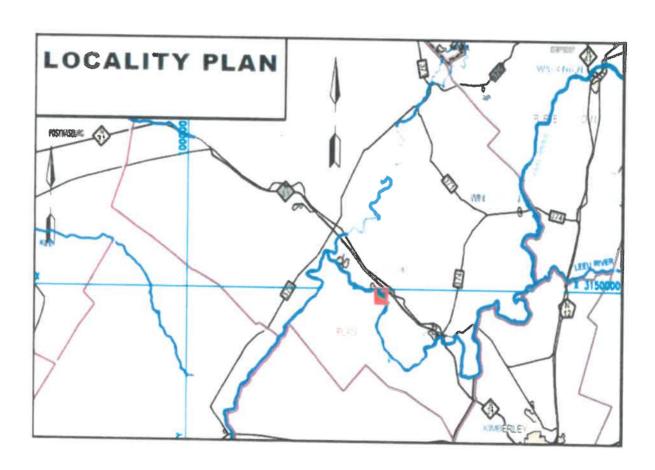


Figure 1: Map of the proposed site for the mine prospecting (red rectangle, centre). Map supplied by E Matenga.

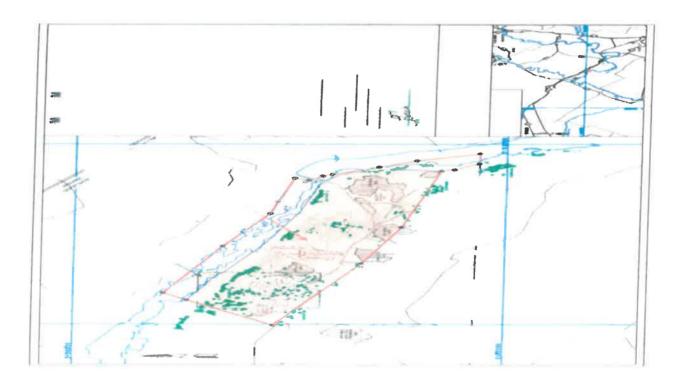


Figure 2: Map of the Remainder of Pniel 218 and State Land alongside the Vaal River. Map supplied by E Matenga.

# 2. Methods and Terms of Reference

The Terms of Reference (ToR) for this study were to undertake a PIA and provide feasible management measures to comply with the requirements of SAHRA.

The methods employed to address the ToR included:

- Consultation of geological maps, literature, palaeontological databases, published and unpublished records to determine the likelihood of fossils occurring in the affected areas. Sources included records housed at the Evolutionary Studies Institute at the University of the Witwatersrand and SAHRA databases;
- 2. Where necessary, site visits by a qualified palaeontologist to locate any fossils and assess their importance (not applicable to this assessment);
- Where appropriate, collection of unique or rare fossils with the necessary permits for storage and curation at an appropriate facility (not applicable to this assessment);
- 4. Determination of fossils' representivity or scientific importance to decide if the fossils can be destroyed or a representative sample collected (not applicable to this assessment).

# 3. Geology and Palaeontology

# Project location and geological context

The area for the proposed mining rights and related infrastructure is on the farm Longlands 350 (Figures 1 and 2) and lies on Quaternary sands, and the underlying andesites of the Allanridge Formation. The latter forms the uppermost part of the Ventersdorp Supergroup. Its detailed lithology shows mostly dark green amygdaloidal lava, light greenish-grey porhyritic lava and pyroclastic rocks (Fig 3). The lavas are basaltic andesites and are about 2700 million years old. Above the Ventersdorp Supergroup is the Vryburg Formation that has been interpreted a fluvial to marginal marine deposit. It is made up of a basal transgressive conglomerate and quartzites, shales and subordinate stromatolitic carbonates (Eriksson et al., 2006).

Much younger cover of Kalahari sediments comprises sand, alluvium and calcretes. Old diamond diggings on the farm attest to possible presence of old river channels and alluvial diamonds. Such deposits comprise a mix of clasts from upstream as well as rare diamonds.

# ii. Palaeontological context

The palaeontological sensitivity of the area under consideration is presented in Figure 4. The basement rocks of the Ventersdorp Supergroup and the Ghaap Group are not fossiliferous as they are too old and mostly of the wrong type of rock. The Kalahari sands are the correct age for fossils but the medium is not suitable except when associated with pans and river cuttings, but these are rare. It is unknown if there are river gravels present in the region. No pans are indicated. From lack of documentation there is a very small likelihood of fossils occurring here (Partridge et al., 2006).

There are no fossils in the Allanridge Formation as it is too old and of igneous origin.

There are records of palaeochannels along the Vaal and Orange Rivers of Permian (Alta Joubert collection in the ESI; Permian woods; unpublished) and vertebrates and fossil woods of Neogene age (Pickford et al., 1995; Auchas, Orange River, Miocene). There are no records from around Barkly West.

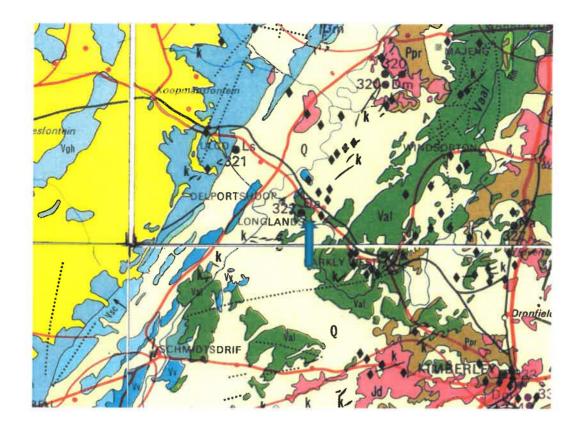


Figure 3: Geological map of the area along the Vaal River around Barkly West. The location of the proposed project is indicated with the blue arrow. Abbreviations of the rock types are explained in Table 2. Map enlarged from the Geological Survey 1: 1 000 000 map 1984.

Table 2: Explanation of symbols for the geological map and approximate ages (Johnson et al., 2006; van der Westhuizen et al., 2006). SG = Supergroup; Fm = Formation.

Symbol	Group/Formation	Lithology	Approximate Age
Q	Quaternary	Alluvium, sand, calcrete	ca 2.5 Ma to present
Jd	Jurassc dolerite dykes	Dolerite	Ca 183 Ma
Vgh	Ghaap Group, Prieska Sub-Basin	Dolomite, lime-stone, shale	2642 – 2425 Ma
Vsc	Schmidtsdrif Subgroup, Ghaap Group, Prieska Sub-Basin	Dolomite, shale	2642 – 2620 Ma
Vbr	Black Reef Fm,	Quartzite, conglomerate, shale, basalt	Ca 2650 – 2640 Ma
Vv	Vryburg Fm	Quartzite and dolomite	2650 – 2640 Ma
Val	Allanridge Fm, Ventersdorp Supergroup	Andesite	Ca 2700 Ma



Figure 4: SAHRIS palaeosensitivity map of the Farm Longlands and State Land along the Vaal River that is under investigation for the prospecting rights application. The site is within the yellow rectangle.

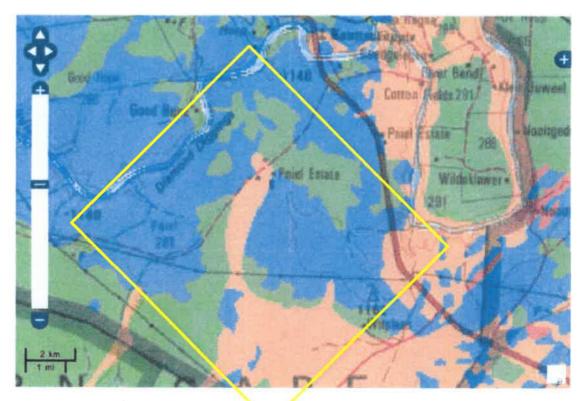


Figure 4: SAHRIS palaeosensitivity map for Rem Pniel 281 within the yellow rectangle. Colours indicate the following degrees of sensitivity: red = very highly sensitive; orange/yellow = high; green = moderate; blue = low; grey = insignificant/zero.

# 4. Impact assessment

An assessment of the potential impacts to possible palaeontological resources considers the criteria encapsulated in Table 3:

TABLE 3A: CRITERIA FOR ASSESSING IMPACTS

PART A: DEFINITION AND CRITERIA				
	Н	Substantial deterioration (death, illness or injury). Recommended level will often be violated. Vigorous community action.		
	М	Moderate/ measurable deterioration (discomfort). Recommended level will occasionally be violated. Widespread complaints.		
Criteria for ranking of the SEVERITY/NATURE of environmental	L	Minor deterioration (nuisance or minor deterioration). Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.		
impacts	L+	Minor improvement. Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.		
	M+	Moderate improvement. Will be within or better than the recommended level. No observed reaction.		
	H+	Substantial improvement. Will be within or better than the recommended level. Favourable publicity.		
	L	Quickly reversible. Less than the project life. Short term		
Criteria for ranking the DURATION of impacts	М	Reversible over time. Life of the project. Medium term		
DOTATION OF Impacts	Н	Permanent. Beyond closure. Long term.		
Criteria for ranking the	L	Localised - Within the site boundary.		
SPATIAL SCALE of	М	Fairly widespread – Beyond the site boundary. Local		
impacts	Н	Widespread – Far beyond site boundary. Regional/ national		
PROBABILITY	Н	Definite/ Continuous		
(of exposure to	М	Possible/ frequent		
impacts)	L	Unlikely/ seldom		

**TABLE 3B: IMPACT ASSESSMENT** 

PART B: ASSESSMENT		
	Н	-
	M	-
SEVERITY/NATURE	L	Loose sands of the Quaternary do not preserve plant fossils; The impact would be very unlikely.
	L+	-
	M+	-
	H+	-
	L	-
DURATION	M	-
	Н	Where manifest, the impact will be permanent.
SPATIAL SCALE	L	Since only the possible fossils within the area would be fragments with the clasts in old fluvial channels; the spatial scale will be localised within the site boundary.
	М	-
	Н	-
	Н	•
	M	-
PROBABILITY	L	It is unlikely that any fossils would be found in the prospecting area but since there is an extremely small chance a chance find protocol should be added to the eventual EMPr.

Based on the nature of the project, surface activities may impact upon the fossil heritage if preserved in the prospecting footprint. The geological structures suggest that the rocks are much too old to contain fossils and are of igneous origin. It is unknown if there are old fluvial channels but the fact that there are diamond diggings implies that there are. Since there is an extremely small chance that fossils might occur in the Quaternary sediments a Chance Find Protocol has been added to this report. Taking account of the defined criteria, the potential impact to fossil heritage resources is very low.

# 5. Assumptions and uncertainties

Based on the geology of the area and the palaeontological record as we know it, it can be assumed that the formation and layout of the basalts, andesites, dolomites, sandstones, shales and sands are typical for the country and do not contain fossil plant, insect, invertebrate and vertebrate material. Very rarely do Quaternary sands preserve fossils and then only in special cases like pans and fluvially altered deposits. Assuming that there are old fluvial channels that are being mined for diamonds, it follows that there may be fossils associated with them, such as vertebrate bones and silicified wood of Neogene age. If other resources are being prospected then this does not apply. Most commonly the sands of the Quaternary period would not preserve fossils.

# 6. Recommendation

Based on experience and the lack of any previously recorded fossils from the area, it is extremely unlikely that any fossils would be preserved in the loose sands of the Quaternary. There is very small chance that fossils may occur if there are fluvial channels. In order to err on the side of caution a Fossil Chance Find Protocol should be added to the EMPr: if fossils are found once mining has commenced then they should be rescued and a palaeontologist called to assess and collect a representative sample.

# 7. References

Erikssen, P.G., Altermann, W., Hartzer, F.J., 2006. The Transvaal Supergroup and its pre-cursors. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. pp 237-260.

Johnson, M.R., van Vuuren, C.J., Visser, J.N.J., Cole, D.I., Wickens, H.deV., Christie, A.D.M., Roberts, D.L., Brandl, G., 2006. Sedimentary rocks of the Karoo Supergroup. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. Pp 461 – 499.

Partridge, T.C., Botha, G.A., Haddon, I.G., 2006. Cenozoic deposits of the interior. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. Pp 585-604.

Pickford, M., Senut, B., Mein, P., Morales, J., Soria, D., Neito, M., Ward, J., Bamford, M. 1995. The discovery of Lower and middle Miocene vertebrates at Auchas, southern Namibia. C. R. Acad. Sci., Paris, Ser IIa, 322:901-906.

Van der Westhuizen, W.A., de Bruiyn, H., Meintjes, P.G., 2006. The Ventersdorp Supergroup. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. pp 187-208.

# 8. Chance Find Protocol

Monitoring Programme for Palaeontology – to commence once the prospecting begins.

- 1. The following procedure is only required if fossils are seen on the surface and when drilling or trenching commence.
- When excavations begin the rocks and must be given a cursory inspection by the environmental officer or designated person. Any fossiliferous material (plants, wood, bone fragments) should be put aside in a suitably protected place. This way the mining activities will not be interrupted.
- 3. Photographs of similar fossil plants and animals must be provided to the developer to assist in recognizing the fossil plants in the shales and mudstones .This information will be built into the EMP's training and awareness plan and procedures.
- 4. Photographs of the putative fossils can be sent to the palaeontologist for a preliminary assessment.
- 5. If there is any possible fossil material found by the developer/environmental officer/miners then the qualified palaeontologist sub-contracted for this project, should visit the site to inspect the selected material and check the dumps where feasible.
- 6. Fossil plants or vertebrates that are considered to be of good quality or scientific interest by the palaeontologist must be removed, catalogued and housed in a suitable institution where they can be made available for further study. Before the fossils are removed from the site a SAHRA permit must be obtained. Annual reports must be submitted to SAHRA as required by the relevant permits.

- 7. If no good fossil material is recovered then the site inspections by the palaeontologist will not be necessary. Annual reports by the palaeontologist must be sent to SAHRA.
- 8. If no fossils are found and the excavations have finished then no further monitoring is required.

**Appendix A** - Examples of fossils from Orange River Palaeochannels (photos from Auchas which is much farther downstream).



Fig 6: Fragments of fossil wood mixed in with the pebbles and sands are easy to recognised by their texture and more angular shape.

# Appendix B – Details of specialist

# Curriculum vitae (short) - Marion Bamford PhD January 2019

#### I) Personal details

Surname : Bamford

First names : Marion Kathleen

Present employment: Professor; Director of the Evolutionary Studies Institute.

Member Management Committee of the NRF/DST Centre of Excellence Palaeosciences, University of the Witwatersrand,

Johannesburg, South Africa-

Telephone : +27 11 717 6690 Fax : +27 11 717 6694 Cell : 082 555 6937

E-mail : marion.bamford@wits.ac.za : marionbamford12@gmail.com

#### ii) Academic qualifications

Tertiary Education: All at the University of the Witwatersrand:

1980-1982: BSc, majors in Botany and Microbiology. Graduated April 1983.

1983: BSc Honours, Botany and Palaeobotany. Graduated April 1984.

1984-1986: MSc in Palaeobotany, Graduated with Distinction, November 1986.

1986-1989: PhD in Palaeobotany. Graduated in June 1990.

#### iii) Professional qualifications

Wood Anatomy Training (overseas as nothing was available in South Africa):

1994 - Service d'Anatomie des Bois, Musée Royal de l'Afrique Centrale, Tervuren, Belgium, by Roger Dechamps

1997 - Université Pierre et Marie Curie, Paris, France, by Dr Jean-Claude Koeniguer

1997 - Université Claude Bernard, Lyon, France by Prof Georges Barale, Dr Jean-Pierre Gros, and Dr Marc Philippe

#### iv) Membership of professional bodies/associations

Palaeontological Society of Southern Africa

Royal Society of Southern Africa - Fellow: 2006 onwards

Academy of Sciences of South Africa - Member: Oct 2014 onwards

International Association of Wood Anatomists - First enrolled: January 1991

International Organization of Palaeobotany - 1993+

**Botanical Society of South Africa** 

South African Committee on Stratigraphy - Biostratigraphy - 1997 - 2016

SASQUA (South African Society for Quaternary Research) - 1997+

PAGES - 2008 - onwards: South African representative

ROCEEH / WAVE - 2008+

INQUA - PALCOMM - 2011+onwards

### vii) Supervision of Higher Degrees

#### All at Wits University

Degree	Graduated/completed	Current
Honours	6	1
Masters	8	1
PhD	10	2
Postdoctoral fellows	9	3

#### viii) Undergraduate teaching

Geology II - Palaeobotany GEOL2008 - average 65 students per year

Biology III - Palaeobotany APES3029 - average 25 students per year

Honours – Evolution of Terrestrial Ecosystems; African Plio-Pleistocene Palaeoecology;

Micropalaeontology – average 2-8 students per year.

#### ix) Editing and reviewing

Editor: Palaeontologia africana: 2003 to 2013; 2014 - Assistant editor

Guest Editor: Quaternary International: 2005 volume

Member of Board of Review: Review of Palaeobotany and Palynology: 2010 -

Cretaceous Research: 2014 -

Review of manuscripts for ISI-listed journals: 25 local and international journals

# x) Palaeontological Impact Assessments

Selected – list not complete:

- Thukela Biosphere Conservancy 1996; 2002 for DWAF
- Vioolsdrift 2007 for Xibula Exploration
- Rietfontein 2009 for Zitholele Consulting
- Bloeddrift-Baken 2010 for TransHex
- New Kleinfontein Gold Mine 2012 for Prime Resources (Pty) Ltd.
- Thabazimbi Iron Cave 2012 for Professional Grave Solutions (Pty) Ltd
- Delmas 2013 for Jones and Wagener
- Klipfontein 2013 for Jones and Wagener
- Platinum mine 2013 for Lonmin
- Syferfontein 2014 for Digby Wells
- Canyon Springs 2014 for Prime Resources
- Kimberley Eskom 2014 for Landscape Dynamics

- Yzermyne 2014 for Digby Wells
- Matimba 2015 for Royal HaskoningDV
- Commissiekraal 2015 for SLR
- Harmony PV 2015 for Savannah Environmental
- Glencore-Tweefontein 2015 for Digby Wells
- Umkomazi 2015 for JLB Consulting
- Ixia coal 2016 for Digby Wells
- Lambda Eskom for Digby Wells
- Alexander Scoping for SLR
- Perseus-Kronos-Aries Eskom 2016 for NGT
- Mala Mala 2017 for Henwood
- Modimolle 2017 for Green Vision
- Klipoortjie and Finaalspan 2017 for Delta BEC
- Ledjadja borrow pits 2018 for Digby Wells
- Lungile poultry farm 2018 for CTS
- Olienhout Dam 2018 for JP Celliers
- Isondlo and Kwasobabili 2018 for GCS
- Kanakies Gypsum 2018 for Cabanga
- Nababeep Copper mine 2018
- Glencore-Mbali pipeline 2018 for Digby Wells

•

# xi) Research Output

Publications by M K Bamford up to June 2018 peer-reviewed journals or scholarly books: over 125 articles published; 5 submitted/in press; 8 book chapters.

Scopus h index = 26; Google scholar h index = 30;

Conferences: numerous presentations at local and international conferences.

## xii) NRF Rating

NRF Rating: B-2 (2016-2020) NRF Rating: B-3 (2010-2015) NRF Rating: B-3 (2005-2009) NRF Rating: C-2 (1999-2004)