Phase 1 Cultural Heritage Impact Assessment:

THE PROPOSED NYARHI SOLAR POWER PLANT NEAR VILIOENSKROON, FREE STATE PROVINCE

Prepared for:

Environamics: Ms M Botha

PO Box 6484, Baillie Park, 2526; Tel: 086 762 8336; E-mail: marelie@environamics.co.za

Prepared by:

J A van Schalkwyk (D Litt et Phil),

- Heritage Consultant: ASAPA Registration No.: 164 Principal Investigator: Iron Age, Colonial Period, Industrial Heritage.
- Postal Address: 62 Coetzer Avenue, Monument Park, 0181; Tel: 076 790 6777; E-mail: jvschalkwyk@mweb.co.za

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Submission of the report:

It remains the responsibility of the client to submit the report to the South African Heritage Resources Agency (SAHRA) or relevant Provincial Heritage Resources Agency (PHRA) by means of the online SAHRIS System.















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Specialist competency:

Johan A van Schalkwyk, D Litt et Phil, heritage consultant, has been working in the field of heritage management for more than 40 years. Originally based at the National Museum of Cultural History, Pretoria, he has actively done research in the fields of anthropology, archaeology, museology, tourism and impact assessment. This work was done in Limpopo Province, Gauteng, Mpumalanga, North West Province, Eastern Cape Province, Northern Cape Province, Botswana, Zimbabwe, Malawi, Lesotho and Swaziland. Based on this work, he has curated various exhibitions at different museums and has published more than 70 papers, most in scientifically accredited journals. During this period, he has done more than 2000 impact assessments (archaeological, anthropological, historical and social) for various government departments and developers. Projects include environmental management frameworks, roads, pipeline-, and power line developments, dams, mining, water purification works, historical landscapes, refuse dumps and urban developments.

J A van Schalkwyk Heritage Consultant April 2022

Behalkong k















SPECIALIST DECLARATION

I, J A van Schalkwyk, as the appointed independent specialist, in terms of the 2014 EIA Regulations (as amended), hereby declare that I:

- I act as the independent specialist in this application;
- I perform the work relating to the application in an objective manner, even if this results in views and findings that are not favourable to the applicant;
- regard the information contained in this report as it relates to my specialist input/study to be true and correct, and do not have and will not have any financial interest in the undertaking of the activity, other than remuneration for work performed in terms of the NEMA, the Environmental Impact Assessment Regulations, 2014 (as amended) and any specific environmental management Δct.
- I declare that there are no circumstances that may compromise my objectivity in performing such work:
- I have expertise in conducting the specialist report relevant to this application, including knowledge
 of the Act, Regulations and any guidelines that have relevance to the proposed activity;
- I will comply with the Act, Regulations and all other applicable legislation;
- I have no, and will not engage in, conflicting interests in the undertaking of the activity;
- I have no vested interest in the proposed activity proceeding;
- I undertake to disclose to the applicant and the competent authority all material information in my
 possession that reasonably has or may have the potential of influencing any decision to be taken
 with respect to the application by the competent authority; and the objectivity of any report, plan
 or document to be prepared by myself for submission to the competent authority;
- I have ensured that information containing all relevant facts in respect of the specialist input/study was distributed or made available to interested and affected parties and the public and that participation by interested and affected parties was facilitated in such a manner that all interested and affected parties were provided with a reasonable opportunity to participate and to provide comments on the specialist input/study;
- I have ensured that the comments of all interested and affected parties on the specialist input/study were considered, recorded and submitted to the competent authority in respect of the application;
- all the particulars furnished by me in this specialist input/study are true and correct; and
- I realise that a false declaration is an offence in terms of regulation 48 and is punishable in terms of section 24F of the Act.

Signature of the specialist

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J A van Schalkwyk

April 2022

EXECUTIVE SUMMARY

Phase 1 Cultural Heritage Impact Assessment: THE PROPOSED NYARHI SOLAR POWER PLANT NEAR VILIOENSKROON, FREE STATE PROVINCE

Environamics was appointed to conduct the basic assessment process for the development of a Solar Power Plant and associated infrastructure on Portion 1 of the Farm Die Hoek No. 114, Portion 1 of the farm Doornkom-oost No. 447 and Doornplaats No. 559, in the Fezile Dabi District Municipality, Free State Province.

In accordance with Section 38 of the NHRA, an independent heritage consultant was appointed by *Environamics* to conduct a cultural heritage assessment to determine if the development of the solar power plant, associated infrastructure and power line corridor would have an impact on any sites, features or objects of cultural heritage significance.

This report describes the methodology used, the limitations encountered, the heritage features that were identified and the recommendations and mitigation measures proposed relevant to this. The investigation consisted of a desktop study (archival sources, database survey, maps and aerial imagery) and a physical survey that also included the interviewing of relevant people. It should be noted that the implementation of the mitigation measures is subject to SAHRA/PHRA's approval.

The cultural landscape qualities of the region are made up of a pre-colonial element consisting of very limited Stone Age and Iron Age occupation, as well as a much later colonial (farmer) component, which also gave rise to an urban and industrial (mining) component.

Identified sites

Although some structures were identified on the site, they are judged to have low significance, largely as they were demolished, and are therefore viewed to be sufficiently documented after having been included in this report.

No sites, features or objects of cultural significance were identified.

Impact assessment and proposed mitigation measures

Impact analysis of cultural heritage resources under threat of the proposed development, is based on the present understanding of the development:

• For the current study, as no sites, features or objects of cultural significance were identified, no mitigation measures are proposed.

Cumulative assessment

Heritage resources are sparsely distributed on the wider landscape with highly significant (Grade 1) sites being rare. Because of the low likelihood of finding further significant heritage resources in the area of the proposed for development and the generally low density of sites in the wider landscape the overall impacts to heritage are expected to be of generally low significance before mitigation.

For the project area, the impacts to heritage sites are expected to be of medium significance. However, this can be ameliorated by implementing mitigation measures, include isolating sites, relocating sites (e.g. burials) and excavating or sampling any significant archaeological material found to occur within the project area. The chances of further such material being found, however, are considered to be negligible. After mitigation, the overall impact significance would therefore be low.

Legal requirements

The legal requirements related to heritage specifically are specified in Section 3 of this report.

- For this proposed project, the assessment has determined that no sites, features or objects of cultural heritage significance occur in the project area, therefore no permits are required from SAHRA or the PHRA.
- If heritage features are identified during construction, as stated in the management recommendation, these finds would have to be assessed by a specialist, after which a decision will be made regarding the application for relevant permits.

Reasoned opinion as to whether the proposed activity should be authorised:

• From a heritage point of view, it is recommended that the Proposed Project be allowed to continue on acceptance of the conditions proposed below.

Conditions for inclusion in the environmental authorisation:

- The Palaeontological Sensitivity Map (http://www.sahra.org.za/sahris/map/palaeo) indicate that
 the project area has a very high sensitivity of fossil remains to be found and therefore a field
 assessment and protocol for finds is required.
- Should archaeological sites or graves be exposed during construction work, it must immediately be reported to a heritage practitioner so that an investigation and evaluation of the finds can be made. The appropriate steps to take are indicated in Section 9 of the report, as well as in the Management Plan: Burial Grounds and Graves, with reference to general heritage sites, in the Addendum, Section 13.5.

J A van Schalkwyk Heritage Consultant

Behalkongk

April 2022

TECHNICAL SUMMARY

| Project description | |
|---------------------|--|
| Description | Development of a solar power plant and associated infrastructure |
| Project name | Nyarhi Solar Power Plant |

| Applicant | |
|---|--|
| Nyarhi Solar Power Plant (RF) (Pty) Ltd | |

| Environmental assessment practitioner | | |
|---------------------------------------|--|--|
| Ms M Botha | | |
| Environamics | | |

| Property details | | | | | | |
|----------------------|-------------------------|-----------------|---|--------|--------------------|---------------|
| Province | Free S | Free State | | | | |
| Magisterial district | Viljoe | nskroon | | | | |
| Local Municipality | Moql | naka | | | | |
| Topo-cadastral map | 26261 | DD | | | | |
| Farm name | Portio | on 1 of the Fa | arm Die Hoek | No. 1 | L14, Portion 1 | 1 of the farm |
| | Doori | nkom-oost No. | 447 and Doorn | plaats | No. 559. | |
| Closest town | Orkne | ey | | | | |
| Coordinates | Centr | e point (approx | imate) | | | |
| | No | Latitude | Longitude | No | Latitude | Longitude |
| | 1 S 26,98402 E 26,81139 | | | | | |
| | .kml f | iles¹ | EIA and BA Quote for 3 projects_v1.km. | | Pro Buffers.kml | |

| Development criteria in terms of Section 38(1) of the NHR Act | | | |
|---|-----|--|--|
| Construction of road, wall, power line, pipeline, canal or other linear form of development | | | |
| or barrier exceeding 300m in length | | | |
| Construction of bridge or similar structure exceeding 50m in length | No | | |
| Development exceeding 5000 sq m | Yes | | |
| Development involving three or more existing erven or subdivisions | | | |
| Development involving three or more erven or divisions that have been consolidated | | | |
| within past five years | | | |
| Rezoning of site exceeding 10 000 sq m | No | | |
| Any other development category, public open space, squares, parks, recreation grounds | No | | |

| Land use | |
|-------------------|---------------------|
| Previous land use | Grazing/Cultivation |
| Current land use | Grazing/Cultivation |

 1 Left click on the coloured icon to open the file in Google Earth, if installed on the computer. Alternatively, right click on the icon. In dialog box, select "Save Embedded File to Disk" and save to folder of choice.

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GLOSSARY OF TERMS AND ABBREVIATIONS

TERMS

Bioturbation: The burrowing by small mammals, insects and termites that disturb archaeological deposits.

Cumulative impacts: In relation to an activity, means the past, current and reasonably foreseeable future impact of an activity, considered together with the impact of activities associated with that activity, that in itself may not be significant, but may become significant when added to existing and reasonably foreseeable impacts eventuating from similar or diverse activities.

Debitage: Stone chips discarded during the manufacture of stone tools.

Factory site: A specialised archaeological site where a specific set of technological activities has taken place — usually used to describe a place where stone tools were made.

Historic Period: Since the arrival of the white settlers - c. AD 1830 - in this part of the country.

Holocene: The most recent time period, which commenced c. 10 000 years ago.

Iron Age (also referred to as **Early Farming Communities**): Period covering the last 1800 years, when new people brought a new way of life to southern Africa. They established settled villages, cultivated domestic crops such as sorghum, millet and beans, and herded cattle, sheep and goats. As they produced their own iron tools, archaeologists call this the Iron Age.

 Early Iron Age
 AD 200 - AD 900

 Middle Iron Age
 AD 900 - AD 1300

 Later Iron Age
 AD 1300 - AD 1830

Midden: The accumulated debris resulting from human occupation of a site.

Mitigation, means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

National Estate: The collective heritage assets of the Nation.

Pleistocene: Geological time period of 3 000 000 to 20 000 years ago.

Stone Age: The first and longest part of human history is the Stone Age, which began with the appearance of early humans between 3-2 million years ago. Stone Age people were hunters, gatherers and scavengers who did not live in permanently settled communities. Their stone tools preserve well and are found in most places in South Africa and elsewhere.

Early Stone Age 2 500 000 - 250 000 Before Present

Middle Stone Age 250 000 - 40-25 000 BP Later Stone Age 40-25 000 - until c. AD 200

Tradition: As used in archaeology, it is a seriated sequence of artefact assemblages, particularly ceramics.

ACRONYMS and ABBREVIATIONS

AD Anno Domini (the year 0)

ASAPA Association of Southern African Professional Archaeologists

BC Before the Birth of Christ (the year 0)
BCE Before the Common Era (the year 0)

BP Before Present (calculated from 1950 when radio-carbon dating was established)

CE Common Era (the year 0)

CRM Cultural Resources Management

CS-G Chief Surveyor-General

DMRE Department of Mineral Resources and Energy
EAP Environmental Assessment Practitioner

ECO Environmental Control Officer

EIA Early Iron Age

EIA Environmental Impact Assessment
EMPr Environmental Management Programme

ESA Early Stone Age

HIA Heritage Impact Assessment
I & AP's Interested and Affected Parties

ICOMOS International Council on Monuments and Sites

LIA Late Iron Age
LSA Later Stone Age
MIA Middle Iron Age
MSA Middle Stone Age

NASA National Archives of South Africa

NEMA National Environmental Management Act 107 of 1998

NHRA National Heritage Resources Act
PHRA Provincial Heritage Resources Agency
SAHRA South African Heritage Resources Agency

SAHRIS South African Heritage Resources Information System

WUL Water Use Licence

COMPLIANCE WITH APPENDIX 6 OF THE 2014 EIA REGULATIONS (AS AMENDED)

| Require | ments of Appendix 6 – GN R982 | Addressed in the Specialist Report |
|-------------|---|---------------------------------------|
| 1. (1) A si | pecialist report prepared in terms of these Regulations must contain- | |
| a) | details of- | |
| , | i. the specialist who prepared the report; and | Front page |
| | i. the expertise of that specialist to compile a specialist report including a | Page i |
| | curriculum vitae; | Addendum Section 7 |
| b) | a declaration that the specialist is independent in a form as may be specified by | Page ii |
| , | the competent authority; | Ü |
| c) | an indication of the scope of, and the purpose for which, the report was | Section 1 |
| , | prepared; | |
| (cA) | an indication of the quality and age of base data used for the specialist report; | Section 4 |
| | a description of existing impacts on the site, cumulative impacts of the proposed | Section 8 |
| | elopment and levels of acceptable change; | |
| d) | the duration, date and season of the site investigation and the relevance of the | Section 4 |
| , | season to the outcome of the assessment; | |
| e) | a description of the methodology adopted in preparing the report or carrying | Section 4 |
| -, | out the specialised process inclusive of equipment and modelling used; | |
| f) | details of an assessment of the specific identified sensitivity of the site related to | Section 7; |
| •, | the proposed activity or activities and its associated structures and | Figure 13 |
| | infrastructure, inclusive of a site plan identifying site alternatives; | 0 |
| g) | an identification of any areas to be avoided, including buffers; | Section 8 |
| h) | a map superimposing the activity including the associated structures and | Figure 13 |
| , | infrastructure on the environmental sensitivities of the site including areas to be | Section 7 & 8 |
| | avoided, including buffers; | |
| i) | a description of any assumptions made and any uncertainties or gaps in | Section 2 |
| ., | knowledge; | 3666011 2 |
| j) | a description of the findings and potential implications of such findings on the | Section 7 |
| J/ | impact of the proposed activity or activities; | 3000017 |
| k) | any mitigation measures for inclusion in the EMPr; | Section 8 & 11 |
| I) | any conditions for inclusion in the environmental authorisation; | Section 11 |
| m) | any monitoring requirements for inclusion in the EMPr or environmental | Section 9 |
| , | authorisation; | 300000113 |
| n) | a reasoned opinion- | |
| • | i. whether the proposed activity, activities or portions thereof should be | Section 11 |
| | authorised; | 3666011 11 |
| | (iA) regarding the acceptability of the proposed activity or activities; and | |
| i | i. if the opinion is that the proposed activity, activities or portions thereof | Section 8, 9 & 10 |
| | should be authorised, any avoidance, management and mitigation | 333.3.7.3,3.4.23 |
| | measures that should be included in the EMPr, and where applicable, the | |
| | closure plan; | |
| o) | a description of any consultation process that was undertaken during the course | - |
| , | of preparing the specialist report; | |
| p) | a summary and copies of any comments received during any consultation | - |
| , , | process and where applicable all responses thereto; and | |
| q) | - | |
| | any other information requested by the competent authority. e a government notice by the Minister provides for any protocol or minimum | - |
| | ion requirement to be applied to a specialist report, the requirements as | |
| | I in such notice will apply. | |
| | | |

Phase 1 Cultural Heritage Impact Assessment: THE PROPOSED NYARHI SOLAR POWER PLANT NEAR VILIOENSKROON, FREE STATE PROVINCE

1. INTRODUCTION

1.1 Background

Environamics was appointed to conduct the basic assessment process for the development of a Solar Power Plant and associated infrastructure on Portion 1 of the Farm Die Hoek No. 114, Portion 1 of the farm Doornkom-oost No. 447 and Doornplaats No. 559, in the Fezile Dabi District Municipality, Free State Province.

The project entails the generation of up to 100MW electrical power through photovoltaic (PV) panels. The total footprint of the project will approximately be 290 hectares, including supporting infrastructure on site. Connection to the National Grid will be by a 132kV power line with a 250 wide grid corridor.

South Africa's heritage resources, also described as the 'national estate', comprise a wide range of sites, features, objects and beliefs. However, according to Section 27(18) of the National Heritage Resources Act, No. 25 of 1999 (NHRA), no person may destroy, damage, deface, excavate, alter, remove from its original position, subdivide or change the planning status of any heritage site without a permit issued by the heritage resources authority responsible for the protection of such site.

In accordance with Section 38 of the NHRA, an independent heritage consultant was appointed by *Environamics* to conduct a cultural heritage assessment to determine if the development of the solar power plant, associated infrastructure and power line corridor would have an impact on any sites, features or objects of cultural heritage significance.

This report forms part of the Basic Assessment as required by the EIA Regulations in terms of the National Environmental Management Act, 1998 (Act No. 107 of 1998) as amended and is intended for submission to the South African Heritage Resources Agency (SAHRA).

1.2 Terms and references

The aim of a full heritage impact assessment (HIA) investigation is to provide an informed heritage-related opinion about the proposed development by an appropriate heritage specialist. The objectives are to identify heritage resources (involving site inspections, existing heritage data and additional heritage specialists if necessary); assess their significances; assess alternatives in order to promote heritage conservation issues; and to assess the acceptability of the proposed development from a heritage perspective.

The result of this investigation is a HIA report indicating the presence / absence of heritage resources and how to manage them in the context of the proposed development.

Depending on SAHRA's acceptance of this report, the developer may receive permission to proceed with the proposed development, on condition of successful implementation of proposed mitigation measures.

1.2.1 Scope of work

The aim of this study is to determine the cultural heritage significance of the area where the solar power plant, associated infrastructure and a grid connection corridor, with a width of 250m wide, has been identified for the assessment and placement of the power line. This included:

- Conducting a desk-top investigation of the project area; and
- A visit to the proposed project area.

The project area includes the following properties:

<u>Solar Power Plant</u>: Portion 1 of the Farm Die Hoek No. 114, Portion 1 of the farm Doornkom-oost No. 447 and Doornplaats No. 559.

<u>Power line</u>: Option 1: Portion 1 of the Farm Die Hoek No. 114 and Hoekplaats No. 598 or Option2: Farm Anglo No. 593, Portion 1 of the Farm Die Hoek No. 114, Farm Groot Vaders Bosch 593, Portion 2 of the farm Zuiping No. 394 and Remaining Extent of the farm Zuiping No. 394

The objectives were to:

- Evaluate the potential impacts of construction, operation and maintenance of the proposed development on archaeological, cultural and historical resources;
- Recommend mitigation measures to ameliorate any negative impacts on areas of archaeological, cultural or historical importance; and
- Provide guideline measures to manage any impacts that might occur during the proposed project's construction and implementation phases.

1.2.2 Assumptions and Limitations

The investigation has been influenced by the following:

- It is assumed that the description of the proposed project, provided by the client, is accurate;
- It is assumed that the public consultation process undertaken as part of the Basic Assessment is sufficient and that it does not have to be repeated as part of the HIA;
- It is assumed that the information contained in existing databases, reports and publications is correct:
- The unpredictability of buried archaeological remains;
- No subsurface investigation (i.e. excavations or sampling) were undertaken, since a permit from SAHRA is required for such activities;
- The vegetation cover encountered during a site visit can have serious limitations on ground visibility, obscuring features (artefacts, structures) that might be an indication of human settlement.

2. LEGISLATIVE FRAMEWORK

2.1 Background

HIAs are governed by national legislation and standards and International Best Practise. These include:

- South African Legislation
 - o National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA);
 - Mineral and Petroleum Resources Development Act, 2002 (Act No. 22 of 2002) (MPRDA);
 - National Environmental Management Act 1998 (Act No. 107 of 1998) (NEMA); and
 - National Water Act, 1998 (Act No. 36 of 1998) (NWA).
- Standards and Regulations
 - o South African Heritage Resources Agency (SAHRA) Minimum Standards;
 - Association of Southern African Professional Archaeologists (ASAPA) Constitution and Code of Ethics:
 - Anthropological Association of Southern Africa Constitution and Code of Ethics.

- International Best Practise and Guidelines
 - ICOMOS Standards (Guidance on Heritage Impact Assessments for Cultural World Heritage Properties); and
 - The UNESCO Convention concerning the Protection of the World Cultural and Natural Heritage (1972).

2.2 Heritage Impact Assessment Studies

South Africa's unique and non-renewable archaeological and palaeontological heritage sites are 'generally' protected in terms of the NHRA (Section 35) and may not be disturbed at all without a permit from the relevant heritage resources authority, subject to the provisions of Section 38(8) of the NHRA.

The NHRA, Section 38, contains requirements for Cultural Resources Management and prospective developments:

"38 (1) Subject to the provisions of subsections (7), (8) and (9), any person who intends to undertake a development categorised as:

- (a) the construction of a road, wall, powerline, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;
- (b) the construction of a bridge or similar structure exceeding 50m in length;
- (c) any development or other activity which will change the character of a site:
 - (i) exceeding 5 000 m₂ in extent; or
 - (ii) involving three or more existing erven or subdivisions thereof; or
 - (iii) involving three or more erven or divisions thereof which have been consolidated within he past five years; or
 - (iv) the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority;
- (d) the re-zoning of a site exceeding 10 000 m₂ in extent; or
- (e) any other category of development provided for in regulations by SAHRA or a provincial heritage resources authority, must at the very earliest stages of initiating such a development, notify the responsible heritage resources authority and furnish it with details regarding the location, nature and extent of the proposed development."

And:

- "38 (3) The responsible heritage resources authority must specify the information to be provided in a report required in terms of subsection (2)(a): Provided that the following must be included:
 - (a) The identification and mapping of all heritage resources in the area affected;
 - (b) an assessment of the significance of such resources in terms of the heritage assessment criteria set out in section 6(2) or prescribed under section 7;
 - (c) an assessment of the impact of the development on such heritage resources;
 - (d) an evaluation of the impact of the development on heritage resources relative to the sustainable social and economic benefits to be derived from the development;
 - (e) the results of consultation with communities affected by the proposed development and other interested parties regarding the impact of the development on heritage resources;
 - (f) if heritage resources will be adversely affected by the proposed development, the consideration of alternatives; and
 - (g) plans for mitigation of any adverse effects during and after the completion of the proposed development."

3. HERITAGE RESOURCES

3.1 The National Estate

The NHRA defines the heritage resources of South Africa which are of cultural significance or other special value for the present community and for future generations that must be considered part of the national estate to include:

- places, buildings, structures and equipment of cultural significance;
- places to which oral traditions are attached or which are associated with living heritage;
- historical settlements and townscapes;
- landscapes and natural features of cultural significance;
- geological sites of scientific or cultural importance;
- archaeological and palaeontological sites;
- · graves and burial grounds, including
 - o ancestral graves;
 - o royal graves and graves of traditional leaders;
 - graves of victims of conflict;
 - o graves of individuals designated by the Minister by notice in the Gazette;
 - o historical graves and cemeteries; and
 - other human remains which are not covered in terms of the Human Tissue Act, 1983 (Act No. 65 of 1983);
- sites of significance relating to the history of slavery in South Africa;
- movable objects, including
 - objects recovered from the soil or waters of South Africa, including archaeological and palaeontological objects and material, meteorites and rare geological specimens;
 - o objects to which oral traditions are attached or which are associated with living heritage;
 - ethnographic art and objects;
 - military objects;
 - objects of decorative or fine art;
 - o objects of scientific or technological interest; and
 - books, records, documents, photographic positives and negatives, graphic, film or video material or sound recordings, excluding those that are public records as defined in section 1(xiv) of the National Archives of South Africa Act, 1996 (Act No. 43 of 1996).

3.2 Cultural significance

In the NHRA, Section 2 (vi), it is stated that "cultural significance" means aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technological value or significance. This is determined in relation to a site or feature's uniqueness, condition of preservation and research potential.

According to Section 3(3) of the NHRA, a place or object is to be considered part of the national estate if it has cultural significance or other special value because of

- its importance in the community, or pattern of South Africa's history;
- its possession of uncommon, rare or endangered aspects of South Africa's natural or cultural heritage;
- its potential to yield information that will contribute to an understanding of South Africa's natural or cultural heritage;
- its importance in demonstrating the principal characteristics of a particular class of South Africa's natural or cultural places or objects;
- its importance in exhibiting particular aesthetic characteristics valued by a community or cultural group;
- its importance in demonstrating a high degree of creative or technical achievement at a particular period;

- its strong or special association with a particular community or cultural group for social, cultural or spiritual reasons;
- its strong or special association with the life or work of a person, group or organisation of importance in the history of South Africa; and
- sites of significance relating to the history of slavery in South Africa.

A matrix (see Section 2 of Addendum) was developed whereby the above criteria were applied for the determination of the significance of each identified site. This allowed some form of control over the application of similar values for similar identified sites.

4. PROJECT DESCRIPTION

4.1 Site location

The development of the photovoltaic solar facility and associated infrastructure will be on Portion 1 of the Farm Die Hoek No. 114, Portion 1 of the farm Doornkom-oost No. 447 and Doornplaats No. 559 and Hoekplaats No. 598 in the Fezile Dabi District Municipality, Free State Province. The town of Viljoenskroon is located approximately 28km southeast of the proposed development and Orkney approximately 6,5km to the northwest (Fig. 1). For more information, see the Technical Summary on p. V above.

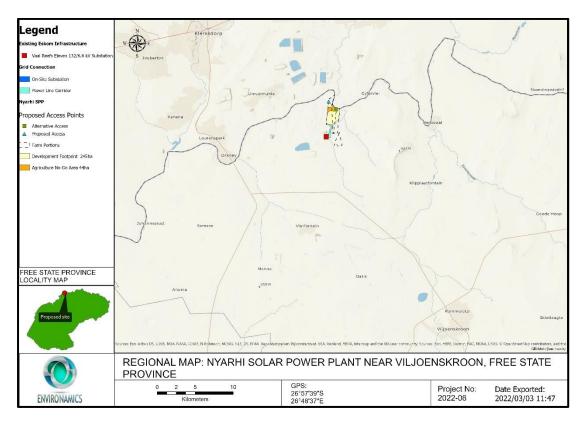


Figure 1. Location of the project area in regional context (Map supplied by Environamics)

4.2 Development proposal

The information presented below was taken from a technical document for Nyarhi solar power plant prepared by Environamics (2022).

The term photovoltaic describes a solid-state electronic cell that produces direct current electrical energy from the radiant energy of the sun through a process known as the Photovoltaic Effect. This refers to light energy placing electrons into a higher state of energy to create electricity. Each PV cell is made of silicon (i.e. semiconductors), which is positively and negatively charged on either side, with electrical conductors attached to both sides to form a circuit. This circuit captures the released electrons in the form of an electric current (direct current). The key components of the proposed project are described below:

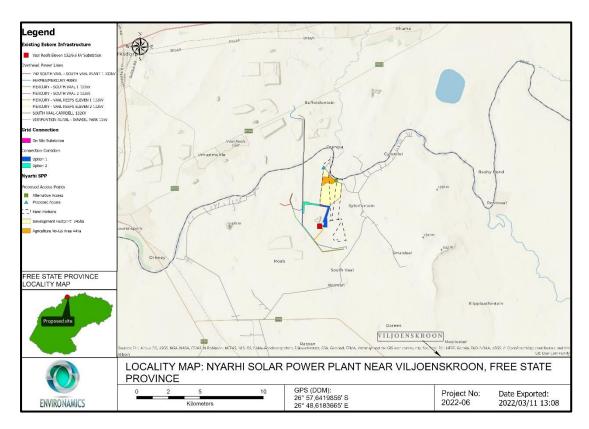


Figure 2. Layout of the proposed project (Map supplied by Environamics)

- <u>PV Panel Array</u> To produce up to 100MW, the proposed facility will require numerous linked cells
 placed behind a protective glass sheet to form a panel. Multiple panels will be required to form the
 solar PV arrays which will comprise the PV facility. The PV panels will be tilted at a northern angle
 in order to capture the most sun or using one-axis tracker structures to follow the sun to increase
 the Yield.
- Wiring to Inverters Sections of the PV array will be wired to inverters. The inverter is a pulse width
 mode inverter that converts direct current (DC) electricity to alternating current (AC) electricity at
 grid frequency.
- Connection to the grid Connecting the array to the electrical grid requires transformation of the voltage from 480V to 33kV to 132kV. The normal components and dimensions of a distribution rated electrical substation will be required. Output voltage from the inverter is 480V and this is fed into step up transformers to 132kV. An onsite substation will be required on the site to step the voltage up to 132kV, after which the power will be evacuated into the national grid via the proposed power line. Whilst Nyarhi Solar Power Plant (RF) (Pty) Ltd has not yet received a cost estimate letter from Eskom, it is expected that generation from the facility will tie in with the

existing Vaal Reefs Eleven 132/6.6 kV Substation or alternatively via a Li-Lo line connection into the South Vaal – Cardell 132 KV Line or Mercury – South Vaal 132 KV Line. The Project will inject up to 100MW into the National Grid. The installed capacity will be approximately 100MW.

 A grid connection corridor, with a width of 250m wide, has been identified for the assessment and placement of the power line. The corridor is located to the east of the SPP site and is ~2.4km in length (Fig. 3)

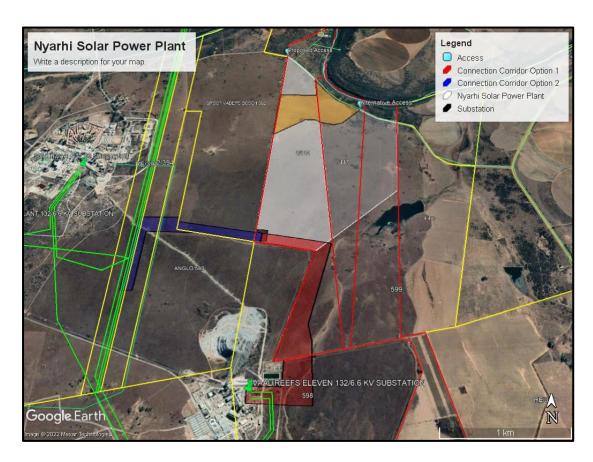


Figure 3. Nyarhi SPP connection options

- <u>Electrical reticulation network</u> An internal electrical reticulation network will be required and will be lain ~2-4m underground as far as practically possible.
- <u>Supporting Infrastructure</u> The following auxiliary buildings with basic services including water and electricity will be required on site:
 - Office (~200m²);
 - Switch gear and relay room (~400m²);
 - Staff lockers and changing room (~200m²); and
 - Security control (~60m²)
- <u>Battery storage</u> A Battery Storage Facility with a maximum height of 8m and a maximum volume of 1,740 m³ of batteries and associated operational, safety and control infrastructure.
- Roads Access will be obtained via the Stokkiesdraai road to the north of the site. An internal site
 road network will also be required to provide access to the solar field and associated infrastructure.
 The access and internal roads will be constructed within a 25-meter corridor.
- Fencing For health, safety and security reasons, the facility will be required to be fenced off from the surrounding farm. Fencing with a height of 2.5 meters will be used.

5. STUDY APPROACH AND METHODOLOGY

5.1 Extent of the Study

This survey and impact assessment cover all facets of cultural heritage located in the project area, including the 250m wide power line corridor, as presented in Section 4 above and illustrated in Figures 1 & 2.

5.2 Methodology

5.2.1 Pre-feasibility assessment

The objectives of this review were to:

- Gain an understanding of the cultural landscape within which the project is located;
- Inform the field survey.

5.2.1.1 Survey of the literature

A survey of the relevant literature was conducted with the aim of reviewing the previous research done and determining the potential of the area. In this regard, various anthropological, archaeological and historical sources were consulted – see list of references in Section 12.

Information on events, sites and features in the larger region were obtained from these sources.

5.2.1.2 Survey of heritage impact assessments (HIAs)

A survey of HIAs done for projects in the region by various heritage consultants was conducted with the aim of determining the heritage potential of the area – see list of references in Section 12.

Information on sites and features in the larger region were obtained from these sources.

5.2.1.3 Data bases

The Heritage Atlas Database, various SAHRA databases, the Environmental Potential Atlas, the Chief Surveyor General and the National Archives of South Africa were consulted.

• Database surveys produced a number of sites located in the larger region of the proposed development.

5.2.1.4 Other sources

Aerial photographs and topocadastral and other maps were also studied - see the list of references below.

Information of a very general nature were obtained from these sources.

5.2.1.5 Results

The results of the above investigation are presented in Table 1 and Figure 3 below – see list of references in Section 12 – and can be summarised as follows:

- Reports indicate that Stone Age tools occur in very limited numbers sporadically across the larger region;
- Stone walled sites dating to the Late Iron Age occur some distance to the east and the north of the project area;
- Historic structures, inclusive of buildings, monuments and bridges, occur sporadically across the larger region;

Formal and informal burial sites occur sporadically throughout the region.

Based on the above assessment, the probability of cultural heritage sites, features and objects occurring in the project area is predicted to be **low**.

Table 1: Pre-Feasibility Assessment

| Category | Period | Probability | Reference |
|------------------|-------------------------------|-------------|--|
| Landscapes | | | No. or or or or |
| Natural/Cultural | | Low | Historic maps & aerial photographs |
| Early hominin | Pliocene – Lower Pleistocene | 2011 | Thistorie maps & derial photographs |
| | Early hominin | None | - |
| Stone Age | Lower Pleistocene – Holocene | | |
| | Early Stone Age | Low | - |
| | Middle Stone Age | Low | Henderson & Koortzen (2007); Heritage Atlas Database |
| | Later Stone Age | Low | Heritage Atlas Database |
| | Rock Art | Low | Heritage Atlas Database |
| Iron age | Holocene | 20.1. | |
| non age | Early Iron Age | None | - |
| | Middle Iron Age | None | _ |
| | Late Iron Age | Low | Heritage Atlas Database; Huffman (2007); Maggs (1976); Vorster (1981) |
| Colonial period | Holocene | | |
| | Contact period/Early historic | Possible | Heritage Atlas Database |
| | Recent history | Possible | Heritage Atlas Database; Huffman (2005) |
| | Industrial heritage | Low | Heritage Atlas Database |
| 277268A000 | 9.272em | | -262600000 -262600000 -2626000000 -2626000000 -26260000000000 |
| • 2726BA000 | 2 3 4 5 km | 268* | Produced by: The Heritage Lab © mapbox, © OpenStreetMyd contributors |

Figure 4. Location of known heritage sites and features in relation to the project area (Circles spaced at a distance of 2km: heritage sites = coded green dots)

5.2.2 Field survey

The field survey was done according to generally accepted archaeological practices, and was aimed at locating all possible heritage sites, objects and structures. The area that had to be investigated was identified by *Environamics* by means of maps and .kml files indicating the project area, including the power line corridor. This was loaded onto a Samsung digital device and used in Google Earth during the field survey to access the project area.

The project area was visited on 19 April 2022 and was investigated by accessing it by means of the various farm tracks and then investigate sites and features identified during the preliminary investigation (Fig. 5).

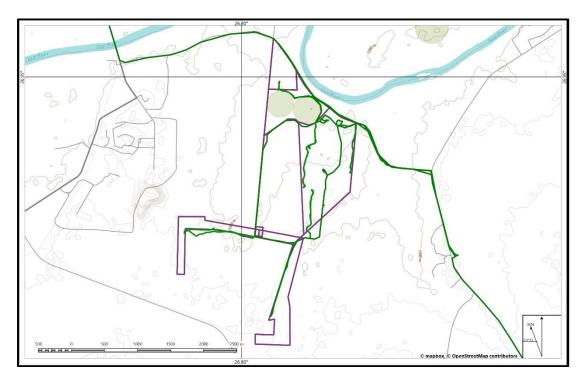


Figure 5. Map indicating the track log of the field survey (Site = purple polygon; track log = green line)

5.2.3 Documentation

All sites, objects and structures that were identified are documented according to the general minimum standards accepted by the archaeological profession. Coordinates of individual localities are determined by means of the *Global Positioning System* (GPS) and plotted on a map. This information is added to the description to facilitate the identification of each locality. Map datum used: Hartebeeshoek 94 (WGS84).

The track log and identified sites were recorded by means of a Garmin Oregon 550 handheld GPS device. Photographic recording was done by means of a Canon EOS 550D digital camera. Geo-rectifying of the aerial photographs and historic maps was done by means of a professional software package: ExpertGPS.

6. DESCRIPTION OF THE AFFECTED ENVIRONMENT

6.1 Natural Environment

The Palaeontological Sensitivity Map (http://www.sahra.org.za/sahris/map/palaeo) indicate that the project area (Fig. 6) has a very high sensitivity of fossil remains to be found and therefore a field assessment and protocol for finds is required.

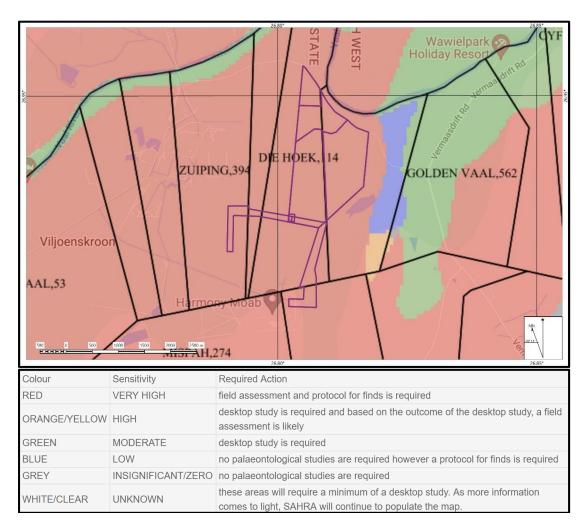


Figure 6. The Palaeontological sensitivity of the project area

The geology of the region is made up of dolomite, stromatolitic, interbedded chert, minor carbonaceous shale, limestone and quartzite o the Malmani Subgroup. The topography of the region is classified as plains and pans and no hills or outcrops are known to exist in the vicinity of the project area. The Vaal River forms the northern boundary of the project area, flowing from east to west.

The original vegetation is classified as Vaal Reefs Dolomite Sinkhole Woodland, a grassland biome, forming part of the Dry Highveld Grassland Bioregion. However, in the project area, most of this has been transformed due to agricultural activities (Fig. 7).



Figure 7. Views over the project area

6.2 Cultural Landscape

The aim of this section is to present an overview of the history of the larger region in order to eventually determine the significance of heritage sites identified in the project area, within the context of their historic, aesthetic, scientific and social value, rarity and representivity.

6.2.1 Stone Age

Very little habitation of the highveld area took place during Stone Age times. Tools dating to the Early Stone Age period are mostly found in the vicinity of larger watercourses, e.g. the Vaal River, or in sheltered areas such as the mountainous regions north of Klerksdorp and as far east as the Vredefort Dome area. During Middle Stone Age (MSA) times (c. 150 000 – 30 000 BP), people became more mobile, occupying areas formerly avoided. The MSA is a technological stage characterized by flakes and flake-blades with faceted platforms, produced from prepared cores, as distinct from the core tool-based ESA technology. Open sites were still preferred near watercourses.

Late Stone Age (LSA) people had even more advanced technology than the MSA people and therefore succeeded in occupying even more diverse habitats. Also, for the first time we get evidence of people's activities derived from material other than stone tools. Ostrich eggshell beads, ground bone arrowheads, small bored stones and wood fragments with incised markings are traditionally linked with the LSA. The LSA people have also left us with a rich legacy of rock art, which is an expression of their complex social and spiritual believes. A number of site containing rock engravings are known to exist to the east and south of the project area.

6.2.2 Iron Age

Iron Age people started to settle in southern Africa c. AD 300, with one of the oldest known sites at Broederstroom south of Hartebeespoort Dam dating to AD 470. Having only had cereals (sorghum, millet) that need summer rainfall, Early Iron Age (EIA) people did not move outside this rainfall zone, and neither did they occupy the central interior highveld area. Because of their specific technology and economy, Iron Age people preferred to settle on the alluvial soils near rivers for agricultural purposes, but also for firewood and water.

As far as is known, no Early Iron Age sites have yet been identified in the Free State Province. The occupation of the larger geographical area (including the study area) did not start much before the 1500s. By the 16th century things changed, with the climate becoming warmer and wetter, creating conditions that allowed Late Iron Age (LIA) farmers to occupy areas previously unsuitable, for example the treeless plains of the Free State and the Mpumalanga highveld.

This wet period came to a sudden end sometime between 1800 and 1820 by a major drought lasting 3 to 5 years. The drought must have caused an agricultural collapse on a large, subcontinent scale.

The stone walled settlements dating to the Late Iron Age occur on a wide front over much of the central interior plateau area. In the larger vicinity of the project area, these sites conform to Maggs' (1976) type Z settlements. Such site consists mostly of a number of large primary enclosures clustered together, with, associated but on the outside, smaller primary enclosures.

This was also a period of great military tension. Military pressure from Zululand spilled onto the highveld by at least 1821. Various marauding groups of displaced Sotho-Tswana moved across the plateau in the 1820s. Mzilikazi raided the plateau extensively between 1825 and 1837. The Boers trekked into this area in the 1830s. And throughout this time settled communities of Tswana people also attacked each other.

As a result of this troubled period, Sotho-Tswana people concentrated into large towns for defensive purposes. Because of the lack of trees, they built their settlements in stone. These stone-walled villages were almost always located near cultivatable soil and a source of water. Such sites are known to occur north of Klerksdorp and in the Vredefort Dome area.

6.2.3 Historic period

White settlers moved into the area during the first half of the 19th century. They were largely self-sufficient, basing their survival on cattle/sheep farming and hunting. Pretoria was started in 1850, but Johannesburg only dates to the 1880s, after the discovery of gold.

In 1837 the establishment of a trekker settlement at Klerksdorp marked the beginning of a new phase in the history of the region. Originally twelve trekker families settled on the farm Elandsheuvel, belonging to C.M. du Plooy. This settlement, known as 'Oude Dorp', had its first landdros Jacob de Clercq, after which the settlement was then named. In 1853, the name was changed to Klerksdorp. With the discovery of gold in 1886 on the farm Rietpoort, the gold rush gave rise to a new settlement called 'Nieuwe Dorp'. In 1897 the railway line from Krugersdorp reached Klerksdorp. The railway line from Fourteen Streams (Warden region), on the main line from Kimberley to Zimbabwe (Then Rhodesia) was completed in 1906 (SESA 1973).

The town of Orkney was established in 1940 at the junction of the various railway lines. It was name after the old gold mine opened by Thomas Leask, who came from the Orkney Islands, in 1880 (SESA 1973).

6.3 Site specific review

Although landscapes with cultural significance are not explicitly described in the NHRA, they are protected under the broad definition of the National Estate (Section 3): Section 3(2)(c) and (d) list "historical settlements and townscapes" and "landscapes and natural features of cultural significance" as part of the National Estate.

The examination of historical maps and aerial photographs help us to reconstruct how the cultural landscape has changed over time as is show how humans have used the land.

From a review of the available old maps and aerial photographs it can be seen that the project area has always been open space, with the main activity being grazing or the making of agricultural fields. Very little built structure development is visible in the project area and most seems to be farm labourer homesteads, ranging in date from the late 1960s to the 1980s. The result of this review is depicted in Figure 11 below.

One site, destroyed, shows the remains of face-bricks that were used for the buildings. Two rectangular structures, built with local stone, are located about 80m to the west. These are interpreted as small-stock enclosures that are related to the main structure (Fig. 12).

• Due to the fact that these features are demolished, they judged to have low significance and are viewed to be sufficiently documented after having been included in this report.

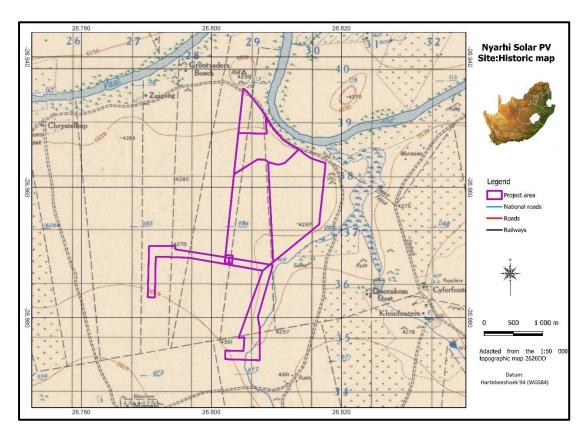


Figure 8. The project area on the 1943 version of the 1:50 000 topographic map

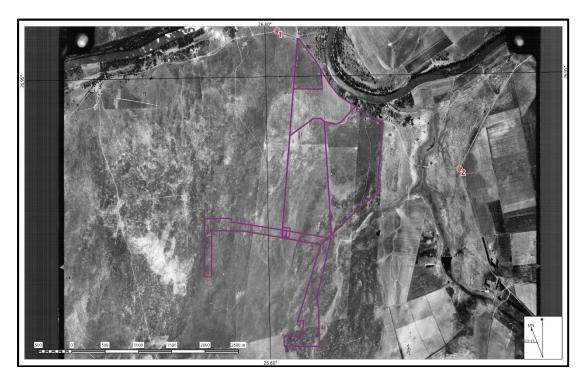


Figure 9. Aerial view of the project area dating to 1961 (CS-G photograph: 425_024_01423)(red wheel=crosses = calibration points)

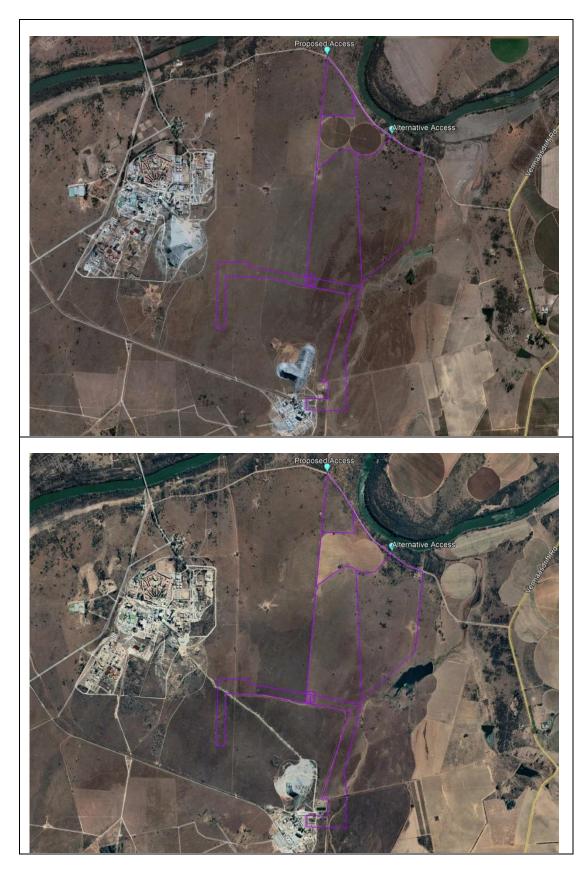


Figure 10. Aerial view of the project area dating to 2009 (top) and 2022 (bottom) (Image: Google Earth)

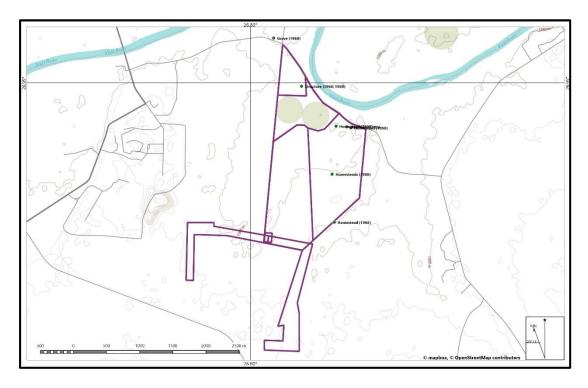


Figure 11. Results of historic images and maps review indicating various sites and features (Date in brackets = date of map/aerial photograph)



Figure 12. Features with very low significance identified in the project area

7. SURVEY RESULTS

During the survey, the following sites, features and objects of cultural significance were identified in the project area (Fig. 13).

7.1 Stone Age

• No sites, features or objects of cultural significance dating to the Stone Age were identified in the project area.

7.2 Iron Age

 No sites, features or objects of cultural significance dating to the Iron Age were identified in the project area.

7.3 Historic period

• No sites, features or objects of cultural significance dating to the historic period were identified in the project area.

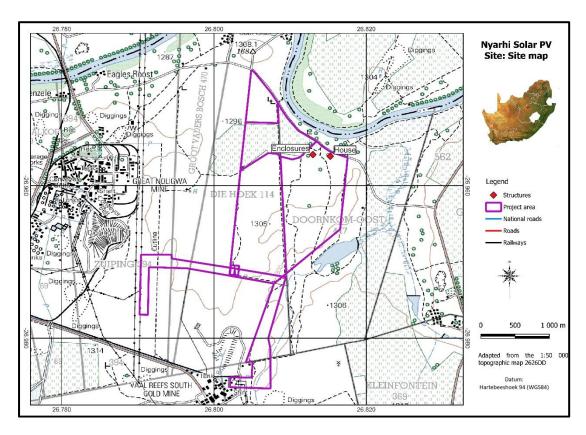


Figure 13. Location of heritage sites in the project area

8. IMPACT ASSESSMENT RATINGS AND MITIGATION MEASURES

8.1 Impact assessment

Heritage impacts are categorised as:

- Direct or physical impacts, implying alteration or destruction of heritage features within the project boundaries;
- Indirect impacts, e.g. restriction of access or visual intrusion concerning the broader environment;
- Cumulative impacts that are combinations of the above.

The EIA Regulations (as amended in 2017) determine that cumulative impacts, "in relation to an activity, means the past, current and reasonably foreseeable future impact of an activity, considered together with the impact of activities associated with that activity, that in itself may not be significant, but may become significant when added to the existing and reasonably foreseeable impacts eventuating from similar or diverse activities." Cumulative impacts can be incremental, interactive, sequential or synergistic. EIAs have traditionally failed to come to terms with such impacts, largely as a result of the following considerations:

- Cumulative effects may be local, regional or global in scale and dealing with such impacts requires coordinated institutional arrangements;
- Complexity dependent on numerous fluctuating influencing factors which may be completely independent of the controllable actions of the proponent or communities; and
- Project level investigations are ill-equipped to deal with broader biophysical, social and economic considerations.

The term "Cumulative Effect" has for the purpose of this report been defined as: the summation of effects over time which can be attributed to the operation of the project itself, and the overall effects on the ecosystem of the site that can be attributed to the project and other existing and planned future projects.

8.2 Geographic area of evaluation

The geographic area of evaluation is the spatial boundary in which the cumulative effects analysis was undertaken. The spatial boundary evaluated in this cumulative effects analysis generally includes an area of a 30km radius surrounding the proposed development – refer to below.

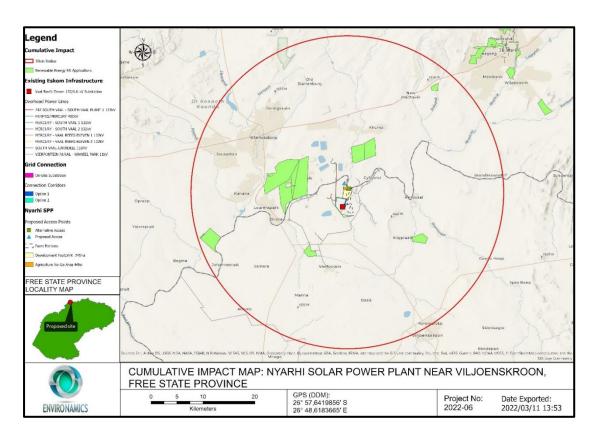


Figure 13. Geographic area of evaluation with utility-scale renewable energy generation sites and power lines

The geographic spread of PV solar projects, administrative boundaries and any environmental features (the nature of the landscape) were considered when determining the geographic area of investigation. It was argued that a radius of 30km would generally confine the potential for cumulative effects within this particular environmental landscape. The geographic area includes projects located within the Northern Cape Province. A larger geographic area may be used to analyse cumulative impacts based on the specific temporal or spatial impacts of a resource. For example, the socioeconomic cumulative analysis may include a larger area, as the construction workforce may draw from a much wider area. The geographic area of analysis is specified in the discussion of the cumulative impacts for that resource where it differs from the general area of evaluation described above.

Table 2. A summary of related facilities, that may have a cumulative impact, in a 30 km radius of the Nyarhi SPP

| Site name | Site name Distance from study area | | DEFF reference | EIA process | Project status |
|----------------------------|------------------------------------|-------|---------------------|---------------------|----------------|
| Paleso SPP ² | 9km | 150MW | 14/12/16/3/3/1/2365 | Basic Assessment | Approved |

² Environamics was the EAP responsible for the Basic Assessments for the Paleso, Siyanda, Noko and Thakadu Solar Power Plants.

| Siyanda SPP | 8km | 150MW | 14/12/16/3/3/1/2369 | Basic Assessment | Approved |
|---|-------|--------|---------------------|---------------------|------------------|
| Thakadu SPP | 1km | 150MW | 14/1216/3/3/1/2476 | Basic Assessment | In Process |
| Noko SPP | 21km | 150MW | 14/12/16/3/3/1/2474 | Basic Assessment | In Process |
| Kabi Vaalkop PV 3 | 6km | 75 MW | 12/12/20/2513/3 | Scoping and EIA | Approved |
| Kabi Vaalkop PV 2 | 7km | 75 MW | 12/12/20/2513/2 | Scoping and EIA | Approved |
| Kabi Vaalkop PV ³ | 7.5km | 75 MW | 12/12/20/2513/4 | Scoping and EIA | Approved |
| Kabi Vaalkop PV 1 | 7km | 75 MW | 12/12/20/2513/1 | Scoping and EIA | Approved |
| Buffels Solar PV 1 | 5 km | 100MW | 14/12/16/3/3/2/777 | Scoping and EIA | Approved |
| Buffels Solar PV 2 | 6 km | 100 MW | 14/12/16/3/3/2/778 | Amendment | Approved |
| Rietvlei solar | 16 km | - | 14/12/16/3/3/2/450 | Scoping and EIA | Withdrawn/Lapsed |
| Genesis Orkney Solar (Pty) Ltd | 23 km | 100MW | 14/12/16/3/3/2/954 | Scoping and EIA | Approved |
| Afropulse 538 Pty Ltd | 13 km | 50MW | 12/12/20/2280 | BAR | Withdrawn/Lapsed |

Meaningful assessment of cumulative impacts requires a comprehensive review of all developments in the larger region of the project area and not only those involving renewable energy.

From a review of available databases, publications, as well as available⁴ heritage impact assessments done for the purpose of developments in the region, see list of references in Section 12.2 below, it was determined that the Nyarhi Solar Power Plant project is located in an area with a very low presence of heritage sites and features.

Heritage resources are sparsely distributed on the wider landscape with highly significant (Grade 1) sites being rare. Because of the low likelihood of finding further significant heritage resources in the area of the proposed for development and the generally low density of sites in the wider landscape the overall impacts to heritage are expected to be of generally low significance before mitigation.

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³ The application was only for transmission infrastructure (i.e. substation and power lines) and not a PV solar power plant.

⁴ Only reports that were available on the SAHRIS database were consulted.

• The cultural heritage profile of the larger region is very low. Most frequently found are stone artefacts, mostly dating to the Middle Stone Age. Sites containing such material are usually located along the margins of water features (pans, drainage lines), small hills and rocky outcrops. Such surface scatters or 'background scatter' is usually viewed to be of limited significance (Orton 2016). In addition to the Stone Age profile, there is also the Iron Age element. However, this is located well outside the 30km radius, in the Vredefort Dome area and north of Klerksdorp. The colonial period manifests largely as individual farmsteads, in all its complexity, burial sites and infrastructure features such as roads, railways and power lines. For the purpose of this review, heritage sites located in urban areas have been excluded.

For the project area, the impacts to heritage sites are expected to be of medium significance. However, this can be ameliorated by implementing mitigation measures, include isolating sites, relocating sites (e.g. burials) and excavating or sampling any significant archaeological material found to occur within the project area. The chances of further such material being found, however, are considered to be negligible. After mitigation, the overall impact significance would therefore be low.

• The potential impact that the proposed development might have, has been calculated and is presented in Table 3 below.

Table 3: Impact assessment

| Nyarhi Solar Power Plant: Construction Phase | | | | | | | |
|---|------|---------------------------|---|--|--|--|--|
| Impact assessment: As no sites, features or objects of cultural historic significance have been | | | | | | | |
| identified in the project area, there would be no impact as a result of the proposed development. | | | | | | | |
| | | Without mitigation | With mitigation | | | | |
| Geographical Extent | | Site (1) | Site (1) | | | | |
| Probability | | Unlikely (1) | Unlikely (1) | | | | |
| Duration | | Short term (1) | Short term (1) | | | | |
| Intensity/Magnitude | | Low (1) Low (1) | | | | | |
| Reversibility | | Completely reversible (1) | Completely reversible (1) | | | | |
| Irreplaceable loss of resource | ces? | No loss of resources (1) | No loss of resources (1) | | | | |
| Cumulative Effect | | Negligible (1) | Negligible (1) | | | | |
| Significance | | | | | | | |
| Site type NHRA category | | Field rating | Impact rating: Before/After mitigation | | | | |
| n/a | n/a | n/a | Positive Low (6) | | | | |
| | | | Positive Low (6) | | | | |

| Nyarhi Solar Power Plant: Operation Phase | | | | | | |
|---|---------------|---------------------------|---------------------------|--|--|--|
| Impact assessment: As no sites, features or objects of cultural historic significance have been | | | | | | |
| identified in the project area, there would be no impact as a result of the proposed development. | | | | | | |
| | | Without mitigation | With mitigation | | | |
| Geographical Extent | | Site (1) | Site (1) | | | |
| Probability | | Unlikely (1) | Unlikely (1) | | | |
| Duration | | Short term (1) | Short term (1) | | | |
| Intensity/Magnitude | | Low (1) | Low (1) | | | |
| Reversibility | | Completely reversible (1) | Completely reversible (1) | | | |
| Irreplaceable loss of resources? | | No loss of resources (1) | No loss of resources (1) | | | |
| Cumulative Effect | | Negligible (1) | Negligible (1) | | | |
| Significance | | | | | | |
| Site type | NHRA category | Field rating | Impact rating: | | | |
| | | | Before/After mitigation | | | |
| n/a | n/a | n/a | Positive Low (6) | | | |
| | | | Positive Low (6) | | | |

8.2 Mitigation measures

Mitigation: means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

 For the current study, as no sites, features or objects of cultural significance were identified, no mitigation measures are proposed.

9. MANAGEMENT MEASURES

Heritage sites are fixed features in the environment, occurring within specific spatial confines. Any impact upon them is permanent and non-reversible. Those resources that cannot be avoided and are directly impacted by the proposed development can be excavated/recorded and a management plan can be developed for future action. Those sites that are not impacted on can be written into the management plan, whence they can be avoided or cared for in the future.

Sources of risk were considered with regards to development activities defined in Section 2(viii) of the NHRA that may be triggered and are summarised in Table 4A and 4B below. These issues formed the basis of the impact assessment described. The potential risks are discussed according to the various phases of the project below.

9.1 Objectives

- Protection of archaeological, historical and any other site or land considered being of cultural value within the Project Area against vandalism, destruction and theft.
- The preservation and appropriate management of new discoveries in accordance with the NHRA, should these be discovered during construction activities.

The following shall apply:

- Known sites should be clearly marked, so that they can be avoided during construction activities;
- The contractors and workers should be notified that archaeological sites might be exposed during the construction activities;
- Should any heritage artefacts be exposed during excavation, work on the area where the artefacts
 were discovered, shall cease immediately and the Environmental Control Officer (ECO) shall be
 notified as soon as possible;
- All discoveries shall be reported immediately to a heritage practitioner so that an investigation and evaluation of the finds can be made. Acting upon advice from these specialists, the ECO will advise the necessary actions to be taken;
- Under no circumstances shall any artefacts be removed, destroyed or interfered with by anyone on the site; and
- Contractors and workers shall be advised of the penalties associated with the unlawful removal of cultural, historical, archaeological or palaeontological artefacts, as set out in the NHRA, Section 51(1).

9.2 Control

In order to achieve this, the following should be in place:

- A person or entity, e.g. the ECO, should be tasked to take responsibility for the maintenance heritage sites.
- In areas where the vegetation is threatening the heritage sites, e.g. growing trees pushing walls over, it should be removed, but only after permission for the methods proposed has been granted by SAHRA. A heritage official should be part of the team executing these measures.

Table 4A: Construction Phase: Environmental Management Programme for the project

| Action required | Protection of heritage sites, features and objects | | | | |
|---|---|--|--------------------------|--|--|
| Potential Impact | The identified risk is damage or changes to resources that are generally protected in terms of Sections 27, 28, 31, 32, 34, 35, 36 and 37 of the NHRA that may occur in the Project Area. | | | | |
| Risk if impact is not mitigated | Loss or damage to sites, features or objects of cultural heritage significance | | | | |
| Activity / issue | Mitigation: Action/control | Responsibility | Timeframe | | |
| Removal of Vegetation Construction of required infrastructure, e.g. access roads, water pipelines | See discussion in Section 9.1 above | Environmental Control Officer & the Contractor | During construction only | | |
| Monitoring | See discussion in Section 9.2 above | | | | |

Table 4B: Operation Phase: Environmental Management Programme for the project

| Action required | Protection of heritage sites, features and objects | | | | |
|--------------------------|--|-----------------|---------------------|--|--|
| Potential Impact | It is unlikely that the negative impacts identified for pre-mitigation will occur if the | | | | |
| | recommendations are followed. | | | | |
| Risk if impact is not | Loss or damage to sites, features or objects of cultural heritage significance | | | | |
| mitigated | | | | | |
| Activity / issue | Mitigation: Action/control | Responsibility | Timeframe | | |
| 1. Additional | See discussion in Section 9.1 | Environmental | During construction | | |
| construction / | above | Control Officer | only | | |
| development of | | | | | |
| required infrastructure, | | | | | |
| e.g. access roads, water | | | | | |
| pipelines | | | | | |
| Monitoring | See discussion in Section 9.2 above | | | | |

9.3 Legal requirements

The legal requirements related to heritage specifically are specified in Section 3 of this report.

- For this proposed project, the assessment has determined that no sites, features or objects of heritage significance occur in the project area. Therefore, no permits are required from SAHRA or the PHRA.
- If heritage features are identified during construction, as stated in the management recommendations, these finds would have to be assessed by a specialist, after which a decision will be made regarding the application for relevant permits.

10. CONSIDERATION OF ALTERNATIVES

 The information presented below was taken from a technical document for Nyarhi solar power plant prepared by Environamics (2022):

The DEAT 2006 guidelines on 'assessment of alternatives and impacts' proposes the consideration of four types of alternatives namely, the no-go, location, activity, and design alternatives. It is however, important to note that the regulation and guidelines specifically state that only 'feasible' and 'reasonable' alternatives should be explored. It also recognizes that the consideration of alternatives is an iterative process of feedback between the developer and EAP, which in some instances culminates in a single preferred project proposal. An initial site assessment was conducted by the developer the affected properties and the farm portions were found favorable due to its proximity to grid connections, solar radiation, ecology and relative flat terrain. These factors were then taken into consideration and avoided as far as possible.

The following alternatives were considered in relation to the proposed activity and all specialists should also make mention of these:

No-go alternative

This alternative considers the option of 'do nothing' and maintaining the status quo. The site is currently zoned for agricultural and mining land uses. Should the proposed activity not proceed, the site will remain unchanged and will continue to be used for agricultural and purposes. The potential opportunity costs in terms of alternative land use income through rental for energy facility and the supporting social and economic development in the area would be lost if the status quo persist.

Location alternatives

No other possible sites were identified on Portion 1 of the Farm Die Hoek No. 114, Portion 1 of the farm Doornkom-oost No. 447 and the Farm Doornplaats No. 559. This site is referred to as the preferred site. Some limited sensitive features occur on the site. The size of the site makes provision for the exclusion of any sensitive environmental features that may arise through the BA proses.

Technical alternatives: Powerlines

it is expected that generation from the facility will tie in via a Li-Lo line connection into the South Vaal – Cardell 132 KV Line or Mercury – South Vaal 132 KV Line or alternatively with the existing Vaal Reefs Eleven 132/6.6 kV Substation.

Battery storage facility

It is proposed that a nominal up to 500 MWh Battery Storage Facility for grid storage would be housed in stacked containers, or multi-storey building, with a maximum height of 8m and a maximum volume of 1,740m³ of batteries and associated operational, safety and control infrastructure. Three types of battery technologies are being considered for the proposed project: Lithium-ion, Sodium-sulphur or Vanadium Redox flow battery. The preferred battery technology is Lithium-ion.

Battery storage offers a wide range of advantages to South Africa including renewable energy time shift, renewable capacity firming, electricity supply reliability and quality improvement, voltage regulation, electricity reserve capacity improvement, transmission congestion relief, load following and time of use energy cost management. In essence, this technology allows renewable energy to enter the base load and peak power generation market and therefore can compete directly with fossil fuel sources of power generation and offer a truly sustainable electricity supply option.

Design and layout alternatives

Design alternatives will be considered throughout the planning and design phase and specialist studies are expected to inform the final layout of the proposed development.

<u>Technology alternatives</u>

There are several types of semiconductor technologies currently available and in use for PV solar panels. Two, however, have become the most widely adopted, namely crystalline silicon (Mono-facial

and Bi-facial) and thin film. The technology that (at this stage) proves more feasible and reasonable with respect to the proposed solar facility is crystalline silicon panels, due to it being non-reflective, more efficient, and with a higher durability. However, due to the rapid technological advances being made in the field of solar technology the exact type of technology to be used, such as bifacial panels, will only be confirmed at the onset of the project.

11. CONCLUSIONS AND RECOMMENDATIONS

Environamics was appointed to conduct the basic assessment process for the development of a Solar Power Plant and associated infrastructure on Portion 1 of the Farm Die Hoek No. 114, Portion 1 of the farm Doornkom-oost No. 447 and Doornplaats No. 559, in the Fezile Dabi District Municipality, Free State Province.

This report describes the methodology used, the limitations encountered, the heritage features that were identified and the recommendations and mitigation measures proposed relevant to this. The investigation consisted of a desktop study (archival sources, database survey, maps and aerial imagery) and a physical survey that also included the interviewing of relevant people. It should be noted that the implementation of the mitigation measures is subject to SAHRA/PHRA's approval.

The cultural landscape qualities of the region are made up of a pre-colonial element consisting of very limited Stone Age and Iron Age occupation, as well as a much later colonial (farmer) component, which also gave rise to an urban and industrial (mining) component.

Identified sites

Although some structures were identified on the site, they are judged to have low significance, largely as they were demolished, and are therefore viewed to be sufficiently documented after having been included in this report.

No sites, features or objects of cultural significance were identified.

Impact assessment and proposed mitigation measures

Impact analysis of cultural heritage resources under threat of the proposed development, is based on the present understanding of the development:

• For the current study, as no sites, features or objects of cultural significance were identified, no mitigation measures are proposed.

Cumulative assessment

Heritage resources are sparsely distributed on the wider landscape with highly significant (Grade 1) sites being rare. Because of the low likelihood of finding further significant heritage resources in the area of the proposed for development and the generally low density of sites in the wider landscape the overall impacts to heritage are expected to be of generally low significance before mitigation.

For the project area, the impacts to heritage sites are expected to be of medium significance. However, this can be ameliorated by implementing mitigation measures, include isolating sites, relocating sites (e.g. burials) and excavating or sampling any significant archaeological material found to occur within the project area. The chances of further such material being found, however, are considered to be negligible. After mitigation, the overall impact significance would therefore be low.

Legal requirements

The legal requirements related to heritage specifically are specified in Section 3 of this report.

- For this proposed project, the assessment has determined that no sites, features or objects of cultural heritage significance occur in the project area, therefore no permits are required from SAHRA or the PHRA.
- If heritage features are identified during construction, as stated in the management recommendation, these finds would have to be assessed by a specialist, after which a decision will be made regarding the application for relevant permits.

Reasoned opinion as to whether the proposed activity should be authorised:

• From a heritage point of view, it is recommended that the Proposed Project be allowed to continue on acceptance of the conditions proposed below.

Conditions for inclusion in the environmental authorisation:

- The Palaeontological Sensitivity Map (http://www.sahra.org.za/sahris/map/palaeo) indicate that
 the project area has a very high sensitivity of fossil remains to be found and therefore a field
 assessment and protocol for finds is required.
- Should archaeological sites or graves be exposed during construction work, it must immediately be reported to a heritage practitioner so that an investigation and evaluation of the finds can be made. The appropriate steps to take are indicated in Section 9 of the report, as well as in the Management Plan: Burial Grounds and Graves, with reference to general heritage sites, in the Addendum, Section 13.5.

12. REFERENCES

12.1 Data bases

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12.2 Literature

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Van Schalkwyk, J.A. 2021. *Phase 1 Cultural Heritage Impact Assessment: The proposed Thakadu Solar Power Plant near Viljoenskroon, Free State Province*. Pretoria: Unpublished report 2021/JvS/093.

Vorster, L.P. 1981. *Grondbesit en grondgebruik by die Bakwena-Bamare-a-Phogole*. Ungepubliseerde D.Phil Proefskrif. Potchefstroom: Potchefstroomse Universiteit vir Christelike Höer Onderwys.

12.3 Archival sources, maps and aerial photographs

1: 50 000 Topographic maps Google Earth Aerial Photographs: Chief Surveyor-General http://artefacts.co.za http://www.adu.org.za http://www.sahra.org.za/sahris/map/palaeo

13. ADDENDUM

1. Indemnity and terms of use of this report

The findings, results, conclusions and recommendations given in this report are based on the author's best scientific and professional knowledge as well as available information. The report is based on survey and assessment techniques which are limited by time and budgetary constraints relevant to the type and level of investigation undertaken and the author reserve the right to modify aspects of the report including the recommendations if and when new information may become available from ongoing research or further work in this field, or pertaining to this investigation.

Although all possible care is taken to identify all sites of cultural importance during the investigation of study areas, it is always possible that hidden or sub-surface sites could be overlooked during the study. The author of this report will not be held liable for such oversights or for costs incurred as a result of such oversights.

Although the author exercises due care and diligence in rendering services and preparing documents, he accepts no liability and the client, by receiving this document, indemnifies the author against all actions, claims, demands, losses, liabilities, costs, damages and expenses arising from or in connection with services rendered, directly or indirectly by the author and by the use of the information contained in this document.

This report must not be altered or added to without the prior written consent of the author. This also refers to electronic copies of this report which are supplied for the purposes of inclusion as part of other reports, including main reports. Similarly, any recommendations, statements or conclusions drawn from or based on this report must make reference to this report. If these form part of a main report relating to this investigation or report, this report must be included in its entirety as an appendix or separate section to the main report.

2. Assessing the significance of heritage resources

A system for site grading was established by the NHRA and further developed by the South African Heritage Resources Agency (SAHRA 2007) and has been approved by ASAPA for use in southern Africa and was utilised during this assessment.

2.1 Significance of the identified heritage resources

According to the NHRA, Section 2(vi) the **significance** of a heritage sites and artefacts is determined by it aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technical value in relation to the uniqueness, condition of preservation and research potential. It must be kept in mind that the various aspects are not mutually exclusive, and that the evaluation of any site is done with reference to any number of these.

Matrix used for assessing the significance of each identified site/feature

| 1. SITE EVALUATION | | | | |
|--|--|--------------|-------------|------|
| 1.1 Hi | 1.1 Historic value | | | |
| Is it important in the community, or pattern of history | | | | |
| | it have strong or special association with the life or work of a person, | group or or | ganisation | |
| of im | portance in history | • | - | |
| Does | it have significance relating to the history of slavery | | | |
| 1.2 A | esthetic value | | | |
| It is ir | mportant in exhibiting particular aesthetic characteristics valued by a | community | or cultural | |
| group |) | | | |
| | cientific value | | | |
| | it have potential to yield information that will contribute to an under ral heritage | standing of | natural or | |
| Is it in | nportant in demonstrating a high degree of creative or technical achie | vement at a | particular | |
| perio | | | | |
| | ocial value | | | |
| l l | it have strong or special association with a particular community or cu | Itural group | for social, | |
| | ral or spiritual reasons | | | |
| 1.5 Ra | · | | | |
| | it possess uncommon, rare or endangered aspects of natural or cultur | al heritage | | |
| 1.6 Representivity | | | | |
| Is it important in demonstrating the principal characteristics of a particular class of natural or | | | | |
| cultural places or objects | | | | |
| Importance in demonstrating the principal characteristics of a range of landscapes or | | | | |
| | onments, the attributes of which identify it as being characteristic of it rtance in demonstrating the principal characteristics of human activitie | | way of life | |
| | sophy, custom, process, land-use, function, design or technique) in the | | | |
| | n, province, region or locality. | ie environii | ient of the | |
| | 2. Sphere of Significance High Medium | | | Low |
| | national | 6 | | 2011 |
| Natio | | | | |
| Provincial | | | | |
| Regional | | | | |
| Local | | | | |
| Specific community | | | | |
| 3. Field Register Rating | | | | |
| 1. | | | | |
| 2. | Provincial/Grade 2: High significance - No alteration whatsoever | | | |
| | provincial heritage authority. | · | | |
| 3. | 3. Local/Grade 3A: High significance - Mitigation as part of development process not advised. | | | |

| 4. | Local/Grade 3B: High significance - Could be mitigated and (part) retained as heritage register site | |
|----|--|--|
| | register site | |
| 5. | Generally protected 4A: High/medium significance - Should be mitigated before destruction | |
| 6. | Generally protected 4B: Medium significance - Should be recorded before destruction | |
| 7. | Generally protected 4C: Low significance - Requires no further recording before destruction | |

3. Method of Environmental Assessment

The environmental assessment aims to identify the various possible environmental impacts that could results from the proposed activity. Different impacts need to be evaluated in terms of its significance and in doing so highlight the most critical issues to be addressed.

Significance is determined through a synthesis of impact characteristics which include context and intensity of an impact. Context refers to the geographical scale i.e. site, local, national or global whereas intensity is defined by the severity of the impact e.g. the magnitude of deviation from background conditions, the size of the area affected, the duration of the impact and the overall probability of occurrence. Significance is calculated as shown in the Table below.

Significance is an indication of the importance of the impact in terms of both physical extent and time scale, and therefore indicates the level of mitigation required. The total number of points scored for each impact indicates the level of significance of the impact.

Impact Rating System

Impact assessment must take account of the nature, scale and duration of impacts on the environment whether such impacts are positive or negative. Each impact is also assessed according to the project phases:

- planning
- construction
- operation
- decommissioning

Where necessary, the proposal for mitigation or optimisation of an impact should be detailed. A brief discussion of the impact and the rationale behind the assessment of its significance should also be included. The rating system is applied to the potential impacts on the receiving environment and includes an objective evaluation of the mitigation of the impact. In assessing the significance of each impact the following criteria is used:

Table 1: The rating system

| NATURE | | |
|---|------------------------------------|---|
| Include a brief description of the impact of environmental parameter being assessed in the context | | |
| of the project. This criterion includes a brief written statement of the environmental aspect being | | |
| impacte | d upon by a particular action or a | ctivity. |
| GEOGR/ | APHICAL EXTENT | |
| This is defined as the area over which the impact will be experienced. | | |
| 1 | Site | The impact will only affect the site. |
| 2 | Local/district | Will affect the local area or district. |
| 3 | Province/region | Will affect the entire province or region. |
| 4 | International and National | Will affect the entire country. |
| PROBABILITY | | |
| This describes the chance of occurrence of an impact. | | |
| 1 | Unlikely | The chance of the impact occurring is extremely low (Less |
| | | than a 25% chance of occurrence). |
| 2 | Possible | The impact may occur (Between a 25% to 50% chance of |
| | | occurrence). |
| 3 | Probable | The impact will likely occur (Between a 50% to 75% |
| | | chance of occurrence). |
| 4 | Definite | Impact will certainly occur (Greater than a 75% chance of |
| | | occurrence). |
| DURATION | | |

| This de | escribes the duration of the im | pacts. Duration indicates the lifetime of the impact as a result |
|---------|---|--|
| of the | proposed activity. | |
| 1 | Short term | The impact will either disappear with mitigation or will be mitigated through natural processes in a span shorter than the construction phase $(0-1 \text{ years})$, or the impact will last for the period of a relatively short construction period and a limited recovery time after construction, thereafter it will be entirely negated $(0-2 \text{ years})$. |
| 2 | Medium term | The impact will continue or last for some time after the construction phase but will be mitigated by direct human action or by natural processes thereafter $(2 - 10 \text{ years})$. |
| 3 | Long term | The impact and its effects will continue or last for the entire operational life of the development, but will be mitigated by direct human action or by natural processes thereafter (10 – 30 years). |
| 4 | Permanent | The only class of impact that will be non-transitory. Mitigation either by man or natural process will not occur in such a way or such a time span that the impact can be considered indefinite. |
| INTENS | SITY/ MAGNITUDE | |
| Describ | oes the severity of an impact. | |
| 1 | Low | Impact affects the quality, use and integrity of the system/component in a way that is barely perceptible. |
| 2 | Medium | Impact alters the quality, use and integrity of the system/component but system/component still continues to function in a moderately modified way and maintains general integrity (some impact on integrity). |
| 3 | High | Impact affects the continued viability of the system/ component and the quality, use, integrity and functionality of the system or component is severely impaired and may temporarily cease. High costs of rehabilitation and remediation. |
| 4 | Very high | Impact affects the continued viability of the system/component and the quality, use, integrity and functionality of the system or component permanently ceases and is irreversibly impaired. Rehabilitation and remediation often impossible. If possible rehabilitation and remediation often unfeasible due to extremely high costs of rehabilitation and remediation. |
| REVER | SIBILITY | |
| | escribes the degree to which all ed activity. | n impact can be successfully reversed upon completion of the |
| 1 | Completely reversible | The impact is reversible with implementation of minor mitigation measures. |
| 2 | Partly reversible | The impact is partly reversible but more intense mitigation measures are required. |
| 3 | Barely reversible | The impact is unlikely to be reversed even with intense mitigation measures. |
| 4 | Irreversible | The impact is irreversible and no mitigation measures exist. |
| | ACEABLE LOSS OF RESOURCES | |
| This de | - | resources will be irreplaceably lost as a result of a proposed |
| 1 | No loss of resource | The impact will not result in the loss of any resources. |

| 2 | Marginal loss of resource | The impact will result in marginal loss of resources. | |
|---|---|---|--|
| 3 | Significant loss of resources | The impact will result in significant loss of resources. | |
| 4 | Complete loss of resources | The impact is result in a complete loss of all resources. | |
| CUMULATIVE EFFECT | | | |
| This describes the cumulative effect of the impacts. A cumulative impact is an effect which in itself | | | |
| may not | may not be significant but may become significant if added to other existing or potential impacts | | |
| emanating from other similar or diverse activities as a result of the project activity in question. | | | |
| 1 | Negligible cumulative impact | The impact would result in negligible to no cumulative | |
| | | effects. | |
| 2 | Low cumulative impact | The impact would result in insignificant cumulative | |
| | | effects. | |
| 3 | Medium cumulative impact | The impact would result in minor cumulative effects. | |
| 4 | High cumulative impact | The impact would result in significant cumulative effects | |

SIGNIFICANCE

Significance is determined through a synthesis of impact characteristics. Significance is an indication of the importance of the impact in terms of both physical extent and time scale, and therefore indicates the level of mitigation required. The calculation of the significance of an impact uses the following formula: (Extent + probability + reversibility + irreplaceability + duration + cumulative effect) x magnitude/intensity.

The summation of the different criteria will produce a non-weighted value. By multiplying this value with the magnitude/intensity, the resultant value acquires a weighted characteristic which can be measured and assigned a significance rating.

| Points | Impact significance rating | Description |
|----------|----------------------------|---|
| 6 to 28 | Negative low impact | The anticipated impact will have negligible negative |
| | | effects and will require little to no mitigation. |
| 6 to 28 | Positive low impact | The anticipated impact will have minor positive effects. |
| 29 to 50 | Negative medium impact | The anticipated impact will have moderate negative |
| | | effects and will require moderate mitigation measures. |
| 29 to 50 | Positive medium impact | The anticipated impact will have moderate positive |
| | | effects. |
| 51 to 73 | Negative high impact | The anticipated impact will have significant effects and |
| | | will require significant mitigation measures to achieve an |
| | | acceptable level of impact. |
| 51 to 73 | Positive high impact | The anticipated impact will have significant positive |
| | | effects. |
| 74 to 96 | Negative very high impact | The anticipated impact will have highly significant effects |
| | | and are unlikely to be able to be mitigated adequately. |
| | | These impacts could be considered "fatal flaws". |
| 74 to 96 | Positive very high impact | The anticipated impact will have highly significant |
| | | positive effects. |

4. Mitigation measures

• Mitigation: means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

Impacts can be managed through one or a combination of the following mitigation measures:

- Avoidance
- Investigation (archaeological)
- Rehabilitation
- Interpretation
- Memorialisation
- Enhancement (positive impacts)

For the current study, the following mitigation measures are proposed, to be implemented only if any of the identified sites or features are to be impacted on by the proposed development activities:

- (1) Avoidance/Preserve: This is viewed to be the primary form of mitigation and applies where any type of development occurs within a formally protected or significant or sensitive heritage context and is likely to have a high negative impact. This measure often includes the change / alteration of development planning and therefore impact zones in order not to impact on resources. The site should be retained *in situ* and a buffer zone should be created around it, either temporary (by means of danger tape) or permanently (wire fence or built wall). Depending on the type of site, the buffer zone can vary from
 - o 10 metres for a single grave, or a built structure, to
 - o 50 metres where the boundaries are less obvious, e.g. a Late Iron Age site.
- (2) Archaeological investigation/Relocation of graves: This option can be implemented with
 additional design and construction inputs. This is appropriate where development occurs in a
 context of heritage significance and where the impact is such that it can be mitigated. Mitigation
 is to excavate the site by archaeological techniques, document the site (map and photograph) and
 analyse the recovered material to acceptable standards. This can only be done by a suitably
 qualified archaeologist.
 - o This option should be implemented when it is impossible to avoid impacting on an identified site or feature.
 - This also applies for graves older than 60 years that are to be relocated. For graves younger than 60 years a permit from SAHRA is not required. However, all other legal requirements must be adhered to.
 - Impacts can be beneficial e.g. mitigation contribute to knowledge
- (3) Rehabilitation: When features, e.g. buildings or other structures are to be re-used. Rehabilitation is considered in heritage management terms as an intervention typically involving the adding of a new heritage layer to enable a new sustainable use.
 - The heritage resource is degraded or in the process of degradation and would benefit from rehabilitation.
 - Where rehabilitation implies appropriate conservation interventions, i.e. adaptive reuse, repair and maintenance, consolidation and minimal loss of historical fabric.
 - Conservation measures would be to record the buildings/structures as they are (at a particular point in time). The records and recordings would then become the 'artefacts' to be preserved and managed as heritage features or (movable) objects.
 - This approach automatically also leads to the enhancement of the sites or features that are re-used.

- (4) Mitigation is also possible with additional design and construction inputs. Although linked to the previous measure (rehabilitation) a secondary though 'indirect' conservation measure would be to use the existing architectural 'vocabulary' of the structure as guideline for any new designs.
 - The following principle should be considered: heritage informs design.
 - This approach automatically also leads to the enhancement of the sites or features that are re-used.
- (5) No further action required: This is applicable only where sites or features have been rated to be of such low significance that it does not warrant further documentation, as it is viewed to be fully documented after inclusion in this report.
 - Site monitoring during development, by an ECO or the heritage specialist are often added to this recommendation to ensure that no undetected heritage/remains are destroyed.

5. Management Plan: Burial Grounds and Graves, with reference to general heritage sites

1. Background

Burial grounds and graves are viewed as having high emotional and sentimental value and accordingly always carry a high cultural heritage significance rating. Best practice principles dictate that they should preferably be preserved *in situ*. It is only when it is unavoidable and the site cannot be retained, that the graves should be exhumed and relocated after all due processes had been successfully implemented.

For retaining the burial sites and graves, the SAHRA Burial Grounds and Graves (BGG) unit requires a detailed Heritage Management Plan (HMP) clearly outlining a grave management plan that provides details of grave management and access protocols. In addition, the HMP should also provide detailed change finds protocol or procedures in the case of the identification human remains.

The primary aim of the Burial Grounds and Graves Management Plan therefore is to assist in the implementation of mitigation measures to reduce potential negative impacts through the modification of the proposed project development design.

2. Legal Implications

South Africa's unique and non-renewable archaeological and palaeontological heritage sites, inclusive of burial grounds and graves, are 'generally' protected in terms various laws and by-laws:

Nationally: National Heritage Resources Act, No. 25 of 1999;

In addition, the following also refer specifically to burial grounds and graves:

- Human Tissue Act, No. 65 of 1983;
- Section 46 of the National Health Act, No. 61 of 2003;
- Removal of Graves and Dead Bodies Ordinance (Ordinance No. 7 of 1925)
- By-laws:
 - o R363 of 2013: Regulations Relating to the Management of Human Remains
 - Local Authorities Notice 34 of 2017, Cemeteries, Crematoria and Funeral Undertakers By-Laws as per Provincial Gazette of 7 April 2017 No. 2800.

In terms of the National Heritage Resources Act, No. 25 of 1999, graves and burial grounds are divided into the following categories:

- Ancestral graves;
- Royal graves and graves of traditional leaders;
- Graves of victims of conflict;
- Graves of individuals designated by the Minister by notice in the Gazette;
- Historical graves and cemeteries; and
- Other human remains which are not covered in terms of the Human Tissue Act, 1983 (Act No. 65 of 1983);

In terms of Section 36(3) of the National Heritage Resources Act, no person may, without a permit issued by the relevant heritage resources authority:

- Destroy, damage, alter, exhume or remove from its original position of otherwise disturb the grave of a victim of conflict, or any burial ground or part thereof which contains such graves;
- Destroy, damage, alter, exhume or remove from its original position or otherwise disturb any grave or burial ground older than 60 years which is situated outside a formal cemetery administered by a local authority; or

• Bring onto or use at a burial ground or grave referred to in paragraph (a) or (b) any excavation, or any equipment which assists in the detection or recovery of metals.

Marked graves younger than 60 years do not fall under the protection of the NHRA (Act No. 25 of 1999) with the result that exhumation, relocation and reburial can be conducted by a register undertaker. This will include logistical aspects such as social consultation, purchasing of plots in cemeteries, procurement of coffins, etc.

Marked graves older than 60 years are protected by the NHRA (Act No. 25 of 1999) an as a result an archaeologist must be in attendance to assist with the exhumation and documentation of the graves. Unmarked graves are by default regarded as older than 60 years and therefore also falls under the NHRA (Act No. 25 of 1999, Section 36).

3. Management Plan

3.1 Definitions

Heritage Site Management: Heritage site management is the control of the elements that make up physical and social environment of a site, its physical condition, land use, human visitors, interpretation, etc. Management may be aimed at preservation or, if necessary, at minimizing damage or destruction or at presentation of the site to the public. A site management plan is designed to retain the significance of the place. It ensures that the preservation, enhancement, presentation and maintenance of the place/site is deliberately and thoughtfully designed to protect the heritage values of the place (from: SAHRA Site management plans: guidelines for the development of plans for the management of heritage sites or places).

Mitigation: means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

3.2 Heritage management plan (HMP)

3.2.1 Phase 1: Site identification and verification

This part of the process usually take place during the Phase 1 heritage impact assessment and is discussed in Section 7 of the main body of the HIA.

Locality and identification:

- The location of the identified site (e.g. farm name, GPS coordinates) is given;
- Determination of the number of graves and the date range of the burials.

The physical condition of the site is also described in terms of:

- The condition of the burial grounds and graves, e.g. has the headstones been pushed over;
- The approximate number of graves and the date range of the graves;
- Is the site fenced off;
- Is there access to the site, in the case it is fenced off;
- Has the site recently been visited by next of kin or other individuals;
- The status of the vegetation cover on the site.

3.2.2 Phase 2: Determination of the potential impact on the identified sites

Identified impacts on the graves and burial sites are calculated and discussed in Section 8.1 of the main body of the HIA.

The second phase consists of information that should be collected in order to develop the conservation management plan. This includes:

- The needs of the client;
- External needs, i.e. the next of kin;
- Requirements for the maintenance of the cultural significance.

From the above an evaluation is made of the impact of the proposed development project on the status of each of the identified burial grounds and graves.

3.2.3 Phase 3: Mitigation measures

Proposed mitigation measures for each identified burial ground or graves are developed and is discussed in the main body of the HIA (Section 8.2).

The main aim of the mitigation measures, as far as is feasible, is to remove any physical, direct impacts on the burial grounds and graves.

- A minimum buffer of 20m must be established around known burial grounds and graves for the duration of the mining/construction phase. This is relevant where the burial site has been static for a considerable period of time and has already been fenced off;
- In cases the burial site is still in use and might expand in the future and is not fenced off, a minimum buffer of 100m should be implemented;
- In the case where blasting takes place during mining activities, the buffers should increase correspondingly to 200m;
- The buffers must be clearly demarcated, and signage placed during the construction/mining period;
- Access to the graves should be allowed to the descendants. However, they should adhere to the
 managing authorities' conditions regarding permissions, appointments, health, environment and
 safety.
- The areas with graves should be kept clean and the grass short so that visitors may enter it without any concerns.
 - However, this might create problems as in many cases not all graves are well-marked, carrying the possibility that they might inadvertently be damaged and therefore contractors/landowners might not be will to accept this responsibility. The descendants should therefore be held responsible for the maintenance of the site.
- Sites that are located close to access/haul roads might need additional mitigation. All personnel and especially drivers of heavy haul vehicles should be informed where these sites are, and they should keep to the speed limits (usually 30km/h on mining sites);
- Any change in the development layout, future development plans, condition of the grave sites and individual graves should immediately be reported to the heritage inspector/SAHRA for guidance;
- Relevant strategies should be put in place for the managing of the burial grounds and graves after
 the closure of the mine or the completion of the project. It needs to be stated that the land-owner
 or developer always will be responsible for the preservation of the site. Therefore, measures
 should be put in place to ensure that the site is handled appropriately after closure, which, in
 essence would entail the continuation measures already put in place;

3.3 Management strategy

A general approach to this is set out in Section 9 of the main body of the HIA report and is equally applicable to general heritage sites and feature as well as to burial grounds and graves.

A strategy for the implementation of the conservation plan is developed:

- A heritage practitioner should be appointed to develop a heritage induction program and conduct training for the ECO, as well as team leaders, in the identification of heritage resources and artefacts;
- Known sites must be demarcated and fenced off and signage placed during the construction/mining period;
- This management strategy should be applicable to the construction, operation as well as the post operation phases of the development/mining activities.
- Relevant strategies should be put in place for the managing of the burial grounds and graves after
 the closure of the mine or the completion of the project. It needs to be stated that the land-owner
 or developer always will be responsible for the preservation of the site. Therefore, measures
 should be put in place to ensure that the site is handled appropriately after closure, which, in
 essence would entail the continuation measures already put in place;
- The managing authority should be able to regularly inspect the sites in order to ensure that construction and other such activities do not damage the graves;
 - SAHRA and the relevant PHRA are the competent authorities responsible for the regulation of the HMP in terms of the national legislative framework. The NHRA states:
 - 36(1) Where it is not the responsibility of any other authority, SAHRA must conserve and generally care for burial grounds and graves protected in terms of this section, and it may make the necessary arrangement for their conservation as they see fit.

4. Relocation of graves

Once it has been decided to relocate particular graves, the following steps should be taken:

- Notices of the intention to relocate the graves need to be put up at the burial site for a period of 60 days. This should contain information where communities and family members can contact the developer/archaeologist/public-relations officer/undertaker. All information pertaining to the identification of the graves needs to be documented for the application of a SAHRA permit. The notices need to be in at least 3 languages, English, and two other languages. This is a requirement by law.
- Notices of the intention needs to be placed in at least two local newspapers and have the same information as the above point. This is a requirement by law.
- Local radio stations can also be used to try contact family members. This is not required by law, but is helpful in trying to contact family members.
- During this time (60 days) a suitable cemetery need to be identified close to the development area or otherwise one specified by the family of the deceased.
- An open day for family members should be arranged after the period of 60 days so that they can gather to discuss the way forward, and to sort out any problems. The developer needs to take the families requirements into account. This is a requirement by law.
- Once the 60 days has passed and all the information from the family members have been received, a permit can be requested from SAHRA. This is a requirement by law.
- Once the permit has been received, the graves may be exhumed and relocated.
- All headstones must be relocated with the graves as well as any items found in the grave.

Information needed for the SAHRA permit application:

- The permit application needs to be done by an archaeologist.
- A map of the area where the graves have been located.
- A survey report of the area prepared by an archaeologist.
- All the information on the families that have identified graves.

- If graves have not been identified and there are no headstones to indicate the grave, these are then unknown graves and should be handled as if they are older than 60 years. This information also needs to be given to SAHRA.
- A letter from the landowner giving permission to the developer to exhume and relocate the graves.
- A letter from the new cemetery confirming that the graves will be reburied there.
- Details of the farm name and number, magisterial district and GPS coordinates of the gravesite.

5. Defining next of kin

An extensive Burial Grounds and Graves Consultation process must be implemented in accordance with NHRA Regulations to identify bona fide next of kin and reach agreement regarding relocation of graves.

Anthropologically speaking three type of kin are distinguished: patrilineal (called *agnates*), maternal (*uterine* kin) and kin by marriage (*affines*). All three categories have their important part to play in social life.

In terminologies used in the west the close-knit group of family members is clearly marked off from other kin - family terms, such as 'father', 'mother', 'brother' and 'sister' are never used for aunts, uncles and cousins.

In many non-western societies this is not the case and the family is merged with the wider group of kin and the family terms are applied much more widely. Next of kin for the Southern Bantu-language speakers is based on a classificatory system where a man uses a term to refer to three significant relatives – his father, his father's brother and his mother's brother.

For example, a man (A) may call his father's brother (i.e. uncle) also a father. All of that latter person's children will then also be called his (A) brothers and sisters, prohibiting him from marrying any of them (however, *vide* preferred marriages). In Anthropology this system is referred to as the Iroquois system (with reference to the North American Indian tribe where it was first described). When a man calls his father's brother 'father' a suffix is usually added to indicate whether he is an elder or junior brother (e.g. (*ra*)*mogolo* = elder brother; (*ra*)*ngwane* = junior brother; also (*ra*)*kgadi* = younger sister; (*ma*)*lome* = mother's brother)(SePedi terminology is used).

Consultants having to relocate graves might find it confusing if they do not have insight into this complex system of kinship, where, for example a single individual can have more than one father or mother.

6. Chance find procedures

A general approach to this is set out in Section 9 of the main body of the HIA report and is equally applicable to general heritage sites and features as to burial grounds and graves.

- A heritage practitioner should be appointed to develop a heritage induction program and conduct training for the ECO, as well as team leaders, in the identification of heritage resources and artefacts;
- An appropriately qualified heritage consultant should be identified to be called upon if any possible heritage resources or artefacts are identified;
- Should an archaeological site or cultural material be discovered during construction (or operation),
 the area should be demarcated, and construction activities be halted;

- The qualified archaeologist will then need to come out to the site and evaluate the extent and importance of the heritage resources and make the necessary recommendations for mitigating the find and impact on the heritage resource;
- The contractor therefore should have some sort of contingency plan so that operations could move elsewhere temporarily while the material and data are recovered;
- Should the heritage consultant conclude that the find is a heritage resource protected in terms of the NHRA (1999) Sections 34, 35, 37 and NHRA (1999) Regulations (Regulation 38, 39, 40), he or she should notify SAHRA and/or the relevant PHRA;
- Based on the comments received from SAHRA and/or the PHRA, the heritage consultant would present the relevant terms of reference to the client for implementation;
- Construction/Operational activities can commence as soon as the site has been cleared and signed off by the archaeologist.

7. Curriculum vitae

Johan Abraham van Schalkwyk

Personal particulars

Date of birth: 14 April 1952
Identity number: 520414 5099 08 4
Marital status: Married; one daughter

Nationality: South African

Current address: home

62 Coetzer Ave, Monument Park, Pretoria, 0181

Mobile: 076 790 6777; E-mail: jvschalkwyk@mweb.co.za

Qualifications

| 1995 | DLitt et Phil (Anthropology), University of South Africa |
|------|--|
| 1985 | MA (Anthropology), University of Pretoria |
| 1981 | BA (Hons), Anthropology, University of Pretoria |
| 1979 | Post Graduate Diploma in Museology, University of Pretoria |
| 1978 | BA (Hons), Archaeology, University of Pretoria |
| 1976 | BA, University of Pretoria |

Non-academic qualifications

12th HSRC-School in Research Methodology - July 1990
Dept. of Education and Training Management Course - June 1992
Social Assessment Professional Development Course - 1994
Integrated Environmental Management Course, UCT - 1994

Professional experience

Private Practice

2017 - current: Professional Heritage Consultant

National Museum of Cultural History

- 1992 2017: Senior researcher: Head of Department of Research. Manage an average of seven researchers in this department and supervise them in their research projects. Did various projects relating to Anthropology and Archaeology in Limpopo Province, Mpumalanga, North West Province and Gauteng. Headed the Museum's Section for Heritage Impact Assessments.
- 1978 1991: Curator of the Anthropological Department of the Museum. Carried out extensive fieldwork in both anthropology and archaeology

Department of Archaeology, University of Pretoria

1976 - 1977: Assistant researcher responsible for excavations at various sites in Limpopo Province and Mpumalanga.

Awards and grants

- 1. Hanisch Book Prize for the best final year Archaeology student, University of Pretoria 1976.
- 2. Special merit award, National Cultural History Museum 1986.
- 3. Special merit award, National Cultural History Museum 1991.
- 4. Grant by the Department of Arts, Culture, Science and Technology, to visit the various African countries to study museums, sites and cultural programmes 1993.
- 5. Grant by the USA National Parks Service, to visit the United States of America to study museums, sites, tourism development, cultural programmes and impact assessment programmes 1998.
- 6. Grant by the USA embassy, Pretoria, under the Bi-national Commission Exchange Support Fund, to visit cultural institutions in the USA and to attend a conference in Charleston 2000.
- 7. Grant by the National Research Foundation to develop a model for community-based tourism 2001.

8. Grant by the National Research Foundation to develop a model for community-based tourism - 2013. In association with RARI, Wits University.

Publications

Published more than 70 papers, mostly in scientifically accredited journals, but also as chapters in books.

Conference Contributions

Regularly presented papers at conferences, locally as well as internationally, on various research topics, ranging in scope from archaeology, anthropological, historical, cultural historical and tourism development.

Heritage Impact Assessments

Since 1992, I have done more than 2000 Phase 1 and Phase 2 impact assessments (archaeological, anthropological, historical and social) for various government departments and developers. Projects include environmental management frameworks, roads, pipeline-, and power line developments, dams, mining, water purification works, historical landscapes, refuse dumps and urban developments.

Latest publications

Van Schalkwyk, J.A. 2020. A cognitive approach to ordering of the world: some case studies from the Sotho- and Tswana-speaking people of South Africa. In Whitley, D.S., Loubser, J.H.N. & Whitelaw, G. (eds.) *Cognitive Archaeology. Mind, Ethnography, and the Past in South African and Beyond*. London: Routledge. Pp. 184-200.

Namono, C. & Van Schalkwyk, J.A. 2020. Appropriating colonial dress in the rock art of the Makgabeng plateau, South Africa. In Wingfield, C., Giblin, J. & King, R. (eds) *The pasts and presence of art in South Africa: Technologies, Ontologies and Agents*. University of Cambridge: McDonald Institute for Archaeological Research. Pp. 51-62.