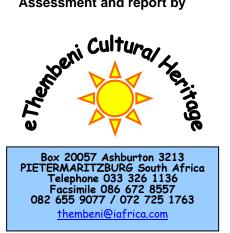
HERITAGE IMPACT ASSESSMENT OF ELLINGHAM ESTATE, PARK RYNIE, KWAZULU-NATAL, SOUTH AFRICA



Assessment and report by



SiVEST Environmental For **Division**

Telephone Jackie Jackson 033 347 1600

30 June 2009

Management summary

eThembeni Cultural Heritage was appointed by SiVEST Environmental Division to undertake a heritage impact assessment of a proposed residential estate near Park Rynie, in terms of the KwaZulu-Natal Heritage Act No 10 of 1997. Two eThembeni staff members inspected the area on 20 May 2009 and completed a controlled-exclusive surface survey, as well as a database and literature search.

We identified indeterminate Late Iron Age ceramic sherds on a slope crest above the Mzimayi River that has been subjected to rock removal for the ploughing of cane lands, and no archaeological material is in situ. Accordingly, the site has low heritage significance at all levels for its scientific value. Since it will be destroyed by the proposed development, the developer should apply to Amafa for a destruction permit prior to undertaking construction activities.

The landscape comprises steep coastal dunes almost exclusively transformed to sugar cane plantations. Surrounding land uses are agriculture (sugar cane and timber), coastal residential and associated trade and service infrastructure. This landscape will be transformed permanently and significantly by the proposed development, but it will be in keeping with similar coastal landscape changes in the province.

We recommend that this project may proceed with the proposed heritage resource mitigation and have submitted this report to Amafa aKwaZulu-Natali in fulfilment of the requirements of the KwaZulu-Natal Heritage Act. The client may contact Ms Wesiwe Tshabalala at Amafa's Pietermaritzburg office (telephone 033 3946 543) in due course to enquire about the Council's decision.

If permission is granted for the development to proceed, the client is reminded that the Act requires that a developer cease all work immediately and notify Amafa aKwaZulu-Natali should any heritage resources, as defined in the Act, be discovered during the course of development activities.

TABLE OF CONTENTS

	Page
Introduction and legislation	4
Nature and description of proposed activities	6
Location, access and environmental issues	6
Methodology	7
Observations and recommendations	7
Summary of findings in terms of the KwaZulu-Natal Heritage Act 1997 Section 27(3)	9
Conclusion	9
Appendix A – Significance and value of heritage resources	10
Appendix B – Criteria for the identification and management of cultural landscapes	13

Introduction and legislation

eThembeni Cultural Heritage was appointed by SiVEST Environmental Division to undertake a heritage impact assessment of a proposed residential estate near Park Rynie, in terms of the KwaZulu-Natal Heritage Act No 10 of 1997. Section 27(1) of the Act requires such an assessment in case of:

- (a) construction of a road, wall, power line, pipeline, canal or other similar form of linear development or barrier exceeding 300 m in length;
- (b) construction of a bridge or similar structure exceeding 50 m in length; and
- (c) any development, or other activity which will change the character of an area of land, or water –
 - (i) exceeding 10 000 m² in extent;
 - (ii) involving three or more existing erven or subdivisions thereof; or
 - (iii) involving three or more erven, or subdivisions thereof, which have been consolidated within the past five years; or
- (d) the costs of which will exceed a sum set in terms of regulations; or
- (e) any other category of development provided for in regulations.

A heritage impact assessment is not limited to archaeological artefacts, historical buildings and graves. It is far more encompassing and includes intangible and invisible resources such as places, oral traditions and rituals. In the KwaZulu-Natal Heritage Act 1997 a heritage resource is defined any place or object of cultural significance i.e. of aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technological value or significance. This includes the following wide range of places and objects:

- (a) places, buildings, structures and equipment;
- (b) places to which oral traditions are attached or which are associated with living heritage;
- (c) historical settlements and townscapes:
- (d) landscapes and natural features;
- (e) geological sites of scientific or cultural importance:
- (f) archaeological and palaeontological sites:
- (g) graves and burial grounds, including -
 - (i) ancestral graves,
 - (ii) royal graves and graves of traditional leaders,
 - (iii) graves of victims of conflict,
 - (iv) graves of important individuals,
 - (v) historical graves and cemeteries older than 60 years, and
 - (vi) other human remains which are not covered under the Human Tissues Act, 1983 (Act No.65 of 1983 as amended):
- (h) movable objects, including -
 - (i) objects recovered from the soil or waters of South Africa including archaeological and palaeontological objects and material, meteorites and rare geological specimens;
 - (ii) ethnographic art and objects;
 - (iii) military objects;
 - (iv) objects of decorative art;
 - (v) objects of fine art;
 - (vi) objects of scientific or technological interest;
 - (vii) books, records, documents, photographic positives and negatives, graphic, film or video material or sound recordings; and
 - (viii) any other prescribed categories,

but excluding any object made by a living person;

- (i) battlefields;
- (j) traditional building techniques.

A 'place' is defined as:

- o a site, area or region;
- a building or other structure (which may include equipment, furniture, fittings and articles associated with or connected with such building or other structure);
- a group of buildings or other structures (which may include equipment, furniture, fittings and articles associated with or connected with such group of buildings or other structures); and
- o an open space, including a public square, street or park; and in relation to the management of a place, includes the immediate surroundings of a place.

'Structures' means any building, works, device, or other facility made by people and which is fixed to land and any fixtures, fittings and equipment associated therewith older than 60 years.

Archaeological' means:

- material remains resulting from human activity which are in a state of disuse and are in or on land and are older than 100 years, including artefacts, human and hominid remains and artificial features and structures;
- rock art, being a form of painting, engraving or other graphic representation on a fixed rock surface or loose rock or stone, which was executed by human agency and is older than 100 years including any area within 10 m of such representation; and
- wrecks, being any vessel or aircraft, or any part thereof, which was wrecked in South Africa, whether on land or in the maritime cultural zone referred to in section 5 of the Maritime Zones Act 1994 (Act 15 of 1994), and any cargo, debris or artefacts found or associated therewith, which are older than 60 years or which in terms of national legislation are considered to be worthy of conservation;
- features, structures and artefacts associated with military history which are older than 75 years and the sites on which they are found.

'Palaeontological' means any fossilised remains or fossil trace of animals or plants which lived in the geological past, other than fossil fuels or fossiliferous rock intended for industrial use, and any site which contains such fossilised remains or trace.

'Grave' means a place of interment and includes the contents, headstone or other marker of and any other structures on or associated with such place. Amafa aKwaZulu-Natali will only issue a permit for the alteration of a grave if it is satisfied that every reasonable effort has been made to contact and obtain permission from the families concerned. Since Amafa has not yet formulated guidelines or regulations for the removal of human remains, eThembeni adheres to the following procedures, compiled in discussion with the South African Heritage Resources Agency and used by professional colleagues:

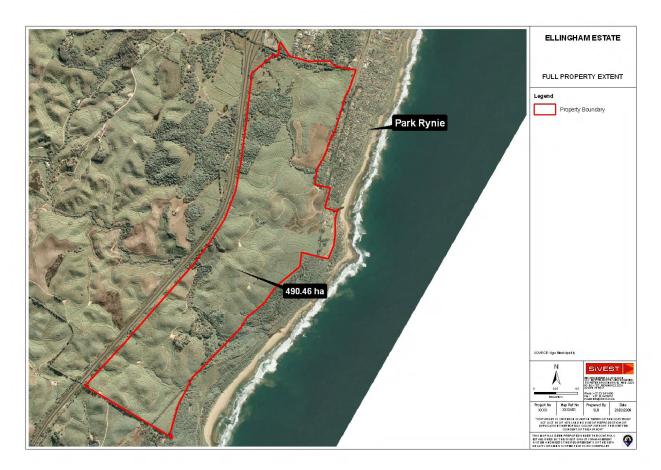
- Notification of the impending removals (using English and Zulu language media and notices at the grave site);
- Consultation with individuals or communities related or known to the deceased;
- Satisfactory arrangements for the curation of human remains and / or headstones in a museum, where applicable;
- Procurement of a permit from Amafa aKwaZulu-Natali;
- Appropriate arrangements for the exhumation (preferably by a suitably trained archaeologist) and re-interment (sometimes by a registered undertaker, in a formally proclaimed cemetery);
- Observation of rituals or ceremonies required by the families.

Nature and description of proposed activities (information provided by the client)

The Crookes Family would like to develop a Portion of the Farm Ocean View near Park Rynie on the KwaZulu South Coast. The extent of the property is approximately 550 hectares. The preliminary concept for the property consists of three development nodes: a convential housing estate, a golf course estate, and a lifestyle estate on the portion inland of the coastal road. On the seaward side of the coastland, it is proposed to construct relatively high density residential development coupled with themed areas and general public facilities including restaurants and speciality shopping. The uses proposed will be informed by the EIA process.

Location, access and environmental issues (information provided by the client)

Travel south on the N2 freeway from Durban. Take exit 104 Park Rynie / Mzinto and travel east to the old South Coast Road (R102). Turn south towards Pennington and access the property at S30 20 04.0; E30 43 55.0 (refer to the following image).



The property comprises Pleistocene coastal dunes formed from aeolian sands, which are very steeply sloped with deeply incised drainage lines. The basal cover is almost exclusively sugarcane with remnant coastal dune forest along the boundary of the N2 and along drainage lines. This landscape has been transformed from Coastal Palm Veld and Coastal Forest to sugarcane and eucalyptus plantations.

Surrounding land uses are agriculture (sugar cane and timber), coastal residential and associated trade and service infrastructure. The property is bounded in the west by the N2 and in the east by the R102 and lies adjacent to the coastal ribbon development that is contiguous with its eastern boundary.

Methodology

Two eThembeni staff members inspected the area on 20 May 2009 and completed a controlled-exclusive surface survey, where 'sufficient information exists on an area to make solid and defensible assumptions and judgements about where [heritage resource] sites may and may not be' and 'an inspection of the surface of the ground, wherever this surface is visible, is made, with no substantial attempt to clear brush, turf, deadfall, leaves or other material that may cover the surface and with no attempt to look beneath the surface beyond the inspection of rodent burrows, cut banks and other exposures that are observed by accident' (King 1978¹).

We consulted various provincial databases, including historical, archaeological and geological sources and sourced a concise account of South Africa's pre and postcolonial history (available on request). We assessed the value and significance of heritage resources, as defined in the KwaZulu-Natal Heritage Act 1997 and the criteria contained in Appendix A. Culturally significant landscapes were assessed according to the criteria in Appendix B.

The client has provided a map of the area, submitted to Amafa separately. Geographic coordinates were obtained with a handheld Garmin GPS72 global positioning unit. A statement of independence and a summary of our ability to undertake this heritage impact assessment are available on request.

The assumptions and limitations of this heritage impact assessment are as follows:

- We have assumed that the description of the proposed project, provided by the client, is accurate.
- We have assumed that the public consultation process undertaken as part of the Environmental Impact Assessment is sufficient and adequate and does not require repetition as part of the heritage impact assessment.
- Soil surface visibility was poor to moderate, but good where sugar cane had been harvested.
- No subsurface investigation (including excavations or sampling) were undertaken, since a permit from Amafa aKwaZulu-Natali is required to disturb a heritage resource.

Observations and recommendations

No construction activities associated with the proposed project had begun prior to our visit, in accordance with provincial heritage legislation.

⇒ Places, buildings, structures and equipment

None were identified within the proposed development area.

⇒ Places to which oral traditions are attached or which are associated with living heritage

None were identified within the proposed development area.

⇒ Historical settlements and townscapes

None were identified within the proposed development area.

¹ King, T. F. 1989. The archaeological survey: methods and uses. Quoted in Canter, L. W. 1996. Environmental impact assessment. Second Edition. New York: McGraw-Hill, Inc.

⇒ Landscapes and natural features

The landscape comprises steep coastal dunes almost exclusively transformed to sugar cane plantations. Surrounding land uses are agriculture (sugar cane and timber), coastal residential and associated trade and service infrastructure. This landscape will be transformed permanently and significantly by the proposed development, but it will be in keeping with similar coastal landscape changes in the province.

⇒ Geological sites of scientific or cultural importance

None were identified within the proposed development area.

⇒ Archaeological and palaeontological sites

We identified indeterminate Late Iron Age ceramic sherds in the plough zone at S30 20 35.0; E30 43 07.5, on a slope crest above the Mzimayi River. This particular locality has been subjected to rock removal for the ploughing of cane lands and no archaeological material is in situ. Accordingly, the site has low heritage significance at all levels for its scientific value. Since it will be destroyed by the proposed development, the developer should apply to Amafa for a destruction permit prior to undertaking construction activities.

⇒ Graves and burial grounds

None were identified within the proposed development area.

⇒ Movable objects excluding any object made by a living person

None were identified within the proposed development area.

⇒ Battlefields

None were identified within the proposed development area.

⇒ Traditional building techniques

None were identified within the proposed development area.

Summary of findings in terms of the KwaZulu-Natal Heritage Act 1997 Section 27(3)

(a) the identification and mapping of all heritage resources in the area affected

Indeterminate Late Iron Age ceramic sherds.

(b) an assessment of the significance of such resources in terms of the heritage assessment criteria set out in regulations

The site has low heritage significance at all levels for its scientific value.

(c) an assessment of the impact of development on such heritage resources

The site will be destroyed by the proposed development.

(d) an evaluation of the impact of the development on heritage resources relative to the sustainable social and economic benefits to be derived from the development

The benefits of the proposed development outweigh the impact on known heritage resources.

(e) the results of consultation with communities affected by the proposed development and other interested parties regarding the impact of the development on heritage resources

The client has undertaken such consultation in terms of statutory requirements and retains the relevant documentation.

(f) if heritage resources will be adversely affected by the proposed development, the consideration of alternatives

The developer should apply to Amafa for a destruction permit prior to undertaking construction activities.

(g) plans for mitigation of any adverse effects during and after completion of the proposed development

If permission is granted for development to proceed, the client is reminded that the Act requires that a developer cease all work immediately and notify Amafa should any heritage resources, as defined in the Act, be discovered during the course of development activities.

Conclusion

We recommend that this project may proceed with the proposed heritage resource mitigation and have submitted this report to Amafa aKwaZulu-Natali in fulfilment of the requirements of the KwaZulu-Natal Heritage Act. According to Section 27(4) of the Act, the report shall be considered timeously by the Council which shall, after consultation with the person proposing the development, decide:

- whether or not the development may proceed;
- o any limitations or conditions are to be applied to the development;
- what general protections in terms of this Act apply, and what formal protections may be applied to such heritage resources;
- whether compensatory action shall be required in respect of any heritage resources damaged or destroyed as a result of the development; and
- o whether the appointment of specialists is required as a condition of approval of the proposal.

The client may contact Ms Wesiwe Tshabalala at Amafa's Pietermaritzburg office (telephone 033 3946 543) in due course to enquire about the Council's decision.

APPENDIX A

SIGNIFICANCE AND VALUE OF HERITAGE RESOURCE SITES

The following guidelines for determining site significance were developed by the South African Heritage Resources Agency in 2003. We use them in conjunction with tables of our own formulation (see that for the Southern African Iron Age, below) when considering intrinsic site significance and significance relative to development activities, as well as when recommending mitigatory action.

Type of Resource Place Structure Archaeological Site Palaeontological Site Geological Feature Grave

Type of Significance

1. Historical Value

It is important in the community, or pattern of history

- Importance in the evolution of cultural landscapes and settlement patterns
- Importance in exhibiting density, richness or diversity of cultural features illustrating the human occupation and evolution of the nation, Province, region or locality.
- Importance for association with events, developments or cultural phases that have had a significant role in the human occupation and evolution of the nation, Province, region or community.
- Importance as an example for technical, creative, design or artistic excellence, innovation or achievement in a particular period

It has strong or special association with the life or work of a person, group or organisation of importance in history

Importance for close associations with individuals, groups or organisations whose life, works or activities have been significant within the history of the nation, Province, region or community.

It has significance relating to the history of slavery

- Importance for a direct link to the history of slavery in South Africa.
- 2. Aesthetic Value

It is important in exhibiting particular aesthetic characteristics valued by a community or cultural group

- Importance to a community for aesthetic characteristics held in high esteem or otherwise valued by the community.
- Importance for its creative, design or artistic excellence, innovation or achievement.
- Importance for its contribution to the aesthetic values of the setting demonstrated by a landmark quality or having impact on important vistas or otherwise contributing to the identified aesthetic qualities of the cultural environs or the natural landscape within which it is located.
- In the case of an historic precinct, importance for the aesthetic character created by the individual components which collectively form a significant streetscape, townscape or cultural environment.

3. Scientific Value

It has potential to yield information that will contribute to an understanding of natural or cultural heritage

- Importance for information contributing to a wider understanding of natural or cultural history by virtue of its use as a research site, teaching site, type locality, reference or benchmark site.
- Importance for information contributing to a wider understanding of the origin of the universe or of the development of the earth.
- Importance for information contributing to a wider understanding of the origin of life; the development of plant or animal species, or the biological or cultural development of hominid or human species.
- Importance for its potential to yield information contributing to a wider understanding of the history of human occupation of the nation, Province, region or locality.

It is important in demonstrating a high degree of creative or technical achievement at a particular period

- Importance for its technical innovation or achievement.

4. Social Value

It has strong or special association with a particular community or cultural group for social, cultural or spiritual reasons

- Importance as a place highly valued by a community or cultural group for reasons of social, cultural, religious, spiritual, symbolic, aesthetic or educational associations.
- Importance in contributing to a community's sense of place.

Degrees of Significance Rarity

It possesses uncommon, rare or endangered aspects of natural or cultural heritage

- Importance for rare, endangered or uncommon structures, landscapes or phenomena.

Representivity

It is important in demonstrating the principal characteristics of a particular class of natural or cultural places or objects

Importance in demonstrating the principal characteristics of a range of landscapes or environments, the attributes of which identify it as being characteristic of its class.

Importance in demonstrating the principal characteristics of human activities (including way of life, philosophy, custom, process, land-use, function, design or technique) in the environment of the nation, Province, region or locality.

Sphere of Significance International National Provincial Regional Local Specific Community	High	Mediur	m Low	
What other similar sites may be	compared	to this sit	te?	

Southern African Iron Age

	Significance		
	- low	- medium	- high
Unique or type site			Yes
Formal protection			Yes
Spatial patterning	?Yes	?Yes	?Yes
Degree of disturbance	75 – 100%	25 – 74%	0 – 24%
Organic remains (list types)	0 – 5 / m ²	6 – 10 / m²	11 + / m²
In a series in a series (list to in a c)	0 5/3	C 40 / m2	44 . / 2
Inorganic remains (list types)	0 – 5 / m ²	6 – 10 / m²	11 + / m ²
Ancestral graves			Present
7 and State			1.000.11
Horizontal extent of site	< 100m²	101 – 1000m²	1000 + m ²
Depth of deposit	< 20cm	21 – 50cm	51 + cm
Spiritual association			Yes
Oral history association			Yes
> Research potential			High
> Educational potential			High

Please note that this table is a tool to be used by qualified cultural heritage managers who are also experienced site assessors.

APPENDIX B

THE MANAGEMENT OF CULTURAL LANDSCAPES

The Cultural Landscape Foundation² defines cultural landscapes as follows:

A cultural landscape is a geographic area that includes resources and natural resources associated with a historic event, activity, or person. Sometimes cultural landscapes are the result of one person or group of people acting upon the land. Other times they are the result of an idea one person or a group had and then created at that time. Cultural landscapes can range from thousands of acres of rural lands to a small homestead with a front yard of less than one acre. They include grand estates, farmland, public gardens and parks, college campuses, cemeteries, scenic highways and even industrial sites.

Four general types of Cultural Landscapes, not mutually exclusive, are:

- Historic Sites
- o Historic Designed Landscapes
- Historic Vernacular Landscapes
- Ethnographic Landscapes

Cultural Landscapes can:

- Be man-made expressions of visual and spatial relationships.
- Serve as texts and narratives of cultures.
- Be valuable expressions of regional identity.
- Be works of art that are part of our national heritage.
- o Exist in relationship to their ecological contexts.

What are cultural landscapes? by Alice E. Ingerson, Institute for Cultural Landscape Studies³

Virtually all landscapes have cultural associations, because virtually all landscapes have been affected in some way by human action or perception. Therefore, the Institute for Cultural Landscape Studies does not use the phrase "cultural landscape" to mean a special type of landscape. Instead, we use "cultural landscape" to mean a way of seeing landscapes that emphasizes the interaction between human beings and nature over time. ICLS also works with many other organizations, some of which have contrasting or even conflicting definitions of "cultural landscape":

individual, special, aesthetic, collective, representative, useful, cultural, related to the arts (consciously designed objects), ideas of enduring value related to the everyday beliefs and practices of a group of people, the work of landscape architects or garden designers, scenery portrayed in a painting or photograph, or that is seen as worth painting or photographing, the land that can be seen from a single vantage point (usually larger than a "site", smaller than a "region"), "nearly everything we see when we go outdoors" — Peirce Lewis 1979

² Though professional techniques for identifying, documenting, and managing cultural landscapes have evolved rapidly in the past 30 years, the results of the professionals' work often fails to reach the general public. Consequently, many of the places in which we live, work, and play often change considerably—sometimes over years and sometimes overnight! The Cultural Landscape Foundation is the only not-for-profit foundation in America dedicated to increasing the public's awareness of the importance and irreplaceable legacy of cultural landscapes. Through education, technical assistance, and outreach, the Cultural Landscape Foundation aims to broaden the support and understanding for cultural landscapes nationwide in hopes of saving our priceless heritage for future generations. The CLF achieves this mission by: (1) heightening the awareness of those who impact cultural landscapes; (2) assisting those groups and organizations who are working to increase the appreciation and recognition of cultural landscapes; and, (3) developing educational tools for young people to better connect them to their cultural landscape environs.

³ From the website of the Institute for Cultural Landscape Studies of the Arnold Arboretum (http://www.icls.harvard.edu), © The President and Fellows of Harvard College. The Institute for Cultural Landscape Studies was formed in 1997 to support the emerging community of professionals and volunteers who manage and interpret landscapes with a significant history of human use, particularly in the northeastern United States. These practitioners work with a wide variety of places, from historic gardens and public parks to urban streetscapes, broad agricultural or industrial regions, and conservation or ecological reserves. These landscapes are neither static nor self-contained. Managing them requires active experimentation and continuous learning, to understand how past events and decisions produced today's landscapes, and how today's decisions and events are already producing tomorrow's landscapes. The Institute for Cultural Landscape Studies worked with nonprofit organizations, public agencies, and colleges and universities to capture place-based knowledge about cultural landscapes, and to respond to emerging issues.

The National Park Service and the National Register of Historic Places, as well as organizations that look to these agencies for management models and standards, use the operational definition of "cultural landscape" from the 1996 Secretary of the Interior's . . . Guidelines for the Treatment of Cultural Landscapes:

a geographic area (including both cultural and natural resources and the wildlife or domestic animals therein), associated with a historic event, activity, or person or exhibiting other cultural or aesthetic values.

Historic landscapes, unlike works of art, have to function as contemporary environments — we have literally to enter and become involved with them. — Catherine Howett 1987

Much public discussion about cultural landscapes is about preserving special or historic places. Yet the definition of "special" varies over time, among different cultures, and in different places. A landscape valued by one group may be simply invisible, or even offensive, to another. Next to an official historic district may be a neighborhood that is not eligible for any special treatment but has deep meaning and associations for the people who live there. Mobile homes may be critical to a farm economy, though they jar the sensibilities of visitors expecting to see only white clapboard houses and wooded hillsides from a "scenic overlook" in a state forest. The historic district and the ordinary neighborhood, working farms and protected forests, are all cultural landscapes.

Even when landscape preservation standards are broadened to include a wide range of landscape types, strict preservation is not always an appropriate stance. Designers and communities may also choose to transform existing landscapes or create new ones. Managing cultural landscapes thus involves planning for positive change as well as preventing negative change.