

Phase 1 Heritage Impact Assessment Report

Mngwenya Road Upgrade Project, near Wittekleifontein, KwaZulu Natal Province

Prepared By:







CREDIT SHEET

Project Director

STEPHAN GAIGHER (BA Hons, Archaeology, UP)

Principal Investigator for G&A Heritage

Member of ASAPA (Site Director Status)

Tel: (015) 516 1561 Cell: 073 752 6583

E-mail: stephan@gaheritage.co.za Website: www.gaheritage.co.za

Report Author

STEPHAN GAIGHER

Disclaimer; Although all possible care is taken to identify all sites of cultural importance during the investigation of study areas, it is always possible that hidden or sub-surface sites could be overlooked during the study. G&A Heritage and its personnel will not be held liable for such oversights or for costs incurred as a result of such oversights.



MANAGEMENT SUMMARY

JIUNED OFF BY: JIEPHMIN OMIOHEK

E faigle

Site name and location: Mngwenya Road Upgrade Project, KwaZulu Natal.

Municipal Area: uThukela District Municipality.

Developer: South African National Roads Agency.

Consultant: G&A Heritage, PO Box 522, Louis Trichardt, 0920, South Africa.

38A Vorster St. Louis Trichardt, 0920

Date of Report: 21 August 2013

The purpose of the management summary is to distil the information contained in the report into a format that can be used to give specific results quickly and facilitate management decisions. It is not the purpose of the management summary to repeat in shortened format all the information contained in the report, but rather to give a statement of results for decision making purposes.

This study focuses on the upgrade of an existing, un-surfaced road near the village of Wittekleifontein in the uThukela District Municipalty of KwaZulu Natal. The upgrade will be approximate 6.2 km in length.

A preliminary alignment following the existing road has been drawn to lead the study; however this could be altered to some extent to avoid any identified heritage sites.

The purpose of this heritage impact assessment is to outline the cultural heritage sensitivity of the proposed development area and to advise on mitigation should any heritage sites or landscapes be affected.

Findings

No sites of any cultural significance could be identified.

A cluster of informal graves were identified at the ned of the road upgrade and some stone walled kraals of possible Late Iron Age (LIA) origin was identified well of the plotted route.

Recommendations

The exact alignment of the road was unclear at the location of the graves. Ideally the graves should be relocated to ensure their safety, if this is not possible it is proposed that they be demarcated by barrier tape and that the road upgrade activities stay at least 30m metres away. The LIA stone circles are far enough away from the road not to be in danger. The value of these sites should however be imprinted with the construction crews as such sites have iin the past been used as sources of stone for the building of gabions, bridge approaches etc.

Fatal Flaws

No fatal flaws were identified.



TABLE OF CONTENTS

Introduction	8
Background Information	10
Proposed Mngwenya Road Upgrade Project	10
Project Description	10
Site Location	11
Alternatives Considered	12
Methodology	13
Evaluating Heritage Impacts	13
Fieldwork	14
Measuring Impacts	14
TYPE OF RESOURCE	14
TYPE OF SIGNIFICANCE	15
HISTORIC VALUE	15
AESTHETIC VALUE	15
SCIENTIFIC VALUE	15
SOCIAL VALUE	16
DEGREES OF SIGNIFICANCE	16
RARITY	16
REPRESENTIVITY	16
Impact Statement	16
Assessment of Impacts	16
Assessing Visual Impact	17
Assumptions and Restrictions	18
Regional Cultural Context	18
Paleontology	18



2013-08-21

Stone Age1	18
Iron Age	19
The Historic Era2	20
Cultural Landscape	20
Previous Studies	20
Finds	21
Site 0012	21
Assessment of Impacts	22
Impact Statement	22
Paleontological sites	22
Graves and Burial Sites	23
Built Environment	23
Cultural Landscape	23
Resource Management Recommendations	25
Minimising the impact on Burial and Grave Sites (as per the NHRA)2	25
General Recommendations	25
Conclusion	26
References Cited and Researched	27



List of Figures

Figure 1. 1: 50 000 Location map	11
Figure 2. Aerial view of proposed upgrade	12
Figure 3. Road upgrade start	13
Figure 4. GPS track path for the study ostensibly followed the road alignm	ent14
Figure 5. Stone circles near road	21
Figure 6. Four graves at Site 001	22
Figure 7. Grave location at Site 001	22



List of Abbreviations

Вр	Before Present
EIA	Early Iron Age
ESA	Early Stone Age
Fm	Femtometre (10 ⁻¹⁵ m)
GPS	Geographic Positioning System
HIA	Heritage Impact Assessment
LIA	Late Iron Age
LSA	Late Stone Age
MYA	Million Years Ago
MSA	Middle Stone Age
NHRA	National Heritage Resources Act no 22 of 1999
SAHRA	South African Heritage Resource Agency
S&EIR	Scoping & Environmental Impact Reporting
Um	Micrometre (10 ⁻⁶ m)
WGS 84	



Project Resources

Heritage Impact Report

Heritage Impact Assessment Report for the Proposed Mngwenya Road Upgrade, KwaZulu Natal·

Introduction

Legislation and methodology

G&A Heritage was appointed by GBS Environmental Consultants to undertake a heritage impact assessment for the proposed upgrade of one section of un-surfaced road near the village of Wittekleifontein, KwaZulu Natal with a total length of 6.2 km. Section 38(1) of the South African Heritage Resources Act (25 of 1999) requires that a heritage study is undertaken for:

- (a) construction of a road, wall, power line, pipeline, canal or other similar form of linear development or barrier exceeding 300 m in length;
- (b) construction of a bridge or similar structure exceeding 50 m in length; and
- (c) any development, or other activity which will change the character of an area of land, or water (1) exceeding 10 000 m^2 in extent;
- (2) involving three or more existing erven or subdivisions thereof; or
- (3) involving three or more erven, or subdivisions thereof, which have been consolidated within the past five years; or
 - (d) the costs of which will exceed a sum set in terms of regulations; or
 - (e) any other category of development provided for in regulations.

While the above desribes the parameters of developments that fall under this Act., Section 38 (8) of the NHRA is applicable to this development. This section states that;

(8) The provisions of this section do not apply to a development as described in subsection (1) if an evaluation of the impact of such development on heritage resources is required in terms of the Environment Conservation Act, 1989 (Act 73 of 1989), or the integrated environmental management guidelines issued by the Department of Environment Affairs and Tourism, or the Minerals Act, 1991 (Act 50 of 1991), or any other legislation: Provided that the consenting authority must ensure that the evaluation fulfils the requirements of the relevant heritage resources authority in terms of subsection (3), and any comments and recommendations of the relevant heritage resources authority with regard to such development have been taken into account prior to the granting of the consent.

In regards to a development such as this that falls under Section 38 (8) of the NHRA, the requirements of Section 38 (3) applies to the subsequent reporting, stating that;

- (3) The responsible heritage resources authority must specify the information to be provided in a report required in terms of subsection (2) (a): Provided that the following must be included:
 - (a) The identification and mapping of all heritage resources in the area affected;
 - (b) an assessment of the significance of such resources in terms of the heritage assessment criteria set out in section 6 (2) or prescribed under section 7;
 - (c) an assessment of the impact of the development on such heritage resources;
 - (d) an evaluation of the impact of the development on heritage resources relative to the sustainable social and economic benefits to be derived from the development;



- (e) the results of consultation with communities affected by the proposed development and other interested parties regarding the impact of the development on heritage resources:
- (f) if heritage resources will be adversely affected by the proposed development, the consideration of alternatives; and
- (g) plans for mitigation of any adverse effects during and after the completion of the proposed development.
 - (1) ancestral graves,
 - (2) royal graves and graves of traditional leaders,
 - (3) graves of victims of conflict (iv) graves of important individuals,
 - (4) historical graves and cemeteries older than 60 years, and
 - (5) other human remains which are not covered under the Human Tissues Act, 1983 (Act No.65 of 1983 as amended);
- (h) movable objects, including;
 - (1) objects recovered from the soil or waters of South Africa including archaeological and paleontological objects and material, meteorites and rare geological specimens;
 - (2) ethnographic art and objects;
 - (3) military objects;
 - (4) objects of decorative art;
 - (5) objects of fine art;
 - (6) objects of scientific or technological interest;
 - (7) books, records, documents, photographic positives and negatives, graphic, film or video material or sound recordings; and
 - (8) any other prescribed categories, but excluding any object made by a living person;
- (i) battlefields;
- (j) traditional building techniques.

A 'place' is defined as:

- (a) A site, area or region;
- (b) A building or other structure (which may include equipment, furniture, fittings and articles associated with or connected with such building or other structure);
- (c) a group of buildings or other structures (which may include equipment, furniture, fittings and articles associated with or connected with such group of buildings or other structures); and (d) an open space, including a public square, street or park; and in relation to the management of a place, includes the immediate surroundings of a place.
- **'Structures**' means any building, works, device, or other facility made by people and which is fixed to land any fixtures, fittings and equipment associated therewith older than 60 years.

'Archaeological' means:

- (a) material remains resulting from human activity which are in a state of disuse and are in or on land and are older than 100 years, including artefacts, human and hominid remains and artificial features and structures:
- (b) rock art, being a form of painting, engraving or other graphic representation on a fixed rock surface or loose rock or stone, which was executed by human agency and is older than 100 years including any area within 10 m of such representation; and
- (c) wrecks, being any vessel or aircraft, or any part thereof, which was wrecked in South Africa, whether on land or in the maritime cultural zone referred to in section 5 of the Maritime Zones Act 1994 (Act 15 of 1994), and any cargo, debris or artefacts found or associated therewith, which are older than 60 years or which in terms of national legislation are considered to be worthy of conservation;
- (d) features, structures and artefacts associated with military history which are older than 75 years and the sites on which they are found.
- 'Paleontological' means any fossilised remains or fossil trace of animals or plants which lived in the geological past, other than fossil fuels or fossiliferous rock intended for industrial use, and any site which contains such fossilised remains or trace.
- 'Grave' means a place of interment and includes the contents, headstone or other marker of and any other structures on or associated with such place. The South African Heritage Resources Agency (SAHRA) will only issue a permit for the alteration of a grave if it is satisfied that



every reasonable effort has been made to contact and obtain permission from the families concerned.

The removal of graves is subject to the following procedures as outlined by the SAHRA:

- Notification of the impending removals (using English, Afrikaans and local language media and notices at the grave site);
- Consultation with individuals or communities related or known to the deceased;
- Satisfactory arrangements for the curation of human remains and / or headstones in a museum, where applicable;
- Procurement of a permit from the SAHRA;
- Appropriate arrangements for the exhumation (preferably by a suitably trained archaeologist) and re-interment (sometimes by a registered undertaker, in a formally proclaimed cemetery);
- Observation of rituals or ceremonies required by the families.

The limitations and assumptions associated with this heritage impact assessment are as follows;

- Limited field investigations were performed on foot and by vehicle where access was readily available.
- Sites were evaluated by means of description of the cultural landscape, direct observations and analysis of written sources and available databases.
- It was assumed that the site layout as provided by GBS Environmental Consultants is accurate.
- We assumed that the public participation process performed as part of the Scoping and Environmental Impact Reporting (S&EIR) process was sufficiently encompassing not to be repeated in the Heritage Assessment Phase.

Table 1. Impacts on the NHRA Sections

Act	Section	Description	Possible Impact	Action
National Heritage Resources Act	34	Preservation of buildings older than 60 years	No impact	None
(NHRA)	35	Archaeological, paleontological and meteor sites	No impact	None
	36	Graves and burial sites	Possible impact	Mitigation
	37	Protection of public monuments	No impact	None
	38	Does activity trigger a HIA?	Yes	HIA

Table 2. NHRA Triggers

Action Trigger	Yes/No	Description
Construction of a road, wall, power line, pipeline, canal or other linear form of development or barrier exceeding 300m in length.	Yes	6.2 km Road upgrade section
Construction of a bridge or similar structure exceeding 50m in length.	No	N/A
Development exceeding 5000 m ²	No	N/A
Development involving more than 3 erven or sub divisions	No	N/A
Development involving more than 3 erven or sub divisions that have been consolidated in the past 5 years	No	N/A
Re-zoning of site exceeding 10 000 m ²	No	N/A
Any other development category, public open space, squares, parks or recreational grounds	No	N/A

Background Information

Proposed Mngwenya Road Upgrade Project

Project Description



The project proposes the upgrade of the existing dirt road running from route P349 in a easterly direction for approximately 6.2 km with a 10m road reserve. The alignment of the existing road will be followed. The upgrade forms part of the Department of Transport's Rural Development initiative. The road upgrade will result in a improved gravel surface and will not be an asphalt surface.

Site Location

The proposed road upgrade is located approximately 29 km south-southeast of Ladysmith. The road starts on route P349 and runs in an easterly direction for approximately 6.2 km. The road ends approximately 1.2 km from the Sunday's River.

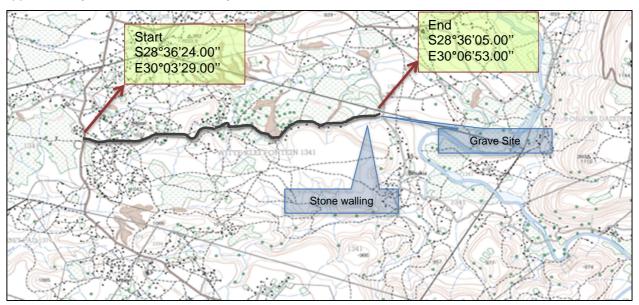


Figure 1. 1: 50 000 Location map



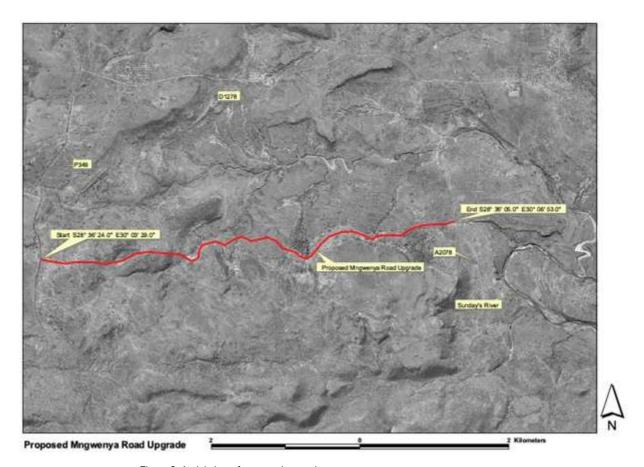


Figure 2. Aerial view of proposed upgrade

Alternatives Considered

One alternative was considered

- No-go option where no development takes place.





Figure 3. Road upgrade start

Methodology

This study defines the heritage component of the S&EIR process being undertaken for the Mngwenya road upgrade. It is described as a first phase (HIA). This report attempts to evaluate both the accumulated heritage knowledge of the area as well as information derived from direct physical observations.

Evaluating Heritage Impacts

A combination of document research as well as the determination of the geographic suitability of areas and the evaluation of aerial photographs determined which areas could and should be accessed.

After plotting of the site on a GPS the areas were accessed using suitable combinations of vehicle access and access by foot.

Sites were documented by digital photography and geo-located with GPS readings using the WGS 84 datum.

Further techniques (where possible) included interviews with local inhabitants, visiting local museums and information centers and discussions with local experts. All this information was combined with information from an extensive literature study as well as the result of archival studies based on the SAHRA provincial databases.

This Heritage Impact Assessment relies on the analysis of written documents, maps, aerial photographs and other archival sources combined with the results of site investigations and interviews with effected people. Site investigations are not exhaustive and often focus on areas such as river confluence areas, elevated sites or occupational ruins.

The following documents were consulted in this study;

- South African National Archive Documents
- SAHRIS Database of Heritage Studies



- Talana Museum Information
- Internet Search
- Historic Maps
- 1936 and 1952 Surveyor General Topographic Map series
- 1952 1:10 000 aerial photo survey
- Google Earth 2011 & 2003 imagery
- Published articles and books
- JSTOR Article Archive

Fieldwork

Fieldwork for this study was performed on the 7th of July 2013. The area was found to be accessible by vehicle (4x4 was needed in places). Areas of possible significance were investigated on foot. The survey was tracked using GPS and a track file in GPX format is available on request.

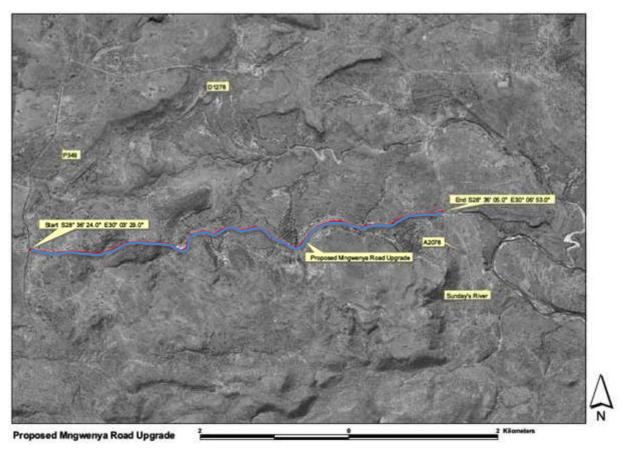


Figure 4. GPS track path for the study ostensibly followed the road alignment

Measuring Impacts

In 2003 the SAHRA compiled the following guidelines to evaluate the cultural significance of individual heritage resources:

TYPE OF RESOURCE

- Place
- Archaeological Site
- Structure
- Grave
- Paleontological Feature
- Geological Feature



TYPE OF SIGNIFICANCE

HISTORIC VALUE

It is important in the community, or pattern of history

- Important in the evolution of cultural landscapes and settlement patterns
- o Important in exhibiting density, richness or diversity of cultural features illustrating the human occupation and evolution of the nation, province, region or locality.
- Important for association with events, developments or cultural phases that have had a significant role in the human occupation and evolution of the nation, province, region or community.
- o Important as an example for technical, creative, design or artistic excellence, innovation or achievement in a particular period.

It has strong or special association with the life or work of a person, group or organisation of importance in history

 Importance for close associations with individuals, groups or organisations whose life, works or activities have been significant within the history of the nation, province, region or community.

It has significance relating to the history of slavery

o Importance for a direct link to the history of slavery in South Africa.

AESTHETIC VALUE

It is important in exhibiting particular aesthetic characteristics valued by a community or cultural group.

- Important to a community for aesthetic characteristics held in high esteem or otherwise valued by the community.
- o Importance for its creative, design or artistic excellence, innovation or achievement.
- Importance for its contribution to the aesthetic values of the setting demonstrated by a landmark quality or having impact on important vistas or otherwise contributing to the identified aesthetic qualities of the cultural environs or the natural landscape within which it is located.
- In the case of an historic precinct, importance for the aesthetic character created by the individual components which collectively form a significant streetscape, townscape or cultural environment.

SCIENTIFIC VALUE

It has potential to yield information that will contribute to an understanding of natural or cultural heritage

- o Importance for information contributing to a wider understanding of natural or cultural history by virtue of its use as a research site, teaching site, type locality, reference or benchmark site.
- o Importance for information contributing to a wider understanding of the origin of the universe or of the development of the earth.
- Importance for information contributing to a wider understanding of the origin of life; the development of plant or animal species, or the biological or cultural development of hominid or human species.
- o Importance for its potential to yield information contributing to a wider understanding of the history of human occupation of the nation, Province, region or locality.
- It is important in demonstrating a high degree of creative or technical achievement at a particular period
- o Importance for its technical innovation or achievement.



SOCIAL VALUE

- It has strong or special association with a particular community or cultural group for social, cultural or spiritual reasons
- o Importance as a place highly valued by a community or cultural group for reasons of social, cultural, religious, spiritual, symbolic, aesthetic or educational associations.
- Importance in contributing to a community's sense of place.

DEGREES OF SIGNIFICANCE

RARITY

It possesses uncommon, rare or endangered aspects of natural or cultural heritage.

- Importance for rare, endangered or uncommon structures, landscapes or phenomena.

REPRESENTIVITY

- It is important in demonstrating the principal characteristics of a particular class of natural or cultural places or objects.
- Importance in demonstrating the principal characteristics of a range of landscapes or environments, the attributes of which identify it as being characteristic of its class.
- Importance in demonstrating the principal characteristics of human activities (including way of life, philosophy, custom, process, land-use, function, design or technique) in the environment of the nation, province, region or locality.

The table below illustrates how a site's heritage significance is determined

Spheres of Significance	High	Medium	Low
International			
National			
Provincial			
Regional			
Local			
Specific Community			

What other similar sites may be compared to this site?

Impact Statement

Assessment of Impacts

Direct, indirect and cumulative impacts of the issues identified through the EIA phase are assessed in terms of the following criteria:

- The nature, which shall include a description of what causes the effect, what will be affected and how it will be affected.
- The extent, wherein it will be indicated whether the impact will be local (limited to the immediate area or site of development) or regional, and a value between 1 and 5 will be assigned as appropriate (with 1 being low and 5 being high):
- The duration, wherein it will be indicated whether:



- the lifetime of the impact will be of a very short duration (0–1 years) assigned a score of 1;
- the lifetime of the impact will be of a short duration (2-5 years) assigned a score of 2;
- medium-term (5–15 years) assigned a score of 3;
- long term (> 15 years) assigned a score of 4; or
- permanent assigned a score of 5;
- The magnitude, quantified on a scale from 0-10, where 0 is small and will have no effect on the environment, 2 is minor and will not result in an impact on processes, 4 is low and will cause a slight impact on processes, 6 is moderate and will result in processes continuing but in a modified way, 8 is high (processes are altered to the extent that they temporarily cease), and 10 is very high and results in complete destruction of patterns and permanent cessation of processes.
- The probability of occurrence, which shall describe the likelihood of the impact actually occurring. Probability will be estimated on a scale of 1–5, where 1 is very improbable (probably will not happen), 2 is improbable (some possibility, but low likelihood), 3 is probable (distinct possibility), 4 is highly probable (most likely) and 5 is definite (impact will occur regardless of any prevention measures).
- The significance, which shall be determined through a synthesis of the characteristics described above and can be assessed as low, medium or high; and
- The status, which will be described as either positive, negative or neutral.
- The degree to which the impact can be reversed.
- The degree to which the impact may cause irreplaceable loss of resources.
- The degree to which the impact can be mitigated.

The significance is calculated by combining the criteria in the following formula:

S = (E+D+M)P

S = Significance weighting

E = Extent

D = Duration

M = Magnitude

P = Probability

The significance weightings for each potential impact are as follows:

- < 30 points: Low (i.e. where this impact would not have a direct influence on the decision to develop in the area),
- 30-60 points: Medium (i.e. where the impact could influence the decision to develop in the area unless it is effectively mitigated),
- > 60 points: High (i.e. where the impact must have an influence on the decision process to develop in the area).

Assessing Visual Impact

Visual impacts of developments result when sites that are culturally celebrated are visually affected by a development. The exact parameters for the determination of visual impacts have not yet been rigidly defined and are still mostly open to interpretation. CNdV Architects and The Department of Environmental Affairs and Development Planning (2006) have developed some guidelines for the management of the visual impacts of wind turbines in the Western Cape, although these have not yet been formalised. In these guidelines they recommend a buffer zone of 1km around significant heritage sites to minimise the visual impact.



Assumptions and Restrictions

- It is assumed that the SAHRIS database locations are correct
- It is assumed that the paleontological information collected for the project is comprehensive.
- It is assumed that the social impact assessment and public participation process of the S&EIR will result in the identification of any intangible sites of heritage potential.

Chapter 2

Project Resources

Heritage Indicators within the receiving Environment Regional Cultural Context

Paleontology

Paleontological remains occur in the Cretaceous layer underlying the study area. These are of high significance but should not be impacted on as the ground intrusion is very limited and bedrock is not expected to be disturbed.

Stone Age

This area is home to all three of the known phases of the Stone Age, namely: the Early- (2.5 million – 250 000 years ago), Middle- (250 000 – 20 000 years ago) and Late Stone Age (22 000 – 200 years ago). The Late Stone Age in this area also contains sites with rock art from the San and Khoekhoen cultural groups. Early to Middle Stone Age sites are uncommon in this area, however rock-art sites and Late Stone Age sites are much better known.

During the Middle Stone Age, 200 000 years ago, modern man or Homo sapiens emerged, manufacturing a wider range of tools, with technologies more advanced than those from earlier periods. This enabled skilled hunter-gatherer bands to adapt to different environments. From this time onwards, rock shelters and caves were used for occupation and reoccupation over very long periods of time.

The Middle Stone Age (MSA), as defined by Goodwin and Van Riet Lowe (1929), was viewed as a switch in technology from core tools to flake tools, and was thought to represent an intermediate technology between the Earlier and Later Stone Age (LSA). Triangular flakes with convergent dorsal scars and faceted butts distinguished the MSA, and radial and discoidal types, along with single and double platform examples, dominated cores. The 'type fossil' was considered to be the worked flake point. Due to both the relatively long time span encompassed by the MSA (c. 250 000-20 000BP) and the high degree of regional variation, it has proved difficult to include all MSA assemblages within Goodwin and Van Riet Lowe's criteria. More recent attempts have been made to revise the definition of the MSA (Klein 1970; Beaumont & Vogel 1972; Volman1984) and to establish a cultural sequence but with limited success. As a result identifying and understanding the end of the MSA is still difficult. Disagreement concerning the MSA/LSA transition in southern Africa centres on four issues: 1) the definition of what constitutes final MSA technology; 2) the existence of a transitional MSA/LSAindustry; 3) the dating of the MSA/LSA transition; and 4) the existence of an Early LSA (ELSA) which represents a distinct industry that is not part of the earliest recognized LSA, the Robberg (Clark, 1997).

1985 excavation at Umhlatuzana rock shelter in Natal by Kaplan yielded a long and detailed sequence of stone artefacts, which covered the time range from the Middle Stone Age (MSA) to the Later Stone Age



(LSA), including the MSA/LSA transition, and early LSA microlithic bladelet assemblages. The change from the MSA to the beginning of the LSA took place between 35 000 and 25 000 BP. Robberg-like assemblages recovered from Umhlatuzana are the first to be positively identified in Natal. Pre-dating 18 000 BP and post-dating 12 000 BP, they show that assemblages of this nature were produced earlier and later in Natal than elsewhere in the country. Changes in the Umhlatuzana stone artefact assemblages were not the result of the introduction from elsewhere of new types of tools, but took place locally, as the result of a single evolving cultural tradition in a trajectory of cultural and social change (Kaplan, 1986).

Recent research by Wadley on the Middle Stone Age of Sibudu Cave north of Durban indicated that distinctions between the Middle Stone Age and the Late Stone Age based on backed blades could be misleading (Wadley, 2005). Although research on MSA sites is limited, this research illustrates the potential value of investigating Stone Age sites in KZN closer.

The Late Stone Age, considered to have started some 20 000 years ago, is associated with the predecessors of the San and Khoi Khoi. Stone Age hunter-gatherers lived well into the 19th century in some places in SA. Stone Age sites may occur all over the area where an unknown number may have been obliterated by mining activities, urbanisation, industrialisation, agriculture and other development activities during the past decades.

A large representation of Rock-Art sites is located in this area. During 1981 Mazel completed a survey of the Drakensberg and Southern Natal and documented over 400 rock art sites with more than 20 000 paintings (Mazel, 1981). The occurrence of these sites is however subject to very specific environmental parameters, none of which are present in the study area.

Iron Age

During the third century AD, several groups of farming peoples from eastern and south central Africa began to settle along the east coast and river valleys that drain into the Indian Ocean (Maggs 1984a, 1989; Mitchell 2002). In eastern South Africa, these early farmers display a strong preference for settling a savannah environment along major water bodies where annual precipitation from 400 to over 1000mm provided adequate moisture for grain production. Over thirty EIA identified settlements in the Thukela Basin are clustered on discontinuous patches of rich colluvial soils within a short distance of the edge of the Thukela River or its tributaries. EIA settlements were initially established in the coastal forest in the fifth century AD and later in the savannah woodland belt alongside rivers in the (seventh century AD). The opening of riverine forest and woodlands by EIA farmers is apparent from the palaeobotanical record, current vegetation distribution (Hall 1981) and settlement distribution in the Thukela Basin. All documented sites are found within 100m of the relic canopy fringe (van Schalkwyk 1992).

EIA sites averaging 7 hectares in size are consistently located on the most productive nodes of soils confined to confluences and colluvial slip-off slopes along the major drainage courses, which comprise only about 9 per cent of the landscape (Maggs 1980: 7).

"Interpretations of the internal spatial organization of EIA sites in southern Africa are complicated by the relatively long use and frequent reoccupation of sites, often over several hundred years (Maggs 1984b, 1989). These reoccupations of the same places have created a palimpsest of flat, expansive settlements, with both superimposed and laterally displaced stratigraphy (Greenfield et al. 2000). Despite this situation, several large-scale horizontal excavations of settlements in the region have demonstrated a spatial layout of features that are similar to homestead spatial organization derived from nineteenth- and twentieth-century Nguni and Sotho-Tswana ethnography (Kuper 1982), called the Central Cattle Pattern (CCP). This pattern is characterized by domestic residences of the senior man's wives placed in ranked order in an arc or circle around a central area containing livestock pens, the burials of high-status individuals and a court or assembly area where men gather to discuss political matters (Huffman 2001). Archaeologically, a similar pattern is represented by a series of domestic complexes (hut floors, grain bins or pits, ash and other refuse middens) surrounding a series of non-domestic activity areas, including livestock enclosures and specialist activity areas separated by an open space devoid of cultural materials. There is some variation in the size of the open space. At Broederstroom in north-eastern South Africa, the distance between hut floors and a livestock enclosure was as little as 10m (Huffman 1993). At KwaGandaganda in the Mngeni valley in KwaZulu-Natal, the open space was 90m across (Whitelaw 1994), and at Ndondondwane this open space was 60-100m" (Greenfield and van Schalkwyk 2003) (Huskel J. Greenfield, Kent, D. Fowler, & Leonard O. van Schalkwyk, 2005).



As well, faunal evidence suggests that certain species, such as nyala antelope, were forced to shift the range of their habitat after the woodland was opened (Maggs 1995:175). A considerable number of Late Iron Age, stone walled sites, dating from the 18th and the 19th centuries (some of which may have been occupied as early as the 16th century), occur along and on top of the rocky ridges here These settlements and features in these sites, such as huts, were built with dry stone, reed and clay.

Stone walled settlements are concentrated in clusters of sites and sometimes are dispersed over large areas making them vulnerable to developments of various kinds. A site consists of a circular or elliptical outer wall that is composed of a number of scalloped walls facing inwards towards one or more enclosures. Whilst the outer scalloped walls served as dwelling quarters for various family groups, cattle, sheep and goat were stock in the centrally located enclosures. Huts with clay walls and floors were built inside the dwelling units. Pottery and metal items are common on the sites. However, iron and copper were not produced locally on these sites.

Many of the Iron Age sites are also associated with Zulu encampments. Due to the often semi-nomadic nature of these and the use of removable huts, these sites are often difficult to identify and short term occupational sites might only manifest in some stone circles, use to anchor these structures to the ground.

The Historic Era

British settlers first arrived at Port Natal (Durban) in 1824 when Shaka, King of the Zulu was firmly in charge of the hinterland. Thirteen years later a party of Boer families trekked in from the Free Sate. Between 1860 and 1911 shiploads of Indians arrived to work in the coastal sugar plantations. Since then, immigrants from around the world have brought with them different cultures, enriching the character of the province in many ways.

Northern and central KwaZulu-Natal is strewn with sites of battles between the Zulu, Boer and British during the 1800's and 1900's. The British final conquered the Zulu in the Anglo-Zulu War of 1879 and later the Boers in the First and Second Anglo Boer wars. These conflicts are now collectively known as the South African War. A result of these conflicts was the construction of many forts in the area. Several grave sites, monuments, stone cairns and statues are the legacy of this violent time in our history. These remains are found scattered throughout the study area.

Cultural Landscape

The cultural landscape in the study area is strongly associated with rural living and subsistence farming. There is still a strong community feeling here with many ancient traditions still surviving. The landscape of high, enclosing mountains and spectacular views also results in a feeling of isolation.

Previous Studies

G&A Heritage performed several similar studies on road upgrades in this general area in 2012 for the same client. These were referenced as follows;

- Busani Road Upgrade HIA
- Chibide Road Upgrade HIA
- Graig Millar Road Upgrade HIA
- Emahashini Road upgrade HIA
- Fahlaza Road Upgrade HIA
- Gazaneni Road Upgrade HIAHaladu Road Upgrade HIA
- Jikiiiki Road Upgrade HIA
- Khuthalani Road Upgrade HIA
- Kwavumbu Road Upgrade HIA
- Nembeni Road Upgrade HIA
- Ngqungqula Road Upgrade HIA
- Nomafu Road Upgrade HIA
- Ntabampisi Road Upgrade HIA
- Nyoka Road Upgrade HIA



- Okhalweni Road Upgrade HIA
- Sigidisabeth Road Upgrade HIA
- Songela Road Upgrade HIA
- Sthozini Road Upgrade HIA



Impact Assessment

Measuring and Evaluating the Cultural Sensitivity of the Study Area

Finds

Only one site with heritage value was identified during this study and this is the collection of four graves at the end of the road upgrade where it connects with another road.

Some stone circles which could possibly be connected with the LIA was also identified within a valley to the north of the road, however these were more than 300m away from the road and will not be effected. Similar structures were also noted at the end of the road where it joins another road. These were all of recent origin and far enough away from the road not to be threatened by the upgrade.





Figure 5. Stone circles near road

Site 001

GPS 28° 36′ 08,4″ S 30° 06′ 52,6″ E

A cluster of four graves is located here. The grave dressings consists of elongated stone cairns.





Figure 6. Four graves at Site 001

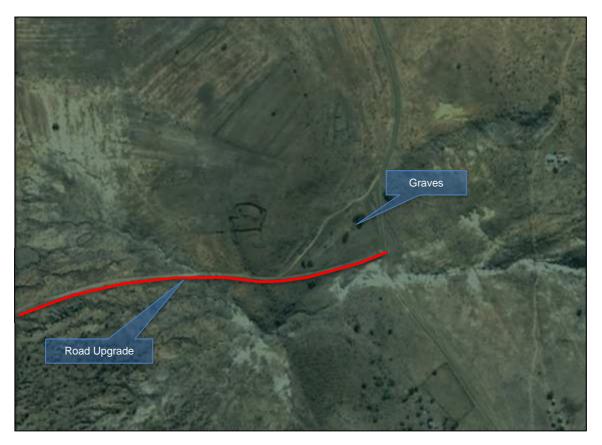


Figure 7. Grave location at Site 001

Assessment of Impacts

Impact Statement

Paleontological sites

Paleontological sites will not be affected as bedrock is not to be disturbed by the proposed activities.

Mitigation

No mitigation needed.



Graves and Burial Sites

Nature of Impacts: The road upgrade could negatively impact four graves.

Extent of Impacts: Localised damage to the graves

Nature of Impact: The road upgrade can negatively impact on the four graves located here			
	Without Mitigation With Mitigation		
Extent	Local (2)	Local (2)	
Duration	Long term (5)	Long term (5)	
Magnitude	High (8)	Low (1)	
Probability	Probable (3)	Improbable (1)	
Significance	Medium (45)	Low (8)	
Status	Negative	Positive	
Reversibility	Irreversible	Irreversible	
Irreplaceable loss of resource	Yes	No	
Can impacts be mitigated	Yes	Yes	
Mitigation	Care should be taken not to dama	ge the burial sites or cause a	
	situation where access to the sites are limited.		
Cumulative impacts	Increased erosion could result in damage to the site. Increase in		
	traffic flow could negatively impact on the grave sites.		
Residual impacts	Loss of heritage related information, especially LSA sites.		

Built Environment

Some structures associated with rural living were identified;

- Brick outbuildings (modern)
- Barb-wire fences (modern)
- Mud-brick huts (modern)
- Dirt roads (modern)
- Footpaths

Mitigation

None of the structures will be affected by the road upgrade activities.

Cultural Landscape

The following landscape types were identified during the study.

Landscape Type	Description	Occurrence still possible?	Identified on site?
1 Paleontological	Mostly fossil remains. Remains include microbial fossils such as found in Baberton Greenstones	Yes, sub- surface	No
2 Archaeological	Evidence of human occupation associated with the following phases – Early-, Middle-, Late Stone Age, Early-, Late Iron Age, Pre-Contact Sites, Post-Contact Sites	No	No
3 Historic Built Environment	 Historical townscapes/streetscapes Historical structures; i.e. older than 60 years Formal public spaces Formally declared urban conservation areas Places associated with social identity/displacement 	No	No
4 Historic Farmland	These possess distinctive patterns of settlement and historical features such as: - Historical farm yards - Historical farm workers villages/settlements - Irrigation furrows	Yes	Yes



	 Tree alignments and groupings 		
	 Historical routes and pathways 		
	 Distinctive types of planting 		
	 Distinctive architecture of cultivation e.g. 		
	planting blocks, trellising, terracing,		
	ornamental planting.		
5 Historic rural	 Historic mission settlements 	No	No
town	 Historic townscapes 		
6 Pristine natural	 Historical patterns of access to a natural 	No	No
landscape	amenity		
	 Formally proclaimed nature reserves 		
	 Evidence of pre-colonial occupation 		
	- Scenic resources, e.g. view corridors, viewing		
	sites, visual edges, visual linkages		
	- Historical structures/settlements older than 60		
	years		
	 Pre-colonial or historical burial sites 		
	 Geological sites of cultural significance. 		
7 Relic	 Past farming settlements 	Yes	No
Landscape	 Past industrial sites 		
	 Places of isolation related to attitudes to 		
	medical treatment		
	- Battle sites		
	- Sites of displacement,		
8 Burial grounds	 Pre-colonial burials (marked or unmarked, 	Yes	Yes
and grave sites	known or unknown)		
	 Historical graves (marked or unmarked, known 		
	or unknown)		
	- Graves of victims of conflict		
	- Human remains (older than 100 years)		
	- Associated burial goods (older than 100 years)		
	- Burial architecture (older than 60 years)		
9 Associated	 Sites associated with living heritage e.g. 	No	No
Landscapes	initiation sites, harvesting of natural resources		
	for traditional medicinal purposes		
	- Sites associated with displacement &		
	contestation		
	- Sites of political conflict/struggle		
	- Sites associated with an historic event/person		
10.111	- Sites associated with public memory	1	
10 Historical	- Setting of the yard and its context	No	No
Farmyard	- Composition of structures		
	- Historical/architectural value of individual		
	structures		
	- Tree alignments		
	- Views to and from		
	- Axial relationships		
	- System of enclosure, e.g. defining walls		
	- Systems of water reticulation and irrigation,		
	e.g. furrows		
	- Sites associated with slavery and farm labour		
44.10.4	- Colonial period archaeology	1	
11 Historic	- Historical prisons	No	No
institutions	- Hospital sites		
	- Historical school/reformatory sites		
10.0	- Military bases	 	<u> </u>
12 Scenic visual	- Scenic routes	No	No
13 Amenity	- View sheds	No	No
landscape	- View points		
	- Views to and from		
	 Gateway conditions 		



- Distinctive representative landscape conditions	
- Scenic corridors	

Mitigation

It is recommended that the development designs take into account the positive and negative characteristics of the existing cultural landscape type and that they endeavor to promote the positive aspects while at the same time mitigating the negative aspects.

Resource Management Recommendations

Minimising the impact on Burial and Grave Sites (as per the NHRA)

Objective 1: Minimising the impact on burial and grave sites
The upgrade of this road could impact negatively on the graves at Site 001

Project Component	Road upgrade, roads and construction camps	
Potential Impact	Destruction of grave and burial sites	
Activity/Risk source	Road upgrading	
Mitigation Target	Mitigate impacts on burial or grave sites	

Mitigation: Action	Responsibility	Time Frame
The four graves located at Site 001 should be protected. The road upgrade connects at this site therefore avoiding direct or indirect damage to these graves would be very difficult. It is therefore recommended that the graves be relocated to an official cemetery.	Appointed heritage practitioner	Before construction commences

Performance Indicator	Mitigation of burial and grave sites
Monitoring	Should graves not be relocated effective monitoring
	of the graves will be necessary.

General Recommendations

Although unlikely, sub-surface remains of heritage sites could still be encountered during the construction activities associated with the project. Such sites would offer no surface indication of their presence due to the high state of alterations in some areas as well as heavy plant cover in other areas. The following indicators of unmarked sub-surface sites could be encountered:

- Ash deposits (unnaturally grey appearance of soil compared to the surrounding substrate);
- Bone concentrations, either animal or human;
- Ceramic fragments such as pottery shards either historic or pre-contact;
- Stone concentrations of any formal nature.

The following recommendations are given should any sub-surface remains of heritage sites be identified as indicated above:

• All operators of excavation equipment should be made aware of the possibility of the occurrence of sub-surface heritage features and the following procedures should they be encountered.



- All construction in the immediate vicinity (50m radius of the site) should cease.
- The heritage practitioner should be informed as soon as possible.
- In the event of obvious human remains the South African Police Services (SAPS) should be notified.
- Mitigation measures (such as refilling etc.) should not be attempted.
- The area in a 50m radius of the find should be cordoned off with hazard tape.
- Public access should be limited.
- The area should be placed under guard.
- No media statements should be released until such time as the heritage practitioner has had sufficient time to analyze the finds.

Conclusion

The construction of the existing road has resulted in damage to any possible previous sites of heritage significance. It is not anticipated that any further sites will be affected.

Provided bedrock is not to be disturbed and the issues around the grave sites are mitigated, no further mitigation work for heritage management is needed for this project and the development may continue.



References Cited and Researched

Ahler, S.A. 1977. Functional analysis of nonobsidian chipped stone artefacts: terms, variables and quantification. In: Hayden, B. (ed.). Lithic use-wear analysis: 301-328. New York: Academic Press.

Aikman, H, Baumann, N, Winter, S and Clift H. 2005. A state of the cultural historical environment study: Unpublished report compiled by Overstrand Heritage and Landscape Consortium for the Overstrand District Municipality.

Booth, A. R. ed. 1967. Journal of the Rev. George Champion. Cape Town: Struik.

Brain, C.K. 1981. The hunters or the hunted? An introduction to African cave taphonorny. Chicago: Chicago University Press.

Cory, Sir G. E. 1926. The Diary of the Rev. Francis

Cronin, M. 1975. Mgungundlovu. Unpublished B.A. (Hons.) thesis: University of Cape Town.

Gardiner, Allen F. 1966. Narrative of a Journey to the Zoolu Country in South Africa. Cape Town: Struik (Reprint).

Greenfield, H. J., van Schalkwyk, L. O. and Jongsma, T. L. 2000. Surface and subsurface reconnaissance at Ndondondwane: preliminary results of the 1995-97 field seasons. Southern African Field Archaeology, 9: 5-16.

Greenfield, H. J. and van Schalkwyk, L. O. 2003. Intr a- settlement social and economic organization of Early Iron Age farming communities in southern Africa: view from Ndondondwane. Azania, 38: 121-37.

Hart, T. & Miller, D. 1994. Phase 1 archaeological and palaeontological survey of the proposed mining area on the farm Velddrif 110, Velddrif, Western Cape Province. Report prepared by the Archaeology Contracts Office, University of Cape Town, for Lime Sales Limited.

Huffman, T. N. 1993. Broederstroom and the Central Cattle Pattern. South African Journal of Science, 89: 220-26.

Huffman, T. N. 2001. The Central Cattle Pattern and interpreting the past. Southern African Humanities, 13: 19-35.

Isaacs, N. 1970. Travels and Adventures in Eastern Africa. Cape Town: Struik (Reprint).

Kirby, P. R. 1955. Andrew Smith and Natal. Cape Town: Van Riebeeck Society.

Krige, E. J. 1936. The social system of the Zulus. Pietermaritzburg: Shuter and Shooter.

Kent, S. 1998. Invisible gender-invisible foragers: hunter-gatherer spatial patterning and the southern African archaeological record. In: Kent, S. (ed.) Gender in African prehistory: 39-67. California: Altamira Press.

Lombard, M. 2003. Closer to the point: macro-fracture, micro-wear and residue analyses of Middle Stone Age lithic points from Sibudu Cave, KwaZulu-Natal, South Africa. Unpublished M.Sc. thesis,



University of the Witwatersrand.

Lombard, M., Parsons, I. & Van der Ryst, M.M. 2004. Middle Stone Age lithic point experimentation for macro-fracture and residue analysis: the process and preliminary results with reference to Sibudu Cave points. South African Journal of Science 100: 159-166

Japha, D., Japha, V., Le grange, L & Todeschini, F. Mission Settlements in South Africa: A Report on their historical background and prospects for conservation. University of Cape Town.

Maggs, T. O. 1980. The Iron Age sequence south of the Vaal and Pongola Rivers: some historical implications. Journal of African History, 21: 1-15.

Maggs, T. O. 1984a. Ndondondwane; a preliminary report on an Early Iron Age site on the lower Tugela River. Annals of the Natal Museum, 26: 71-94.

Maggs, T. O. 1984b. Iron Age settlement and subsistence patterns in the Tugela River Basin, Natal. In Frontiers of Southern African Archaeology Today (eds M. Hall, G. Avery, D. M. Avery, M. L. Wilson and A. J. B. Humphreys). Cambridge Monographs in African Archaeology 10. Oxford: British Archaeological Reports, International Series 207, pp. 194-206.

Maggs, T. O. 1984c. The Iron Age south of the Zambezi. In Southern African Prehistory and Paleoenvironments (ed. R. Klein). Rotterdam: Balken, pp. 329-60.

Maggs, T. O. 1989. The Iron Age farming communities. In Natal and Zululand: From Earliest Time to 1910: A New History (eds A. Duminy and B. Guest). Pietermaritzberg: University of Natal Press/Shuter & Shooter, pp. 28^8.

Maggs, T. O. 1995. The Early Iron Age in the extreme south: some patterns and problems. Azania, 29/30: 171-8.

Maggs, T. and Ward, V. 1984. Early Iron Age sites in the Muden area of Natal. Annals of the Natal Museum, 26: 105-40.

Maggs, T., Oswald, D., Hall, M. and Ruther, H. 1986. Spatial parameters of Late Iron Age settlements in the upper Thukela Valley. Annals of the Natal Museum, 27: 455-79.

Owen, M.A. Cape Town: Van Riebeeck Society.

Spenneman, D. 2006. Gauging community values in Historic preservation. CRM: The Journal of Heritage Stewardship 3(2):6-20.

Oberholster, J. J. & Walton, J. n.d. Dingane's Kraal - Mgungundlovu. National Monuments Commission Booklet.

Retief, P. in litt. Letter dated November 18, 1837. In Campbell, K. n.d.: Vmgungundlovu- Dingaarns Kraal: 41. Unpublished MS. Killie Campbell Africana Library, Durban.



Stuart, J. n.d. Unpublished papers. Killie Campbell African Library, Durban.

Stuart, J. & McMalcolm, D. eds. 1969. The diary of Henry Francis Fynn. Pietermaritzburg: Shuter and Shooter.

Wadley, L & Jacobs, Z. 2004. Sibudu Cave, KwaZulu-Natal: Background to the excavations of Middle Stone Age and Iron Age occupations. South African Journal of Science 100: 145-151.

Webb, C. de B., & Wright, J. 1977. The Stuart Archives, Vol. I. Pietermaritzburg: Natal University Press.

Whitelaw, G. D. 1994. KwaGandaganda: settlement patters in the Natal Early Iron Age. Natal Museum Journal of Humanities, 6: 1-64.

Wood, W. 1840. Statements respecting Dingaan, King of the Zoolahs, with some particulars relative to themassacres of Messrs. Retief and Biggars, and their parties. Cape Town: Collard & Co.

