

Phase 1 Heritage Impact Assessment Report

HERITAGE IMPACT ASSESSMENT FOR THE PROPOSED MONTROSE ULTRA CITY PROJECT

PREPARED BY: G&A HERITAGE



PREPARED FOR:





CREDIT SHEET

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Disclaimer; Although all possible care is taken to identify all sites of cultural importance during the investigation of study areas, it is always possible that hidden or sub-surface sites could be overlooked during the study. G&A Heritage and its personnel will not be held liable for such oversights or for costs incurred as a result of such oversights.

SIGNED OFF BY: STEPHAN GAIGHER



MANAGEMENT SUMMARY

Site name and location: Montrose Ultra City, Harrismith, Free State.

Municipal Area: Maluti-A-Phofung Municipality.

Developer:

Consultant: G&A Heritage, PO Box 522, Louis Trichardt, 0920, South Africa. 38A Voster Str. Louis

Trichardt, 0920

Date of Report: 28 February 2012

The purpose of the management summary is to distil the information contained in the report into a format that can be used to give specific results quickly and facilitate management decisions. It is not the purpose of the management summary to repeat in shortened format all the information contained in the report, but rather to give a statement of results for decision making purposes.

This study focuses on the planned development of the Montrose Ultra City outside of Harrismith on the N3 national highway.

The purpose of this heritage impact assessment is to outline the cultural heritage sensitivity of the proposed development area and to advise on mitigation should any heritage sites or landscapes be affected.

Findings

The proposed development site is currently part of a farm. The site contains a modern farmhouse and outbuildings as well as labour housing and dilapidated structures and dumps, mostly located within the eucalyptus and black wattle plantations to the north of the site. None of the structures have any heritage significance. There is a slight possibility that graves associated with these structures could still be found on the site – no surface structures indicating the locations of such graves could be found.

No culturally sensitive landscape types could be identified within any of the study areas.

Recommendations

No sites with significant heritage value could be identified on the site. No site specific recommendations are necessary. It is recommended that the possibility of unidentified graves within the study area is to be taken into account during the construction phase. Recommendations to this effect are given in the report.

Fatal Flaws

No fatal flaws were identified.



TABLE OF CONTENTS

Introduction	7
Background Information	9
Proposed Montrose Ultra City	9
Project Description	9
Site Location	9
Alternatives Considered	9
Methodology	9
Evaluating Heritage Impacts	9
Assessing Visual Impact	10
Assumptions and Restrictions	10
Regional Cultural Context	11
Paleontology	11
Stone Age	11
Iron Age	12
The Historic Era	12
Cultural Landscape	12
Assessment of Impacts	15
Heritage Environments that will be affected	15
Impact Statement	15
Paleontological sites	15
Archaeological Sites	15
Built Environment	
Cultural Landscape	
Resource Management Recommendations	
Conclusion	



28/02/2012

References Cited	20
Methodology	23
Inventory	23
Site Surveying	23
Survey Sampling	23
Systematic Survey Sampling	23
Judgemental Survey Sampling	24
Assessment	24
Site Evaluation	24
Significance Criteria	24
Assessing Impacts	25



LIST OF ABBREVIATIONS

Bp	Before Presen
EIA	Early Iron Age
ESA	Early Stone Age
Fm	Femtometre (10 ⁻¹⁵ m
GPS	Geographic Positioning System
HIA	Heritage Impact Assessmen
LIA	Late Iron Age
LSA	Late Stone Age
MYA	Million Years Ago
MSA	Middle Stone Age
NHRA	National Heritage Resources Act no 22 of 1999
SAHRA	South African Heritage Resource Agency
S&EIR	Scoping & Environmental Impact Reporting
Um	Micrometre (10 ⁻⁶ m
WGS 84	World Geodetic System for 1984



Chapter I

PROJECT RESOURCES

HERITAGE IMPACT REPORT

HERITAGE IMPACT ASSESSMENT REPORT FOR THE PROPOSED MONTE ROSE ULTRA CITY, HARRISMITH MALUTI-A-PHOFUNG MUNICIPALITY.

INTRODUCTION

Legislation and methodology

G&A Heritage was appointed by Prism Environmental Management Services to undertake a heritage impact assessment for the proposed Montrose Ultra City. Section 27(1) of the South African Heritage Resources Act (25 of 1999) requires that a heritage impact assessment is undertaken for:

- (a) construction of a road, wall, power line, pipeline, canal or other similar form of linear development or barrier exceeding 300 m in length;
- (b) construction of a bridge or similar structure exceeding 50 m in length; and
- (c) any development, or other activity which will change the character of an area of land, or water (1) exceeding 10 000 m² in extent;
 - (2) involving three or more existing erven or subdivisions thereof; or
 - (3) involving three or more erven, or subdivisions thereof, which have been consolidated within the past five years; or
- (d) the costs of which will exceed a sum set in terms of regulations; or
- (e) any other category of development provided for in regulations.

A heritage impact assessment is not limited to archaeological artefacts, historical buildings and graves. It is far more encompassing and includes intangible and invisible resources such as places, oral traditions and rituals. A heritage resource is defined as any place or object of cultural significance i.e. of aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technological value or significance. This includes the following:

- (a) places, buildings, structures and equipment;
- (b) places to which oral traditions are attached or which are associated with living heritage;
- (c) historical settlements and townscapes;
- (d) landscapes and natural features;
- (e) geological sites of scientific or cultural importance;
- (f) archaeological and paleontological sites;
- (g) graves and burial grounds, including -
 - (1) ancestral graves,
 - (2) royal graves and graves of traditional leaders,
 - (3) graves of victims of conflict (iv) graves of important individuals,
 - (4) historical graves and cemeteries older than 60 years, and
 - (5) other human remains which are not covered under the Human Tissues Act, 1983 (Act No.65 of 1983 as amended);
- (h) movable objects, including;
 - (1) objects recovered from the soil or waters of South Africa including archaeological and paleontological objects and material, meteorites and rare geological specimens;
 - (2) ethnographic art and objects;
 - (3) military objects;
 - (4) objects of decorative art;
 - (5) objects of fine art;
 - (6) objects of scientific or technological interest;
 - (7) books, records, documents, photographic positives and negatives, graphic, film or video material or sound recordings; and



- (8) any other prescribed categories, but excluding any object made by a living person;
- (i) battlefields;
- (j) traditional building techniques.

A 'place' is defined as:

- (a) A site, area or region;
- (b) A building or other structure (which may include equipment, furniture, fittings and articles associated with or connected with such building or other structure);
- (c) a group of buildings or other structures (which may include equipment, furniture, fittings and articles associated with or connected with such group of buildings or other structures); and (d) an open space, including a public square, street or park; and in relation to the management of a place, includes the immediate surroundings of a place.

'Structures' means any building, works, device, or other facility made by people and which is fixed to land any fixtures, fittings and equipment associated therewith older than 60 years.

'Archaeological' means:

- (a) material remains resulting from human activity which are in a state of disuse and are in or on land and are older than 100 years, including artefacts, human and hominid remains and artificial features and structures:
- (b) rock art, being a form of painting, engraving or other graphic representation on a fixed rock surface or loose rock or stone, which was executed by human agency and is older than 100 years including any area within 10 m of such representation; and
- (c) wrecks, being any vessel or aircraft, or any part thereof, which was wrecked in South Africa, whether on land or in the maritime cultural zone referred to in section 5 of the Maritime Zones Act 1994 (Act 15 of 1994), and any cargo, debris or artefacts found or associated therewith, which are older than 60 years or which in terms of national legislation are considered to be worthy of conservation;
- (d) features, structures and artefacts associated with military history which are older than 75 years and the sites on which they are found.
- 'Paleontological' means any fossilised remains or fossil trace of animals or plants which lived in the geological past, other than fossil fuels or fossiliferous rock intended for industrial use, and any site which contains such fossilised remains or trace.
- 'Grave' means a place of interment and includes the contents, headstone or other marker of and any other structures on or associated with such place. The South African Heritage Resources Agency (SAHRA) will only issue a permit for the alteration of a grave if it is satisfied that every reasonable effort has been made to contact and obtain permission from the families concerned.

The removal of graves is subject to the following procedures as outlined by the SAHRA:

- Notification of the impending removals (using English, Afrikaans and local language media and notices at the grave site);
- Consultation with individuals or communities related or known to the deceased;
- Satisfactory arrangements for the curation of human remains and / or headstones in a museum, where applicable;
- Procurement of a permit from the SAHRA;
- Appropriate arrangements for the exhumation (preferably by a suitably trained archaeologist) and re-interment (sometimes by a registered undertaker, in a formally proclaimed cemetery);
- Observation of rituals or ceremonies required by the families.

The limitations and assumptions associated with this heritage impact assessment are as follows;

- Limited field investigations were performed on foot and by vehicle where access was readily available.
- Sites were evaluated by means of description of the cultural landscape, direct observations and analysis of written sources and available databases.
- It was assumed that the site layout as provided by Jones & Wagener is accurate.
- We assumed that the public participation process performed as part of the Scoping and Environmental Impact Reporting (S&EIR) process was sufficiently encompassing not to be repeated in the Heritage Assessment Phase.



Table 1. Impacts on the NHRA Sections

Act	Section	Description	Possible Impact	Action
National Heritage Resources Act	34	Preservation of buildings older than 60 years	None	None
(NHRA)	35	Archaeological, paleontological and meteor sites	None	None
	36	Graves and burial sites	None	None
	37	Protection of public monuments	None	None
	38	Does activity trigger a HIA?	Yes	HIA

Table 2. NHRA Triggers

Action Trigger	Yes/No	Description
Construction of a road, wall, power line, pipeline, canal or	Yes	Access road to and from the N3
other linear form of development or barrier exceeding 300m		highway
in length.		
Construction of a bridge or similar structure exceeding 50m	No	N/A
in length.		
Development exceeding 5000 m ²	Yes	Montrose Ultra City
Development involving more than 3 erven or sub divisions	No	N/A
Development involving more than 3 erven or sub divisions	No	N/A
that have been consolidated in the past 5 years		
Re-zoning of site exceeding 10 000 m ²	Yes	
Any other development category, public open space,	No	N/A
squares, parks or recreational grounds		

BACKGROUND INFORMATION

PROPOSED MONTROSE ULTRA CITY

PROJECT DESCRIPTION

The project entails the development of a new Ultra City filling station and convenience stop on a portion of the farm Mont a Rosa 1872 south of Harrismith in the Freestate Province.

The project will entail a large filling station section as well as a shop, fast food outlets, parking and bathroom amenities.

SITE LOCATION

The site is located 16km south of the town of Harrismith on the eastern side of the N3 national highway. Currently the area is being used for agricultural activities. The proposed development site is between 14 and 15 ha in extent. There will also be a new access road to the south of the site.

ALTERNATIVES CONSIDERED

One alternative was considered

- No-go option where no development takes place.

METHODOLOGY

This study defines the heritage component of the S&EIR process being undertaken for the Montrose Ultra City. It is described as a first phase (HIA). This report attempts to evaluate both the accumulated heritage knowledge of the area as well as information derived from direct physical observations.

EVALUATING HERITAGE IMPACTS

A combination of document research as well as the determination of the geographic suitability of areas and the evaluation of aerial photographs determined which areas could and should be accessed.



28/02/2012

After plotting of the site on a GPS the areas were accessed using suitable combinations of vehicle access and access by foot.

Sites were documented by digital photography and geo-located with GPS readings using the WGS 84 datum.

Further techniques (where possible) included interviews with local inhabitants, visiting local museums and information centers and discussions with local experts. All this information was combined with information from an extensive literature study as well as the result of archival studies based on the SAHRA provincial databases.

Geological maps guided investigations into the paleontological riches of the area.

ASSESSING VISUAL IMPACT

Visual impacts of developments result when sites that are culturally celebrated are visually affected by a development. The exact parameters for the determination of visual impacts have not yet been rigidly defined and are still mostly open to interpretation. CNdV Architects and The Department of Environmental Affairs and Development Planning (2006) have developed some guidelines for the management of the visual impacts of wind turbines in the Western Cape, although these have not yet been formalised. In these guidelines they recommend a buffer zone of 1km around significant heritage sites to minimise the visual impact.

ASSUMPTIONS AND RESTRICTIONS

- It is assumed that the SAHRA database locations are correct
- It is assumed that the paleontological information collected for the project is comprehensive.
- It is assumed that the social impact assessment and public participation process of the S&EIR will result in the identification of any intangible sites of heritage potential.
- It is assumed that the information supplied by Prism Environmental Management Services is correct.





PROJECT RESOURCES

HERITAGE INDICATORS WITHIN THE RECEIVING ENVIRONMENT

REGIONAL CULTURAL CONTEXT

PALEONTOLOGY

The Free State offers some significant paleontological sites. These sites include the Vredefort Dome, where an extra-terrestrial body impacted with earth 2 023 million years ago; the Florisbad site near Bloemfontein; the planned Golden Gate dinosaur information centre; and the Synapsida information centre at Eskom's Ingula Pump Storage Facility near Harrismith in the Drakensberg on the Free State-KZN border. Of these the Ingula site is the most relevant to this study

The fossil discoveries made in 2009 at Ingula's upper site, date back to 250 million years BP. Indications pointed to the possibility of the discovery of some of the largest gorgonopsians ever found. Gorgonopsians lived prior to the dinosaurs and resembled both mammals and reptiles. They ranged between 1 m and 3 m in length.

About 150 fossils have been removed thus far. Dr Jennifer Botha-Brink of the National Museum's palaeontology department says they have not recovered complete skeletons, with most of the 150 fossils in fragments. These have been moved to the National Museum in Bloemfontein for further processing.

The Ingula fossil finds will add new information to the body of knowledge of this period. The possibility also exists that there may be a new predator species among the finds. The Ingula site is close to the Montrose site and similar finds could be possible here.

STONE AGE

This area is home to all three of the known phases of the Stone Age, namely: the Early- (2.5 million – 250 000 years ago), Middle- (250 000 – 22 000 years ago) and Late Stone Age (22 000 – 200 years ago). The Late Stone Age in this area also contains sites with rock art from the San and Khoi San cultural groups. Many Stone Age Industries also lend their names from places in the Free State, such as Fauresmith and Smithfield to name but a few.

No substantial number of Stone Age sites from any period of the Stone Age is however known to exist in this immediate area – primarily as a result of a lack of research and general ignorance amongst the layman in recognizing stone tools that often may occur on the surface of the earth. However, it is possible that the first humans in the Harrismith area may have been preceded by Homo erectus, who roamed large parts of the world during the Aucheulian period of the Early Stone Age, 500 000 years ago. The forbearer of H. erectus, Australopithecus, considered to be the earliest ancestor of humans, lived in the Blaauwbank Valley around Krugersdorp (today part of the Cradle of Humankind – a World Heritage Site) several million years ago.

During the Middle Stone Age, 200 000 years ago, modern man or Homo sapiens emerged, manufacturing a wider range of tools, with technologies more advanced than those from earlier periods. This enabled skilled hunter-gatherer bands to adapt to different environments. From this time onwards, rock shelters and caves were used for occupation and reoccupation over very long periods of time.

The Late Stone Age, considered to have started some 20 000 years ago, is associated with the predecessors of the San and Khoi Khoi. Stone Age hunter-gatherers lived well into the 19th century in some places in SA, but may not have been present in Harrismith area when the first European colonists crossed the Tugela River during the early part of the 19th century. Stone Age sites may occur all over the area where an unknown number may have been obliterated by urbanisation, industrialisation, agriculture and other development activities during the past decades. Rock art sites are also found locally in the Harrismith area such as the Mountain View site.



IRON AGE

With reference to Zimbabwe and Malawi (and the same is clearly true for much of Zambia also), the later Iron Age pottery traditions 'suggest intrusive elements wherever they occur . . . as a rule there is little or no evidence of relationship or contact with any of the first millennium pottery traditions. It now appears probable that a comparable sequence may be discerned in South Africa, although the evidence remains very incomplete. It is generally accepted that the Early Iron Age Industrial Complex did spread into what is now the Republic of South Africa before the middle of the first millennium A.D. By the close of that millennium it appears that most of the northern strip of Natal was subject to Iron Age settlement. Unfortunately, much of the relevant archaeological material is sparse or has only recently come to light; it is consequently as yet incompletely described.

A considerable number of Late Iron Age, stone walled sites, dating from the 18th and the 19th centuries (some of which may have been occupied as early as the 16th century), occur along and on top of the rocky ridges in the area. These settlements and features in these sites, such as huts, were built with dry stone, reed and clay available from the mountain and the Tugela River.

THE HISTORIC ERA

This area is well known for its rich historic character and contains sites connected with several historic military and political conflicts. Historic cemeteries (victims of conflict sites), provincial and private museums, battlefield sites and other historic sites are found here.

The town of Harrismith was founded in 1849 and named after British Governor Harry Smith, who tried to persuade the disillusioned Voortrekkers not to abandon Natal.

The town was initially laid out by Robert Moffat about 25 km from the present location, in present day Aberfeldy on the Elands River. This site unfortunately proved to be deficient in water and Harrismith was shifted to its present site in January 1850. Twenty-four years later it became a municipality and during the diamond rush at Kimberley, the town became a busy staging post on the Natal transport route. As a direct result of this, hotels, stores and public buildings sprang up. Harrismith was a major base during the South African (Anglo-Boer) War and visitors can see the several blockhouses, engineering works and a military cemetery that are evidence of this.

The town's main street, Warden Street, is named after Major Henry D. Warden, at that time a British resident in Bloemfontein.

CULTURAL LANDSCAPE

The area around Harrismith is a site of exceptional natural beauty. The fertile land and abundance of water and grazing has led to long periods of occupation through many eras. This sequence of occupation has created a varied cultural landscape throughout time and also today.

Presently the area is culturally identified as a gateway to Kwa-Zulu Natal and is also associated with the more recent conflict sites of the South African War. Most of the open areas are presently being used for agricultural activities. The town of Harrismith itself has a strong colonial character.

The development of the N3 highway bordering the site as well as several power lines has contributed to a distinct feeling of thoroughfare for this area.





IMPACT ASSESSMENT

MEASURING AND EVALUATING THE CULTURAL SENSITIVITY OF THE STUDY AREA

In 2003 the SAHRA compiled the following guidelines to evaluate the cultural significance of individual heritage resources:

TYPE OF RESOURCE

- Place
- Archaeological Site
- Structure
- Grave
- Paleontological Feature
- Geological Feature

TYPE OF SIGNIFICANCE

1. HISTORIC VALUE

It is important in the community, or pattern of history

- o Important in the evolution of cultural landscapes and settlement patterns
- o Important in exhibiting density, richness or diversity of cultural features illustrating the human occupation and evolution of the nation, province, region or locality.
- Important for association with events, developments or cultural phases that have had a significant role in the human occupation and evolution of the nation, province, region or community.
- Important as an example for technical, creative, design or artistic excellence, innovation or achievement in a particular period.

It has strong or special association with the life or work of a person, group or organisation of importance in history

 Importance for close associations with individuals, groups or organisations whose life, works or activities have been significant within the history of the nation, province, region or community.

It has significance relating to the history of slavery

o Importance for a direct link to the history of slavery in South Africa.

2. AESTHETIC VALUE

It is important in exhibiting particular aesthetic characteristics valued by a community or cultural group.

- Important to a community for aesthetic characteristics held in high esteem or otherwise valued by the community.
- o Importance for its creative, design or artistic excellence, innovation or achievement.
- Importance for its contribution to the aesthetic values of the setting demonstrated by a landmark quality or having impact on important vistas or otherwise contributing to the identified aesthetic qualities of the cultural environs or the natural landscape within which it is located.
- In the case of an historic precinct, importance for the aesthetic character created by the individual components which collectively form a significant streetscape, townscape or cultural environment.

3. SCIENTIFIC VALUE

It has potential to yield information that will contribute to an understanding of natural or cultural heritage



- Importance for information contributing to a wider understanding of natural or cultural history by virtue of its use as a research site, teaching site, type locality, reference or benchmark site.
- Importance for information contributing to a wider understanding of the origin of the universe or of the development of the earth.
- Importance for information contributing to a wider understanding of the origin of life; the development of plant or animal species, or the biological or cultural development of hominid or human species.
- o Importance for its potential to yield information contributing to a wider understanding of the history of human occupation of the nation, Province, region or locality.
- It is important in demonstrating a high degree of creative or technical achievement at a particular period
- Importance for its technical innovation or achievement.

4. SOCIAL VALUE

- It has strong or special association with a particular community or cultural group for social, cultural or spiritual reasons
- o Importance as a place highly valued by a community or cultural group for reasons of social, cultural, religious, spiritual, symbolic, aesthetic or educational associations.
- o Importance in contributing to a community's sense of place.

DEGREES OF SIGNIFICANCE

1. RARITY

It possesses uncommon, rare or endangered aspects of natural or cultural heritage.

- Importance for rare, endangered or uncommon structures, landscapes or phenomena.

2. REPRESENTIVITY

- It is important in demonstrating the principal characteristics of a particular class of natural or cultural places or objects.
- Importance in demonstrating the principal characteristics of a range of landscapes or environments, the attributes of which identify it as being characteristic of its class.
- Importance in demonstrating the principal characteristics of human activities (including way of life, philosophy, custom, process, land-use, function, design or technique) in the environment of the nation, province, region or locality.

The table below illustrates how a site's heritage significance is determined

Spheres of Significance	High	Medium	Low
International			
National			
Provincial			
Regional			
Local			
Specific Community			

What other similar sites may be compared to this site?



ASSESSMENT OF IMPACTS

HERITAGE ENVIRONMENTS THAT WILL BE AFFECTED

IMPACT STATEMENT PALEONTOLOGICAL SITES

Montrose Ultra City

No paleontological sites of high value could be identified. Paleontological sites could be affected if bedrock was to be disturbed during construction activities.

Mitigation

Paleontological monitoring during excavation activities where bedrock is to be disturbed.

ARCHAEOLOGICAL SITES

Montrose Ultra City

No sites of archaeological importance were identified during the study.

BUILT ENVIRONMENT

Montrose Ultra City

The Montrose Ultra City development could possibly affect two areas of the built environment.

Nature of Impacts: The new development could affect the farm house as well as the staff housing.

Extent of Impacts: Total loss of site (see Impact Statement section for application).

Nature of Impact: Built environment sites could be damaged locally by excavation activities				
	Without Mitigation With Mitigation			
Extent	Local	Local		
Duration	Long term	Long term		
Magnitude	High	High Low		
Probability	Probable	Improbable		
Significance	High	High		
Status	Negative	Positive		
Reversibility	Irreversible Irreversible			
Irreplaceable loss of resource	Yes No			
Can impacts be mitigated	No Yes			
Mitigation	None			
Cumulative impacts	None			
Residual impacts	None			

Mitigation

The structures located here are not of any architectural or historic importance and as a result no mitigation for their protection is deemed necessary.



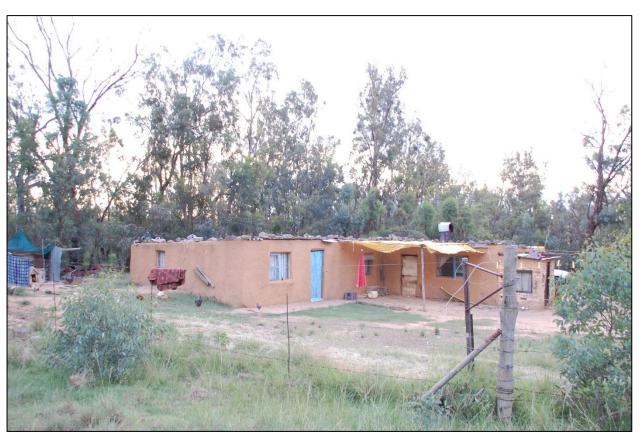


Photo 1 Labour Houses



Photo 2 Dilapidated Modern Structures



CULTURAL LANDSCAPE

The following landscape types were identified during the study.

Landscape Type	Description	Occurrence still possible?	Identified on site?
1 Paleontological	Mostly fossil remains. Remains include microbial fossils such as found in Baberton Greenstones	Yes, sub- surface	No
2 Archaeological	Evidence of human occupation associated with the following phases – Early-, Middle-, Late Stone Age, Early-, Late Iron Age, Pre-Contact Sites, Post-Contact Sites	No	No
3 Historic Built Environment	 Historical townscapes/streetscapes Historical structures; i.e. older than 60 years Formal public spaces Formally declared urban conservation areas Places associated with social identity/displacement 	No	No
4 Historic Farmland	These possess distinctive patterns of settlement and historical features such as: - Historical farm yards - Historical farm workers villages/settlements - Irrigation furrows - Tree alignments and groupings - Historical routes and pathways - Distinctive types of planting - Distinctive architecture of cultivation e.g. planting blocks, trellising, terracing, ornamental planting.	Yes	Yes, furrows, pathways and farm yard.
5 Historic rural town	Historic mission settlementsHistoric townscapes	No	No
6 Pristine natural landscape	 Historical patterns of access to a natural amenity Formally proclaimed nature reserves Evidence of pre-colonial occupation Scenic resources, e.g. view corridors, viewing sites, visual edges, visual linkages Pre-colonial or historical burial sites Geological sites of cultural significance. 	No	No
7 Relic Landscape	 Past farming settlements Past industrial sites Places of isolation related to attitudes to medical treatment Battle sites Sites of displacement, 	No	No
8 Burial grounds and grave sites	 Pre-colonial burials (marked or unmarked, known or unknown) Historical graves (marked or unmarked, known or unknown) Graves of victims of conflict Human remains (older than 100 years) Associated burial goods (older than 100 years) Burial architecture (older than 60 years) 	Yes	No
9 Associated Landscapes	 Sites associated with living heritage e.g. initiation sites, harvesting of natural resources for traditional medicinal purposes Sites associated with displacement & contestation Sites of political conflict/struggle 	No	No



	Sites associated with an historic event/personSites associated with public memory		
10 Historical Farmyard	 Setting of the yard and its context Composition of structures Historical/architectural value of individual structures Tree alignments Views to and from Axial relationships System of enclosure, e.g. defining walls Systems of water reticulation and irrigation, e.g. furrows Sites associated with slavery and farm labour Colonial period archaeology 	No	No
11 Historic institutions	 Historical prisons Hospital sites Historical school/reformatory sites Military bases 	No	No
12 Scenic visual	- Historic scenic routes	No	No
13 Amenity landscape	 View sheds View points Views to and from Gateway conditions Distinctive representative landscape conditions Scenic corridors 	Yes	Yes, N3 corridor



Photo 3 Landscape at Site

Mitigation

It is recommended that the development designs take into account the positive and negative characteristics of the existing cultural landscape types and that they endeavor to promote the positive aspects while at the same time mitigating the negative aspects.



RESOURCE MANAGEMENT RECOMMENDATIONS

Although unlikely, sub-surface remains of heritage sites could still be encountered during the construction activities associated with the project. Such sites would offer no surface indication of their presence due to the high state of alterations in some areas as well as heavy plant cover in other areas. The following indicators of unmarked sub-surface sites could be encountered:

- Ash deposits (unnaturally grey appearance of soil compared to the surrounding substrate);
- Bone concentrations, either animal or human;
- Ceramic fragments such as pottery shards either historic or pre-contact;
- Stone concentrations of any formal nature.

The following recommendations are given should any sub-surface remains of heritage sites be identified as indicated above:

- All operators of excavation equipment should be made aware of the possibility of the occurrence of sub-surface heritage features and the following procedures should they be encountered.
- All construction in the immediate vicinity (50m radius of the site) should cease.
- The heritage practitioner should be informed as soon as possible.
- In the event of obvious human remains the South African Police Services (SAPS) should be notified.
- Mitigation measures (such as refilling etc.) should not be attempted.
- The area in a 50m radius of the find should be cordoned off with hazard tape.
- Public access should be limited.
- The area should be placed under guard.
- No media statements should be released until such time as the heritage practitioner has had sufficient time to analyze the finds.

CONCLUSION

No sites of heritage significance were located in the study area. Two sites with modern buildings, a homestead and labour housing, were identified. These structures however hold no architectural or historic value. They are also not representative of the vernacular building style of the area.

Although no grave sites were visible on the surface of the study area it is still possible that they might occur sub-surface. Cognisance should be taken of the recommendations regarding such finds.



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METHODOLOGY

INVENTORY

Inventory studies involve the in-field survey and recording of archaeological resources within a proposed development area. The nature and scope of this type of study is defined primarily by the results of the overview study. In the case of site-specific developments, direct implementation of an inventory study may preclude the need for an overview.

There are a number of different methodological approaches to conducting inventory studies. Therefore, the proponent, in collaboration with the archaeological consultant, must develop an inventory plan for review and approval by the SAHRA prior to implementation (*Dincause, Dena F., H. Martin Wobst, Robert J. Hasenstab and David M. Lacy 1984*).

SITE SURVEYING

Site surveying is the process by which archaeological sites are located and identified on the ground. Archaeological site surveys often involve both surface inspection and subsurface testing. For the purposes of heritage investigations, *archaeological sites* refer to any site with heritage potential (i.e. historic sites, cultural sites, rock art sites etc.).

A systematic surface inspection involves a foot traverse along pre-defined linear transects which are spaced at systematic intervals across the survey area. This approach is designed to achieve representative area coverage. Alternatively, an archaeological site survey may involve a non-systematic or random walk across the survey area. Subsurface testing is an integral part of archaeological site survey. The purpose of subsurface testing, commonly called "shovel testing", is to:

- (a) assist in the location of archaeological sites which are buried or obscured from the surveyor's view, and
- (b) help determine the horizontal and vertical dimensions and internal structure of a site.

In this respect, subsurface testing should not be confused with evaluative testing, which is a considerably more intensive method of assessing site significance (*King, Thomas F., 1978*).

Once a site is located, subsurface testing is conducted to record horizontal extent, depth of the cultural matrix, and degree of internal stratification. Because subsurface testing, like any form of site excavation, is destructive it should be conducted only when necessary and in moderation.

Subsurface testing is usually accomplished by shovel, although augers and core samplers are also used where conditions are suitable. Shovel test units averaging 40 square cm are generally appropriate, and are excavated to a sterile stratum (i.e. C Horizon, alluvial till, etc.).

Depending on the site survey strategy, subsurface testing is conducted systematically or randomly across the survey area. Other considerations such as test unit location, frequency, depth and interval spacing will also depend on the survey design as well as various biophysical factors. (*Lightfoot, Keng G. 1989*).

SURVEY SAMPLING

Site survey involves the complete or partial inspection of a proposed project area for the purpose of locating archaeological or other heritage sites. Since there are many possible approaches to field survey, it is important to consider the biophysical conditions and archaeological site potential of the survey area in designing the survey strategy.

Ideally, the archaeological site inventory should be based on intensive survey of every portion of the impact area, as maximum area coverage will provide the most comprehensive understanding of archaeological and other heritage resource density and distribution. However, in many cases the size of the project area may render a complete survey impractical because of time and cost considerations.

In some situations it may be practical to intensively survey only a sample of the entire project area. Sample selection is approached systematically, based on accepted statistical sampling procedures, or judgementally, relying primarily on subjective criteria (*Butler, W., 1984*).

SYSTEMATIC SURVEY SAMPLING



A systematic sample survey is designed to locate a representative sample of archaeological or heritage resources within the project area. A statistically valid sample will allow predictions to be made regarding total resource density, distribution and variability. In systematic sample surveys it may be necessary to exempt certain areas from intensive inspection owing to excessive slope, water bodies, landslides, land ownership, land use or other factors. These areas must be explicitly defined. Areas characterized by an absence of road access or dense vegetation should not be exempted. (*Dunnel, R.C., Dancey W.S. 1983*).

JUDGEMENTAL SURVEY SAMPLING

Under certain circumstances, it is appropriate to survey a sample of the project area based entirely on professional judgement regarding the location of sites. Only those areas which can reasonably be expected to contain archaeological or heritage sites are surveyed.

However, a sufficient understanding of the cultural and biophysical factors which influenced or accounted for the distribution of these sites over the landscape is essential. Careful consideration must be given to ethnographic patterns of settlement, land use and resource exploitation; the kinds and distribution of aboriginal food sources; and restrictions on site location imposed by physical terrain, climatic regimes, soil chemistry or other factors. A judgemental sample survey is not desirable if statistically valid estimates of total heritage resource density and variability are required (*McManamon F.P. 1984*).

ASSESSMENT

Assessment studies are only required where conflicts have been identified between heritage resources and a proposed development. These studies require an evaluation of the heritage resource to be impacted, as well as an assessment of project impacts. The purpose of the assessment is to provide recommendations as to the most appropriate manner in which the resource may be managed in light of the identified impacts. Management options may include alteration of proposed development plans to avoid resource impact, mitigative studies directed at retrieving resource values prior to impact, or compensation for the unavoidable loss of resource values.

It is especially important to utilize specialists at this stage of assessment. The evaluation of any archaeological resource should be performed by professionally qualified individuals.

SITE EVALUATION

Techniques utilized in evaluating the significance of a heritage site include systematic surface collecting and evaluative testing. Systematic surface collection is employed wherever archaeological remains are evident on the ground surface. However, where these sites contain buried deposits, some degree of evaluative testing is also required.

Systematic surface collection from archaeological sites should be limited, insofar as possible, to a representative sample of materials. Unless a site is exceptionally small and limited to the surface, no attempt should be made at this stage to collect all or even a major portion of the materials. Intensive surface collecting should be reserved for full scale data recovery if mitigative studies are required.

Site significance is determined following an analysis of the surface collected and/or excavated materials (*Miller, C.L. II, 1989*).

SIGNIFICANCE CRITERIA

There are several kinds of significance, including scientific, public, ethnic, historic and economic, that need to be taken into account when evaluating heritage resources. For any site, explicit criteria are used to measure these values. Checklists of criteria for evaluating pre-contact and post-contact archaeological sites are provided in Appendix B and Appendix C. These checklists are not intended to be exhaustive or inflexible. Innovative approaches to site evaluation which emphasize quantitative analysis and objectivity are encouraged. The process used to derive a measure of relative site significance must be rigorously documented, particularly the system for ranking or weighting various evaluated criteria.

Site integrity, or the degree to which a heritage site has been impaired or disturbed as a result of past land alteration, is an important consideration in evaluating site significance. In this regard, it is important to recognize that although an archaeological site has been disturbed, it may still contain important scientific information.



Heritage resources may be of scientific value in two respects. The potential to yield information which, if properly recovered, will enhance understanding of Southern African human history is one appropriate measure of scientific significance. In this respect, archaeological sites should be evaluated in terms of their potential to resolve current archaeological research problems. Scientific significance also refers to the potential for relevant contributions to other academic disciplines or to industry.

Public significance refers to the potential a site has for enhancing the public's understanding and appreciation of the past. The interpretive, educational and recreational potential of a site are valid indications of public value. Public significance criteria such as ease of access, land ownership, or scenic setting are often external to the site itself. The relevance of heritage resource data to private industry may also be interpreted as a particular kind of public significance.

Ethnic significance applies to heritage sites which have value to an ethnically distinct community or group of people. Determining the ethnic significance of an archaeological site may require consultation with persons having special knowledge of a particular site. It is essential that ethnic significance be assessed by someone properly trained in obtaining and evaluating such data.

Historic archaeological sites may relate to individuals or events that made an important, lasting contribution to the development of a particular locality or the province. Historically important sites also reflect or commemorate the historic socioeconomic character of an area. Sites having high historical value will also usually have high public value.

The economic or monetary value of a heritage site, where calculable, is also an important indication of significance. In some cases, it may be possible to project monetary benefits derived from the public's use of a heritage site as an educational or recreational facility. This may be accomplished by employing established economic evaluation methods; most of which have been developed for valuating outdoor recreation. The objective is to determine the willingness of users, including local residents and tourists, to pay for the experiences or services the site provides even though no payment is presently being made. Calculation of user benefits will normally require some study of the visitor population (*Smith*, *L.D.* 1977).

ASSESSING IMPACTS

A heritage resource impact may be broadly defined as the net change between the integrity of a heritage site with and without the proposed development. This change may be either beneficial or adverse.

Beneficial impacts occur wherever a proposed development actively protects, preserves or enhances a heritage resource. For example, development may have a beneficial effect by preventing or lessening natural site erosion. Similarly, an action may serve to preserve a site for future investigation by covering it with a protective layer of fill. In other cases, the public or economic significance of an archaeological site may be enhanced by actions which facilitate non-destructive public use. Although beneficial impacts are unlikely to occur frequently, they should be included in the assessment.

More commonly, the effects of a project on heritage sites are of an adverse nature. Adverse impacts occur under conditions that include:

- (a) destruction or alteration of all or part of a heritage site;
- (b) isolation of a site from its natural setting; and
- (c) introduction of physical, chemical or visual elements that are out-of-character with the heritage resource and its setting.

Adverse effects can be more specifically defined as direct or indirect impacts. Direct impacts are the immediately demonstrable effects of a project which can be attributed to particular land modifying actions. They are directly caused by a project or its ancillary facilities and occur at the same time and place. The immediate consequences of a project action, such as slope failure following reservoir inundation, are also considered direct impacts.

Indirect impacts result from activities other than actual project actions. Nevertheless, they are clearly induced by a project and would not occur without it. For example, project development may induce changes in land use or population density, such as increased urban and recreational development, which may indirectly impact upon heritage sites. Increased vandalism of heritage sites, resulting from improved or newly introduced access, is also considered an indirect impact. Indirect impacts are much more difficult to assess and quantify than impacts of a direct nature.

Once all project related impacts are identified, it is necessary to determine their individual level-of-effect on heritage resources. This assessment is aimed at determining the extent or



degree to which future opportunities for scientific research, preservation, or public appreciation are foreclosed or otherwise adversely affected by a proposed action. Therefore, the assessment provides a reasonable indication of the relative significance or importance of a particular impact. Normally, the assessment should follow site evaluation since it is important to know what heritage values may be adversely affected.

The assessment should include careful consideration of the following level-of-effect indicators, which are defined in Appendix D:

- magnitude
- severity
- duration
- range
- frequency
- diversity
- cumulative effect
- rate of change

The level-of-effect assessment should be conducted and reported in a quantitative and objective fashion. The methodological approach, particularly the system of ranking level-of-effect indicators, must be rigorously documented and recommendations should be made with respect to managing uncertainties in the assessment. (*Zubrow, Ezra B.A., 1984*).

The study area was surveyed using standard archaeological surveying methods. The area was surveyed using directional parameters supplied by the GPS and surveyed by foot. This technique has proven to result in the maximum coverage of an area. This action is defined as;

'an archaeologist being present in the course of the carrying-out of the development works (which may include conservation works), so as to identify and protect archaeological deposits, features or objects which may be uncovered or otherwise affected by the works' (DAHGI 1999a, 28).

Standard archaeological documentation formats were employed in the description of sites. Using standard site documentation forms as comparable medium, it enabled the surveyors to evaluate the relative importance of sites found. Furthermore GPS (Global Positioning System) readings of all finds and sites were taken. This information was then plotted using a *Garmin Colorado* GPS (WGS 84- datum).

Indicators such as surface finds, plant growth anomalies, local information and topography were used in identifying sites of possible archaeological importance. Test probes were done at intervals to determine sub-surface occurrence of archaeological material. The importance of sites was assessed by comparisons with published information as well as comparative collections.

Test excavation is that form of archaeological excavation where the purpose is to establish the nature and extent of archaeological deposits and features present in a location which it is proposed to develop (though not normally to fully investigate those deposits or features) and allow an assessment to be made of the archaeological impact of the proposed development. It may also be referred to as archaeological testing' (DAHGI 1999a, 27).

'Test excavation should not be confused with, or referred to as, archaeological assessment which is the overall process of assessing the archaeological impact of development. Test excavation is one of the techniques in carrying out archaeological assessment which may also include, as appropriate, documentary research, field walking, examination of upstanding or visible features or structures, examination of aerial photographs, satellite or other remote sensing imagery, geophysical survey, and topographical assessment' (DAHGI 1999b, 18).



Scientific Significance

(a) Does the site contain evidence which may substantively enhance understanding of culture history, culture process, and other aspects of local and regional prehistory?

internal stratification and depth

chronologically sensitive cultural items

materials for absolute dating

association with ancient landforms

quantity and variety of tool type

distinct intra-site activity areas

tool types indicative of specific socio-economic or religious activity

cultural features such as burials, dwellings, hearths, etc.

diagnostic faunal and floral remains

exotic cultural items and materials

uniqueness or representativeness of the site

integrity of the site

(b) Does the site contain evidence which may be used for experimentation aimed at improving archaeological methods and techniques?

monitoring impacts from artificial or natural agents

site preservation or conservation experiments

data recovery experiments

sampling experiments

intra-site spatial analysis

(c) Does the site contain evidence which can make important contributions to paleoenvironmental studies?

topographical, geomorphological context

depositional character

diagnostic faunal, floral data

(d) Does the site contain evidence which can contribute to other scientific disciplines such as hydrology, geomorphology, pedology, meteorology, zoology, botany, forensic medicine, and environmental hazards research, or to industry including forestry and commercial fisheries?

Public Significance

(a) Does the site have potential for public use in an interpretive, educational or recreational capacity?

integrity of the site

technical and economic feasibility of restoration and development for public use visibility of cultural features and their ability to be easily interpreted

accessibility to the public

opportunities for protection against vandalism



representativeness and uniqueness of the site aesthetics of the local setting proximity to established recreation areas present and potential land use land ownership and administration legal and jurisdictional status local community attitude toward development

(b) Does the site receive visitation or use by tourists, local residents or school groups?

Ethnic Significance

(a) Does the site presently have traditional, social or religious importance to a particular group or community?

ethnographic or ethno-historic reference documented local community recognition or, and concern for, the site

Economic Significance

(a) What value of user-benefits may be placed on the site? visitors' willingness-to-pay visitors' travel costs

Scientific Significance

- (a) Does the site contain evidence which may substantively enhance understanding of historic patterns of settlement and land use in a particular locality, regional or larger area?
- (b) Does the site contain evidence which can make important contributions to other scientific disciplines or industry?

Historic Significance

- (a) Is the site associated with the early exploration, settlement, land use, or other aspect of southern Africa's cultural development?
- (b) Is the site associated with the life or activities of a particular historic figure, group, organization, or institution that has made a significant contribution to, or impact on, the community, province or nation?
- (c) Is the site associated with a particular historic event whether cultural, economic, military, religious, social or political that has made a significant contribution to, or impact on, the community, province or nation?
- (d) Is the site associated with a traditional recurring event in the history of the community, province, or nation, such as an annual celebration?

Public Significance

(a) Does the site have potential for public use in an interpretive, educational or recreational capacity? visibility and accessibility to the public ability of the site to be easily interpreted



opportunities for protection against vandalism
economic and engineering feasibility of reconstruction, restoration and maintenance
representativeness and uniqueness of the site
proximity to established recreation areas
compatibility with surrounding zoning regulations or land use
land ownership and administration
local community attitude toward site preservation, development or destruction

(b) Does the site receive visitation or use by tourists, local residents or school groups?

Ethnic Significance

present use of site

(a) Does the site presently have traditional, social or religious importance to a particular group or community?

Economic Significance

(a) What value of user-benefits may be placed on the site?

visitors' willingness-to-pay visitors' travel costs Integrity and Condition

- (a) Does the site occupy its original location?
- (b) Has the site undergone structural alterations? If so, to what degree has the site maintained its original structure?
- (c) Does the original site retain most of its original materials?
- (d) Has the site been disturbed by either natural or artificial means?

Other

- (a) Is the site a commonly acknowledged landmark?
- (b) Does, or could, the site contribute to a sense of continuity or identity either alone or in conjunction with similar sites in the vicinity?
- (c) Is the site a good typical example of an early structure or device commonly used for a specific purpose throughout an area or period of time?
- (d) Is the site representative of a particular architectural style or pattern?

Indicators of Impact Severity

Magnitude

The amount of physical alteration or destruction which can be expected. The resultant loss of heritage value is measured either in amount or degree of disturbance.

Severity

The irreversibility of an impact. Adverse impacts which result in a totally irreversible and irretrievable loss



of heritage value are of the highest severity.

Duration

The length of time an adverse impact persists. Impacts may have short-term or temporary effects, or conversely, more persistent, long-term effects on heritage sites.

Range

The spatial distribution, whether widespread or site-specific, of an adverse impact.

Frequency

The number of times an impact can be expected. For example, an adverse impact of variable magnitude and severity may occur only once. An impact such as that resulting from cultivation may be of recurring or on-going nature.

Diversity

The number of different kinds of project-related actions expected to affect a heritage site.

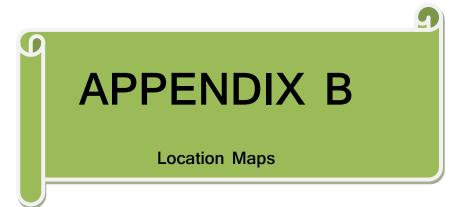
Cumulative Effect

A progressive alteration or destruction of a site owing to the repetitive nature of one or more impacts.

Rate of Change

The rate at which an impact will effectively alter the integrity or physical condition of a heritage site. Although an important level-of-effect indicator, it is often difficult to estimate. Rate of change is normally assessed during or following project construction.

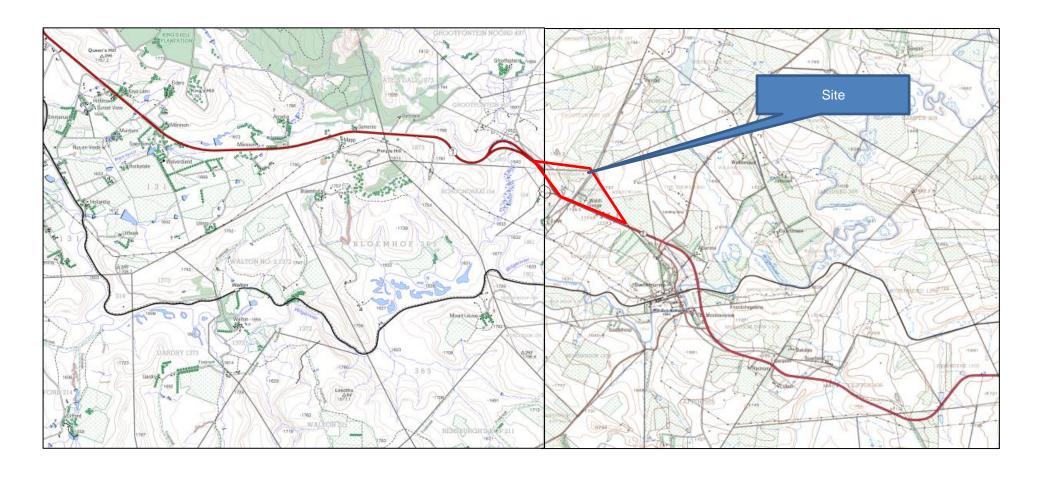






Location of the study area for the project – red outline







Location of the study area for the project – white outline



