HERITAGE SURVEY OF THE PROPOSED KWABHOBOZA INTERCHANGE ON NATIONAL ROUTE 2 SECTION 30 (KM 5.1) MTUBATUBA, KWA ZULU NATAL

FOR AFZELAI ENVIRONMENTAL COPNSULTATNTS CC

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By Gavin Anderson

Umlando: Archaeological Tourism and Resource

Management

PO Box 102532, Meerensee, 3901

Phone/fax: 035-7531785 Fax: 0865445631

Cell: 0836585362



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INTRODUCTION

Umlando was contracted by Afzelia Environmental Consultants to undertake a heritage survey of the proposed Kwabhoboza Interchange. The interchange is the upgrade along the N2 at the Mtubatuba intersection. This interchange was originally surveyed by Umlando in 2005, however we were requested to resurvey parts of the interchange.

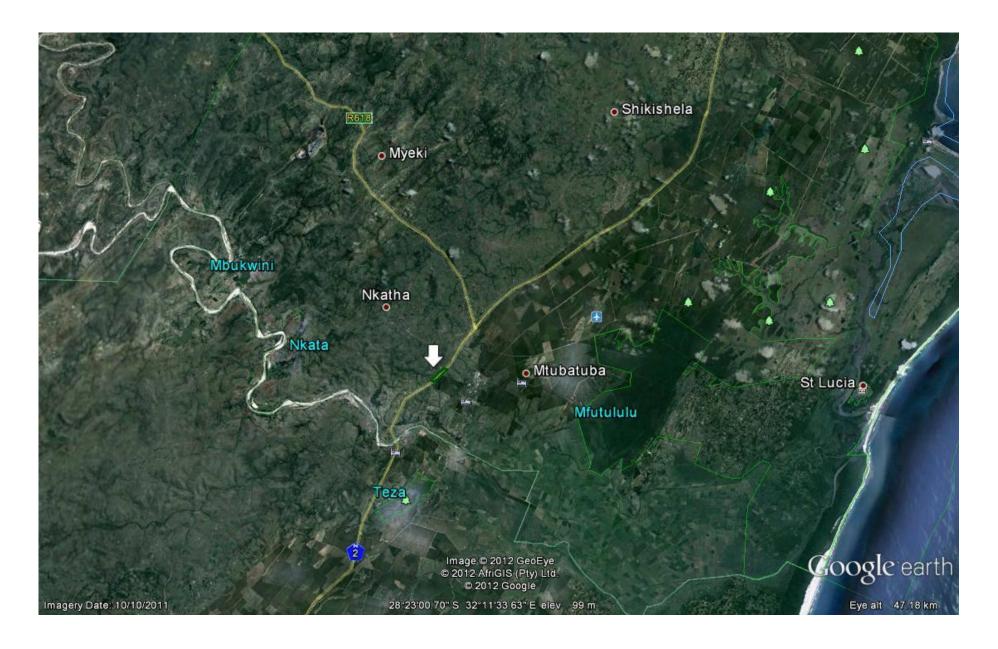
The proposed impacts will be:

- A new underpass to the N2-30 with ramps leading to and from crossroad and lifting of the existing alignment of the N2-30
- Realignment of the P237 across the N2-30 over a distance of 1km including tying the into the local roads in the area
- Provision of taxi lay-byes and pedestrian facilities
- Provision for future widening of the N2-30 to a 6 lane undivided carriageway
- Extending and constructing storm water drainage structures
- Installing a new pedestrian fence on the road reserve within the KwaMsane community
- Road signage and markings

The location of the interchange is shown in fig. 1 - 3.

The original archaeological survey did not locate any sites. However, since then five graves have been placed near the P237.

FIG. 1 GENERAL LOCATION OF THE PROPOSED NDUMO-N2-30 INTERCHANGE



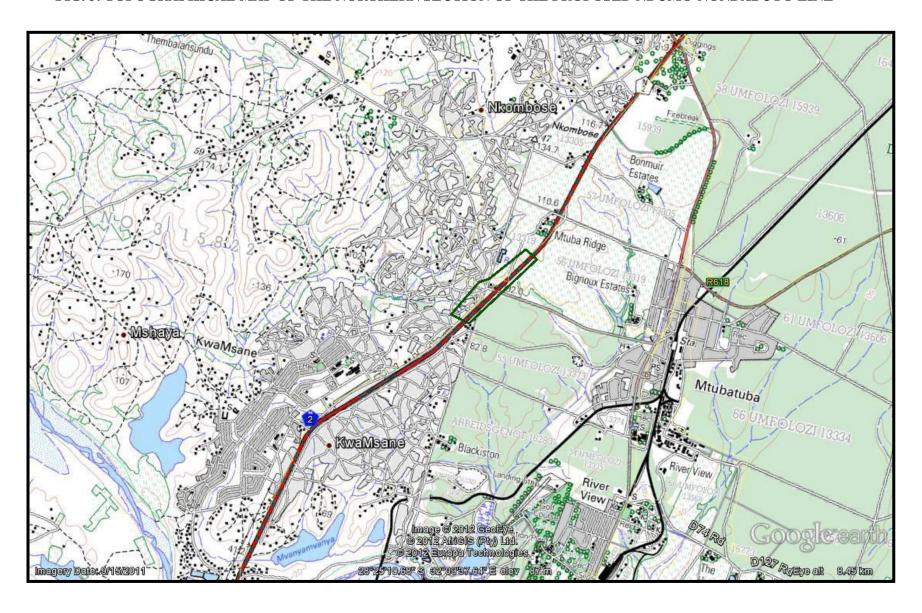
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FIG. 2: NORTHERN AERIAL OVERVIEW OF THE PROPOSED NDUMO-NONDABUYO, 132KV TRANSMISSION LINE



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FIG. 3: TOPOGRAPHICAL MAP OF THE NORTHERN SECTION OF THE PROPOSED NDUMO-NONDABUYO LINE



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KWAZULU-NATAL HERITAGE ACT NO. 4 OF 2008

- 1. "General protection: Structures.
 - a. No structure which is, or which may reasonably be expected to be older than 60 years, may be demolished, altered or added to without the prior written approval of the Council having been obtained on written application to the Council.
 - b. Where the Council does not grant approval, the Council must consider special protection in terms of sections 38, 39, 40, 41 and 43 of Chapter 9.
- The Council may, by notice in the Gazette, exempt
 - a. a defined geographical area; or
 - b. defined categories of sites within a defined geographical area, from the provisions of subsection where the Council is satisfied that heritage resources falling in the defined geographical area or category have been identified and are adequately protected in terms of sections 38, 39, 40, 41 and 43 of Chapter 9.
 - c. A notice referred to in subsection (2) may, by notice in the *Gazette*, be amended or withdrawn by the Council.
- General protection: Graves of victims of conflict.—No person may damage, alter, exhume, or remove from its original position
 - a. the grave of a victim of conflict;
 - b. a cemetery made up of such graves; or
 - c. any part of a cemetery containing such graves, without the prior written approval of the Council having been obtained on written application to the Council.
- General protection: Traditional burial places.
 - a. No grave—
 - b. not otherwise protected by this Act; and
 - c. not located in a formal cemetery managed or administered by a local authority, may be damaged, altered, exhumed, removed from its original position, or otherwise disturbed without the prior written

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approval of the Council having been obtained on written application to the Council.

- The Council may only issue written approval once the Council is satisfied that—
 - a. the applicant has made a concerted effort to consult with communities and individuals who by tradition may have an interest in the grave; and
 - b. the applicant and the relevant communities or individuals have reached agreement regarding the grave.
 - c. 36. General protection: Battlefield sites, archaeological sites, rock art sites, palaeontological sites, historic fortifications, meteorite or meteorite impact sites.—
- 6. No person may destroy, damage, excavate, alter, write or draw upon, or otherwise disturb any battlefield site, archaeological site, rock art site, palaeontological site, historic fortification, meteorite or meteorite impact site without the prior written approval of the Council having been obtained on written application to the Council.
- 7. Upon discovery of archaeological or palaeontological material or a meteorite by any person, all activity or operations in the general vicinity of such material or meteorite must cease forthwith and a person who made the discovery must submit a written report to the Council without delay.
- 8. The Council may, after consultation with an owner or controlling authority, by way of written notice served on the owner or controlling authority, prohibit any activity considered by the Council to be inappropriate within 50 metres of a rock art site.
- 9. No person may exhume, remove from its original position or otherwise disturb, damage, destroy, own or collect any object or material associated with any battlefield site, archaeological site, rock art site, palaeontological site, historic fortification, meteorite or meteorite impact site without the prior written approval of the Council having been obtained on written application to the Council.

- 10. No person may bring any equipment which assists in the detection of metals and archaeological and palaeontological objects and material, or excavation equipment onto any battlefield site, archaeological site, rock art site, palaeontological site, historic fortification, or meteorite impact site, or use similar detection or excavation equipment for the recovery of meteorites, without the prior written approval of the Council having been obtained on written application to the Council.
- 11. The ownership of any object or material associated with any battlefield site, archaeological site, rock art site, palaeontological site, historic fortification, meteorite or meteorite impact site, on discovery, vest in the Provincial Government and the Council is regarded as the custodian on behalf of the Provincial Government." (KZN Heritage Act of 2008)

METHOD

The method for Heritage assessment consists of several steps.

The first step forms part of the desktop assessment. Here we would consult the database that has been collated by Umlando. This databases contains archaeological site locations and basic information from several provinces (information from Umlando surveys and some colleagues), most of the national battlefields provincial monuments and Southern (http://www.vuvuzela.com/googleearth/monuments.html) and cemeteries southern Africa (information supplied by the Genealogical Society of Southern Africa). We use 1st and 2nd edition 1:50 000 topographical and 1937 aerial photographs where available, to assist in general location and dating of buildings and/or settlements with graves. The database is in Google Earth format and thus used as a quick reference when undertaking desktop studies. Where required we would consult with a local data recording centre, however these tend to be fragmented between different institutions and areas and thus difficult to access at times. We also consult with an historical architect, palaeontologist, and an historian where necessary.

The use of historical maps allows us to note the locations of potential heritage sites in areas where the vegetation is too dense, or where there is no physical evidence of a settlement. That is, some areas have a high rate of deterioration of archaeological/organic remains, and human graves are generally ephemerally marked or demarcated with organic remains. By using the maps we can indicate sensitive areas and suggest appropriate management plans.

The survey results will define the significance of each recorded site, as well as a management plan.

All sites are grouped according to low, medium, and high significance for the purpose of this report. Sites of low significance have no diagnostic artefacts or features. Sites of medium significance have diagnostic artefacts or features and these sites tend to be sampled. Sampling includes the collection of artefacts for future analysis. All diagnostic pottery, such as rims, lips, and decorated sherds are sampled, while bone, stone, and shell are mostly noted. Sampling usually occurs on most sites. Sites of high significance are excavated and/or extensively sampled. Those sites that are extensively sampled have high research potential, yet poor preservation of features.

Defining significance

Heritage sites vary according to significance and several different criteria relate to each type of site. However, there are several criteria that allow for a general significance rating of archaeological sites.

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These criteria are:

1. State of preservation of:

- 1.1. Organic remains:
- 1.1.1. Faunal
- 1.1.2. Botanical
- 1.2. Rock art
- 1.3. Walling
- 1.4. Presence of a cultural deposit
- 1.5. Features:
- 1.5.1. Ash Features
- 1.5.2. Graves
- 1.5.3. Middens
- 1.5.4. Cattle byres
- 1.5.5. Bedding and ash complexes

2. Spatial arrangements:

- 2.1. Internal housing arrangements
- 2.2. Intra-site settlement patterns
- 2.3. Inter-site settlement patterns

3. Features of the site:

- 3.1. Are there any unusual, unique or rare artefacts or images at the site?
 - 3.2. Is it a type site?
- 3.3. Does the site have a very good example of a specific time period, feature, or artefact?

4. Research:

- 4.1. Providing information on current research projects
- 4.2. Salvaging information for potential future research projects

5. Inter- and intra-site variability

5.1. Can this particular site yield information regarding intra-site variability, i.e. spatial relationships between various features and artefacts?

5.2. Can this particular site yield information about a community's social relationships within itself, or between other communities?

6. Archaeological Experience:

6.1. The personal experience and expertise of the CRM practitioner should not be ignored. Experience can indicate sites that have potentially significant aspects, but need to be tested prior to any conclusions.

7. Educational:

- 7.1. Does the site have the potential to be used as an educational instrument?
 - 7.2. Does the site have the potential to become a tourist attraction?
- 7.3. The educational value of a site can only be fully determined after initial test-pit excavations and/or full excavations.

8. Other Heritage Significance:

- 8.1. Palaeontological sites
- 8.2. Historical buildings
- 8.3. Battlefields and general Anglo-Zulu and Anglo-Boer sites
- 8.4. Graves and/or community cemeteries
- 8.5. Living Heritage Sites
- 8.6. Cultural Landscapes, that includes old trees, hills, mountains, rivers, etc related to cultural or historical experiences.

The more a site can fulfill the above criteria, the more significant it becomes. Test-pit excavations are used to test the full potential of an archaeological deposit. This occurs in Phase 2. These test-pit excavations may require further excavations if the site is of significance (Phase 3). Sites may also be mapped and/or have artefacts sampled as a form of mitigation. Sampling normally occurs when the artefacts may be good examples of their type, but are not in a primary archaeological context. Mapping records the spatial relationship between features and artefacts.

RESULTS

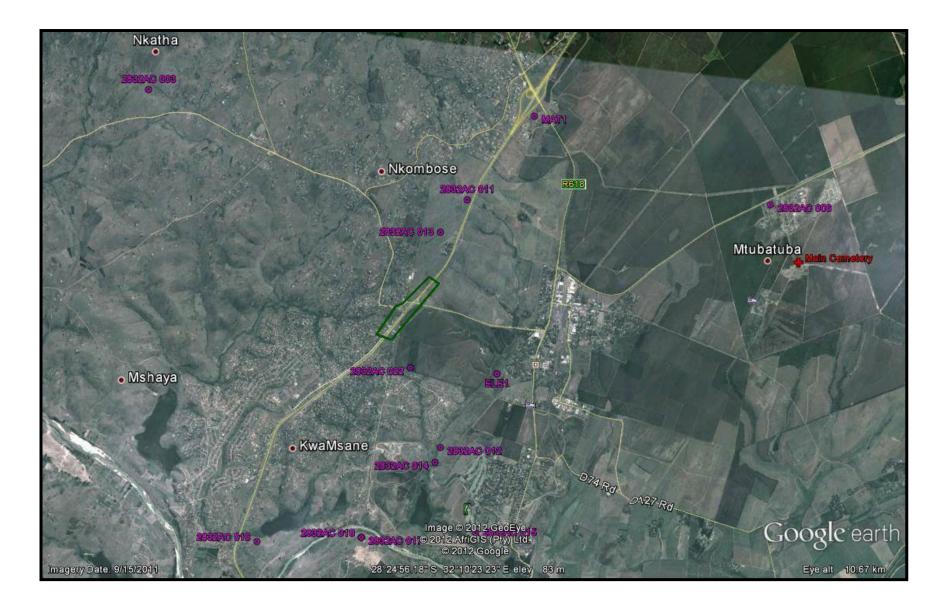
DESKTOP STUDY

The desktop study consisted of analysing various maps for evidence of prior habitation in the study area, as well as for previous archaeological surveys.

Several archaeological sites have been recorded in the general area (fig. 4). These sites date to the Early and Middle Stone Ages. They are of low significance as they are in a secondary context and form part of the general Stone Age artefacts found throughout KZN. The Sites 2832AC 011 and 013 are probably part of the same general site that should extend to the northwestern part of the interchange. However, this area has a lot of development and infrastructure, and no artefacts were observed during t original survey.

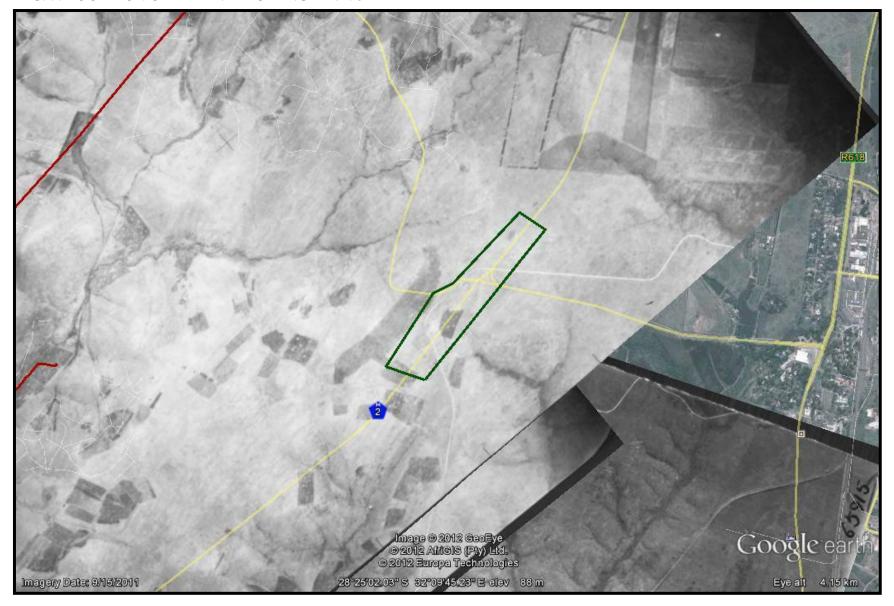
The 1937 aerial photographs indicate that the general area was partially under cultivation (fig. 5). The 1942 topographical map indicates this as well (fig. 6). Both maps show that there is no human settlement in the study area. By 2000, this area is densely occupied (fig. 3). This suggests that any graves (with gravestones) in the area will probably post-date 1942, and most likely be less than 60 years in age.

FIG. 4: LOCATION OF KNOWN ARCHAEOLOGICAL SITES IN THE GENERAL AREA



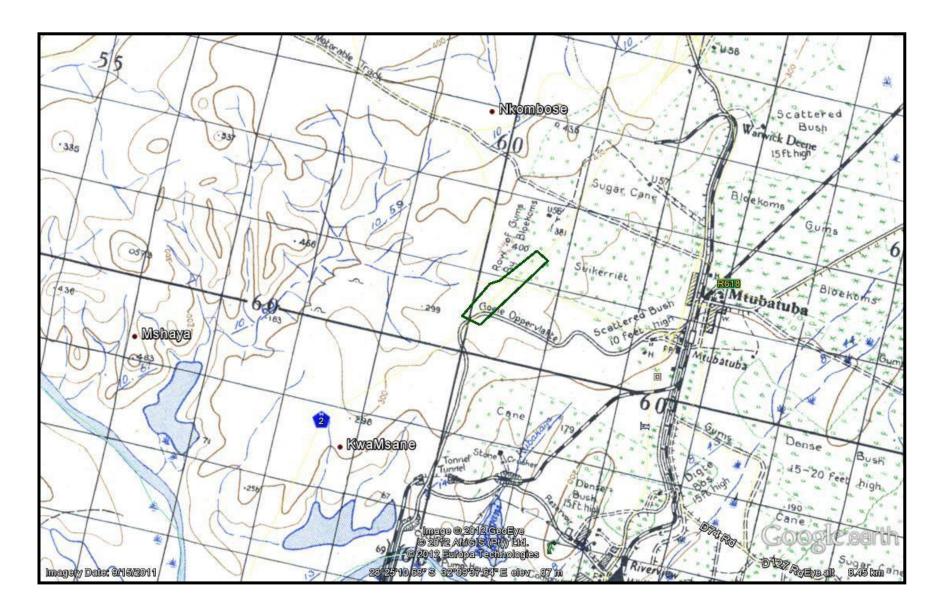
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FIG. 5: LOCATION OF THE INTERCHANGE IN 1937



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FIG. 6: LOCATION OF THE INTERCHANGE IN 1942



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FIELD SURVEY

A site inspection was undertaken with Afzelia and SANRAL on 30 August 2012. The site visit was to visit an area with known graves (fig. 7). There are five graves on the property of which two have headstones. All graves are undated. An interview with the living descendents dates the graves from c. 2003 onwards. The graves do not fall under the KZN Heritage Act of 2008. This area did not fall under the 2005 survey.

The graves will be affected by the road upgrade.

Significance: The graves are of high significance.

Mitigation: A social impact assessment will need to be undertaken, as these graves will need to be moved for the road. The SIA will be made easier as the living descendants are known.



FIG. 7: RECENT GRAVES AT THE INTERCHANGE¹

¹ 28°25'0.05"S 32° 9'43.41"E

MANAGEMENT PLAN

No archaeological or related heritage sites were observed for the interchange. The five graves are recent and fall under different laws. I suggest a registered undertaker who has been involved with grave removals is contracted to deal with these graves.

The process of grave removals is a complex one that requires community consultation, advertisements, several permits, and finally reburial. Moreover, those graves older than 60 years require qualified archaeologists to undertake the entire process. This process is summarised as follows²:

In terms of the National Heritage Resources Act (No. 25 of 1999), and KZN Heritage Act of 1997 and 2008, graves older than 60 years (not in a municipal graveyard) are protected. Human remains younger than 60 years should be handled only by a registered undertaker or an institution declared under the Human Tissues Act. Anyone who wishes to develop an area where there are graves older than 60 years is required to follow the process described in the legislation (section 36 and associated regulations). The specialist will require a permit from the heritage resources authority:

- Determine/ confirm the presence of the graves on the property. Normally
 the quickest way to proceed is to obtain the service of a professional
 archaeologist accredited to undertake burial relocations. The archaeologist will
 provide an estimate of the age of the graves. There may be a need for archival
 research and possibly test excavations (permit required).
- The preferred decision is to move the development so that the graves may remain undisturbed. If this is done, the developer must satisfy SAHRA/KZN

² Information supplied by SAHRA, and it applies to KZN, although falling under the KZN Heritage Act.

Heritage that adequate arrangements have been made to protect the graves on site from the impact of the development. This usually involves fencing the grave(yard) and setting up a small site management plan indicating who will be responsible for maintaining the graves and how this is legally tied into the development. It is recommended that a distance of 10-20 m is left undisturbed between the grave and the fence around the graves.

- If the developer wishes to relocate or disturb the graves:
- O A 60-day public participation (social consultation) process as required by section 36 (and regulations see attachment), must be undertaken to identify any direct descendants of those buried on the property. This allows for a period of consultation with any family members or community to ascertain what their wishes are for the burials. It involves notices to the public on site and through representative media. This may be done by the archaeologist, who can explain the process, but for large or sensitive sites a social consultant should be employed. Archaeologists often work with undertakers, who rebury the human remains.
- If as a result of the public participation, the family (where descendants are identified) or the community agree to the relocation process then the graves may be relocated.
- The archaeologist must submit a permit application to SAHRA/KZN Heritage for the disinterment of the burials. This must include written approval of the descendants or, if there has not been success in identifying direct descendants, written documentation of the social consultation process, which must indicate to SAHRA's satisfaction, the efforts that have been made to locate them. It must also include details of the exhumation process and the place to which the burials are to be relocated. (There are regulations regarding creating new cemeteries and so this usually means that relocation must be to an established communal rural or formal municipal cemetery.)
- Permission must be obtained before exhumation takes place from the landowner where the graves are located, and from the owners/managers of the graveyard to which the remains will be relocated.

 Other relevant legislation must be complied with, including the Human Tissues Act (National Department of Health) and any ordinances of the Provincial Department of Health). The archaeologist can usually advise about this.

CONCLUSION

A heritage survey was undertaken for the proposes N2-30 interchange near Mtubatuba, Kwa-Zulu-Natal. The original survey was undertaken in 2005 and no archaeological or other heritage sites were observed. Since then the project has expanded and now affects five human graves. These graves post-date 2000, and do not fall under the heritage legislation.

I suggest an undertaker who is qualified in grave removals and social impact assessments is approached to deal with the graves and the living descendents.