

# PHASE 1 HIA AGRI-INDUSTRIAL FACILITY VAAL KOPPIES UPINGTON

PHASE 1 HIA FOR THE PROPOSED CONSTRUCTION OF AN AGRI-INDUSTRIAL FACILITY ON PORTION 64 OF VAAL KOPPIES NO 40, UPINGTON, KENHARDT, DAWID KRUIPER LOCAL MUNICIPALITY, ZF MGCAWU DISTRICT MUNICIPALITY, NORTHERN CAPE PROVINCE.

> **PREPARED FOR:** ECO BALANCE PLANNING CO

> > **PREPARED BY:**

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#### Declaration of independence:

UBIQUE Heritage Consultants hereby confirm our independence as heritage specialists and declare that:

- we are suitably qualified and accredited to act as independent specialists in this application;
- we do not have any vested interests (either business, financial, personal or other) in the proposed development project other than remuneration for the heritage assessment and heritage management services performed;
- the work was conducted in an objective and ethical manner, in accordance with a professional code of conduct and within the framework of South African heritage legislation.

Signed:

J.A.C. Engelbrecht, H. Fivaz & S. Fairhurst UBIQUE Heritage Consultants

Date: 2023-01-23

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# SUMMARY OF SPECIALIST EXPERTISE

# SKY-LEE FAIRHURST

#### ARCHAEOLOGIST

Sky-Lee Fairhurst has been part of UBIQUE Heritage Consultants since 2019. She is responsible for research, desktop studies, report compilation and surveys. Miss Fairhurst obtained her BA in Archaeology and Biblical archaeology in 2016 and her BA Hons in Archaeology (*cum laude*) at the University of South Africa (UNISA) in 2018, focussing on research themes such as gender, households and Late Iron Age settlements. She is currently pursuing her interest in southern African agropastoral societies as an MA Archaeology student at the University of South Africa (UNISA). She is skilled at artefacts and archaeological illustrations. Over the past nine years, she has obtained considerable excavation and survey experience and worked on various sites, including Historical, Iron Age, and Palaeontological sites.

## HEIDI FIVAZ CRM ARCHAEOLOGIST & OBJECT CONSERVATOR

Heidi Fivaz has been a part of UBIQUE Heritage Consultants since 2016 and took over ownership in 2018. She is responsible for project management, surveys, research and report compilation. She holds a B.Tech. Fine Arts degree (2000) from Tshwane University of Technology, a BA in Culture and Arts Historical Studies degree (2012) from UNISA and received her BA (Hons) in Archaeology in 2015 (UNISA). She has received extensive training in object conservation from the South African Institute of Object Conservation and specialises in glass and ceramics conservation. She is also a skilled artefact and archaeological illustrator. Ms Fivaz was awarded her MA in Archaeology (with distinction) in 2021 by the University of South Africa (UNISA), focusing on historical and industrial archaeology. She is a professional member of the Association of South African Archaeologists and has worked on numerous archaeological excavation and surveying projects over the past twelve years.

#### JAN ENGELBRECHT CRM ARCHAEOLOGIST

Jan Engelbrecht is accredited by the Cultural Resources Management section of the Association of Southern African Professional Archaeologists (ASAPA) to undertake Phase1 AIAs and HIAs in South Africa. He is also a member of the Association for Professional Archaeologists (ASAPA). Mr Engelbrecht holds an honours degree in archaeology (specialising in the history of early farmers in southern Africa (Iron Age) and the Colonial period) from the University of South Africa. He has 12 years of experience in heritage management. He has worked on projects as diverse as the Zulti South HIA of Richards Bay Minerals, research on the David Bruce heritage site at Ubombo in Kwa-Zulu Natal, and various archaeological excavations and historical archaeological projects. He has worked with many rural communities to establish integrated heritage and land use plans and speaks Zulu fluently. Mr Engelbrecht established Ubique Heritage Consultants in 2012. The company moved from KZN to the Northern Cape and is currently based at Askham in the Northern Cape within the Mier local municipality in the Kgalagadi region. He had a significant military career as an officer, whereafter he qualified as an Animal Health Technician at Technikon RSA and UNISA. He is currently studying for his MA Degree in Archaeology.



# **PROJECT AMENDMENT**

The first version of this report was completed on the 31<sup>st</sup> of October 2022 and submitted to the SAHRIS database. The following section is an amendment to the first report:

#### **Project description**

UBIQUE Heritage Consultants were appointed by the ECO Balance Planning Co. as independent heritage specialists in accordance with Section 38 of the NHRA and the National Environmental Management Act 107 of 1998 (NEMA) to conduct a cultural heritage assessment to determine the impact of the proposed construction of an agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, Upington, Dawid Kruiper Municipality, on any sites, features, or objects of cultural heritage significance.

#### Findings and Impact on Heritage Resources

Version 1 of the report determined that:

Seven occurrences of low-density surface scatters of MSA lithics (VK-001 to 004 and VK-006, 011, 016) were recorded within the development footprint. The sample size is small, without context, and of low significance; the impact is negligible.

No historical/colonial period resources were identified.

An abandoned graveyard/cemetery (VK-008) and a possible isolated unmarked grave (VK-009) was recorded during the survey. The abandoned graveyard is situated outside the formal development footprint, while the possible unmarked grave is situated directly within the proposed development footprint. Graves are considered to be of High Significance; these will be impacted negatively by the development.

The proposed development area is primarily underlain by the Dagbreek Formation and the Keimoes Suite (Namaqua-Natal Province). These sediments are naturally igneous and thus unfossiliferous (Butler 2022 Appendix A).



#### Project Amendment: Revised Development Layout

The client provided UBIQUE Heritage Consultants with a revised development footprint layout plan (Figure 1) for the proposed construction of an agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, Upington, Dawid Kruiper Municipality. The new layout plan was established in order to avoid any potential negative impacts on significant heritage resources.

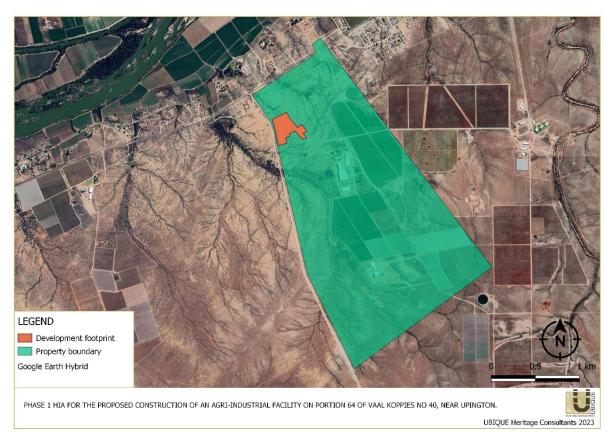


Figure 1 Updated development footprint.

## Amended Recommendations

No significant heritage resources currently fall within the revised formal proposed development footprint. Therefore, the following recommendations are made based on the new development footprint layout plan, taking into consideration any existing or potential sustainable social and economic benefits:

1. The seven MSA lithic occurrences found throughout the property and development footprint have been sufficiently recorded. The MSA cultural material identified is not conservation worthy, and it is still recommended that no further mitigation is required concerning these resources.



- 2. The abandoned cemetery is located outside the formal development footprint. Nevertheless, the recommendation that the graves be recorded and identified in terms of regional heritage remains the same. Based on the revised development footprint it has been determined that there is a lower probability of the graveyard being negatively impacted by the proposed development. However, since graveyards/cemeteries and graves are considered to be significant heritage resources, it is recommended that any development activities should be avoided near the graveyard with a 50 m buffer/safety zone (Figure 2). This site is graded as IIIB and is of High Local Significance. Furthermore, due to the poor preservation of the graveyard, it is also recommended that a maintenance plan with the local municipality or the local community and the descendants of the deceased be set up.
- 3. The isolated unmarked possible grave is not situated within the revised formal development footprint. It is, therefore, considered that the probability of impact will not be as high as indicated in the initial report. However, based on the possible grave's location to the proposed development, it is considered that there is still probability of impact through development activities which will negatively impact the possible grave. Therefore, we recommend that development activities do not take place near the grave which can be avoided with a 50m buffer/safety zone (Figure 2).
- 4. The proposed Agri-industrial facility is underlain by the Dagbreek Formation and the Keimoes Suite (Namaqua-Natal Province). These sediments are igneous in origin and thus unfossiliferous. For this reason, an overall Zero Palaeontological Sensitivity is allocated to the development footprint. Consequently, the proposed development will not lead to a negative impact on the palaeontological reserves of the area. Therefore, since the development footprint is not considered sensitive regarding palaeontological resources, the development's construction may be authorised to its whole extent (Butler 2022).
- 5. Although all possible care has been taken to identify sites of cultural importance during the investigation of study areas, it is always possible that hidden or sub-surface sites could be overlooked during the assessment. Even though the new formal development footprint has been amended to avoid significant heritage resources, it is still recommended that if during construction, any evidence of archaeological sites or remains (e.g. remnants of stone-made structures, indigenous ceramics, bones, stone artefacts, ostrich eggshell fragments, charcoal and ash concentrations), fossils or other categories of heritage resources are found during the proposed development, SAHRA APM Unit (Natasha Higgitt/Phillip Hine 021 462 5402) must be alerted as per section 35(3) of the NHRA. If unmarked human burials are uncovered, the SAHRA Burial Grounds and Graves (BGG) Unit (Thingahangwi Tshivhase/Mimi Seetelo 012 320 8490) must be alerted immediately as per section 36(6) of the NHRA. Depending on the nature of the finds, a professional archaeologist or palaeontologist must be contacted as soon as possible to inspect the findings. If the newly discovered heritage resources prove to be of archaeological or palaeontological significance, a Phase 2 rescue operation may be required, subject to permits issued by SAHRA. UBIQUE



Heritage Consultants and its personnel will not be held liable for such oversights or costs incurred due to such oversights.

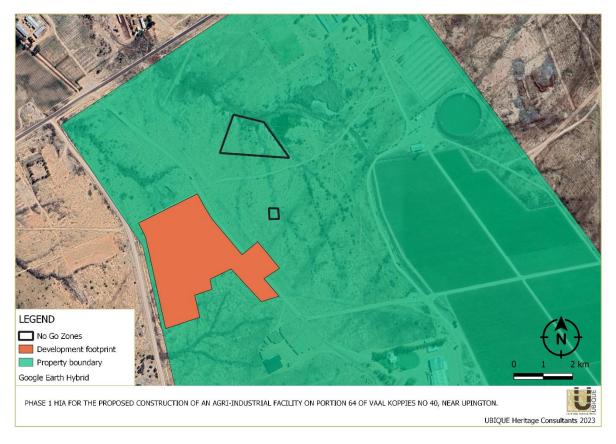
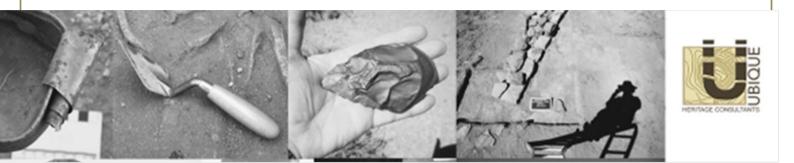


Figure 2 No-go zones for the grave and graveyard in association with the updated development footprint.

#### Amended Conclusion

Due to the medium probability of development activities negatively impacting the graveyard and possible grave, it is recommended that these recourses should be avoided with the inclusion of a 50m buffer/safety zone. No other significant heritage resources that may be impacted negatively were identified. From a heritage point of view, the proposed construction of an agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, in the Z.F. Mgcawu District Municipality and within the Dawid Kruiper Local Municipality in the Northern Cape Province, may continue, provided the recommendations stipulated within this amended report, and the subsequent decision by SARHA, are followed.



# EXECUTIVE SUMMARY

#### Project description

UBIQUE Heritage Consultants were appointed by the ECO Balance Planning Co. as independent heritage specialists in accordance with Section 38 of the NHRA and the National Environmental Management Act 107 of 1998 (NEMA) to conduct a cultural heritage assessment to determine the impact of the proposed construction of an agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, Upington, Dawid Kruiper Municipality, on any sites, features, or objects of cultural heritage significance.

#### Findings and Impact on Heritage Resources

Seven occurrences of low-density surface scatters of MSA lithics (VK-001 to 004 and VK-006, 011, 016) were recorded within the development footprint. The sample size is small, without context, and of low significance; the impact is negligible.

No historical/colonial period resources were identified.

An abandoned graveyard/cemetery (VK-008) and a possible isolated unmarked grave (VK-009) was recorded during the survey. The abandoned graveyard is situated outside the formal development footprint, while the possible unmarked grave is situated directly within the proposed development footprint. Graves are considered to be of High Significance; these will be impacted negatively by the development.

The proposed development area is primarily underlain by the Dagbreek Formation and the Keimoes Suite (Namaqua-Natal Province). These sediments are igneous in origin and thus unfossiliferous (Butler 2022 Appendix A).

#### Recommendations

Based on the assessment of the potential impact of the development on the identified heritage, the following recommendations are made, taking into consideration any existing or potential sustainable social and economic benefits:

1. The seven MSA lithic occurrences found throughout the property and development footprint have been sufficiently recorded. The MSA cultural material identified is not conservation worthy. No further mitigation is recommended concerning these



resources. Therefore, from a heritage point of view, we recommend that the proposed development can continue.

- 2. The abandoned cemetery is located outside the formal development footprint. However, it is recommended that the graves be recorded and identified in terms of regional heritage. There is a possibility of the graveyard being negatively impacted by the proposed development. Therefore, it is recommended that the graveyard be fenced off with the inclusion of a 50 m buffer/safety zone (Figure 3). This site is graded as IIIB and is of High Local Significance. Due to the poor preservation of the graveyard, it is recommended that a maintenance plan with the local municipality or the local community and the descendants of the deceased be set up.
- 3. The isolated unmarked possible grave is located directly in the proposed development footprint and will be impacted negatively by the development. In addition, it would require costly mitigation. It is, therefore, our recommendation that a 50m buffer/safety zone should be implemented (Figure 3).
- 4. The proposed Agri-industrial facility is underlain by the Dagbreek Formation and the Keimoes Suite (Namaqua-Natal Province). These sediments are igneous in origin and thus unfossiliferous. For this reason, an overall Zero Palaeontological Sensitivity is allocated to the development footprint. Consequently, the proposed development will not lead to a negative impact on the palaeontological reserves of the area. Therefore, since the development footprint is not considered sensitive regarding palaeontological resources, the development's construction may be authorised to its whole extent (Butler 2022).
- 5. Although all possible care has been taken to identify sites of cultural importance during the investigation of study areas, it is always possible that hidden or sub-surface sites could be overlooked during the assessment. If during construction, any evidence of archaeological sites or remains (e.g. remnants of stone-made structures, indigenous ceramics, bones, stone artefacts, ostrich eggshell fragments, charcoal and ash concentrations), fossils or other categories of heritage resources are found during the proposed development, SAHRA APM Unit (Natasha Higgitt/Phillip Hine 021 462 5402) must be alerted as per section 35(3) of the NHRA. If unmarked human burials are uncovered, the SAHRA Burial Grounds and Graves (BGG) Unit (Thingahangwi Tshivhase/Mimi Seetelo 012 320 8490) must be alerted immediately as per section 36(6) of the NHRA. Depending on the nature of the finds, a professional archaeologist or palaeontologist must be contacted as soon as possible to inspect the findings. If the newly discovered heritage resources prove to be of archaeological or palaeontological significance, a Phase 2 rescue operation may be required, subject to permits issued by SAHRA. UBIQUE Heritage Consultants and its personnel will not be held liable for such oversights or costs incurred due to such oversights.



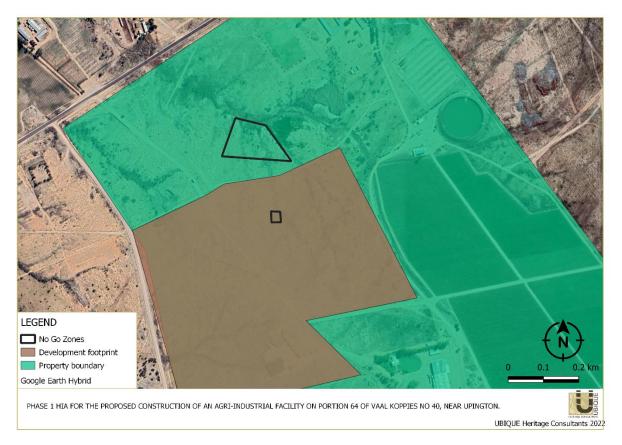


Figure 3 No-go zones around abandoned graveyard and the possible isolated unmarked grave.



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## ABBREVIATIONS

AIA:	Archaeological Impact Assessment
ASAPA:	Association of South African Professional Archaeologists
CRM:	Cultural Resource Management
EIA:	Early Iron Age
EMP:	Environmental Management Plan
ESA:	Earlier Stone Age
GPS:	Global Positioning System
HIA:	Heritage Impact Assessment
HWC:	Heritage Western Cape
IA:	Iron Age
IMP:	Integrated Management Plan
LSA:	Later Stone Age
MIA:	Middle Iron Age
MSA:	Middle Stone Age
NBKB:	Ngwao-Boswa Jwa Kapa Bokone (Northern Cape PHRA)
NHRA:	National Heritage Resources Act
PHRA:	Provincial Heritage Resource Agency
SADC:	Southern African Development Community
SAHRA:	South African Heritage Resources Agency
SAHRIS:	South African Heritage Resources Information System

# GLOSSARY

Archaeological:	Material remains resulting from human activity in a state of disuse, older than 100 years, including artefacts, human and hominid remains and artificial features and structures.
Historic building:	Structures 60 years and older.



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Heritage:	That which is inherited and forms part of the National Estate (historic places, objects, fossils as defined by the National Heritage Resources Act 25 of 1999).
Heritage resources:	Valuable, finite, non-renewable and irreplaceable resources that provide evidence of the origins of South African society
Mitigation:	Anticipating and preventing adverse impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.
'Public monuments:	All monuments and memorials, erected on land belonging to any branch of central, provincial or local government, or on land belonging to any organisation funded by or established in terms of the legislation of such a branch of government; or which were paid for by public subscription, government funds, or a public-spirited or military organisation and are on land belonging to any private individual.
'Structures':	Any building, works, device or other facility made by people, and which are fixed to land, and inclu de any fixtures, fittings and equipment associated therewith.



# 1. INTRODUCTION

#### 1.1 Scope of study

The project involves the proposed construction of an agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, in the Z.F. Mgcawu District Municipality and within the Dawid Kruiper Local Municipality in the Northern Cape Province. UBIQUE Heritage Consultants were appointed by ECO Balance Planning Co as independent heritage specialists in accordance with the National Environmental Management Act 107 of 1998 (NEMA) and in compliance with Section 38 of the National Heritage Resources Act 25 of 1999 (NHRA) to conduct a cultural heritage assessment (AIA/HIA) of the development area.

The assessment aims to identify and report any heritage resources that may fall within the development footprint; to determine the impact of the proposed development on any sites, features, or objects of cultural heritage significance; to assess the significance of any identified resources; and to assist the developer in managing the documented heritage resources in an accountable manner, within the framework provided by the National Heritage Resources Act (Act 25 of 1999) (NHRA).

South Africa's heritage resources are rich and widely diverse, encompassing sites from all periods of human history. Resources may be tangible, such as buildings and archaeological artefacts, or intangible, such as landscapes and living heritage. Their significance is based on their aesthetic, architectural, historical, scientific, social, spiritual, linguistic, economic or technological values; their representation of a time or group; their rarity; and their sphere of influence.

Natural (e.g. erosion) and human (e.g. development) activities can jeopardise the integrity and significance of heritage resources. In the case of human activities, a range of legislation exists to ensure the timeous and accurate identification and effective management of heritage resources for present and future generations.

The result of this investigation is presented within this heritage impact assessment report. It comprises the recording of heritage resources present/ absent and offers recommendations for managing these resources within the context of the proposed development.

Depending on SAHRA's acceptance of this report, the developer will receive permission to proceed with the proposed development, considering any proposed mitigation measures.



#### 1.2 Assumptions and limitations

It is assumed that the description of the proposed project, as provided by the client, is accurate. Furthermore, it is assumed that the public consultation process undertaken as part of the Environmental Impact Assessment (EIA) is comprehensive and does not have to be repeated as part of the heritage impact assessment.

The significance of the sites, structures and artefacts is determined by means of their historical, social, aesthetic, technological and scientific value in relation to their uniqueness, condition of preservation and research potential. The various aspects are not mutually exclusive, and the evaluation of any site is done with reference to any number of these aspects. Cultural significance is site-specific and relates to the content and context of the site.

The comprehensive field survey and intensive desktop study have taken all possible care to identify sites of cultural importance within the development areas. However, it is essential to note that some heritage sites may have been missed due to their subterranean nature or dense vegetation cover. No subsurface investigation (i.e. excavations or sampling) was undertaken since a SAHRA permit is required for such activities. Therefore, should any heritage features and/or objects such as architectural features, stone tool scatters, artefacts, human remains, or fossils be uncovered or observed during construction, operations must be stopped, and a qualified archaeologist must be contacted for an assessment of the find. Observed or located heritage features and/or objects may not be disturbed or removed in any way until the heritage specialist has been able to assess the significance of the site (or material) in question.



# 2. TERMS OF REFERENCE

## 2.1 Statutory Requirements

## 2.1.1 General

The principle is that the environment should be protected for present and future generations by preventing pollution, promoting conservation and practising ecologically sustainable development. With regard to spatial planning and related legislation at national and provincial levels, the following legislation may be relevant:

- Physical Planning Act 125 of 1991
- Municipal Structures Act 117 of 1998
- Municipal Systems Act 32 of 2000
- Development Facilitation Act 67 of 1995 (DFA)

The identification, evaluation and management of heritage resources in South Africa are required and governed by the following legislation:

- National Environmental Management Act 107 of 1998 (NEMA)
- KwaZulu-Natal Heritage Act 4 of 2008 (KZNHA)
- National Heritage Resources Act 25 of 1999 (NHRA)
- Minerals and Petroleum Resources Development Act 28 of 2002 (MPRDA)

## 2.1.2 National Heritage Resources Act 25 of 1999

The NHRA established the South African Heritage Resources Agency (SAHRA) together with its Council to fulfil the following functions:

- coordinate and promote the management of heritage resources at the national level;
- set norms and maintain essential national standards for the management of heritage resources in the Republic and to protect heritage resources of national significance;
- control the export of nationally significant heritage objects and the import into the Republic of cultural property illegally exported from foreign countries;
- enable the provinces to establish heritage authorities which must adopt powers to protect and manage certain categories of heritage resources; and
- provide for local authorities' protection and management of conservation-worthy places and areas.

## 2.1.3 Heritage Impact Assessments/Archaeological Impact Assessments

Section 38(1) of the NHRA of 1999 requires the responsible heritage resources authority to notify the person who intends to undertake a development that fulfils the following criteria to submit an impact assessment report if there is reason to believe that heritage resources will be affected by such event:



- the construction of a road, wall, power line, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;
- the construction of a bridge or similar structure exceeding 50m in length;
- any development or other activity that will change the character of a site—
  - $\circ$  exceeding 5000m<sup>2</sup> in extent; or
  - $\circ$   $\;$  involving three or more existing erven or subdivisions thereof; or
  - involving three or more erven or divisions thereof which have been consolidated within the past five years; or
  - the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority;
- the rezoning of a site exceeding 10 000m<sup>2</sup> in extent; or
- any other category of development provided for in regulations by SAHRA or a provincial heritage resources authority.

## 2.1.5 Management of Graves and Burial Grounds

- Graves younger than 60 years are protected in terms of Section 2(1) of the Removal of Graves and Dead Bodies Ordinance 7 of 1925 as well as the Human Tissues Act 65 of 1983.
- Graves older than 60 years, situated outside a formal cemetery administered by a local Authority are protected in terms of Section 36 of the NHRA as well as the Human Tissues Act of 1983. Accordingly, such graves are the jurisdiction of SAHRA. The procedure for Consultation Regarding Burial Grounds and Graves (Section 36(5) of NHRA) is applicable to graves older than 60 years that are situated outside a formal cemetery administrated by a local authority. Graves in the category located inside a formal cemetery administrated by a local authority will also require the same authorisation as set out for graves younger than 60 years over and above SAHRA authorisation.

# The protocol for the management of graves older than 60 years situated outside a formal cemetery administered by a local authority is detailed in Section 36 of the NHRA:

(3) (a) No person may, without a permit issued by SAHRA or a provincial heritage resources authority—

(a) destroy, damage, alter, exhume or remove from its original position or otherwise disturb the grave of a victim of conflict, or any burial ground or part thereof which contains such graves;

*(b)* destroy, damage, alter, exhume, remove from its original position or otherwise disturb any grave or burial ground older than 60 years which is situated outside a formal cemetery administered by a local authority; or

(c) bring onto or use at a burial ground or grave referred to in paragraph (a) or (b) any excavation equipment, or any equipment which assists in the detection or recovery of metals.

(4) SAHRA or a provincial heritage resources authority may not issue a permit for the destruction or damage of any burial ground or grave referred to in subsection (3)(a) unless it is satisfied that the applicant has made satisfactory arrangements for the exhumation



and re-interment of the contents of such graves, at the cost of the applicant and in accordance with any regulations made by the responsible heritage resources authority.

(5) SAHRA or a provincial heritage resources authority may not issue a permit for any activity under subsection (3)(b) unless it is satisfied that the applicant has, in accordance with regulations made by the responsible heritage resources authority—

(a) made a concerted effort to contact and consult communities and individuals who by tradition have an interest in such grave or burial ground; and

(*b*) reached agreements with such communities and individuals regarding the future of such grave or burial ground.

(6) Subject to the provision of any other law, any person who in the course of development or any other activity discovers the location of a grave, the existence of which was previously unknown, must immediately cease such activity and report the discovery to the responsible heritage resources authority which must, in cooperation with the South African Police Service and in accordance with regulations of the responsible heritage resources authority—

(a) carry out an investigation for the purpose of obtaining information on whether or not such grave is protected in terms of this Act or is of significance to any community; and

(*b*) if such grave is protected or is of significance, assist any person who or community which is a direct descendant to make arrangements for the exhumation and re-interment of the contents of such grave or, in the absence of such person or community, make any such arrangements as it deems fit.



# 3. STUDY APPROACH AND METHODOLOGY

## 3.1 Desktop study

The first step in the methodology was to conduct a desktop study of the heritage background of the area and the proposed development site. This entailed scoping and scanning historical texts/records and previous heritage studies and research around the study area.

The study area is contextualised by incorporating data from previous CRM reports in the area and an archival search. The objective is to extract data and information on the area in question, looking at archaeological sites, historical sites and graves.

No archaeological site data was available for the project area. A concise account of the archaeology and history of the broader study area was compiled (sources listed in the bibliography).

## 3.1.1 Literature review

A literature survey was undertaken to obtain background information regarding the area. Through researching the SAHRA APM Report Mapping Project records and the SAHRIS online database (http://www.sahra.org.za/sahris), it was determined that several other archaeological or historical studies had been performed within the broader vicinity of the study area. Sources consulted in this regard are indicated in the bibliography.

## 3.2 Field study

Phase 1 (AIA/HIA) requires the completion of a field study to establish and ensure the following:

## 3.2.1 Systematic survey

A systematic survey of the proposed project area was completed to locate, identify, record, photograph, and describe archaeological, historical or cultural interest sites.

UBIQUE Heritage Consultants inspected the proposed development and surrounding areas from the 4<sup>th</sup> to the 8<sup>th</sup> of October 2022 and completed a controlled-exclusive, pre-planned pedestrian and vehicular survey. We inspected the ground's surface, wherever the surface was visible. This was done with no substantial attempt to clear brush, sand, deadfall, leaves or other material that may cover the surface and with no effort to look beneath the surface beyond inspecting rodent burrows, cut banks and other exposures fortuitously observed.

The survey was tracked with a handheld Garmin global positioning unit (Garmin eTrex 10).



## 3.2.2 Recording significant areas

GPS points of identified significant areas were recorded with a handheld Garmin global positioning unit (Garmin eTrex 10). Photographs were taken with a Canon IXUS 185 20-megapixel camera. Detailed field notes were taken to describe observations. The layout of the area and plotted GPS points, tracks and coordinates were transferred to Google Earth, and QGIS and maps were created.

## 3.2.3 Definitions of heritage resources

The NHRA defines a heritage resource as any place or object of cultural significance, i.e., aesthetic, architectural, historical, scientific, social, spiritual, linguistic, or technological value or significance. These include, but are not limited to, the following wide range of places and objects:

- living heritage as defined in the National Heritage Council Act No 11 of 1999 (cultural tradition; oral history; performance; ritual; popular memory; skills and techniques; indigenous knowledge systems; and the holistic approach to nature, society and social relationships);
- Ecofacts (non-artefactual organic or environmental remains that may reveal aspects of past human activity; definition used in KwaZulu-Natal Heritage Act 2008);
- places, buildings, structures and equipment;
- places to which oral traditions are attached or which are associated with living heritage;
- historical settlements and townscapes;
- landscapes and natural features;
- geological sites of scientific or cultural importance;
- archaeological and palaeontological sites;
- graves and burial grounds;
- public monuments and memorials;
- sites of significance relating to the history of slavery in South Africa;
- movable objects, but excluding any object made by a living person; and
- battlefields.

#### 3.3 Determining significance

#### Heritage resources are considered of value if the following criteria apply:

a.	It is important in the community or pattern of South Africa's history;
b.	It has uncommon, rare or endangered aspects of South Africa's natural or cultural heritage;
С.	It has the potential to yield information that will contribute to an understanding of South Africa's natural or cultural heritage;
d.	It is vital in demonstrating the principal characteristics of a particular class of South Africa's natural or cultural places or objects;



PHASE 1	HIA AGRI-INDUSTRIAL FACILITY VAAL KOPPIES UPINGTON
e.	It exhibits particular aesthetic characteristics valued by a community or cultural group;
f.	It is essential in demonstrating a high degree of creative or technical achievement at a particular period;
g.	It has a strong or unique association with a particular community or cultural group for social, cultural or spiritual reasons;
h.	It has a strong or special association with the life or work of a person, group or organisation of importance in the history of South Africa;
i.	It is of significance relating to the history of slavery in South Africa.

Levels of significance of the various types of heritage resources observed and recorded are determined by the following criteria:

CULTURAL & HERITAGE SIGNIFICANCE	
LOW	A cultural object found out of context, not part of a site or without any related feature/structure in its surroundings.
MEDIUM	Any site, structure or feature is regarded as less important due to several factors, such as date, frequency and uniqueness. Likewise, any important object found out of context.
HIGH	Any site, structure or feature is regarded as important because of its age or uniqueness. Graves are always categorised as of a high importance. Likewise, any important object found within a specific context.

Field Ratings or Gradings are assigned to indicate the level of protection required and who is responsible for national, provincial, or local protection.

FIELD RATINGS & GRADINGS	
National Grade I	Heritage resources with exceptional qualities to the extent that they are of national significance and should therefore be managed as part of the national estate.
Provincial Grade II	Heritage resources with qualities provincial or regional importance, although it may form part of the national estate, it should be managed as part of the provincial estate.
Local Grade IIIA	Heritage resources are of local importance and worthy of conservation. Therefore, it should be included in the heritage register and not be mitigated (high significance).
Local Grade IIIB	Heritage resources are of local importance and worthy of conservation. Therefore, it should be included in the heritage register and mitigated (high/ medium significance).



FIELD RATINGS & GRADINGS		
General Protection Grade IVA	The site/resource should be mitigated before destruction (high/ medium significance).	
General protection Grade IVB	The site/resource should be recorded before destruction (medium significance).	
General protection Grade IVC	Phase 1 is considered as sufficient recording, and it may be demolished (low significance).	

## 3.3.1 Assessment of development impacts

A heritage resource impact may be defined broadly as the net change, either beneficial or adverse, between the integrity of a heritage site with and without the proposed development. Beneficial impacts occur wherever a proposed development actively protects, preserves, or enhances a heritage resource by minimising natural site erosion or facilitating non-destructive public use. More commonly, development impacts are of an adverse nature and can include:

- destruction or alteration of all or part of a heritage site;
- isolation of a site from its natural setting; and / or
- introduction of physical, chemical or visual elements out of character with the heritage resource and its setting.

Beneficial and adverse impacts can be direct or indirect and cumulative, as implied by the examples. Although indirect impacts may be more difficult to foresee, assess and quantify, they must form part of the assessment process. Therefore, the following assessment criteria have been used to assess the impacts of the proposed development on possible identified heritage resources:

CRITERIA	RATING SCALES	NOTES
	POSITIVE	An evaluation of the type of effect the construction, operation and management of the proposed development would have on the heritage resource.
Nature	NEGATIVE	
	NEUTRAL	
	LOW	Site-specific affects only the development footprint.
Extent	MEDIUM	Local (limited to the site and its immediate surroundings, including the surrounding towns and settlements within a 10 km radius);
	HIGH	Regional (beyond a 10 km radius) to national.



CRITERIA	RATING SCALES	NOTES
Duration	LOW	0-4 years (i.e. duration of construction phase).
	MEDIUM	5-10 years.
	HIGH	More than 10 years to permanent.
	LOW	Where the impact affects the heritage resource in such a way that its significance and value are minimally affected.
Intensity	MEDIUM	Where the heritage resource is altered, and its significance and value are measurably reduced.
	HIGH	Where the heritage resource is altered or destroyed to the extent that its significance and value cease to exist.
Detential for	LOW	No irreplaceable resources will be impacted.
Potential for impact on	MEDIUM	Resources that will be impacted can be replaced, with effort.
irreplaceable resources	HIGH	There is no potential for replacing a particular vulnerable resource that will be impacted.
		A combination of any of the following:
	LOW	<ul> <li>Intensity, duration, extent and impact on irreplaceable resources are all rated low.</li> <li>Intensity is low and up to two of the other criteria are rated medium.</li> <li>Intensity is medium, and all three other criteria are rated low.</li> </ul>
Consequence	MEDIUM	Intensity is medium, and at least two of the other criteria are rated medium.
	HIGH	Intensity and impact on irreplaceable resources are rated high, with any combination of extent and duration. Intensity is rated high, with all the other criteria being rated medium or higher.
Probability	LOW	It is highly unlikely or less than 50 % likely that an impact will occur.
(the likelihood of the impact	MEDIUM	It is between 50 and 70 % certain that the impact will occur.
occurring)	HIGH	It is more than 75 % certain that the impact will occur, or it is definite that the impact will occur.
		Low consequence and low probability.
Significance	LOW	Low consequence and medium probability.
(all impacts		Low consequence and high probability.
including potential	MEDIUM	Medium consequence and low probability.
cumulative		Medium consequence and medium probability.
impacts)		Medium consequence and high probability.
		High consequence and low probability.



CRITERIA	RATING SCALES	NOTES
	HIGH	High consequence and medium probability. High consequence and high probability.

#### 3.4 Report

The desktop research and field survey results are compiled in this report. The identified heritage resources and anticipated direct, indirect, and cumulative impacts of the proposed project's development on the identified heritage resources will be presented objectively. Alternatives are offered if any significant sites are impacted adversely by the proposed project. All efforts will be made to ensure that all studies, assessments, and results comply with the relevant legislation, code of ethics, and guidelines of the Association of South African Professional Archaeologists (ASAPA). The report aims to assist the developer in managing the documented heritage resources in a responsible manner and protecting, preserving, and developing them within the framework provided by the National Heritage Resources Act of 1999 (Act 25 of 1999).



# **4. PROJECT OVERVIEW**

UBIQUE Heritage Consultants were appointed by the ECO Balance Planning Co. as independent heritage specialists in accordance with Section 38 of the NHRA and the National Environmental Management Act 107 of 1998 (NEMA) to conduct a cultural heritage assessment to determine the impact of the proposed construction of an agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, in the Z.F. Mgcawu District Municipality and within the Dawid Kruiper Local Municipality in the Northern Cape Province.

Carpe Diem Pty Ltd proposes constructing an agri-industrial facility to process pecan nuts on Portion 64 of Vaal Koppies No 40, Kenhardt. The development footprint is estimated at approximately 10ha, including the facility, parking areas, loading zones, water evaporation pond, and a new access point and road. The N10 national road that connects Upington with Groblershoop forms the northern boundary, and the Kleinbegin Road forms the property's western boundary. The agri-facility is proposed along the western boundary in the northern part of the property.

The property has a size of 366.2080ha. Existing activities on the property consist of table grape cultivation and an existing Packhouse. The southern part of the property, the section along the western boundary, and the northern part of the property are covered with natural vegetation.

PROJECT DESCRIPTION					
Project name	roposed construction of an Agri-industrial facility on Portion 64 of Vaal oppies No 40, near Upington.				
Description	nase 1 HIA for the proposed construction of an agri-industrial facility on ortion 64 of Vaal Koppies No 40, Kenhardt, in the Z.F. Mgcawu District unicipality and within the Dawid Kruiper Local Municipality in the orthern Cape Province.				
DEVELOPER					
Carpe Diem Landgoed (Pty) Ltd.					
Development type	Infrastructure – transport (ports, rail and road); agricultural value chain and agro-processing (linked to food security and food pricing imperatives)				
LANDOWNER					
Carpe Diem Landgoed (Pty) Ltd.					
CONSULTANTS					
Environmental	The ECO Balancing Planning Co.				

## 4.1 Technical information



#### PHASE 1 HIA AGRI-INDUSTRIAL FACILITY VAAL KOPPIES UPINGTON

Heritage and archaeological	UBIQUE Heritage Consultants			
Palaeontological	Banzai Environmental			
PROPERTY DETAILS				
Province	Northern Cape			
District municipality	Z.F. Mgcawu			
Local municipality	Dawid Kruiper			
Topo-cadastral map	1:50 000 2821AD			
Farm name	Carpe Diem Landgoed (Pty) Ltd			
Closest town	Upington			
GPS Co-ordinates         28° 27' 10" S           21° 19' 18" E				
PROPERTY SIZE	366ha			
DEVELOPMENT FOOTPRINT Approximately 10ha SIZE				
LAND USE				
Previous	Agriculture			
Current	Agriculture			
Rezoning required	No			
Sub-division of land	No			
DEVELOPMENT CRITERIA IN T	ERMS OF SECTION 38(1) NHRA	YES/NO		
Construction of a road, wall, power line, pipeline, canal or other linear forms of development or barrier exceeding 300m in length.				
Construction of bridge or similar structure exceeding 50m in length.				
Construction exceeding 5000m <sup>2</sup> .				
Development involving three or more existing erven or subdivisions.				
Development involving three or more erven or divisions that have been No consolidated within the past five years.				
Rezoning of site exceeding 10 000m <sup>2</sup> .				
Any other development category, public open space, squares, parks, recreation grounds.				



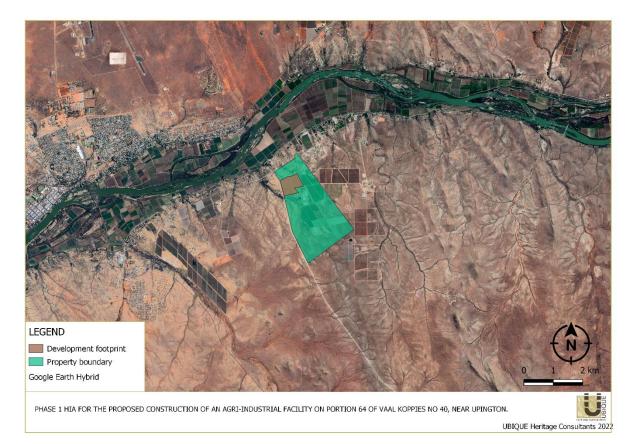


Figure 4 Regional locality of the development footprint, indicated on Google Earth Satellite imagery.

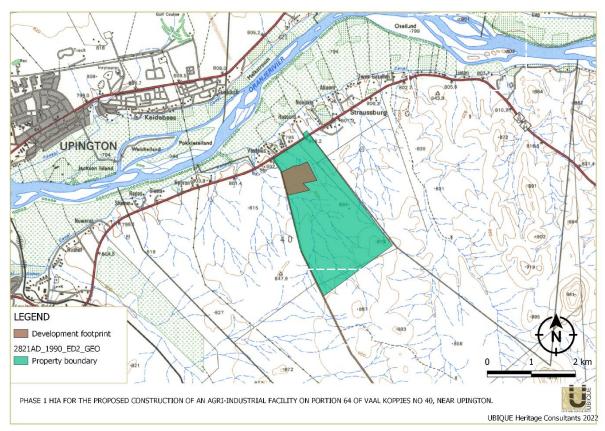
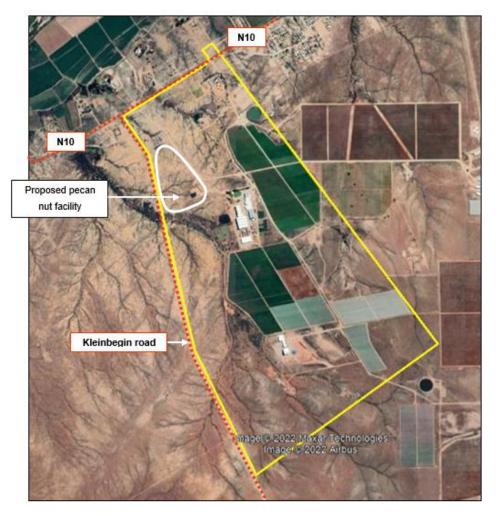


Figure 5 Locality of the development footprint, indicated on 1: 50 000 2821AD map.





**Figure 6** Property boundary of Vaal Koppies 64/50 in yellow. Existing cultivation and development are visible in the middle and eastern sections. The current location of the proposed agri-facility is indicated as a white-coloured polygon. Image provided by the client



# 5. HISTORICAL AND ARCHAEOLOGICAL BACKGROUND

## 5.1 Region: Northern Cape

South Africa has a long and varied history of human occupation (Deacon & Deacon 1999). This occupation dates to approximately 2mya (million years ago) (Mitchell 2002). Briefly, the archaeology of South Africa can be divided into three "major" periods: the Stone Age, the Iron Age and the Historical period. In addition, various archaeological and historical sites have been identified and documented throughout South Africa, including the Northern Cape province.

## 5.1.1 Stone Age

The history of the Northern Cape is reflected in a rich archaeological landscape with a wealth of pre-colonial archaeological sites. Numerous sites have been identified and documented across the region. These sites have been dated to the Earlier, Middle and Later Stone Ages. In southern Africa, the Stone Age can be divided into three periods. It is, however, critical to note that dates are relative and only provide a broad framework for interpretation. The division of the Stone Age, according to Lombard et al. (2012), is as follows:

- Earlier Stone Age (ESA): >2 000 000 >200 000 years ago
- Middle Stone Age (MSA): <300 000 >20 000 years ago
- Later Stone Age (LSA): <40 000 until the historical period

In short, the Stone Age refers to humans that mainly utilised stone as their technological marker. Each sub-division is formed by industries where the assemblages share attributes or common traditions (Lombard et al. 2012). The ESA is characterised by flakes produced from pebbles, cobbles and percussive tools, as well as objects created later during this period, such as large hand axes, cleavers and other bifacial tools (Klein 2000). The MSA is associated with small flakes, blades and points. The aforementioned is generally suggested to have been made and utilised for hunting activities and had numerous functions (Wurz 2013).

Furthermore, the LSA is characterised by microlithic stone tools, scrapers and flakes (Binneman 1995; Lombard et al. 2012). The LSA is also associated with rock art. Numerous LSA rock art sites, mainly rock engravings and paintings, have been identified in the Northern Cape (Beaumont 2008c; Kruger 2018; Morris 1988). These sites are commonly found on slopes, hilltops, rocky outcrops and occasionally in river beds (Kruger 2018). Banded ironstone occurs on several sites throughout the Northern Cape. It would appear to have been a favoured raw material for making stone tools due to its superior flaking qualities (Kaplan 2012b). Beaumont et al. (1995) state, regarding the LSA, that "virtually all the 'Bushmanland' sites so far located appear to be ephemeral occupation by small groups in the hinterland on both sides of the [Orange] river". This contrasts sharply with the substantial herder encampments along the Orange River floodplain (Morris 2013a, b, c, d, e, & f). It has been noted by Beaumont et al. (1995:240-241) that a widespread



low density of stone artefacts scatters from the Pleistocene age appears across areas of 'Bushmanland' to the south. Here, raw materials, mainly quartzite cobbles, were derived from the Dwyka glacial (Morris 2013a, b, c, d, e, & f). Morris (2013b & c) states that substantial MSA sites are relatively uncommon in Bushmanland. However, several sites have been recorded but yielded small samples.

Although the Northern Cape region seems sparsely populated by humans in the past (Kruger 2015a and b), the archaeological sites in this landscape are not scattered randomly (Kruger 2018). Previously conducted surveys have revealed signs of human occupation "mainly in the shelter of granite inselbergs (koppies) on red dunes which provided clean sand for sleeping, or around the seasonal pans" (Beaumont et al. 1995:264). Archaeological sites and MSA and LSA scatters and quarries frequently occur in low-lying areas on plains between dune straights and outcrops along the Orange River; in other words, near water. They can likewise be found close to local sources of highly-prized raw materials such as banded iron formations (BIF), jaspilite, and specularite (Morris 2012; Kruger 2015; 2018).

Beaumont et al. (1995) state that thousands of square kilometres of Bushmanland are covered by low-density lithic scatters. Most studies and surveys conducted throughout the Northern Cape have recorded Stone Age sites, and surface scatters of Stone Age artefacts (ranging from the ESA, MSA and LSA) throughout the Northern Cape. These include the districts of Groblershoop, Griekwastad, Hotazel, Kenhardt, Pofadder, Marydale, and Upington (Dreyer 2006, 2008a, 2012; Engelbrecht & Fivaz 2019; Kaplan 2008, 2012, 2013 a & b; Kruger 2015; Morris 2012, 2013; Rossouw 2013; Van Ryneveld 2007; Van Vollenhoven 2014 and Webley 2013). Large rubbing stones, Acheulean hand axes (with secondary retouch) and scatters of core flakes have been found during previous investigations throughout the broader region (Dreyer 2008b, 2013 Revised, 2014). Van Ryneveld (2007) had documented low densities of MSA artefact scatters at several Quartz outcrops on the farm Boksputs 118. An ancient specularite working site was recorded on the eastern side of Postmasburg, Doornfontein (Van Vollenhoven 2014). Associated Ceramic Later Stone Age material and older transitional ESA/MSA Fauresmith sites were documented at Lyly Feld, King, Mashwening, Demaneng, Rus & Vrede, Gloucester, Paling and Mount Huxley (Engelbrecht & Fivaz 2019). Moreover, MSA and LSA tools, along with rock engraving, were found at Putsonderwater, Beeshoek and Bruce (Engelbrecht & Fivaz 2019). Numerous Stone Age sites have been identified, documented and excavated in the surrounding areas near Kathu, the Doornlaagte ESA site, and the Wonderwerk Caves (Van Vollenhoven 2014; Dreyer 2015). The Stone Age sites and artefacts found and documented near the Kathu pans represent one of the most extended preserved Stone Age sequences in South Africa. They yield artefacts and sites from the ESA, MSA and LSA with evidence of 500 000-year-old hafted stone points (Engelbrecht & Fivaz 2019).

## 5.1.2 Iron Age

The Iron Age (IA) is characterised by the use of metal (Coertze & Coertze 1996: 346). There is some controversy about the periods within the IA. Van der Ryst & Meyer (1999) have suggested that there are two phases within the IA, namely:



- Early Iron Age (EIA) 200 1000 A.D
- Late Iron Age (LIA) 1000 1850 A.D

However, Huffman (2007) suggests instead that there are three periods within the Iron Age, these periods are:

- Early Iron Age (EIA) 250 900 A.D
- Middle Iron Age (MIA) 900 1300 A.D
- Late Iron Age (LIA) 1300 1840 A.D

Thomas Huffman believes that the Middle Iron Age should be included within this period; his dates have been widely accepted in the IA field of archaeology.

The South African Iron Age is generally characterised by farming communities with domesticated animals, cultivated plants, manufactured and made use of ceramics and beads, and smelted iron for weapons and manufactured tools (Hall 1987). Iron Age people were often mixed farmers/agropastoralists. These agropastoralists generally chose to live in areas with sufficient water for domestic use and arable soil that could be cultivated with an iron hoe. Most Iron Age (IA) settlements built by agropastoralists were permanent settlements (with a few exceptions, of course). They comprised houses, raised grain bins, storage pits and animal kraals/byres, contrasting with pastoralists' and hunter-gatherers' temporary camps (Huffman 2007). It is evident in the archaeological record that IA groups had migrated with their material culture (Huffman 2002).

Most IA groups in southern Africa preferred to occupy southern African central and eastern parts from about 200 AD. The San and Khoi remained in the western and southern parts (Huffman 2007; Van Vollenhoven 2014); it is, thus, very rare, but not uncommon, to find IA sites in the Northern Cape.

The expansion of early farmers/agropastoralists occurred in this region between 400 AD and 1100 AD. These early farmers settled in semi-permanent settlements (De Jong 2010). De Jong (2010) states that the EIA continued in the Lowveld until the 15th century. However, it ended by 1100 AD on the escarpment. The Highveld became active again from the 15th century onwards because of the gradually warmer and wetter climate. This later phase (the LIA) was accompanied by extensive stone-walled settlements, such as the Thlaping capital Dithakong, approximately 40 km north of Kuruman (De Jong 2010). The Sotho-Tswana and Nguni-speaking societies are the descendants of the LIA mixed farming communities. They found that the region was already sparsely inhabited by LSA Khoisan groups (the "first people"). De Jong (2010) comments that many of them were eventually assimilated by LIA communities. Only a few had managed to survive. Some of the surviving groups included the Korana and the Griqua. However, it should be mentioned that this contact period has often been referred to as the Ceramic LSA. It is often represented by sites such as the earlier mentioned Blinkklipkop specularite mine near Postmasburg and found cultural material at the Kathu Pan (De Jong 2010).



IA sites have been recorded in the northeastern part of the province. However, according to Kruger (2018), environmental factors delegated that the spread of IA farming westwards from the 17th century was constrained mainly to the areas east of the Langeberg Mountains. Nevertheless, there has been evidence of an IA presence as far as the Upington area in the 18th century (Kruger 2018). LIA people had briefly utilised the area close to the Orange River, as they had mined copper in the Northern Cape (Van Vollenhoven 2014).

## 5.1.3 Historical period

The Historical/Colonial period generally refers to the last 500 years when European settlers and colonialism entered southern Africa (Binneman et al. 2011). During the colonial frontier period, place names started becoming fixed on maps and farm names, specifically in a cadastral sense. Numerous names have Khoekhoegowab origin and, as Morris (2017a) states, encapsulate vestiges of pre-colonial/indigenous social geography. Interestingly, Morris (2017a) also states that genocide against the indigenous people is documented in the wider area. Certain mountainous areas (e.g. Gamsberg near Aggeneys and Namies) are likely to be massacre sites (Morris 2017a).

The development of a rich colonial frontier can be seen in the archaeological record (Kruger 2018). However, it was not until relatively recently (because of its distance from the Cape Colony) that this arid part of South Africa's interior was colonised. The Historical period of the Northern Cape coincides with the incursion of white traders, hunters, explorers, and missionaries into the interior of South Africa (Engelbrecht & Fivaz 2019). The historical period started with the first recorded oral histories (Van Vollenhoven 2014). The documented records of this region dating from the 18thand 1- centuries mainly pertain to areas south of and along the Orange River (Morris 2018a, b & c). Hendrick Wikar and Robert Gordon, who, according to Morris (2018a, b & c) and Morris & Beaumont (1991), were two of the earliest travellers, had followed the river as far as and even beyond the region during the 1770s. Wikar and Gordon provided descriptions of the terrain and the communities living along the river (Morris 2018a, b & c; Morris & Beaumont 1991). Some other early travellers, traders, and missionaries, who arrived in the region during the 19th century, include PJ Truter, William Somerville, Cowan, Donovan, Burchell and Campbell (De Jong 2010). The London Mission Society (LMS) station near Kuruman was established in 1817 by James Read (De Jong 2010; Van Vollenhoven 2014). Various buildings and structures that have been documented and recorded can be associated with early travellers, traders, and missionaries. There is also evidence of the settlements of the first white farmers and towns in the Northern Cape. These historical buildings and structures have been captured on the SAHRIS database in areas such as Kakamas, Kenhardt, Keimoes and Upington.

The surveying, division and transference of Government-owned land to farmers mark the initial distribution of land to colonial farmers from the 1880s onward (De Jong 2010). It is believed that most farms were still government farms and were leased to farmers in 1875. The farms were only later sold to individuals (Van Vollenhoven 2014). During the late 1920s, more permanent and large-scale settlements and possibly some of the first farmsteads started to appear in the region.



The region has been the backdrop to various incidents of conflict. Numerous factors such as population growth, increasing pressure on natural resources, the emergence of power blocs, attempts to control trade and the emergence of the Griquas, and penetration of the Korana and early white communities from the southwest resulted in a period of instability in South Africa. Furthermore, with the introduction of loan farms, in the second half of the 18th century, an influx of newcomers such as trekboers, European game hunters and livestock thieves contributed to the volatility and sociocultural stress and transformation in the region (Mlilo 2019).

The period known as the Difaqane/Mfecane began in the late 18th century and effectively ended with the settlement of white farmers in the interior (De Jong 2010; Mlilo 2019). The Difaqane/Mfecane period also affected the Northern Cape Province around the 1820s, relatively later than the rest of southern Africa (De Jong 2010). This period was prompted by the incursion of displaced refugees associated with the Fokeng, Tlokwa, Hlakwana and Phuting groups (De Jong 2010).

Moreover, during the 1830s, the Voortrekkers started migrating northwards from the Cape Colony. This migration was due to their dissatisfaction with British rule (Eldredge 1987). The Voortrekkers' migration is known as the "Groot Trek" (Great Trek). The Voortrekkers had conflict with Tswana and missionary groups who had settled near Bechuanaland and Griqualand West (Van Vollenhoven 2014). A series of wars and battles between the Voortrekkers, Zulu and Sotho-Tswana communities eventually arose due to the migrations (De Bruyn 2019).

Between 1879-1880 the region was also caught up in the Koranna War. Further military activity in the area included the rise of the 'rebels' during the Anglo-Boer War and again in 1915 with the incursion of German troops (Morris 2018a, b & c). Numerous graves can be linked to the battles fought during the 1914 Rebelion (Engelbrecht & Fivaz 2019). It is believed that any military settlement related to the Koranna Wars would have been closer to the Orange River (Webley & Halkett 2014).

It is known that San hunter-gatherers utilised the landscape for thousands of years, and Khoi herders moved into South Africa with their cattle and sheep approximately 2000 years ago. With the arrival of the Dutch settlers in the Cape in the mid-17th century, clashes between the Europeans and Khoi tribes in the Cape Peninsula resulted in the Goringhaiqua and Goraxouqua migrating north towards the Gariep/Orange River in 1680. These tribes became known as the Korannas, living as small tribal entities in separate areas (Penn 2005).

Bushmanland was one of the last regions of the Cape Province to be settled by early European farmers. This was because the region was very arid and situated quite far from Cape Town and the produce markets. Many of the farms in the Bushmanland area were only allocated after the introduction of the windpump to South Africa in the 1870s. In other words, the windpump made the arid lands accessible and suitable for grazing (Webley & Halkett 2012). Historical literature also confirms that San hunter-gatherers occupied Bushmanland during the early part of the 19th



century. During the 19th century, Basters of mixed descent lived around the salt pans in Bushmanland. They were, however, driven away from the land as the farms were surveyed and made available to European farmers (Webley & Halkett 2012). In the late 18th and early 19th centuries, with the introduction and implementation of the commando system, the Karoo 'Bushmen' were eventually destroyed or indentured into farm labour (ACRM 2015).

Several finds have been recorded at sites in the Northern Cape region. These include but are not limited to 20th-century glass bottles and a rusted enamel basin (Orton 2015a); some colonial-era stonewalling (Morris 2013b); glass and porcelain fragments (Beaumont 2007; Morris 2013a & b); colonial farmsteads (Morris 2013; Van Ryneveld 2017a and b); heavily soldered Anglo-Boer War (1899-1902) food containers (Dreyer 2006; Beaumont 2007) and fired rifle cartridge shells (Dreyer 2014; Beaumont 2007); and numerous man-moved and stacked boulders (possibly representative of Boer positions during the Siege of Kimberly (Beaumont 2007).

Apart from a few exceptions, archaeology along the Orange River has mainly focused on the Middle Orange River and the Richtersveld (Orton & Webley 2012). The Middle Orange River was densely inhabited pre- and proto-colonial times (Mlilo 2019). The area is made up of several islands. Herders often chose to live on these islands for their natural protection from stock thieves and wild animals. Small-stock farmers mainly occupied the vicinity along the Orange River. It was during the 1930s that the first great influx of people started. These people had developed an extensive network of irrigation channels that supplied water for the development of vineyards and other cash crops (e.g. grain crops), cultivated in a narrow band along the Orange River leading to the region known as the Green Kalahari. Van Schalkwyk (2019) comments that this has resulted in numerous smaller hamlets and villages. These hamlets/villages had churches, cemeteries and shops.

According to Ross (1975), the first descriptions of the population of the Middle Orange River can be credited to the Swedish traveller Hendrick Wikar. Wikar started his long journey from Cape Town and eventually reached the middle and lower reaches of the Orange River. Wikar is believed to have been a deserter from the service of the Dutch East India Company. Thus, Wikar remained within the area for several years and compiled a report of his experiences in exchange for a pardon (Ross 1975). He recorded his encounters with the Khoisan groups, who called themselves Einiqua or River People. The Einiqua were divided into three "kraals", namely the Namnykoa near the Augrabies Falls, the Aukokoa of Kanoneiland and the Kaukoa on islands west of Keimoes and other islands to the east (Engelbrecht & Fivaz 2020). Their kraals consisted of numerous sheep and cattle. The Einiqua had also hunted game, gathered plants, and cultivated dagga, but according to Wikar, no other crops (Ross 1975). The Anoe eis people, whom Wikar characterised as "Bushmen", were among the pastoralist groups living on the islands. As they had no domestic stock, these people subsisted on fishing, game-trapping, hunting, and gathering plant foods (Morris & Beaumont 1991). However, Colonel Robert Jacob Gordon, who visited the region in 1779, remarked that they were Einiqua who had lost their cattle because of an argument with the Namneigua village (Morris & Beaumont 1991). The region's San and Khoekhoe hunter-gatherers had reached stability by the early 18th century (Mlilo 2019). However, the area west of the Langeberg and east of Upington was occupied by IA groups such as the BaTlaping. Their influence had reached as far down the river as Upington (Morris 1992).



De Jong (2010) classifies the cultural landscape along the Gariep/Orange River as predominantly historic farmland. From the 1880s onwards, irrigation of the Orange River played a central role in the economy of the area in the vicinity of Upington (Legassick 1996). Hunter-gatherers shared the river's resources (Morris 1992). The beginning of irrigation in this area has been attributed to the Basters. By the 18th century, the Basters had focused on the Orange River (and Namaqualand) as a sanctuary from colonial rule (Mlilo 2019; Van der Walt 2015). They were regarded as "primitive pastoral people" who had "crude" ways to divert the river to their "little gardens" (Van der Walt 2015). The term "Basters" characterises a group of people of mixed percentage (white and Khoekhoe or slave and Khoekhoe). According to Van der Walt (2015), the term also implies an economic category encompassing property and being culturally European.

The construction and development of canal systems were vital for the irrigation of extensive vineyards and orchards and the expansion of major agricultural enterprises in the region (Engelbrecht & Fivaz 2018). The credit for formalising and extending the irrigation system belongs to Reverend C.H.W. Schröder, a Dutch Reformed Church (DRC) missionary and Special Magistrate for the Northern Border John H. Scott. By the time Schröder came to Upington in July 1883, there were people already living in the area of Keimoes who had planted fields and utilised irrigation. The irrigation scheme of the Basters can be attributed to Abraham September's innovation. Abraham September was born in slavery and became part of the Baster people of South Africa. Interestingly, Schröder and Scott had begun the canal from where Abraham September had selected. Legassick (1996) commented that "the small, white-painted, stone house where Abraham September lived when he undertook this work survives to this day, though the house and the land upon which it stands have long passed from the hands of the September family".

In 1882, the first 81 farms to be given out to the north of the Orange River from Kheis (opposite the present Groblershoop) to the Augrabies Falls were allocated almost exclusively to Basters (Morris 1992). The further division of these farms commenced when the irrigation canal was completed. These farms were divided into "water-erven" for irrigation and "dry-erven" for establishing buildings (Van der Walt 2015). More white settlers moved to the Gordonia region in the late 19th century. By the turn of the century, approximately 13 Afrikaner families had settled at Keimoes (De Beer 1992; Van der Walt 2015). Many farmers moved to new areas due to the aftermath of the scorched earth policy of the Anglo-Boer War. These farmers searched for greener pastures. Settlements next to the Gariep/Orange River provided adequate irrigation for crops (Engelbrecht & Fivaz 2020).

Portuguese sailors referred to the Gariep/Orange River as the St Anthonio, and on the maps from 1685, Simon van der Stel marked it as the Vigiti Magna. In 1760, Jacobus Coetzee, the elephant hunter, named the river: "de Groote Rivier" (the Great River). In 1761, land surveyor Carel Brink noted that the river is known to the local island inhabitants as the Tyen Gariep (Our River). The London Missionary Society's (LMS) John Campbell spoke of the Gariep, Gareeb, and Garib as the name the Korannas used. The river's contemporary name (Orange River) can be accredited to Robert Gordon. Gordon took his rowboat out to the middle of the river on the evening of the 17th of August, 1779. He raised and toasted the Netherlands' flag and proclaimed the river in the name of Prince van Oranje. From this day forward, the river was known (and indicated on maps) as the



Orange River. However, the river is often referred to as the Gariep or Grootrivier (Engelbrecht & Fivaz 2020).

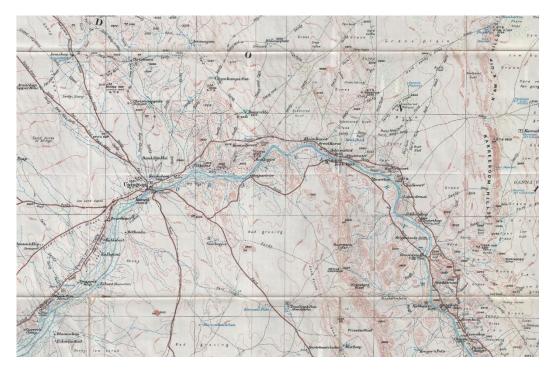


Figure 7 Imperial Map of Upington and surrounds. Image from UCT digital collections, https://digitalcollections.lib.uct.ac.za/

#### 5.2 Local: Vaal Koppies and surrounds

Unfortunately, little is known about the history of the farm Vaal Koppies. However, the history of Olyvenhouts Drift and Upington might provide some insight into the area's history.

In 1778, Swedish-born traveller and explorer Hendrik Wikar reached the middle and lower reaches of the Orange River after a long land journey that started in Cape Town. As a deserter from the Dutch East India Company service, Wikar spent several years within the area and compiled a report of his experiences in exchange for a pardon (Ross 1975). He documented his encounters with Khoisan communities called the *Einiqua*, or *River People*. The *Einiqua* were divided into three "kraals": the *Namnykoa* near the Augrabies Falls, the *Kaukoa* on islands west of Keimoes, and the *Aukokoa* of Kanoneiland and other islands to the east. Their kraals consisted of a considerable amount of sheep and cattle, and they collected plants, hunted game, and cultivated dagga but no other crops, according to Wikar (Ross 1975). Amongst the pastoralist communities living on the islands were the *Anoe eis* people, whom Wikar characterised as "Bushmen". They possessed no domesticated stock, subsisting by fishing, game-trapping, hunting and gathering plant foods (Morris & Beaumont 1991). Colonel Robert Jacob Gordon, who visited the area in 1779, however, remarked that they were actually *Einiqua* (i.e. Khoi) who had "lost their cattle as a result of an argument with the *Namneiqua* village (Morris & Beaumont 1991).



PHASE 1 HIA AGRI-INDUSTRIAL FACILITY VAAL KOPPIES UPINGTON

During the late 17th century, Korana groups moving from the southwestern Cape to escape pressures from the European settlers trekked along the Gariep and settled among the Nama herders and groups of San hunter-gatherers living on the river islands and shores. The Korana or Kora were nomadic Khoikhoi groups that had become well-armed, accomplished horseback riders. Some groups frequently raided the farms and communities south of the Gariep/Orange River. The Korana Wars of 1869 and 1878 resulted from increased land and resource competition between the Trekboers and Khoi and San groups. Along with mounted Boers and Basters, the Frontier Armed and Mounted Police and a small detachment of the Royal Artillery eventually managed to scatter and subjugate the Korana 'raider' groups. Klaas Lukas, a prominent Korana chief at Olyvenhouts Drift (Upington), played an essential role in defeating the Korana raiding groups with the support of most of the Korana, the Nama Afrikanders led by Jacobus Afrikander and several Griqua rebels under Gamka Pienaar. The Korana, who rejected a future under colonial rule, trekked further into the Kalahari. The Cape Government settled the Basters near Upington to form a buffer between the Boers and the Korana. Today, the Korana have almost completely disappeared as a separate group through assimilation with the population in the area (SAHO 2020).

Olyvenhouts Drift was the location of a mission station founded in 1871 by the German missionary Rev Schröder and named after the many wild olivewood trees growing in the area around the ford. The town was renamed Upington in 1884 after Sir Thomas Upington, the Attorney-General of the Cape Colony. Rev Schröder has been credited with the building of the irrigation canal from 1883 to 1885, but current views attribute the original idea to a local inhabitant by the name of Abraham September. By 1884, 77 farms were being irrigated by the canal (Orton 2015; Van Schalkwyk 2014b).



# 6. HERITAGE SENSITIVITY

The Heritage Screening tool (https://screening.environment.gov.za/) shows low to medium significance with locations of high sensitivity towards the west, northwest and southwest of the proposed project area.

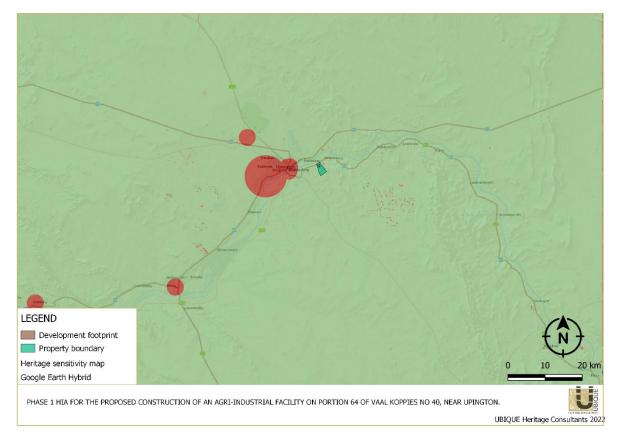


Figure 8 The Project area indicated on the Heritage Screening tool (https://screening.environment.gov.za/)

#### 6.1 Summary of Local Heritage Resources: Vaal Koppies 40 and surrounds

Due to the wide range of CRM reports, this desktop study does not include all the CRM reports done in the Upington area. However, most reports recorded artefacts and features relating to the Stone Age and the Historical Period. These reports were obtained from the SAHRA database.

The desktop study revealed that Impact Assessments had been done at Vaal Koppies 40 and various farms surrounding the proposed development area. Some of the assessments reported on cultural material and features relating to the Stone Age and the Historical/Colonial era (e.g. Dreyer 2006; Fivaz & Engelbrecht 2020a, b and c; Kaplan 2016a and b; Morris 2013d; Rossouw 2015; Van der Walt 2020; Van Schalkwyk 2010; and Webley & Halkett 2014).



#### 6.1.1 Stone Age

Numerous reports conducted around the current study area have reported on lithics, dating from the ESA, MSA and LSA.

		COORDINATES	
HIA/AIA	SITE	PROXIMITY TO STUDY AREA	HERITAGE RESOURCES
ivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 44.4" S 21° 17' 10.1" E	MSA blades, chunks, cores.
	(0031014)	3.5km W	
ivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 41.5" S 21° 17' 09.7" E	MSA Core, flakes, chunks, chips.
	()	3.5km W	
ïvaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 40.9" S 21° 17' 13.5" E	Cores and flakes.
	(0031014)	3.4km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 50.9" S 21° 17' 16.0" E	Cores and flakes.
	(0001011)	3.3km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 52.4" S 21° 17' 12.0" E	Cores, flakes, chunks.
	(000201.)	3.3km W	
ivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 53.0" S 21° 17' 14.9" E	Cores, flakes, chunks.
	(0031074)	3.3km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift	28° 27 <b>'</b> 54.0" S	Core, flakes, chunks.
	Settlement Erf 1074 (Ods1074)	21° 17 <b>'</b> 12.0" E	
	× /	3.4km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 55.2" S 21° 17' 08.9" E	Core and chunks.
	()	3.45km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 55.8" S 21° 17' 12.3" E	Core, chunks, blade, flakes, chips.
	(000201.)	3.4km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 56.6" S 21° 17' 16.5" E	Core, chunks, flakes.
	(0001011)	3.2km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 28' 00.1" S 21° 17' 11.1" E	Chips, chunks, blades, one scraper
	(0031014)	3.6km W	
Fivaz & Engelbrecht 2020c		28° 28' 02.6" S	Chunks and Flakes.



HIA/AIA	SITE	COORDINATES	
ΠΙΑΥ ΑΙΑ	SILE	PROXIMITY TO STUDY AREA	HERITAGE RESOURCES
	Olyvenhouts Drift	21º 17 <b>'</b> 17.4 <b>''</b> E	
	Settlement Erf 1074 (Ods1074)	3.4km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074	28° 27 <b>'</b> 53.8 <b>"</b> S	Scraper, small core, chunk, flake. Debris and scraper.
	(Ods1074)	21° 17 <b>'</b> 12.4" E	and Scraper.
		3.3km W	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074	28° 27' 44.9" S 21° 17' 05.8" E	Core, chunks, flakes.
	(Ods1074)		
	Oharan harata Duift	3.4km W	Oburdua bladas saras fistas saras
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074	28° 27' 48.1" S 21° 16' 53.4" E	Chunks, blades, cores, flakes, scrapers chips. Debris and tools.
	(Ods1074)	3.9km W	
	Erf 745	S28° 28.335'	Chunk.
Kaplan 2016b	Olyvenhoutsdrift	E21° 14.960'	
		7km W	
Kaplan 2016b	Erf 745	S28° 28.380'	Retouched cortex chunk.
	Olyvenhoutsdrift	E21° 14.995'	
		7km W	
Kaplan 2016b	Erf 745	S28° 28.343'	Chunk.
	Olyvenhoutsdrift	E21° 14.936'	
		7.11km W	
Kaplan 2016b	Erf 745 Olyvenhoutsdrift	S28° 28.328' E21° 14.964'	Snapped utilised flake.
		7km W	
Dreyer 2006	Site 1 Olyvenhouts Drift	Approx. 28°29'15"S 021°04'03"E	A variety of stone flakes and flaked stone cores.
		24.9km W	
Kaplan 2016a	Farm 238/38 and	In broader area:	Various Banded Ironstone, indurated shale
	Farm 338/38 Louisevale	S28° 33.048'	and quartz flakes and chunks.
		E21° 12.832	
		14.9km SW	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement RE/48/113	28° 36' 35.8" S	ESA/MSA Chunks, chips and flakes.
		21° 46' 57.6" E	
Fines & Encelhisset 0000	Deedeeberg Osttlere	49.2km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement RE/48/113	28° 36' 35.6" S 21° 46' 54.0" E	ESA/MSA Flakes, bladelet and chunks.
		21 70 07.0 L	



HIA/AIA	SITE	COORDINATES	HERITAGE RESOURCES
110 47 80 8	One	PROXIMITY TO STUDY AREA	
		48.6km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement RE/48/113	28° 36' 37.2" S 21° 46' 50.6" E	ESA/MSA Scraper, chunks and chips.
		48.35km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28º 36' 38.8" S	ESA/MSA Flakes.
	RE/48/113	21º 46' 51.6" E	
		48.45km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28° 36' 42.4" S	ESA/MSA Cores, chunks and flakes.
	RE/48/113	21° 46' 45.6" E	
		48.26km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28° 36 <b>'</b> 49.5 <b>"</b> S	ESA/MSA Cores.
	RE/48/113	21° 47' 00.9" E	
		48.58km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28° 36 <b>'</b> 55.0 <b>"</b> S	ESA/ MSA Chunks.
	RE/48/113	21° 46 <b>'</b> 48.4" E	
		48.7km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28° 36 <b>'</b> 54.5 <b>''</b> S	ESA/MSA Chunks.
	RE/48/113	21° 46 <b>'</b> 45.9 <b>''</b> E	
		48.7km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28° 36' 54.1" S	ESA/MSA Core, Scraper and flakes.
	RE/48/113	21° 46 <b>'</b> 44.7 <b>''</b> E	
		48.7km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement RE/48/113	28° 36' 54.8" S 21° 46' 43.8" E	ESA/MSA Core, flake and scraper.
Fivaz & Engelbrecht 2020a	Paagaabarg Cattlemant	48.7km SE	FCA/MCA Obumbo fisher and ser
FIVAZ & Engelbrecht 2020a	RE/48/113	28° 36' 54.9" S 21° 46' 43.1" E	ESA/MSA Chunks, flakes and scraper.
		48.4km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28° 36' 55.4" S	ESA/MSA Core and chunks.
	RE/48/113	21° 46' 44.7" E	LORY MOR OOIC and chanks.
		48.5km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28° 36' 50.3" S	ESA/MSA Chunks and scraper.
	RE/48/113	21° 46' 42.7" E	
		48.5km SE	
Fivaz & Engelbrecht 2020a	Boegoeberg Settlement	28° 36' 48.6" S	ESA/MSA Flakes.
	RE/48/113	21° 46' 41.6" E	
		47.9km SE	
Van der Walt 2020	Dyasons klip 5	28° 31' 11.1468" S	LSA and MSA low density scatter.
		21° 01' 51.1681" E	



		COORDINATES	
HIA/AIA	SITE	PROXIMITY TO STUDY AREA	HERITAGE RESOURCES
		29km WSW	
Van der Walt 2020	Dyasons klip 5	28° 31' 04.6631" S	LSA Blade on Jaspelite.
		21° 01' 55.8370" E 29km WSW	
Van der Walt 2020	Dyasons klip 5	28° 31' 01.6824" S	Broken LSA blade on Jaspelite.
van der wait 2020	Dyasons kilp 5	21° 01' 52.3235" E	broken LSA blade on Jaspeille.
		29km WSW	
Van der Walt 2020	Dyasons klip 5	28° 32' 12.1920" S	LSA and MSA. Several miscellaneous tools
		21° 02' 25.9368" E	mostly on quartzite, some with faceter striking platform indicative of MSA. Quart
		28.74km WSW	and Jaspelite flakes possibly LSA.
Van der Walt 2020	Dyasons klip 5	28° 32' 18.6181" S	
		21° 02' 33.5472" E	Discoid core on Jaspelite possibly LSA.
		28.6km WSW	
Van der Walt 2020	Dyasons klip 5	28° 32' 57.8185" S	LSA and MSA. Various flakes and broke
		21° 03' 48.0564" E	points scattered between quartz rock
		27.3km WSW	outcrop and LSA bladelet.
Van der Walt 2020	Dyasons klip 5	28° 32' 49.5853" S	Quartzite blades and flakes possibly MSA
	, p	21° 03' 41.5332" E	Unidirectional cores on Jaspelite an
		27.3km WSW	smaller flakes on Jaspelite possibly LSA.
Van der Walt 2020	Dyasons klip 5	28° 33' 15.8435" S	LSA and MSA flakes on Jaspelite an
	, p	21° 02' 12.3469" E	Quartzite Slightly elevated with Calcret
		29.75km WSW	outcrop.
Van der Walt 2020	Dyasons klip 5	28° 33' 16.2107" S	Stone Age Scrapers on banded ironstone
	· ·	21° 01' 53.4828" E	Slightly elevated rocky ridge.
		30.3km WSW	
Van der Walt 2020	Dyasons klip 5	28° 31' 20.9207" S	Levallois MSA point on quartz.
		21° 02' 00.2616" E	
		29km W	
Van der Walt 2020	Dyasons klip 5	28° 34' 34.3451" S	LSA and MSA Flakes and cores on bande
		21° 02' 50.2115" E	iron stone and quartz. Mainly LSA.
		30.2km WSW	
Van der Walt 2020	Dyasons klip 5	28° 34' 43.2265" S	Rock outcrop with hollow that could hol
		21° 02' 57.2281" E	seasonal rain. Several LSA flakes wit Discoid core on Jaspelite with cortex.
		29.83km WSW	Discold core on paspente with cortex.
Van der Walt 2020	Dyasons klip 5	28° 33' 16.8875" S	LSA Flakes on Jaspelite.
		21° 03' 03.7081" E	
		28.6km WSW	
Van der Walt 2020	Dyasons klip 5	28° 34' 46.6679" S	MSA Miscellaneous flakes on hornfell.
		21° 05' 29.8537" E	
		26.1km SW	



HIA/AIA	SITE	COORDINATES	HERITAGE RESOURCES
110 9 7 60 0	OTTE	PROXIMITY TO STUDY AREA	
Van der Walt 2020	Dyasons klip 5	28° 34' 58.9404" S 21° 05' 41.2260" E	LSA and MSA Flakes and cores mostly of Jaspelite.
		26.2km SW	
Van der Walt 2020	Dyasons klip 5	28° 31' 06.6827" S 21° 01' 53.1877" E	MSA Blade and miscellaneous Flakes.
		29.2km WSW	
Van der Walt 2020	Dyasons klip 5	28° 31' 27.2028" S 21° 01' 37.5565" E	Quartzite Hammer stone with pitting.
		29.5km WSW	
Van der Walt 2020	Dyasons klip 5	28° 31' 26.9976" S 21° 01' 40.1808" E	MSA Quartzite Scraper, Quartzite core a pointed flake.
		29.5km WSW	
Van der Walt 2020	Dyasons klip 5	28° 32' 04.9163" S 21° 02' 19.6441" E	Unidirectional MSA Quartzite cores.
		28.8km WSW	
/an der Walt 2020	Dyasons klip 5	28° 32' 24.1008" S 21° 02' 29.5297" E	MSA blades.
		28.8km WSW	
Van der Walt 2020	Dyasons klip 5	28° 33' 20.0592" S 21° 02' 03.4188" E	Low density MSA and LSA scatter on op area.
		30km SW	
van der Walt 2020	Dyasons klip 5	28° 33' 19.2348" S 21° 02' 00.6828" E	Low density MSA and LSA scatter on op area.
		30km SW	
Van der Walt 2020	Dyasons klip 5	28° 31' 14.7792" S 21° 01' 48.8567" E	MSA Quartzite flake, Undiagnostic Jaspe Flake, LSA Jaspelite Scraper.
		29.13 WSW	
Van der Walt 2020	Dyasons klip 5	28° 31' 39.9719" S 21° 02' 07.1125" E	MSA Broken blade and pointed flake.
		28.9km WSW	
/an der Walt 2020	Dyasons klip 5	28° 33' 18.4141" S 21° 02' 56.1877" E	Various MSA and LSA flakes and cores.
		28.8km WSW	
Morris 2013d	Dyasons Klip	-28.59667 21.09101	Widely scattered/isolated stone arteface Predominantly on jaspilite and most like MSA.
		27.3km SW	
Morris 2013d	Dyasons Klip	-28.58968 21.08932	High density of stone artefacts, mai jaspilite, MSA. Context (lag deposit drainage line) is poor.
		27km SW	
Morris 2013d	Dyasons Klip	-28.57582	Isolated stone artefact.



	0.77	COORDINATES	
HIA/AIA	SITE	PROXIMITY TO STUDY AREA	HERITAGE RESOURCES
		21.07411	
		27.3km SW	
Morris 2013d	Dyasons Klip	-28.56243	Grinding surfaces ranging from definite t less than certain. A small number of stor
		21.05805	tools were found in the vicinity, as well as
		28km SW	broken bottle glass.
Morris 2013d	Dyasons Klip	-28.56228	Lower grindstone. LSA flakes on surface the vicinity.
		21.05834	
		28km SW	
Fivaz & Engelbrecht 2020b	Boegoeberg Settlement RE/48/2627	28° 33' 01.2" S 21° 44' 33.2" E	ESA/MSA Chunks and flakes debris.
		42.8km SE	
Eivez & Engelbrecht 2020h	Paagaabarg Sattlamant	28° 34' 02.2" S	Flakes and chips debris.
Fivaz & Engelbrecht 2020b	Boegoeberg Settlement RE/48/2627	28 34 02.2 S 21º 44' 18.1" E	riakes and chips debris.
		43.1km SE	
/an Schalkwyk 2010	Vaal Koppies 40	\$ 28.45970	Quarry site where flakes were removed
		E 21.34001	the making of stone tools.
		1.85km SE	
/an Schalkwyk 2010	Vaal Koppies 40	S 28.45939	Quarry site where flakes were removed
		E 21.34000	the making of stone tools.
		1.85km SE	
	Dyasons Klip 454	-28.53913399	Light scatter of banded ironstone flakes
Webley & Halkett 2014		21.03615141	MSA with prepared butt, retouch, unifacial flake with notch at end.
		29.3km WSW	
	Dyasons Klip 454	-28.53422077	Banded ironstone and a quartz flake on
Webley & Halkett 2014		21.03242087	slight calcrete exposure.
		29.4km WSW	
	Dyasons Klip 454	-28.53512216	Quartz flake and a banded ironstone fla
Webley & Halkett 2014		21.03418853	
		29.3km WSW	
Noblay & Halkott 2014	Dyasons Klip 454	-28.53632572	Long flake blade on weathered hornfels with cortex on one side.
Webley & Halkett 2014		21.03668525	
		29.1km WSW	
Webley & Halkett 2014	Dyasons Klip 454	-28.53810403 21.03612986	Banded ironstone flakes. Some artefact are very small and very weathered.
		29.2km WSW	
	Dyasons Klip 454	-28.53599455	Scatter of quartz flakes and chunks on b
Webley & Halkett 2014	byasons nip 404	21.04044151	piece of ground.
		28.7km WSW	
	Dyasons Klip 454	-28.49237706	A quartz ridge. Quartz flakes and a co
Webley & Halkett 2014	2,000.0 mp 104	20.99997788	Some OES.



HIA/AIA	SITE	COORDINATES	HERITAGE RESOURCES
ΠΙΑ/ ΑΙΑ	SITE	PROXIMITY TO STUDY AREA	HERITAGE RESOURCES
		31.6km W	
	Dyasons Klip 454	-28.48874124	Number of black (basalt?) cores and flak
Vebley & Halkett 2014		20.99778760	There are some outcrops nearby.
		31.8km W	
	Dyasons Klip 454	-28.49627774	Quartz outcrop. A quartzite radial co Mainly banded ironstone flakes.
Vebley & Halkett 2014		21.00194369	Mainly banded nonstone nakes.
		31.47km W	
	Dyasons Klip 454	-28.50466191	Basalt handaxe on a cleared surfa Nearby are black bedrock outcrops and
Vebley & Halkett 2014		21.01024655	evidence of knapping.
		30.7km W	
	Dyasons Klip 454	-28.54151362	Flat area with 2 artefacts, a quartz fla and a banded ironstone flake.
Vebley & Halkett 2014		21.05379062	and a banded nonstone nake.
		27.7km WSW	
	Dyasons Klip 454	-28.58957109	Weathered banded ironstone artefacts the edge of the stone outcrop. Little
Vebley & Halkett 2014		21.09013472	bit of quartz. Lots of retouch.
		26.9km SW	
	Dyasons Klip 454	-28.54860747	Quartzite flake with retouch, quartzite irregular core; flaked banded ironsto
Vebley & Halkett 2014		21.06153416	cobble with cortex. A single MSA flake w prepared platform.
		27.3km WSW	
	Dyasons Klip 454	-28.54477300	Quartz cores, large quartz flake and wi blasted broken MSA flake on quartzite.
Webley & Halkett 2014		21.03655701	
	Duesens Klin AFA	29.3km WSW	
	Dyasons Klip 454	-28.54500099 21.03682296	Area of denser quartz MSA flakes or calcretes surface.
Webley & Halkett 2014		29.3km WSW	
	Dyasons Klip 454	-28.53918202	Wind blasted MSA on yellow band
	Dyasons Kiip 454	21.03585502	ironstone, some artefacts with retouch.
Nebley & Halkett 2014		29.3km WSW	
	Dyasons Klip 454	-28.53930498	crude biface?
Webley & Halkett 2014	Dyasons hip 404	21.03560197	
Mebley & Haikett 2014		29.3km WSW	
	Dyasons Klip 454	-28.51364597	MSA. Small open area with band
Webley & Halkett 2014		21.03096200	ironstone, hornfels and quartzite flakes.
toolog a namet 2017		28.9km WSW	
	Dyasons Klip 454	-28.54741196	Quartz outcrop with some quartz scatter
Vebley & Halkett 2014		21.04788397	well as quartzite and banded ironstone flakes.
		28.5km SW	ווטווסנטווב וומתכס.
	Dyasons Klip 454	-28.59070499	Possible grinding surface (x 3) on rou
Vebley & Halkett 2014		21.09008996	slab.
		27km SW	



PHASE 1 HIA AGRI-INDUSTRIAL FACILITY VAAL KOPPIES UPINGTON

#### STONE AGE RESOURCES RECORDED IN A 50 KM RADIUS

HIA/AIA	SITE	COORDINATES	HERITAGE RESOURCES
ΠΑΥΑΙΑ	SILE	PROXIMITY TO STUDY AREA	HERITAGE RESOURCES
	Dyasons Klip 454	-28.57721399	MSA. Small quartz outcrop and other more
Webley & Halkett 2014		21.07466801	volcanic rock too. Quartz debris, flakes and chunks.
		27.5km SW	
	Dyasons Klip 454	-28.55016701	Low density quartz and banded ironstone.
Webley & Halkett 2014		21.06125697	Scatter of MSA. Wind blasted. Some retouch.
		27.3km WSW	
	Dyasons Klip 454	-28.54916302	Extensive quartz gravel area. Occasional
Webley & Halkett 2014		21.06187304	banded ironstone flakes, chunks and cores. Some with cobble cortex.
		27.2km WSW	

#### 6.1.2 Rock Art

Several rock art sites have been documented on the SAHRA Database in the wider Northern Cape region. However, no sites have been recorded in and around Upington.

#### 6.1.3 Iron Age

No Iron Age Sites were reported in the consulted HIA/AIAs

#### 6.1.4 Historical/Colonial period

Very few impact assessments were reported on cultural material and sites associated with the Historical/Colonial Period.

HISTORICAL PERIC	DD RESOURCES REC	CORDED IN 50 KM RADIU	S
HIA/AIA	SITE	COORDINATES PROXIMITY TO STUDY AREA	HERITAGE RESOURCES
		PROXIMITE TO STODE AREA	
Fivaz & Engelbrecht	Olyvenhouts Drift Settlement Erf 1074	28° 27 <b>'</b> 41.5 <b>"</b> S 21° 17 <b>'</b> 13.6 <b>"</b> E	Ca 1890s hole-in-cap tins surface scatter.
2020c	(Ods1074)	3.2km W	



# HISTORICAL PERIOD RESOURCES RECORDED IN 50 KM RADIUS

HIA/AIA	SITE	COORDINATES	HERITAGE RESOURCES
		PROXIMITY TO STUDY AREA	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 52.2" S 21° 17' 06.9" E	Ca 1890s hole-in-cap tins surface scatter.
		3.5km WSW	
Fivaz & Engelbrecht 2020c	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 28' 00.1" S 21° 17' 11.1" E	Ca 1890s hole-in-cap tins surface scatter.
20200	· · · ·	3.45km WSW	
	Site 1 Olyvenhouts Drift	General area: 28°29'15"S 021°04'03"E	A heavily soldered food tin resembling British rations from the Anglo-Boer War (1899-1902) was found. Possibility of a
Dreyer 2006		24.8km W	British camp in the vicinity during the War, but nothing else to confirm this expectation was discovered.
Morris 2013d	Dyasons Klip	-28.59015 21.09025 26.9km SW	Twentieth-century cement feature most likely related to farming activity/water provision to animals.
Morris 2013d	Dyasons Klip	-28.55377 21.04126 29.3km WSW	Collapsed structure, adjacent kraal, nearby ash heap. This may have been a farm worker's dwelling
Morris 2013d	Dyasons Klip	-28.55748 21.04328 29.2km WSW	Collapsed structure. No definitive ash heap was found: small quantities of glass, porcelain and metal was found in a swathe around the dwelling. Most likely age is mid-
Fivaz & Engelbrecht 2020b	Boegoeberg Settlement RE/48/2627	-28° 33' 01.2" S 21° 44' 33.2" E	twentieth century. Surface scatter of Ammunition rests recent past 1960s, 1980s
Fivaz & Engelbrecht	Boegoeberg Settlement	43.2km SE 28° 33' 20.7" S	Surface scatter of Ammunition rests
2020b	RE/48/2627	21° 44' 06.7" E 42.1km SE	recent past 1960s, 1980s
Van Schalkwyk 2010	Vaal Koppies 40	S 28.43691, E 21.34298 2.48km NE	Monument honouring Conrad Strauss who gave the land for the establishment of the village of Straussburg
	Dyasons Klip 454	-28.51476135 21.01546680	Four houses, single-roomed. With no roofs, doors or window panes. Inside 2 houses
Webley & Halkett 2014		30.3km W	are packs of tin cans. The paper packets have disintegrated and tins tumbling out doors. Compound for mine?
Webley & Halkett 2014	Dyasons Klip 454	Approx, -28.53376388 21.04651613	Old mine crane, a 4 stroke engine with winch, some isolated metal cans (old sardine can).
		28.1km WSW	
Webley & Halkett 2014	Dyasons Klip 454	-28.53332500 21.04194104	Old diggings – unsure of date – done mechanically.
	Dyasons Klip 454	28.5km WSW -28.54709202	Collapsed mud brick structure with stone
Webley & Halkett 2014		21.04705601 28.5km WSW	foundation. Possibly shepherd's house. Interior 2.5 m x 2.3 m. Few tin cans in the vicinity. Paraffin tin. No glass.
	Dyasons Klip 454	-28.54936201 21.04375002	A higher distribution of Orange River gravel type (stones/cobbles) localised near a small cement reservoir. It seems these
Webley & Halkett 2014		28.9km WSW	a small cement reservoir. It seems these stones may have been introduced historically to be mixed with the cement for the reservoir. Banded ironstone, hornfels, quartzite and some schist? There is one small uniface (small handaxe) on banded ironstone.



The Upington area has several Provincial Heritage Sites, such as buildings, there are also several monuments, memorials, and burial grounds all of which are listed in this table below, which can also be found on the SAHRA Database:

# HERITAGE SITES IN AND AROUND UPINGTON AREA DOCUMENTED ON THE SAHRA DATABASE:

Site/Object Name	Coordinates	Archive Status	Declaration Type	Site type	Site Reference	Site ID
Palm Tree Avenue, The Island, Upington	-28.463217 21.248977	National monument	Provincial Heritage Site	Building	9/2/032/0015	28784
Old Watermill, Upington	-28.462620 21.240514	National monument	Provincial Heritage Site	Building	9/2/032/0016	28785
Cathedral of St Augustine, Le Roux Street, Upington	-28.454859 21.246264	National monument	Provincial Heritage Site	Building	9/2/032/0017	28782
Museum Complex, 4 Schroder Street, Upington	-28.461569 21.243716	National monument	Provincial Heritage Site	Building	9/2/032/0018	28783
Dutch Reformed Church, Schroder Street, Upington	-28.454175 21.250271	National monument	Provincial Heritage Site	Building	9/2/032/0019	28779
Dakota Drive, Upington 01	-28.446639 21.227889			Artefacts, Burial Grounds & Graves	DAKOTA01	44796
Dakota Drive, Upington 02	-28.444111 21.228778			Burial Grounds & Graves	DAKOTA02	44797
Upington 08	-28.492871 21.064911			Artefacts	UP08	44977
Upington 09	-28.183889 21.768611			Burial Grounds & Graves	UP09	44980
Upington 01	-28.492270 21.515880			Artefacts	UPING01	45504
Upington 04	-28.493950 21.521720			Artefacts	UPING04	45507
Upington 06	-28.492630 21.522790			Artefacts	UPING06	45509
Upington 08	-28.480100 21.549740			Structures	UPING08	45511
Upington 02	-28.493890 21.517990			Artefacts	UPING02	45512
Upington 03	-28.494640 21.521330			Artefacts	UPING03	45513
Upington 05	-28.493410 21.521840			Artefacts	UPING05	45514
Upington 07	-28.481760 21.545030			Structures	UPING07	45515
Upington 10	-28.831389 20.808889			Burial Grounds & Graves	UPING10	45541



HERITAGE SITES IN AND AROUND UPINGTON AREA DOCUMENTED ON THE SAHRA DATABASE:
------------------------------------------------------------------------------

			_			
Site/Object Name	Coordinates	Archive Status	Declaration Type	Site type	Site Reference	Site ID
Upington 11	-28.183889 21.768611			Burial Grounds & Graves	UPING11	45542
Upington 12	-27.958056 22.748056			Burial Grounds & Graves	UPING12	45543
Rouxville/Upington				Artefacts	Rouxville/Upington	92832
Lambrechtsdrift, Upington				Artefacts	Lambrechtsdrift	92838
Grave and Memorial of Magrieta Jantjies, Kameelboom Cemetry, Upington	-28.474194 21.192806		Provincial Heritage Site	Burial Grounds & Graves, Monuments & Memorials	Grave of Magrieta Jantjies	130121
GKPV Upington	-28.521117 20.954179			Archaeological	GKPV01	130402
GKPV Upington	-28.511412 20.953170			Artefacts	GKPV02	130403
GKPV Upington	-28.515924 20.955140			Artefacts	GKPV03	130404
GKPV Upington	-28.513840 20.953867			Artefacts	GKPV04	130405
GKPV Upington	-28.513051 20.953550			Artefacts	GKPV05	130406
GKPV Upington	-28.514156 20.961375			Artefacts	GKPV06	130407
GKPV Upington	-28.513760 20.960974			Artefacts	GKPV07	130408
GKPV Upington	-28.515789 20.962869			Artefacts	GKPV08	130409
GKPV Upington	-28.515718 20.961037			Artefacts	GKPV09	130410
GKPV Upington	-28.513903 20.958520			Artefacts	GKPV10	130411
GKPV Upington	-28.511517 20.956258			Artefacts	GKPV11	130412
GKPV Upington	-28.521072 20.950451			Artefacts	GKPV13	130414
GKPV Upington	-28.512708 20.964360			Artefacts	GKPV14	130415
GKPV Upington	-28.518568 20.964511			Artefacts	GKPV15	130416
GKPV Upington	-28.516898 20.963062			Artefacts	GKPV16	130417
Upington 26 Monument, Paballelo Upington	-28.444669 21.222407			Monuments & Memorials	DC8/NAMM/0019	136931
Camel Mounted Police Memorial, Saps Upington, Upington	-28.449840 21.259461			Monuments & Memorials	DC8/NAMM/0017	136946

#### 6.1.5 Graves/Burials

Several graves were recorded in the area around the development footprint.



#### GRAVES/BURIALS RECORDED IN 50 KM RADIUS

HIA/AIA	SITE	COORDINATES	HERITAGE RESOURCES
ΠΙΑ/ ΑΙΑ	SILE	PROXIMITY TO STUDY AREA	HERITAGE RESOURCES
Fivaz & Engelbrecht	Olyvenhouts Drift Settlement Erf 1074 (Ods1074)	28° 27' 46.3" S 21° 17' 05.3" E	Ca >1878. Several graves have stone headstones without markings. Graves are marked with stones quartz and
2020c		3.57km W	quartzite. Adult and children's graves present.
Fivaz & Engelbrecht	Boegoeberg Settlement	28° 36' 24.4" S	1960's to current cemetery.
2020b	RE/48/279	21° 47' 24.5" E 49.2km SE	
	Near uitkomst 420	28° 24'47.7" S	Cemetery.
Rossouw 2015	portion 5	21° 21' 15.2" E	
		4.88km NE	
	Near uitkomst 420 portion 5	28° 24'57.7" S 21° 21' 17.14" E	Cemetery.
Rossouw 2015		4.91km NE	
	Boegoeberg	28º 33' 21.2" S	Cemetery 1960s to current.
Fivaz & Engelbrecht 2020b	Settlement RE/48/2627	21° 44' 59.1" E	
20200	1 -1 -	43.5km SE	
	Vaal Koppies 40	S 28.43606	Large formal cemetery.
Van Schalkwyk 2010		E 21.33965	
		2.25km NE	
Van Schalkwyk 2010	Vaal Koppies 40	S 28.44722 E 21.33398	Large informal cemetery.
Vali Schaikwyk 2010		1.12km E	
	Dyasons Klip 454	-28.53803446	Small rectangular patch of cobbles.
Webley & Halkett 2014		21.03679572	Possible Cairn?
		29km WSW	
Webley & Halkett 2014	Dyasons Klip 454	-28.53768233 21.03979644	Cairn consisting of 7 stones. Small only 50cm x 60cm. Not grave.
		28.8km WSW	
	Dyasons Klip 454	-28,54890008	Two small cairns.
Webley & Halkett 2014	,	21.06107893	
		27.3km WSW	



# 7. IDENTIFIED RESOURCES AND HERITAGE ASSESSMENT

#### 7.1 Surveyed area

The area surveyed for the impact assessment was dictated by the Google Earth map of the development footprints provided by the client. The proposed development area was surveyed by vehicle and on foot. The pedestrian survey was conducted in predominantly 30-50 m transects.

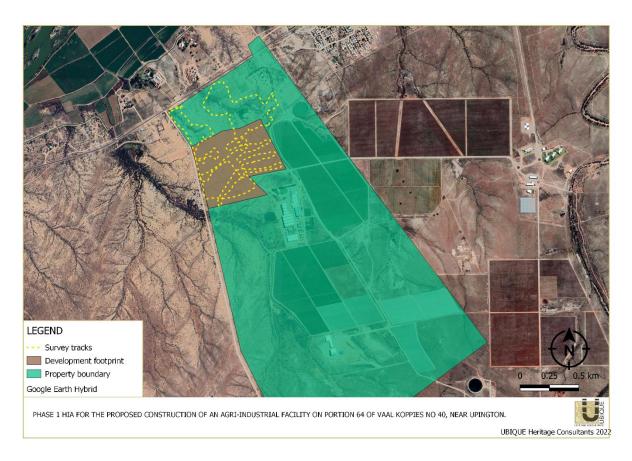


Figure 9 Survey tracks across the development footprint.

#### 7.2 Description of the affected environment

The development area mainly falls within the Bushmanland Arid Grassland vegetation type, surrounded by Gordonia Duneveld, Kalahari karroid shrubland, and Lower Gariep alluvial vegetation. Irregular plains characterise the Bushmanland Arid Grassland on a slightly sloping plateau that is sparsely vegetated by grassland dominated by white grasses (*Stipagrostis* species). This gives the vegetation type the appearance of a semidesert steppe. The vegetation structure is also often altered in places where low shrubs of Salsola are present (Mucina & Rutherford 2006).



According to Mucina and Rutherford (2006), Bushmanland Aris Grassland's soils are mainly redyellow apedal soils, and the geology is characterised by recent (quaternary) Alluvium and calcrete, which makes up a third of the area. Superficial deposits of the Kalahari Group can also be found in the east. In addition, the extensive Palaeozoic diamictites of the Dwyka Group also outcrop in the area, as do gneisses and metasediments of the Mokolian age.

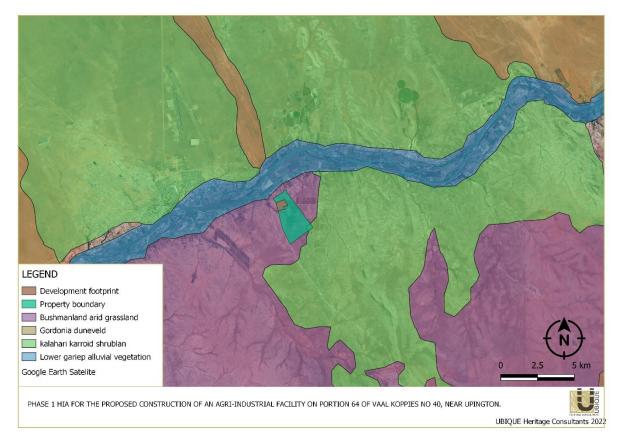
The primary geology observed on the ground surface throughout the survey was as follows: Calcrete/Limestone, Banded Ironstone Formation (BIF), A few Dolorite outcrops, Quartz, Jaspis (minimal), Schale, Quartzite, and Granite Marblelite.

Dominant (Primary) vegetation observed: Black Thorn Acacia/Swarthaak (Acacia mellifera), Camelthorn Tree/Kameeldoringboom (Acacia erioloba), Campher Bush (Tarchonanthus camphorates), Camelthorn/Kameeldoring (Acacia erioloba), Tumbleweed/Gifbol (Ammocharis coranica), Feathertop chloris/Vingergras (Chloris virgata), Bluestem/Vleivingergras (Dichanthium annulatum), Tall Bushmangrass/Lanbeen Boesmangras (Stipagrostis ciliate), Silky Bushmangrass/Blinkblaar Boesmangras (Stipagrostis uniplumis), Ringed lovegrass/Blougras (Eragrostis annulata), Krulblaargras (Eragrostis biflora), Three-Thorn tree (Rhigozum trichotomum), and Aloe (Aloe argenticauda).

A tributary of the Orange river flows from east to west in the southwest of the footprint. It is nonperennial and currently a dry riverine. To the northeast of the footprint, there are dry riverine and a perennial riverine or furrow flowing from south to northwest. The waterways are shallow, except for the perennial riverine to the northeast. This might be an artificial furrow to drain access water from vineyard lands.

The klipveld environment has flat plains and rocky outcrops in certain areas. The terrain is relatively flat and rocky, sloping towards the north. Certain areas were previously disturbed by construction and pipeline establishment. A few two-track gravel roads cross the site. Rocky outcrops are present throughout the site, but outcrops are not very large and appear as reef structures. Small pebbles and gravel are dominant throughout the site.





*Figure 10* Indication of the vegetation types in and around the study area (namely Bushmanland Arid Grassland Vegetation, Gordonia Duneveld, Kalahari Karroid Shrubland, and Lower Gariep Alluvial Vegetation).







PHASE 1 HIA AGRI-INDUSTRIAL FACILITY VAAL KOPPIES UPINGTON







Figure 11 Views of the affected development area.

# 7.3 Identified heritage resources

# 7.3.1. Stone Age Identified

STONE AGE RESOURCES IDENTIFIED					
SITE ID #	DESCRIPTION		PERIOD	LOCATION	FIELD RATING/ SIGNIFICANCE/ RECOMMENDED MITIGATION
VK-001	Type lithic/s Raw material N in m <sup>2</sup> . Context Additional	Flake BIF 1/50m <sup>2</sup> Surface scatter No context. MSA debris	MSA	28° 27' 07.4" S 21° 19' 09.9" E	Field Rating IV C Low significance No Mitigation Required
VK-002	Type lithic/s Raw material N in m <sup>2</sup> . Context Additional	Flakes and chunks BIF 5/50m <sup>2</sup> Surface scatter No context. MSA debris	MSA	28° 27' 07.8" S 21° 19' 20.4" E	Field Rating IV C Low significance No Mitigation Required
VK-003	Type lithic/s Raw material N in m <sup>2</sup> . Context Additional	Chips, chunks, flakes BIF 7/100m <sup>2</sup> Surface scatter No context. MSA debris	MSA	28° 27' 05.7" S 21° 19' 17.6" E	Field Rating IV C Low significance No Mitigation Required
VK-004	Type lithic/s Raw material N in m <sup>2</sup> . Context Additional	Flakes, chips, chunks BIF 4/50m <sup>2</sup> Surface scatter No context. MSA debris	MSA	28° 27' 04.0" S 21° 19' 22.6" E	Field Rating IV C Low significance No Mitigation Required
VK-006	Type lithic/s Raw material N in m <sup>2</sup> . Context Additional	Chunks and flakes BIF 4/100m <sup>2</sup> Surface scatter No context. MSA debris	MSA	Surface scatter No context. MSA debris	Field Rating IV C Low significance No Mitigation Required
VK-011	Type lithic/s Raw material	Flakes and bladelet BIF	MSA	28° 27' 10.6" S 21° 19' 08.4" E	Field Rating IV C



STONE AGE	STONE AGE RESOURCES IDENTIFIED				
SITE ID #	DESCRIPTION		PERIOD	LOCATION	FIELD RATING/ SIGNIFICANCE/ RECOMMENDED MITIGATION
	N in m².	5/100m <sup>2</sup>			Low significance
	Context	Surface scatter			
	Additional	No context. MSA debris			No Mitigation Required
	Type lithic/s	Chunks and flakes	MSA	28° 26' 55.6" S	Field Rating IV C
	Raw material	BIF, CCS		21º 19' 24.3" E	
VK-014	N in m <sup>2</sup> .	4/100m <sup>2</sup>			Low significance
	Context	Surface scatter			No Mitigation Required
	Additional	No context. MSA debris			

#### 7.3.2. Historical/Recent resources Identified

HISTORICAL/	HISTORICAL/RECENT RESOURCES IDENTIFIED				
SITE ID #	DESCRIPTION		PERIOD	LOCATION	FIELD RATING/ SIGNIFICANCE/ RECOMMENDED MITIGATION
VK-008	Grave markers Inscription Graves' orientation Dimensions/extent Additional	<ul> <li>Headstones on most graves. Several graves are unmarked but with stone cairns.</li> <li>Various family inscriptions. Dates identified on grave headstones range from 1868 to 1950's to 1970's to 1980's</li> <li>East-West</li> <li>Graveyard/cemetery.</li> <li>Approximately 2 ha in size.</li> <li>Approximately 200 or more graves.</li> <li>Preservation of graves are very poor. No fencing or maintenance has been done on this cemetery.</li> <li>Some graves are open without any coverage of soil, stones or a gravestone.</li> <li>Human remains are visible.</li> </ul>	Ca. 1860s to 1980s	28° 26' 56.6" S 21° 19' 13.8" E	Field Rating of Local Grade IIIB High/medium significance Mitigation Required: Fencing and maintenance.
VK-009	Grave markers Inscription Graves' orientation Dimensions/extent Additional	None. Unmarked grave None East-West Approximately 1,2m x 2m graves. A total of 1 grave was identified at this point. This possible grave is not part of the official cemetery mentioned above. It is located quite a distance from the cemetery and is a	Unknown	28° 27' 03.1" S 21° 19' 16.2" E	Field Rating of Local Grade IIIB High/medium significance Mitigation Required: Fencing



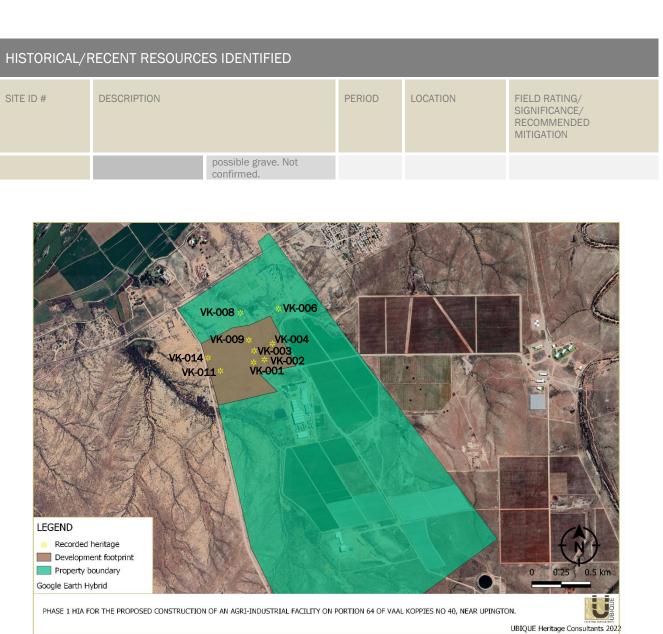


Figure 12 Distribution of identified heritage resources at Portion 64 of Vaal Koppies No 40

7.4 Discussion

#### 7.4.1. Archaeological features

#### 7.4.1.1. Prehistorical

Seven occurrences of Stone Age materials were recorded, one of which (VK-006) is situated outside the development footprint. The low-density surface scatter included flakes, chips, chunks and a bladelet.

The lithic material shows various degrees of weathering and is without substantial archaeological context or matrix and is therefore deemed of minor scientific importance and not conservation-worthy (NCW).



The material is given a 'General' Protection C (Field Rating IV C). This means that it has been sufficiently recorded (in Phase 1). It requires no further action.

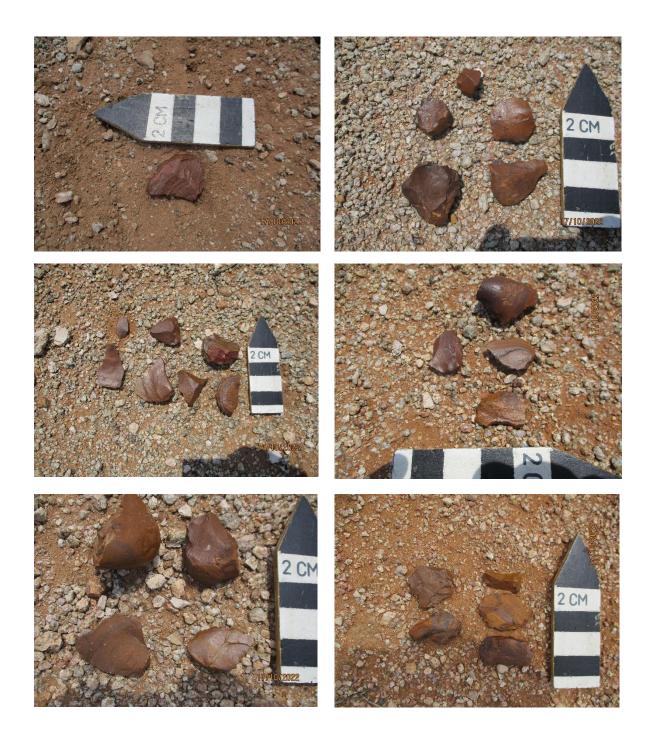






Figure 13 The lithic material recorded at Portion 64 of Vaal Koppies No 40

#### 7.4.1.2. Graves

An abandoned graveyard and an isolated unmarked grave (VK-008 & 009) were recorded within the property boundary. The graves within the graveyard area is in dire need of attention. Some of the graves are open, or sinking in. The abandoned graveyard is not located directly in the formal development area. However, there is a high probability of it being negatively impacted by development. Likewise, the isolated unmarked possible grave is located directly within the development footprint and will be negatively impacted by development.

These sites are given a 'Local Grade IIIB" rating. This means the graves should be included in the heritage register and may be mitigated (high/ medium significance).



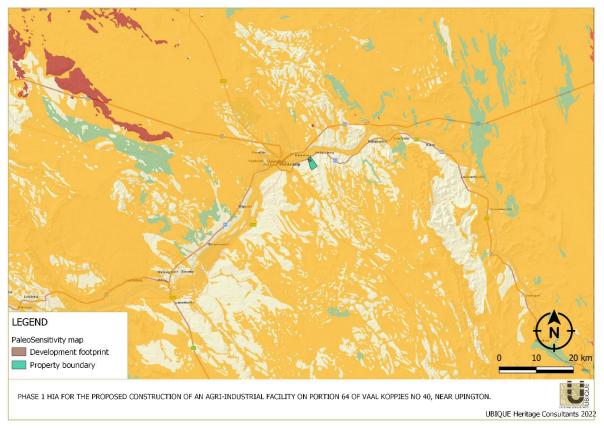




Figure 14 Examples of some of the graves recorded at Portion 64 of Vaal Koppies No 40



#### 7.4.2. Palaeontological resources



**Figure 15** The Heritage Paleo screening tool and SAHRIS PalaeoSensitivity Map, indicating High (red), Medium (yellow), and Low (green) palaeontological significance in the study area, (https://screening.environment.gov.za/; https://sahris.sahra.org.za/map/palaeo).

The proposed development area is primarily underlain by the Dagbreek Formation and the Keimoes Suite (Namaqua-Natal Province), which are igneous. This Suite is thus unfossiliferous. (Butler 2022 Appendix A).

Elize Butler from Banzai Environmental conducted a palaeontological field assessment for the development footprint (see Appendix A).



# 8. ASSESSMENT OF THE IMPACT OF THE DEVELOPMENT

Descri	ption	Development	Impact	Mitigation	Field rating/ Significance
Archae	eological				
1.	The seven occurrences of MSA surface scatters across the development	Nature Extent	Neutral Low	No mitigation required.	Field Rating IV C
	otprint.	Duration Intensity Potential of	Low Low Low		Low significance
		impact on irreplaceable resource			
		Consequence Probability of impact	Low Low		
		Significance	Low		
Graves				<b>A</b> 1	
2.	Abandoned graveyard located outside the formal development footprint.	Nature Extent Duration	Negative Medium High	Sites should be included in the	Field Rating of Local Grade IIIB
		Intensity Potential of impact on	Medium High	heritage register and may be mitigated.	High significance
		irreplaceable resource Consequence	High	50m buffer zone and fencing	
		Probability of impact	Medium	recommended. No go zone.	
		Significance	High	Planned maintenance.	
3.	Isolated unmarked grave situated	Nature	Negative	Site should be	Field Rating of
	directly in development footprint.	Extent Duration	Medium High	included in the heritage register	Local Grade IIIB
		Intensity	Medium	and may be	High significance
		Potential of impact on irreplaceable	High	mitigated.	
		resource	High	50m buffer zone recommended.	
		Consequence Probability of	High High	No go zone.	
		impact Significance	High		
Palaec	ntological				I
4.	The Palaeontological Sensitivity	Nature	Neutral	No mitigation	N/A
	Dagbreek Formation and the Keimoes	Extent	Low	required.	
	Suite (Namaqua-Natal Province). These	Duration	Low		
	sediments are igneous in origin and thus	Intensity Potential of	Low Low		
	unfossiliferous. Therefore, an overall low palaeontological sensitivity is allocated	impact on irreplaceable	2011		
	to the development footprint.	resource			
		Consequence Probability of impact	Low Low		
		Significance	Low		



The impact on the MSA lithic occurrences recorded at sites VK-001-004 and VK-006, 011 and 014 is not conservation worthy, and therefore, the impact is negligible.

There is a probability of the abandoned graveyard (VK-008) being impacted negatively by the proposed development. In addition, the possible isolated unmarked grave (VK-009) will also be impacted negatively. Therefore, a 50m buffer/safety zone is essential to negate the negative impact on the heritage resources.

Regarding the impact on palaeontological resources, the sediments are igneous in origin and thus unfossiliferous. This indicates that the impact of the development footprint will be of low significance in palaeontological terms. Therefore, it is considered that the proposed development is deemed appropriate and feasible and will not lead to detrimental impacts on the palaeontological reserves of the area (Butler, 2022).

# 9. RECOMMENDATIONS

Based on the assessment of the potential impact of the development on the identified heritage, the following recommendations are made, taking into consideration any existing or potential sustainable social and economic benefits:

- 1. The seven MSA lithic occurrences found throughout the property and development footprint have been sufficiently recorded. The MSA cultural material identified is not conservation worthy. No further mitigation is recommended concerning these resources. Therefore, from a heritage point of view, we recommend that the proposed development can continue.
- 2. The abandoned cemetery is located outside the formal development footprint. However, it is recommended that the graves be recorded and identified in terms of regional heritage. There is a possibility of the graveyard being negatively impacted by the proposed development. Therefore, it is recommended that the graveyard be fenced off with the inclusion of a 50 m buffer/safety zone (Figure 1). This site is graded as IIIB and is of High Local Significance. Due to the poor preservation of the graveyard, it is recommended that a maintenance plan with the local municipality or the local community and the descendants of the deceased be set up.
- 3. The isolated unmarked possible grave is located directly in the proposed development footprint and will negatively impact the development. In addition, it would require costly mitigation before destruction. It is, therefore, our recommendation that a 50m buffer/safety zone should be implemented (Figure 1).



- 4. The proposed Agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, Dawid Kruiper Municipality, near Upington in the Northern Cape Province is underlain by the Dagbreek Formation and the Keimoes Suite (Namaqua-Natal Province). These sediments are igneous in origin and thus unfossiliferous. For this reason, an overall Zero Palaeontological Sensitivity is allocated to the development footprint. Consequently, the proposed development will not lead to a negative impact on the palaeontological reserves of the area. Therefore, since the development footprint is not considered sensitive regarding palaeontological resources, the development's construction may be authorised to its whole extent (Butler 2022).
- 5. Although all possible care has been taken to identify sites of cultural importance during the investigation of study areas, it is always possible that hidden or sub-surface sites could be overlooked during the assessment. If during construction, any evidence of archaeological sites or remains (e.g. remnants of stone-made structures, indigenous ceramics, bones, stone artefacts, ostrich eggshell fragments, charcoal and ash concentrations), fossils or other categories of heritage resources are found during the proposed development, SAHRA APM Unit (Natasha Higgitt/Phillip Hine 021 462 5402) must be alerted as per section 35(3) of the NHRA. If unmarked human burials are uncovered, the SAHRA Burial Grounds and Graves (BGG) Unit (Thingahangwi Tshivhase/Mimi Seetelo 012 320 8490) must be alerted immediately as per section 36(6) of the NHRA. Depending on the nature of the finds, a professional archaeologist or palaeontologist must be contacted as soon as possible to inspect the findings. If the newly discovered heritage resources prove to be of archaeological or palaeontological significance, a Phase 2 rescue operation may be required, subject to permits issued by SAHRA. UBIQUE Heritage Consultants and its personnel will not be held liable for such oversights or costs incurred due to such oversights.



PHASE 1 HIA AGRI-INDUSTRIAL FACILITY VAAL KOPPIES UPINGTON

# **10. CONCLUSION**

This HIA has identified significant heritage resources, the abandoned graveyard and the possible grave may be impacted negatively by the proposed development on Portion 64 of Vaal Koppies No 40. However, no other significant heritage resources that may be impacted negatively were identified. Therefore, the proposed construction of an agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, in the Z.F. Mgcawu District Municipality and within the Dawid Kruiper Local Municipality in the Northern Cape Province, may continue, provided the recommendations stipulated within this report, and the subsequent decision by SARHA, are followed.



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# **APPENDIX A**

APPLICATION FOR THE PROPOSED CONSTRUCTION OF AN AGRI-INDUSTRIAL FACILITY ON PORTION 64 OF VAAL KOPPIES NO 40, KENHARDT, DAWID KRUIPER MUNICIPALITY, NEAR UPINGTON IN THE NORTHERN CAPE PROVINCE.





14 Eddie de Beer Street Dan Pienaar Bloemfontein 9301

Application for the proposed construction of an Agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, Dawid Kruiper Municipality, near Upington in the Northern Cape Province.

#### 1 BACKGROUND

#### \*Information Provided by The ECO Balance Planning Co

Carpe Diem Pty Ltd is proposing the construction of an Agri industrial facility for the processing of pecan nuts on Portion 64 of Vaal Koppies No 40, Kenhardt. At present the development footprint is estimated at approximately 10ha, which includes the facility, parking areas, loading zones, water evaporation pond and a new access point and access road.

The N10 national road that connects Upington with Groblershoop forms the northern boundary and the Kleinbegin Road forms the western boundary of the property.

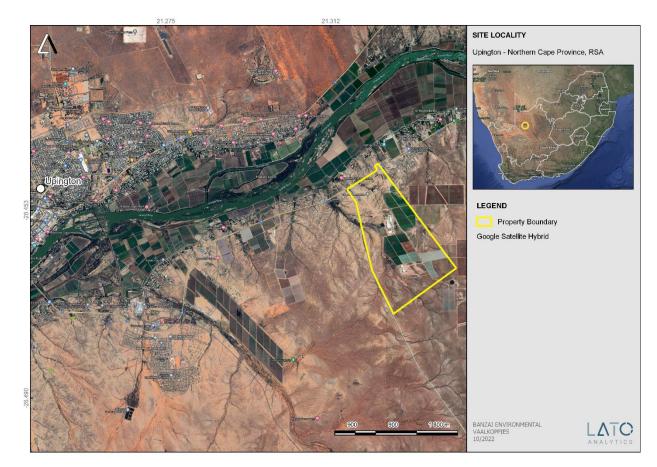
The property has a size of 366.2080ha. Existing activities on the property consist of table grape cultivation as well as an existing Packhouse. The southern part of the property, the section along the western boundary as well as the northern part of the property are covered with natural vegetation identified as Bushmanland Arid Grassland.

Detailed description of listed activities associated with the project		
Listed activity as described in GN R.327	Description of project activity that triggers listed activity	

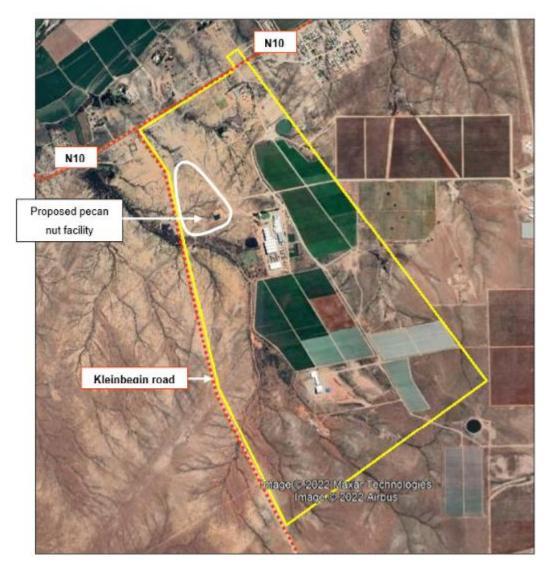


	Listed activity as described in GN R. 324	Description of project activity that triggers listed activity
-	-	-
	Listed activity as described in GN R. 325	Description of project activity that triggers listed activity
27	<ul> <li>The clearance of an area of 1 hectare or more, but less than 20 hectares of indigenous vegetation, except where such clearance of indigenous vegetation is required for — <ul> <li>(i) the undertaking of a linear activity; or</li> <li>(ii) maintenance purposes undertaken in accordance with a maintenance management plan.</li> </ul> </li> </ul>	The development footprint is currently estimated at 10ha and the area where the facility is proposed is covered with natural vegetation identified as Bushmanland Arid Grassland (Least Threatened in The National List of Ecosystems).
25	The development and related operation of facilities or infrastructure for the treatment of effluent, wastewater or sewage with a daily throughput capacity of more than 2 000 cubic metres but less than 15 000 cubic metres.	The proposed expansion will require the construction of a sedimentation / oil separator tank, through which the process wash water will flow to eventuate into a wash water evaporation pond. The capacity of these structures and thus the applicability of this listed activity will be determined by an engineering specialist through the assessment process.
9	The development of infrastructure exceeding 1 000 metres in length for the bulk transportation of water or stormwater — (i) with an internal diameter of 0,36 metres or more; or (ii) with a peak throughput of 120 litres per second or more.	A number of pipelines will be required in order to convey stormwater and rainwater to the evaporation pond(s). The internal diameter, throughput capacity and length thereof and thus the applicability of this listed activity will be determined by an engineering specialist through the assessment process.
8	The development and related operation of hatcheries or agri- industrial facilities outside industrial complexes where the development footprint covers an area of 2 000 square metres or more.	The proposed development consists of a pecan nut processing facility with a current estimated footprint of approximately 10ha (including associated infrastructure and services).



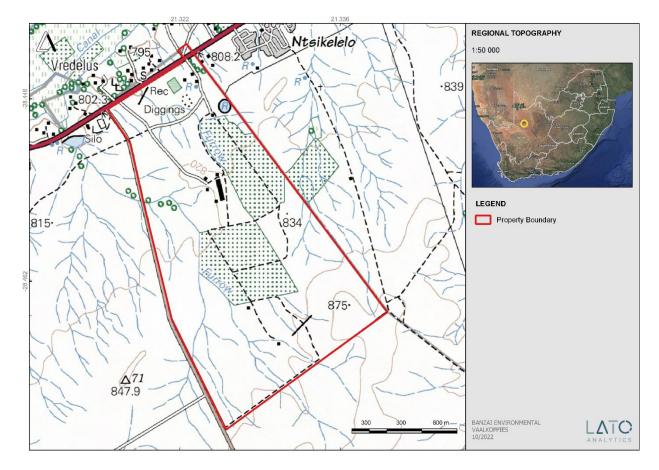


**Figure 1.** Google Earth (2022) Image of the proposed Agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, Dawid Kruiper Municipality, near Upington in the Northern Cape Province.



**Figure 2**. Property boundary of Vaal Koppies 64/50 in yellow. Existing cultivation and development are visible in the middle and eastern sections. The current location of the proposed agri-facility is indicated as a white-coloured polygon.





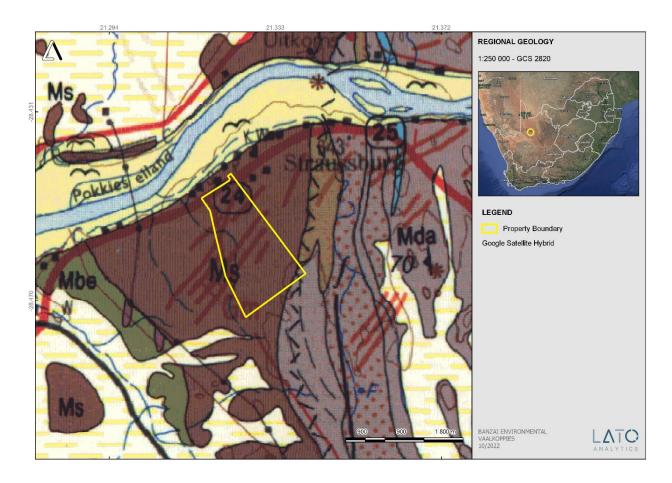
**Figure 3.** Locality map of the proposed Agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, Dawid Kruiper Municipality, near Upington in the Northern Cape Province.

#### 2 QUALIFICATIONS AND EXPERIENCE OF THE AUTHOR

Mrs Elize Butler has conducted approximately 300 palaeontological impact assessments for developments in the Free State, KwaZulu-Natal, Eastern, Central, and Northern Cape, Northwest, Gauteng, Limpopo, and Mpumalanga. She has an MSc in Zoology (*cum laude*) (specializing in Palaeontology) from the University of the Free State, South Africa and has been working in Palaeontology for more than twenty-nine years. She has experience in locating, collecting, and curating fossils. She has been a member of the Palaeontological Society of South Africa (PSSA) since 2006 and has been conducting PIAs since 2014.

#### 3 GEOLOGY AND PALAEONTOLOGY

The proposed development on Portion 64 of Vaal Koppies No 40, Kenhardt, Dawid Kruiper Municipality, near Upington in the Northern Cape is depicted on the 1:250 000 Upington 2820 (1988) Geological Map, Council for Geosciences, Pretoria) (**Figure 4**). According to this map the proposed development is underlain by sediments of the Mokolian-aged Namaqua-Natal Province that is igneous in origin and thus unfossiliferous. Updated Geology (Council for Geosciences, Pretoria) (**Figure 5**) indicates that the proposed development is underlain by the Dagbreek Formation in the east while most of the development is underlain by the Keimoes Suite (both of the Namaqua-Natal Province). The Dagbreek Formation comprises of quartz-muscovite schist, quartzite and subordinate amphibolite and gneiss, while the Keimoes Suite consists of dark grey to leucocratic, grandiorite, charnockite and minor diorite granite.



**Figure 4:** Extract of the 1:250 000 Upington 2820 Geological Map (1988), Council for Geoscience, Pretoria). The proposed development is underlain by Dagbreek Formation and the Keimoes Suite (Namaqua-Natal Province).

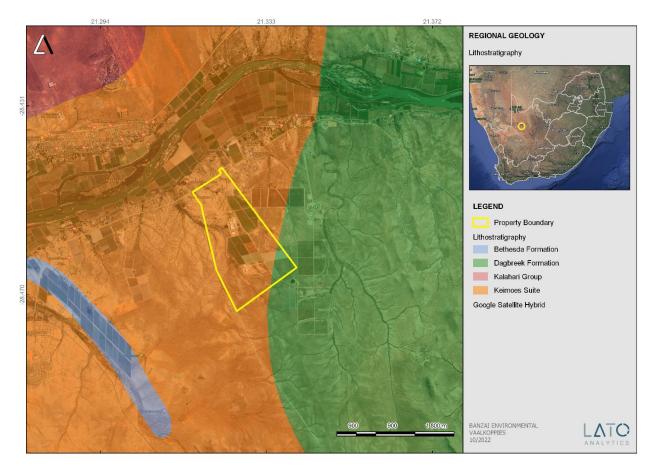
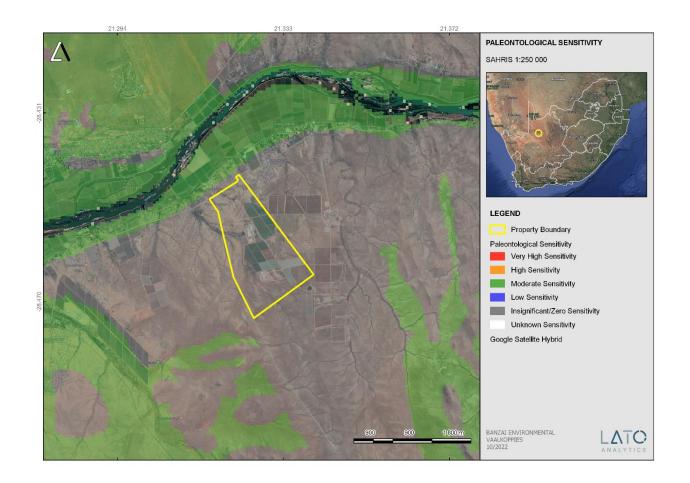


Figure 5: Updated Geology (Council for Geoscience, Pretoria) indicates the proposed development in yellow.



# Figure 6: Extract of the 1 in 250 000 SAHRIS PalaeoMap map (Council of Geosciences) indicating the development in yellow.

According to the SAHRIS Palaeosensitivity map (**Figure 6**) the development is underlain by sediments with a Zero (grey) Palaeontological Significance. No Palaeontological Studies is thus required.

Colour	Sensitivity	Required Action
RED	VERY HIGH	Field assessment and protocol for finds is required
ORANGE/YELLOW	HIGH	Desktop study is required and based on the outcome of the desktop study; a field assessment is likely
GREEN	MODERATE	Desktop study is required
BLUE	LOW	No palaeontological studies are required however a protocol for finds is required
GREY	INSIGNIFICANT/ZERO	No palaeontological studies are required

Table 1: Palaeontological Sensitivity according to the SAHRIS PalaeoMap (Almond et al, 2013; SAHRIS website)

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WHITE/CLEAR	UNKNOWN	These areas will require a minimum of a desktop study.
		As more information comes to light, SAHRA will
		continue to populate the map.

The colours on the PalaeoMap indicate the following degrees of sensitivity: red = very highly sensitive; orange/yellow = high; green = moderate; blue = low; grey = insignificant/zero.

#### 3.1 National Heritage Resources Act (25 of 1999) (NHRA)

Cultural Heritage in South Africa, including all heritage resources, is protected by the National Heritage Resources Act (Act 25 of 1999) (NHRA). Heritage resources as defined in Section 3 of the Act include **"all objects recovered from the soil or waters of South Africa, including archaeological and palaeontological objects and material, meteorites and rare geological specimens**".

Palaeontological heritage is unique and non-renewable and is protected by the NHRA. Palaeontological resources may not be unearthed, broken moved, or destroyed by any development without prior assessment and without a permit from the relevant heritage resources authority as per section 35 of the NHRA.

This Palaeontological Impact Assessment was undertaken as part of this proposed amendment and adhered to the conditions of the Act. According to **Section 38 (1)** of the NHRA, an HIA is required to assess any potential impacts to palaeontological heritage within the development footprint where:

- the construction of a road, wall, power line, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;
- the construction of a bridge or similar structure exceeding 50m in length;
- any development or other activity which will change the character of a site
  - a. exceeding 5 000  $m^2$  in extent; or
  - b. involving three or more existing erven or subdivisions thereof; or
  - c. involving three or more erven or divisions thereof which have been consolidated within the past five years; or
  - d. the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority
  - e. the re-zoning of a site exceeding 10 000m<sup>2</sup> in extent.
- or any other category of development provided for in regulations by SAHRA or a Provincial heritage resources authority.

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#### 4 CONCLUSION

The proposed Agri-industrial facility on Portion 64 of Vaal Koppies No 40, Kenhardt, Dawid Kruiper Municipality, near Upington in the Northern Cape Province is underlain by the Dagbreek Formation and the Keimoes Suite (Namaqua-Natal Province). These sediments are igneous in origin and thus unfossiliferous. For this reason, an overall Zero Palaeontological Sensitivity is allocated to the development footprint. Thus, the construction of the development may be authorised to its whole extent, as the development footprint is not considered sensitive in terms of palaeontological resources.

Yours sincerely

Elize Butler