

**PALAEONTOLOGICAL DESKTOP ASSESSMENT FOR THE PROPOSED S24G ZONE 7,
BARKLY WEST TOWNSHIP ESTABLISHMENT**

DENC REFERENCE NUMBER: S24G02/04/2020

Compiled for:

NSVT Consultants
PO Box 42452
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9332

Prepared by
Banzai Environmental
21 May 2020

Declaration of Independence

I, Elize Butler, declare that –

General declaration:

- I act as the independent palaeontological specialist in this application
- I will perform the work relating to the application in an objective manner, even if this results in views and findings that are not favorable to the applicant
- I declare that there are no circumstances that may compromise my objectivity in performing such work;
- I have expertise in conducting palaeontological impact assessments, including knowledge of the Act, Regulations and any guidelines that have relevance to the proposed activity;
- I will comply with the Act, Regulations and all other applicable legislation;
- I will take into account, to the extent possible, the matters listed in section 38 of the NHRA when preparing the application and any report relating to the application;
- I have no, and will not engage in, conflicting interests in the undertaking of the activity;
- I undertake to disclose to the applicant and the competent authority all material information in my possession that reasonably has or may have the potential of influencing - any decision to be taken with respect to the application by the competent authority; and - the objectivity of any report, plan or document to be prepared by myself for submission to the competent authority;
- I will ensure that information containing all relevant facts in respect of the application is distributed or made available to interested and affected parties and the public and that participation by interested and affected parties is facilitated in such a manner that all interested and affected parties will be provided with a reasonable opportunity to participate and to provide comments on documents that are produced to support the application;
- I will provide the competent authority with access to all information at my disposal regarding the application, whether such information is favorable to the applicant or not
- All the particulars furnished by me in this form are true and correct;
- I will perform all other obligations as expected a palaeontological specialist in terms of the Act and the constitutions of my affiliated professional bodies; and
- I realize that a false declaration is an offense in terms of regulation 71 of the Regulations and is punishable in terms of section 24F of the NEMA.

Disclosure of Vested Interest

I do not have and will not have any vested interest (either business, financial, personal or other) in the proposed activity proceeding other than remuneration for work performed in terms of the Regulations.

PALAEONTOLOGICAL CONSULTANT:

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SIGNATURE:

A handwritten signature in black ink, appearing to read 'Elize Butler'.

This Palaeontological Impact Assessment report has been compiled considering the National Environmental Management Act 1998 (NEMA) and Environmental Impact Regulations 2014 as amended, requirements for specialist reports, Appendix 6, as indicated in the table below.

Table 1 - NEMA Table

Requirements of Appendix 6 – GN R326 EIA Regulations of 7 April 2017	Relevant section in report	Comment where not applicable.
1.(1) (a) (i) Details of the specialist who prepared the report	Page ii and Section 2 of Report – Contact details and company and Appendix A	-
(ii) The expertise of that person to compile a specialist report including a curriculum vita	Section 2 – refer to Appendix A	-
(b) A declaration that the person is independent in a form as may be specified by the competent authority	Page ii of the report	-
(c) An indication of the scope of, and the purpose for which, the report was prepared	Section 4 – Objective	-
(cA) An indication of the quality and age of base data used for the specialist report	Section 5 – Geological and Palaeontological history	-
(cB) a description of existing impacts on the site, cumulative impacts of the proposed development and levels of acceptable change;	Section 9	-
(d) The duration, date and season of the site investigation and the relevance of the season to the outcome of the assessment	Desktop study	
(e) a description of the methodology adopted in preparing the report or carrying out the specialised process inclusive of equipment and modelling used	Section 7 Approach and Methodology	-
(f) details of an assessment of the specific identified sensitivity of the site related to the proposed activity or activities and its associated structures and infrastructure, inclusive of a site plan identifying site alternatives;	Section 1 and 10	
(g) An identification of any areas to be avoided, including buffers	Section 5	No buffers or areas of sensitivity identified
(h) A map superimposing the activity including the associated structures and infrastructure on the	Section 5 – Geological and	

Requirements of Appendix 6 – GN R326 EIA Regulations of 7 April 2017	Relevant section in report	Comment where not applicable.
environmental sensitivities of the site including areas to be avoided, including buffers;	Palaeontological history	
(i) A description of any assumptions made and any uncertainties or gaps in knowledge;	Section 7.1 – Assumptions and Limitation	-
(j) A description of the findings and potential implications of such findings on the impact of the proposed activity, including identified alternatives, on the environment	Section 1 and 11	
(k) Any mitigation measures for inclusion in the EMPr	Section 11	
(l) Any conditions for inclusion in the environmental authorisation	N/A	None required
(m) Any monitoring requirements for inclusion in the EMPr or environmental authorisation	Section 11	
(n)(i) A reasoned opinion as to whether the proposed activity, activities or portions thereof should be authorised and	Section 1 and 10	
(n)(iA) A reasoned opinion regarding the acceptability of the proposed activity or activities; and		
(n)(ii) If the opinion is that the proposed activity, activities or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan	Section 1 and 10	-
(o) A description of any consultation process that was undertaken during the course of carrying out the study	N/A	Not applicable. A public consultation process will be conducted as part of the EIA and EMPr process.
(p) A summary and copies if any comments that were received during any consultation process	N/A	
(q) Any other information requested by the competent authority.	N/A	Not applicable.

Requirements of Appendix 6 – GN R326 EIA Regulations of 7 April 2017	Relevant section in report	Comment where not applicable.
(2) Where a government notice by the Minister provides for any protocol or minimum information requirement to be applied to a specialist report, the requirements as indicated in such notice will apply.	Section 3 compliance with SAHRA guidelines	

EXECUTIVE SUMMARY

Banzai Environmental was appointed by NSVT Consultants to conduct the Palaeontological Desktop Assessment (PDA) to assess the proposed S24G Zone 7, Barkly West Township Establishment, Northern Cape. The National Heritage Resources Act (No 25 of 1999, section 38) (NHRA), states that a Palaeontological Impact Assessment is necessary to determine if fossil heritage is present in the planned development. This study is thus necessary to evaluate the effect of the construction on the fossil heritage.

The proposed Barkly West Township establishment on Portion of Erf 711 in Barkly West, Dikgatlong Local Municipality, Northern Cape is underlain by Late Caenozoic superficial deposits and the Allanridge Formation (Platberg Group, Ventersdorp Supergroup). According to the PalaeoMap on the South African Heritage Resources Information System database, the Palaeontological Sensitivity of the Quaternary Superficial deposits as well as the Allanridge Formation is Moderate (Almond and Pether 2008, SAHRIS website).

If fossil remains or trace fossils are discovered during any phase of construction, either on the surface or exposed by excavations the **Chance Find Protocol** must be implemented by the Environmental Control Officer (ECO) in charge of these developments. These discoveries ought to be protected and the ECO must report to SAHRA (Contact details: SAHRA, 111 Harrington Street, Cape Town. PO Box 4637, Cape Town 8000, South Africa. Tel: 021 462 4502. Fax: +27 (0)21 462 4509. Web: www.sahra.org.za) so that mitigation can be carry out by a paleontologist.

It is consequently recommended that no further palaeontological heritage studies, ground truthing and/or specialist mitigation are required pending the discovery of newly discovered fossils.

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1 INTRODUCTION

Dikgatlong Local Municipality proposes to formalize Zone 7 of the Informal Settlement located on Portion of Erf 711 in Barkly West (Figure 1-2). The Settlement was established in 2008. The proposed development is approximately 6 ha in extent and zoned as a Public Open Space. Approximately 6 ha of vegetation in the area has been cleared. The Dikgatlong Local Municipality has provided the community with communal water standpipes, to access potable water and pit toilets (low level sanitation service). But, for more improved basic services, the informal settlement needs to be formalized in terms of the relevant town planning legislation. Thus, a S24G rectification process must be followed. The proposed formalization is in line with the municipality's Integrated Development Plan.



Figure 1: Google Earth Image (2020) depicting the locality of the proposed Informal Settlement on Portion of Erf 711 in Barkly West, Northern Cape.

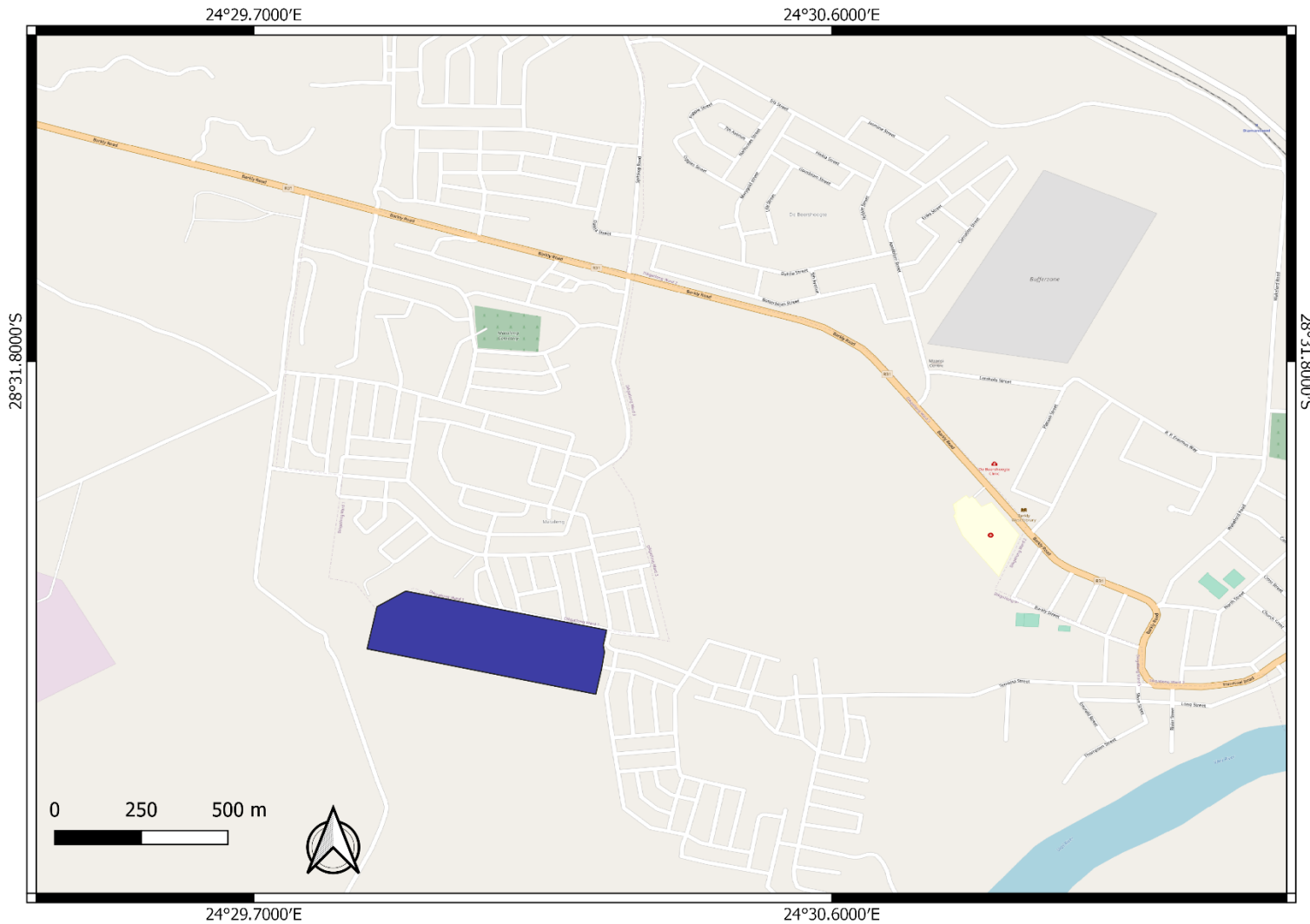


Figure 2: Locality of the proposed Informal Settlement on Portion of Erf 711 in Barkly West, Northern Cape.

2 QUALIFICATIONS AND EXPERIENCE OF THE AUTHOR

The author (Elize Butler) has an MSc in Palaeontology from the University of the Free State, Bloemfontein, South Africa. She has been working in Palaeontology for more than twenty-six years. She has experience in locating, collecting, and curating fossils, including exploration field trips in search of new localities in the Karoo Basin. She has been a member of the Palaeontological Society of South Africa for 14 years. She has been conducting PIAs since 2014.

3 LEGISLATION

3.1 National Heritage Resources Act (25 of 1999)

Cultural Heritage in South Africa, includes all heritage resources, is protected by the National Heritage Resources Act (Act 25 of 1999) (NHRA). Heritage resources as defined in Section 3 of the Act include **“all objects recovered from the soil or waters of South Africa, including archaeological and palaeontological objects and material, meteorites and rare geological specimens”**.

Palaeontological heritage is unique and non-renewable and is protected by the NHRA. Palaeontological resources may not be unearthed, broken moved, or destroyed by any development without prior assessment and without a permit from the relevant heritage resources authority as per section 35 of the NHRA.

This Palaeontological Impact Assessment forms part of the Heritage Impact Assessment (HIA) and adhere to the conditions of the Act. According to **Section 38 (1)**, a HIA is required to assess any potential impacts to palaeontological heritage within the development footprint where:

- the construction of a road, wall, power line, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;
- the construction of a bridge or similar structure exceeding 50m in length;
- any development or other activity which will change the character of a site—
 - a. (exceeding 5 000 m² in extent; or
 - b. involving three or more existing erven or subdivisions thereof; or
 - c. involving three or more erven or divisions thereof which have been consolidated within the past five years; or
 - d. the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority
 - e. the re-zoning of a site exceeding 10 000m² in extent;
- or any other category of development provided for in regulations by SAHRA or a Provincial heritage resources authority.

4 OBJECTIVE

The objective of a Palaeontological Impact Assessment (PIA) is to determine the impact of the development on potential palaeontological material at the site.

According to the “SAHRA APM Guidelines: Minimum Standards for the Archaeological and Palaeontological Components of Impact Assessment Reports” the aims of the PIA are: 1) to **identify** the palaeontological status of the exposed as well as rock formations just below the surface in the development footprint 2) to estimate the **palaeontological importance** of the formations 3) to determine the **impact** on fossil heritage; and 4) to recommend how the developer ought to protect or mitigate damage to fossil heritage.

The terms of reference of a PIA are as follows:

General Requirements:

- Adherence to the content requirements for specialist reports in accordance with Appendix 6 of the EIA Regulations 2014, as amended;
- Adherence to all applicable best practice recommendations, appropriate legislation and authority requirements;
- Submit a comprehensive overview of all appropriate legislation, guidelines;
- Description of the proposed project and provide information regarding the developer and consultant who commissioned the study;
- Description and location of the proposed development and provide geological and topographical maps;
- Provide Palaeontological and geological history of the affected area;
- Identification sensitive areas to be avoided (providing shapefiles/kmls) in the proposed development;
- Evaluation of the significance of the planned development during the Pre-construction, Construction, Operation, Decommissioning Phases and Cumulative impacts. Potential impacts should be rated in terms of the direct, indirect and cumulative:
 - a. **Direct impacts** are impacts that are caused directly by the activity and generally occur at the same time and at the place of the activity.
 - b. **Indirect impacts** of an activity are indirect or induced changes that may occur as a result of the activity.
 - c. **Cumulative impacts** are impacts that result from the incremental impact of the proposed activity on a common resource when added to the impacts of other past, present or reasonably foreseeable future activities.
- Fair assessment of alternatives (infrastructure alternatives have been provided);
- Recommend mitigation measures to minimise the impact of the proposed development; and

- Implications of specialist findings for the proposed development (such as permits, licenses etc).

5 GEOLOGICAL AND PALAEOLOGICAL HISTORY

The geology of the proposed Barkly West Township establishment on Portion of Erf 711 in Barkly West, Dikgatlong Local Municipality, Northern Cape is depicted on the 1:250 000 2824 Kimberley Geological map (Council of Geoscience). The proposed Township development is underlain by Late Caenozoic superficial deposits well as the Allanridge Formation (Platberg Group, Ventersdorp Supergroup) (Figure 3-5). According to the PalaeoMap on the South African Heritage Resources Information System database, the Palaeontological Sensitivity of the Quaternary Superficial Deposits as well as the Allanridge Formation (Platberg Group, Ventersdorp Supergroup) is Moderate (Almond and Pether 2008, SAHRIS website).

The Tertiary to Quaternary Cenozoic superficial deposits (1.6 Million years to Recent) comprise of aeolian sand, alluvium (clay, silt and sand deposited by flowing floodwater in a river valley/ delta producing fertile soil), colluvium (material collecting at the foot of a steep slope), spring tufa/tuff (a porous rock composed of calcium carbonate and formed by precipitation from water, for example, around mineral springs) and lake deposits, peats, pedocretes or duricrusts (calcrete, ferricrete), soils and gravels. However, the Caenozoic superficial deposits in the study area (Qa) consist of alluvial diamondiferous gravels (Figure 4).

Quaternary fossil assemblages are generally rare and low in diversity and occur over a wide-ranging geographic area. These fossil assemblages may sometimes occur in extensive alluvial and colluvial deposits cut by dongas. Historically palaeontologists did not focus on Caenozoic superficial deposits although they sometimes comprise of significant fossil biotas. Fossil assemblages may comprise of bones, mammalian teeth and horn cores while reptile skeletons and fragments of ostrich eggs have also been uncovered. Microfossils and non-marine mollusc shells are also known from Quaternary deposits. Plant materials include foliage, pollens, peats and wood, as well as trace fossils like vertebrate tracks, burrows, rhizoliths (root casts) and termitaria (termite heaps/ mounds).

The Kaapvaal Craton stabilized 3000 to 2100 Million years ago. Four basins developed on the Kaapvaal Craton. The Ventersdorp Supergroup was the third Basin to develop and presents a unique volcanoclastic-sedimentary supracrustal record. The Ventersdorp Supergroup comprise of the biggest and most wide-spread system of volcanoclastic rocks in the Kaapvaal Craton.

The best exposures of the Ventersdorp Supergroup are in the Northern Cape and North West Province as well as Gauteng and southern Botswana. This Supergroup consists of (from oldest to youngest) the Kliprivierberg Group, which is overlain by the Platberg Group, followed by the

Palaeontological Field Assessment of the proposed Heineken Sedibeng Solar Plant near Vereeniging, Gauteng

sedimentary Bothaville Formation and the volcanic Allanridge Formation (uppermost Ventersdorp unit and youngest Formation).

The Platberg Group is subdivided in four formations namely the Kameeldoorns-, Goedgenoeg-, Makwassie-, and Rietgat Formations. These formations consist of heterogeneous rock varying from chemical and classic sediments, to felsic and mafic volcanics (Visser et al, 1975-1976, Buck, 1980).

The Allanridge Formation comprise mostly of light-greenish grey porphyritic lava, dark-green amygdaloidal lava, and pyroclastic rocks (Keyser, 1992). The lavas are approximately 2700 million years old and comprise of basaltic andesites. The Vryburg Formation overlies the Ventersdorp Supergroup and is interpreted as a fluvial to marginal marine deposit that comprise of basal transgressive conglomerate and quartzites, subordinate stromatolitic carbonates and shales (Eriksson et al., 2006).

The Allanridge Formation is known for its stromatolites and possible organic walled microfossils in cherts. Stromatolites are layered mounds, columns and sheet-like sedimentary rocks (Figure 5). These structures were originally formed by the growth of layer upon layer of cyanobacteria, a single-celled photosynthesizing microbe. Cyanobacteria are prokaryotic cells (simplest form of modern carbon-based life). Stromatolites are first found in Precambrian rocks and are known as the earliest known fossils. The oxygen atmosphere that we depend on was generated by numerous cyanobacteria photosynthesizing during the Archaean and Proterozoic Era.



Figure 3: Example of a well-preserved stromatolite from the Archaean Era.

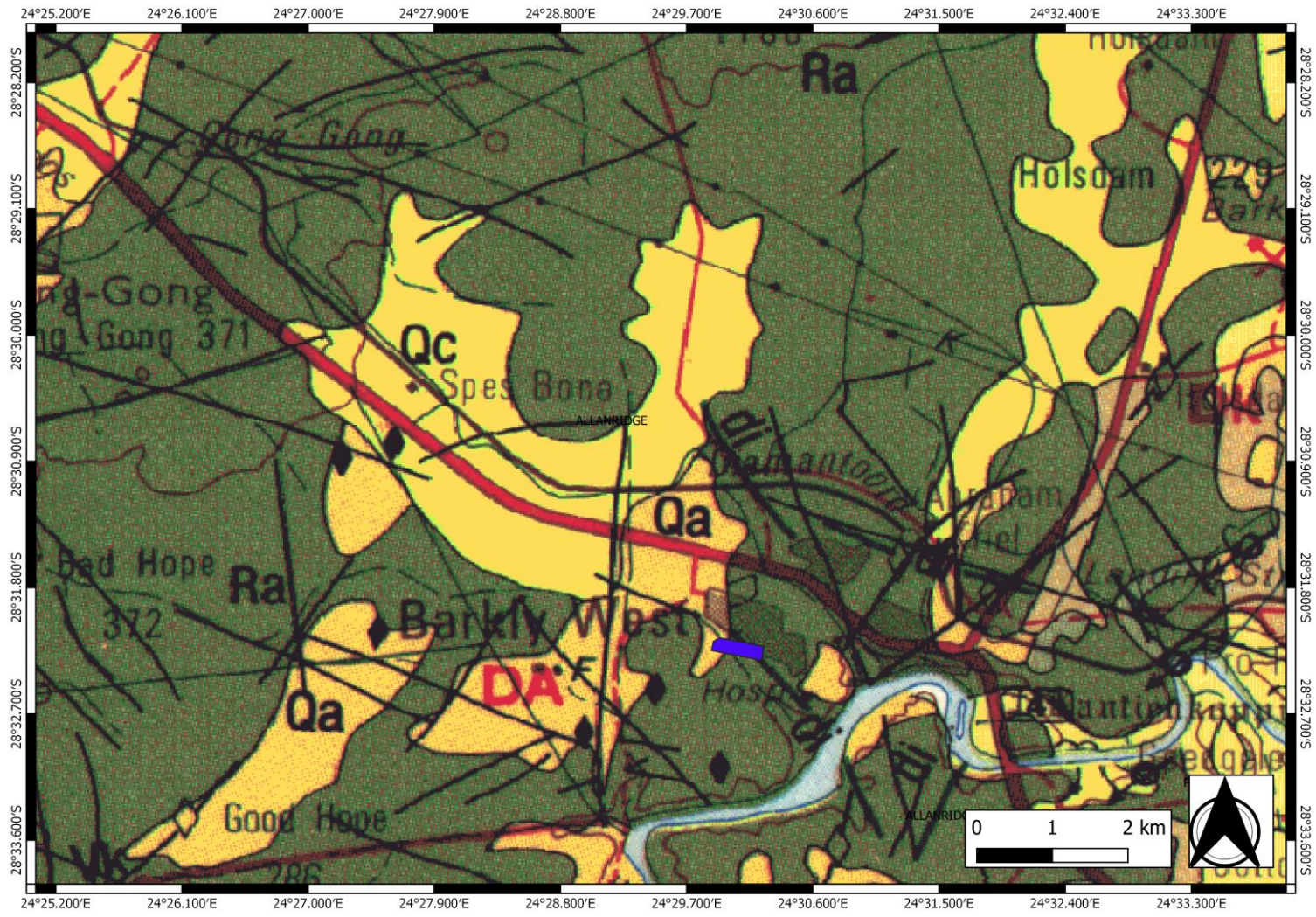
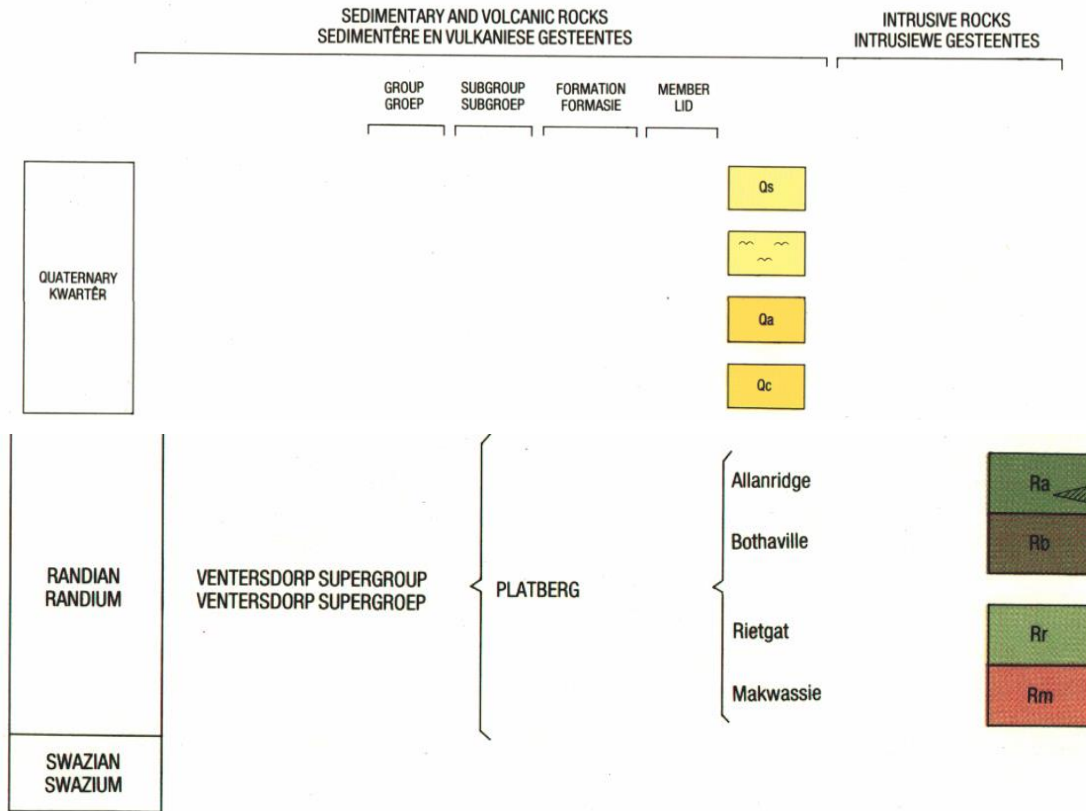


Figure 4: Extract of the 1:250 000 2824 Kimberley Geological map (Council of Geoscience) of the proposed Barkly West Township Establishment, Northern Cape Northern Cape (development footprint indicated in blue).

Palaeontological Desktop Assessment for the Barkly West Township Development, Northern Cape

GEOLOGICAL LEGEND GEOLOGIESE LEGENDE



Legend to Map and short explanation

Qa-Alluvial diamondiferous gravel

Qc- Calcrete, calcified pandune and surface limestone

Os- Sand: Red and grey aeolian dune sand

Ra - Allanridge Formation, Platberg Group, Ventersdorp Supergroup

Mining

Dm-diamonds

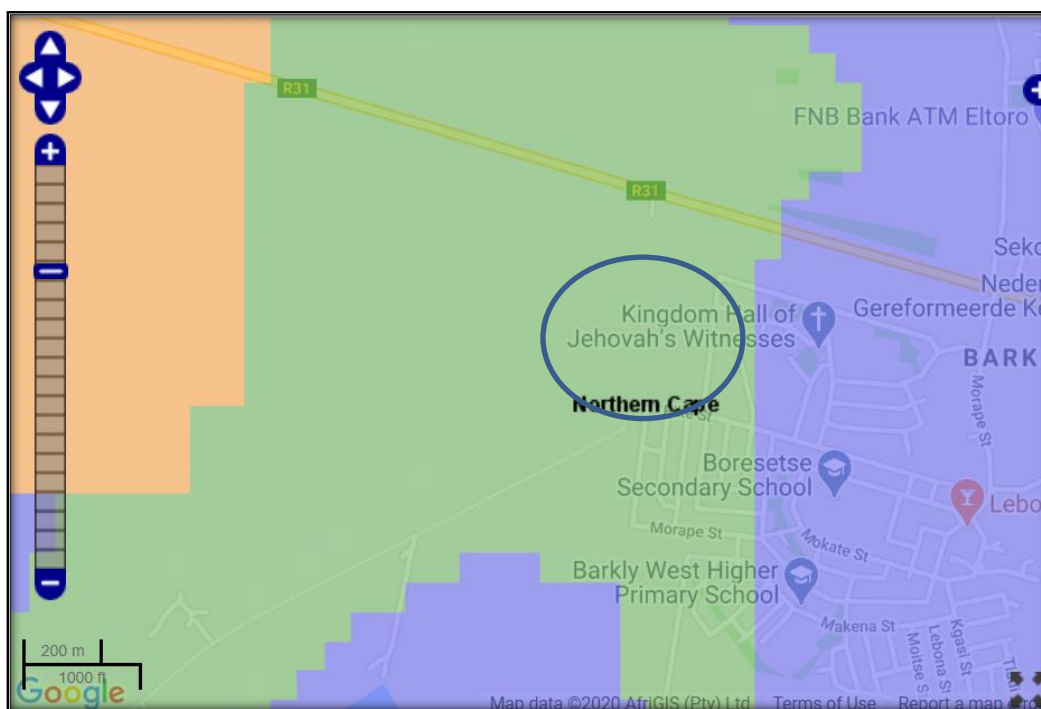


Figure 5: Extract of the 1 in 250 000 SAHRIS PalaeoMap map (Council of Geosciences) indicating the approximate position of the proposed township development in Barkly West, Northern Cape.

Colour	Sensitivity	Required Action
RED	VERY HIGH	field assessment and protocol for finds is required
ORANGE/YELLOW	HIGH	desktop study is required and based on the outcome of the desktop study, a field assessment is likely
GREEN	MODERATE	desktop study is required
BLUE	LOW	no palaeontological studies are required however a protocol for finds is required
GREY	INSIGNIFICANT/ZERO	no palaeontological studies are required
WHITE/CLEAR	UNKNOWN	these areas will require a minimum of a desktop study. As more information comes to light, SAHRA will continue to populate the map.

The proposed Barkly West Township establishment on Portion of Erf 711 in Barkly West, Dikgatlong Local Municipality, Northern Cape is underlain by Late Caenozoic superficial deposits and Allanridge Formation (Platberg Group, Ventersdorp Supergroup) which has a Moderate Palaeontological Sensitivity (Almond and Pether 2008, SAHRIS website) There is thus a chance of finding fossils in the study area.

6 GEOGRAPHICAL LOCATION OF THE SITE

The proposed development is situated on Portion of Erf 711 in Barkly West. The study site is approximately 3 km from the centre of town on the R31.

7 METHODS

The aim of a Palaeontological Impact Assessment is to evaluate the risk to palaeontological heritage in the proposed development. This include all trace fossils and fossils. All available information is consulted to compile a PIA and includes: Palaeontological impact assessment reports in the same area; aerial photos and Google Earth images, topographical as well as geological maps.

7.1 Assumptions and Limitations

The focal point of geological maps is the geology of the area and the sheet explanations were not meant to focus on palaeontological heritage. Many inaccessible regions of South Africa have never been reviewed by palaeontologists and data is generally based on aerial photographs alone. Locality and geological information of museums and universities databases have not been kept up to date or data collected in the past have not always been accurately documented.

Comparable Assemblage Zones in other areas is sourced to provide information on the existence of fossils in an area which was not documented in the past. When using similar Assemblage Zones and geological formations for Desktop studies it is generally **assumed** that exposed fossil heritage is present within the footprint

8 ADDITIONAL INFORMATION CONSULTED

In compiling this report the following sources were consulted:

- Geological map 1:100 000, Geology of the Republic of South Africa (Visser 1984);
- 1: 250 000 2824 Kimberley Geological map (Council of Geoscience);
- A Google Earth map with polygons of the proposed development was obtained from NSVT Consultants

9 IMPACT ASSESSMENT METHODOLOGY

Aspect	Description	Weight	Significance Rating	Weight	Score Color
Duration	Short term	1	(Duration+Scale+Magnitude) x probability		
	Medium term	3			
	Long term	4			
Scale/Extent	Permanent	5	Negligible	<20	X
	Site	1	Low	<40	
	Local	2			
	Regional	3			
Magnitude/Severity	Low	2	Moderate	<60	
	Medium	6			
	High	8			
Probability	Improbable	1	High	>60	
	Probable	2			
	Highly Probable	4			
	Probable	5			
	Definite				

EVALUATION OF CUMULATIVE IMPACTS

Cumulative effects are a result of effects that act together (including those from concurrent or planned future third party activities) to affect the same resources and/or receptors as the project under consideration (eg the combined effect of other similar projects in the general area). An effect to a resource in itself may not be considered significant but may become significant when added to the existing and potential effects eventuating from similar or diverse developments in the area.

Cumulative effects have been defined as “changes to the environment that are caused by an action in combination with other past, present and future human actions ” (Hegmann et al 1999).

RESIDUAL IMPACT EVALUATION	Residual impacts are defined as those impacts that remain following the implementation of mitigation
Negligible	Residual impact does not affect structure, function or processes
Low	Residual impact affect some structure, but system is still functional
Moderate	Residual impact affect structure and function by less than 50% of original quality
High	Residual impact affect structure and function by more than 50% of original quality

Probability: This describes the likelihood of the impact actually occurring.

Improbable: The possibility of the impact occurring is very low, due to the circumstances, design or experience.

Probable: There is a probability that the impact will occur to the extent that provision must be made therefore.

Highly Probable: It is most likely that the impact will occur at some stage of the development

Definite: The impact will take place regardless of any prevention plans, and there can only be relied on mediatory actions or contingency plans to contain the effect.

Duration: The lifetime of the impact.

Short term: The impact will either disappear with mitigation or will be mitigated through natural processes in a time span shorter than any of the phases.

Medium term: The impact will last up to the end of the phases, where after it will be negated.

Long term: The impact will last for the entire operational phase of the project but will be mitigated by direct human action or by natural processes thereafter.

Permanent: Impact that will be non-transitory. Mitigation either by man or natural processes will not occur in such a way or in such a time span that the impact can be considered transient.

Scale: The physical and spatial size of the impact

Site: The impacted area extends only as far as the activity, e.g. footprint

Local: The impact could affect the whole, or a measurable portion of the above mentioned properties and adjacent properties.

Regional: The impact could affect the area including the neighbouring residential areas.

Magnitude/ Severity: Does the impact destroy the environment, or alter its function.

Low: The impact alters the affected environment in such a way that natural processes are not affected.

Medium: The affected environment is altered, but functions and processes continue in a modified way.

High: Function or process of the affected environment is disturbed to the extent where it temporarily or permanently ceases.

Significance: This is an indication of the **importance of the impact** in terms of both physical extent and time scale, and therefore indicates the level of mitigation required.

Negligible: The impact is non-existent or unsubstantial and is of no or little importance to any stakeholder and can be ignored.

Low: The impact is limited in extent, has low to medium intensity; whatever its probability of occurrence is, the impact will not have a material effect on the decision and is likely to require management intervention with increased costs.

Moderate: The impact is of importance to one or more stakeholders, and its intensity will be medium or high; therefore, the impact may materially affect the decision, and management intervention will be required.

High: The impact could render development options controversial or the project unacceptable if it cannot be reduced to acceptable levels; and/or the cost of management intervention will be a significant factor in mitigation.

9.1 Summary of Impact Tables

The proposed Barkly West Township establishment on Portion of Erf 711 in Barkly West, Dikgatlong Local Municipality, Northern Cape is underlain by Late Caenozoic superficial deposits as well as the Allanridge Formation (Platberg Group of the Ventersdorp Supergroup).

Loss of fossil heritage will be a negative impact. Only the site will be affected by the proposed development. The expected duration of the impact is assessed as potentially permanent. In the absence of mitigation procedures, the damage or destruction of any palaeontological materials will be permanent. Impacts on palaeontological heritage during the construction phase could potentially occur but are regarded as having a low probability. The significance of the impact occurring will be low.

10 FINDINGS AND RECOMMENDATIONS

The proposed Barkly West Township establishment on Portion of Erf 711 in Barkly West, Dikgatlong Local Municipality, Northern Cape is underlain by Late Caenozoic superficial deposits and the Allanridge Formation (Platberg Group, Ventersdorp Supergroup). According to the PalaeoMap on the South African Heritage Resources Information System database, the Palaeontological Sensitivity of the Quaternary Superficial deposits as well as the Allanridge Formation is Moderate (Almond and Pether 2008, SAHRIS website).

If fossil remains or trace fossils are discovered during any phase of construction, either on the surface or exposed by excavations the **Chance Find Protocol** must be implemented by the

Environmental Control Officer (ECO) in charge of these developments. These discoveries ought to be protected and the ECO must report to SAHRA (Contact details: SAHRA, 111 Harrington Street, Cape Town. PO Box 4637, Cape Town 8000, South Africa. Tel: 021 462 4502. Fax: +27 (0)21 462 4509. Web: www.sahra.org.za) so that mitigation can be carry out by a paleontologist.

It is consequently recommended that no further palaeontological heritage studies, ground truthing and/or specialist mitigation are required pending the discovery of newly discovered fossils.

11 CHANCE FINDS PROTOCOL

A following procedure will only be followed if fossils are uncovered during excavation.

11.1 Legislation

Cultural Heritage in South Africa (includes all heritage resources) is protected by the **National Heritage Resources Act (Act 25 of 1999) (NHRA)**. According to Section 3 of the Act, all Heritage resources include “**all objects recovered from the soil or waters of South Africa, including archaeological and palaeontological objects and material, meteorites and rare geological specimens**”.

Palaeontological heritage is unique and non-renewable and is protected by the NHRA and are the property of the State. It is thus the responsibility of the State to manage and conserve fossils on behalf of the citizens of South Africa. Palaeontological resources may not be excavated, broken, moved, or destroyed by any development without prior assessment and without a permit from the relevant heritage resources authority as per section 35 of the NHRA.

11.2 Background

A fossil is the naturally preserved remains (or traces) of plants or animals embedded in rock. These plants and animals lived in the geologic past millions of years ago. Fossils are extremely rare and irreplaceable. By studying fossils, it is possible to determine the environmental conditions that existed in a specific geographical area millions of years ago.

11.3 Introduction

This informational document is intended for workmen and foremen on construction sites. It describes the actions to be taken when mining or construction activities accidentally uncovers fossil material.

It is the responsibility of the Environmental Site Officer (ESO) or site manager of the project to train the workmen and foremen in the procedure to follow when a fossil is accidentally uncovered. In the absence of the ESO, a member of the staff must be appointed to be responsible for the proper implementation of the chance find protocol as not to compromise the conservation of fossil material.

11.4 Chance Find Procedure

- If a chance find is made the person responsible for the find must immediately **stop working** and all work that could impact that finding must cease in the immediate vicinity of the find.
- The person who made the find must immediately **report** the find to his/her direct supervisor which in turn must report the find to his/her manager and the ESO or site manager. The ESO or site manager must report the find to the relevant Heritage Agency (South African Heritage Research Agency, SAHRA). (Contact details: SAHRA, 111 Harrington Street, Cape Town. PO Box 4637, Cape Town 8000, South Africa. Tel: 021 462 4502. Fax: +27 (0)21 462 4509. Web: www.sahra.org.za). The information to the Heritage Agency must include photographs of the find, from various angles, as well as the GPS co-ordinates.
- A preliminary report must be submitted to the Heritage Agency within **24 hours** of the find and must include the following: 1) date of the find; 2) a description of the discovery and a 3) description of the fossil and its context (depth and position of the fossil), GPS co-ordinates.
- Photographs (the more the better) of the discovery must be of high quality, in focus, accompanied by a scale. It is also important to have photographs of the vertical section (side) where the fossil was found.

Upon receipt of the preliminary report, the Heritage Agency will inform the ESO (or site manager) whether a rescue excavation or rescue collection by a palaeontologist is necessary.

- The site must be secured to protect it from any further damage. **No attempt** should be made to remove material from their environment. The exposed finds must be stabilized and covered by a plastic sheet or sand bags. The Heritage agency will also be able to advise on the most suitable method of protection of the find.
- In the event that the fossil cannot be stabilized the fossil may be collected with extreme care by the ESO (site manager). Fossils finds must be stored in tissue paper and in an appropriate box while due care must be taken to remove all fossil material from the rescue site.

- Once Heritage Agency has issued the written authorization, the developer may continue with the development on the affected area.

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Appendix A – Elize Butler CV

CURRICULUM VITAE

ELIZE BUTLER

PROFESSION: Palaeontologist
YEARS' EXPERIENCE: 26 years in Palaeontology

EDUCATION: B.Sc Botany and Zoology, 1988
University of the Orange Free State

B.Sc (Hons) Zoology, 1991
University of the Orange Free State

Management Course, 1991
University of the Orange Free State

M. Sc. *Cum laude* (Zoology), 2009
University of the Free State

Dissertation title: The postcranial skeleton of the Early Triassic non-mammalian Cynodont *Galesaurus planiceps*: implications for biology and lifestyle

MEMBERSHIP

Palaeontological Society of South Africa (PSSA) 2006-currently

EMPLOYMENT HISTORY

Part-time Laboratory assistant Department of Zoology & Entomology
University of the Free State Zoology
1989-1992

Part-time laboratory assistant Department of Virology
University of the Free State Zoology
1992

Research Assistant National Museum, Bloemfontein 1993 –
1997

Principal Research Assistant National Museum, Bloemfontein
and Collection Manager 1998–currently

TECHNICAL REPORTS

Butler, E. 2014. Palaeontological Impact Assessment for the proposed upgrade of existing water supply infrastructure at Noupoot, Northern Cape Province. 2014. Bloemfontein.

Butler, E. 2015. Palaeontological impact assessment of the proposed consolidation, re-division and development of 250 serviced erven in Nieu-Bethesda, Camdeboo local municipality, Eastern Cape. Bloemfontein.

Butler, E. 2015. Palaeontological impact assessment of the proposed mixed land developments at Rooikraal 454, Vrede, Free State. Bloemfontein.

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Butler, E. 2015. Palaeontological impact assessment of the proposed Orange Grove 3500 residential development, Buffalo City Metropolitan Municipality East London, Eastern Cape. Bloemfontein.

Butler, E. 2015. Palaeontological Impact Assessment of the proposed Gonubie residential development, Buffalo City Metropolitan Municipality East London, Eastern Cape Province. Bloemfontein.

Butler, E. 2015. Palaeontological Impact Assessment of the proposed Ficksburg raw water pipeline. Bloemfontein.

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Butler, E. 2015. Palaeontological Impact Assessment of the proposed township establishment on the remainder of portion 6 and 7 of the farm Sunnyside 2620, Bloemfontein, Mangaung metropolitan municipality, Free State, Bloemfontein.

Butler, E. 2015. Palaeontological Impact Assessment of the proposed Woodhouse 1 photovoltaic solar energy facilities and associated infrastructure on the farm Woodhouse729, near Vryburg, North West Province. Bloemfontein.

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Butler, E. 2015. Palaeontological Impact Assessment of the proposed Orkney solar energy farm and associated infrastructure on the remaining extent of Portions 7 and 21 of the farm Wolvehuis 114, near Orkney, North West Province. Bloemfontein.

Butler, E. 2015. Palaeontological Impact Assessment of the proposed Spectra foods broiler houses and abattoir on the farm Maiden Manor 170 and Ashby Manor 171, Lukhanji Municipality, Queenstown, Eastern Cape Province. Bloemfontein.

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Butler, E. 2016. Recommendation from further Palaeontological Studies: Proposed Construction of the Modderfontein Filling Station on Erf 28 Portion 30, Founders Hill, City of Johannesburg, Gauteng Province. Bloemfontein.

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Butler, E. 2017. Palaeontological Impact Assessment of the proposed consolidation of the proposed Ilima Colliery in the Albert Luthuli local municipality, Gert Sibande District Municipality, Mpumalanga Province. Bloemfontein.

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Butler, E. 2017. Palaeontological Desktop Assessment for the proposed development of Wastewater Treatment Works on Hartebeesfontein, near Panbult, Mpumalanga. Bloemfontein.

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Butler, E. 2018. Palaeontological Desktop Assessment for the proposed Mashishing township establishment in Mashishing (Lydenburg), Mpumalanga Province. Bloemfontein.

Butler, E. 2018. Palaeontological Desktop Assessment for the Proposed Mlonzi Estate Development near Lusikisiki, Ngquza Hill Local Municipality, Eastern Cape. Bloemfontein.

Butler, E. 2018. Palaeontological Phase 1 Assessment of the proposed Swaziland-Mozambique border patrol road and Mozambique barrier structure. Bloemfontein.

Butler, E. 2018. Palaeontological Desktop Assessment for the proposed electricity expansion project and Sekgame Switching Station at the Sishen Mine, Northern Cape Province. Bloemfontein.

Butler, E. 2018. Palaeontological field assessment of the proposed construction of the Zonnebloem Switching Station (132/22kV) and two loop-in loop-out power lines (132kV) in the Mpumalanga Province. Bloemfontein.

Butler, E. 2018. Palaeontological Field Assessment for the proposed re-alignment and de-commissioning of the Firham-Platrand 88kv Powerline, near Standerton, Lekwa Local Municipality, Mpumalanga province. Bloemfontein.

Butler, E. 2018. Palaeontological Desktop Assessment of the proposed Villa Rosa development In the Buffalo City Metropolitan Municipality, East London. Bloemfontein.

Butler, E. 2018. Palaeontological field Assessment of the proposed Villa Rosa development In the Buffalo City Metropolitan Municipality, East London. Bloemfontein.

Butler, E. 2018. Palaeontological desktop assessment of the proposed Mookodi – Mahikeng 400kV line, North West Province. Bloemfontein.

Butler, E. 2018. Palaeontological Desktop Assessment for the proposed Thornhill Housing Project, Ndlambe Municipality, Port Alfred, Eastern Cape Province. Bloemfontein.

Butler, E. 2018. Palaeontological desktop assessment of the proposed housing development on portion 237 of farm Hartebeestpoort 328. Bloemfontein.

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Butler, E. 2018. Palaeontological Field Assessment of the proposed Megamor Extension, East London. Bloemfontein.

Butler, E. 2018. Palaeontological Impact Assessment of the proposed diamonds Alluvial & Diamonds General Prospecting Right Application near Christiana on the Remaining Extent of Portion 1 of the Farm Kaffraria 314, Registration Division HO, North West Province. Bloemfontein.

Butler, E. 2018. Palaeontological Impact Assessment of the proposed construction of a new 11kV (1.3km) Power Line to supply electricity to a cell tower on farm 215 near Delportshoop in the Northern Cape. Bloemfontein.

Butler, E. 2018. Palaeontological Field Assessment of the proposed construction of a new 22 kV single wood pole structure power line to the proposed MTN tower, near Britstown, Northern Cape Province. Bloemfontein.

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Butler, E. 2018. Proposed Kalabasfontein Mine Extension project, near Bethal, Govan Mbeki District Municipality, Mpumalanga. Bloemfontein.

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Butler, E. 2018. Environmental Impact Assessment (EIA) for the Proposed 325 MW Rondekop Wind Energy Facility between Matjiesfontein and Sutherland in the Northern Cape Province.

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- E. Butler. 2019.** Recommended Exemption from further Palaeontological studies: of Proposed Agricultural Development, Plot 1178, Kakamas South Settlement, Kai! Garib Municipality
- E. Butler. 2019.** Palaeontological Desktop Assessment for the Proposed Waste Rock Dump Project at Tshipi Borwa Mine, near Hotazel, Northern Cape Province:
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- E. Butler. 2019.** Palaeontological Desktop Assessment of the proposed Integrated Environmental Authorisation process for the proposed Der Brochen Amendment project, near Groblershoop, Limpopo
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- E. Butler. 2019.** Palaeontological Desktop Assessment of the proposed Kriel Power Station Lime Plant Upgrade, Mpumalanga Province
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- E. Butler. 2019.** Palaeontological Desktop Assessment for the proposed construction of an iron/steel smelter at the Botshabelo Industrial area within the Mangaung Metropolitan Municipality, Free State Province.

- E. Butler. 2019.** Recommended Exemption from further Palaeontological studies for the proposed agricultural development on farms 1763, 2372 and 2363, Kakamas South settlement, Kai! Garib Municipality, Mgcawu District Municipality, Northern Cape Province.
- E. Butler. 2019.** Recommended Exemption from further Palaeontological Studies for Proposed formalisation of Gamakor and Noodkamp low cost Housing Development, Keimoes, Gordonia Rd, Kai !Garib Local Municipality, ZF Mgcawu District Municipality, Northern Cape Province.
- E. Butler. 2019.** Recommended Exemption from further Palaeontological Studies for proposed formalisation of Blaauwskop Low Cost Housing Development, Kenhardt Road, Kai !Garib Local Municipality, ZF Mgcawu District Municipality, Northern Cape Province.
- E. Butler. 2019.** Palaeontological Desktop Assessment of the proposed mining permit application for the removal of diamonds alluvial and diamonds kimberlite near Windsorton on a certain portion of Farm Zoelen's Laagte 158, Registration Division: Barkly Wes, Northern Cape Province.
- E. Butler. 2019.** Palaeontological Desktop Assessment of the proposed Vedanta Housing Development, Pella Mission 39, Khâi-Ma Local Municipality, Namakwa District Municipality, Northern Cape.
- E. Butler. 2019.** Palaeontological Desktop Assessment for The Proposed 920 Kwp Groenheuwel Solar Plant Near Augrabies, Northern Cape Province
- E. Butler. 2019.** Palaeontological Desktop Assessment for the establishment of a Super Fines Storage Facility at Amandelbult Mine, Near Thabazimbi, Limpopo Province
- E. Butler. 2019.** Palaeontological Impact Assessment for the proposed Sace Lifex Project, Near Emalahleni, Mpumalanga Province
- E. Butler. 2019.** Palaeontological Desktop Assessment for the proposed Rehau Fort Jackson Warehouse Extension, East London
- E. Butler. 2019.** Palaeontological Desktop Assessment for the proposed Environmental Authorisation Amendment for moving 3 Km Of the Merensky-Kameni 132KV Powerline
- E. Butler. 2019.** Palaeontological Impact Assessment for the proposed Umsobomvu Solar PV Energy Facilities, Northern and Eastern Cape
- E. Butler. 2019.** Palaeontological Desktop Assessment for six proposed Black Mountain Mining Prospecting Right Applications, without Bulk Sampling, in the Northern Cape.
- E. Butler. 2019.** Palaeontological field Assessment of the Filling Station (Rietvlei Extension 6) on the Remaining Portion of Portion 1 of the Farm Witkoppies 393JR east of the Rietvleidam Nature Reserve, City of Tshwane, Gauteng

- E. Butler. 2019.** Palaeontological Desktop Assessment Of The Proposed Upgrade Of The Vaal Gamagara Regional Water Supply Scheme: Phase 2 And Groundwater Abstraction
- E. Butler. 2019.** Palaeontological Desktop Assessment Of The Expansion Of The Jan Kempdorp Cemetry On Portion 43 Of Farm Guldenskat 36-Hn, Northern Cape Province
- E. Butler. 2019.** Palaeontological Desktop Assessment of the Proposed Residential Development On Portion 42 Of Farm Geldunskat No 36 In Jan Kempdorp, Phokwane Local Municipality, Northern Cape Province
- E. Butler. 2019.** Palaeontological Impact Assessment of the proposed new Township Development, Lethabo Park, on Remainder of Farm Roodepan No 70, Erf 17725 And Erf 15089, Roodepan Kimberley, Sol Plaatjies Local Municipality, Frances Baard District Municipality, Northern Cape
- E. Butler. 2019.** Palaeontological Protocol for Finds for the proposed 16m WH Battery Storage System in Steinkopf, Northern Cape Province
- E. Butler. 2019.** Palaeontological Exemption Letter of the proposed 4.5WH Battery Storage System near Midway-Pofadder, Northern Cape Province
- E. Butler. 2019.** Palaeontological Exemption Letter of the proposed 2.5ml Process Water Reservoir at Gloria Mine, Black Rock, Hotazel, Northern Cape
- E. Butler. 2019.** Palaeontological Desktop Assessment for the Establishment of a Super Fines Storage Facility at Gloria Mine, Black Rock Mine Operations, Hotazel, Northern Cape:
- E. Butler. 2019.** Palaeontological Desktop Assessment for the Proposed New Railway Bridge, and Rail Line Between Hotazel and the Gloria Mine, Northern Cape Province
- E. Butler. 2019.** Palaeontological Exemption Letter of the Proposed Mixed Use Commercial Development On Portion 17 Of Farm Boegoeberg Settlement Number 48, !Kheis Local Municipality In The Northern Cape Province
- E. Butler. 2019.** Palaeontological Desktop Assessment of the Proposed Diamond Mining Permit Application Near Kimberley, Sol Plaatjies Municipality, Northern Cape Province
- E. Butler. 2019.** Palaeontological Desktop Assessment of the Proposed Diamonds (Alluvial, General & In Kimberlite) Prospecting Right Application near Postmasburg, Registration Division; Hay, Northern Cape Province

CONFERENCE CONTRIBUTIONS

NATIONAL

PRESENTATION

Butler, E., Botha-Brink, J., and F. Abdala. A new gorgonopsian from the uppermost *Dicynodon Assemblage Zone*, Karoo Basin of South Africa. 18th Biennial conference of the PSSA 2014. Wits, Johannesburg, South Africa.

INTERNATIONAL

Attended the Society of Vertebrate Palaeontology 73th Conference in Los Angeles, America. October 2012.

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Butler, E., and J. Botha-Brink. Cranial skeleton of *Galesaurus planiceps*, implications for biology and lifestyle. University of the Free State Seminar Day, Bloemfontein. South Africa. November 2007.

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Butler, E., and J. Botha-Brink. The biology of the South African non-mammaliaform cynodont *Galesaurus planiceps*. 15th Conference of the PSSA, Howick, South Africa. August 2008.

INTERNATIONAL VISITS

Natural History Museum, London

July 2008

Paleontological Institute, Russian Academy of Science, Moscow

November 2014