Palaeontological Impact Assessment for the proposed Grootpoort overhead transmission line, southwestern Free State Province

Desktop Study (Phase 1)

For

CTS Heritage

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Prof Marion Bamford
Palaeobotanist
P Bag 652, WITS 2050
Johannesburg, South Africa

Marion.bamford@wits.ac.za

Expertise of Specialist

The Palaeontologist Consultant: Prof Marion Bamford Qualifications: PhD (Wits Univ, 1990); FRSSAf, ASSAf Experience: 32 years research; 24 years PIA studies

Declaration of Independence

This report has been compiled by Professor Marion Bamford, of the University of the Witwatersrand, sub-contracted by CTS Heritage, Cape Town, South Africa. The views expressed in this report are entirely those of the author and no other interest was displayed during the decision making process for the Project.

Specialist: Prof Marion Bamford

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Signature:

Executive Summary

A Palaeontological Impact Assessment was requested for the proposed realignment of the Grootpoort overhead transmission line, between the farm Grootpoort and south to the R48 and the van der Kloof Dam.

To comply with regulations of the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed development.

The route of the Grootpoort overhead transmission line is alongside the road. The southern third is on non-fossiliferous Jurassic dolerite. The rest is on a mix of potentially fossiliferous (trace fossils) Tierberg Formation (Ecca Group, Karoo Supergroup), Jurassic dolerite and on the Quaternary aeolian sands and calcretes that are non-fossilferous unless there are traps for fossils such as paleo-pans or palaeo-springs. No such feature is visible on the satellite imagery. Nonetheless, a Fossil Chance Find Protocol should be added to the EMPr. Based on this information it is recommended that no palaeontological site visit is required unless fossils are found when excavations for pole foundations commence.

Table of Contents

	Expertise of Specialist	1
	Declaration of Independence	1
1.	Background	4
2.	Methods and Terms of Reference	6
;	3i. Project location and geological context	7
;	Bii. Palaeontological context	8
4.	Impact assessment	9
5.	Assumptions and uncertainties	11
6.	Recommendation	11
7.	References	11
8.	Chance Find Protocol	12
Ар	pendix A (examples of fossils)	13
Αn	nendix B (short CV of specialist)	14

1. Background

This application is for the proposed development of the Grootpoort overhead power line (OHL) that runs along the road reserve of the road from the R48 to the Vanderkloof Dam. The area proposed for the development of the OHL is located along an existing road that runs through land that has been extensively transformed through agricultural activities. The nearest town to the proposed development is Vanderkloof that has been established on the banks of the dam. The Vanderkloof Dam was constructed in 1977. Neither the town nor the dam are anticipated to be negatively impacted by the proposed development of the OHL.

A Palaeontological Impact Assessment was requested for the project. To comply with the regulations of the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed development and is presented herein.

Table 1: Specialist report requirements in terms of Appendix 6 of the EIA Regulations (amended 2017)

	A specialist report prepared in terms of the Environmental Impact Regulations of 2017 must contain:	Relevant section in report
ai	Details of the specialist who prepared the report	Appendix B
aii	The expertise of that person to compile a specialist report including a curriculum vitae	
b	A declaration that the person is independent in a form as may be specified by the competent authority	
С	An indication of the scope of, and the purpose for which, the report was prepared	
ci	An indication of the quality and age of the base data used for the specialist report: SAHRIS palaeosensitivity map accessed – date of this report	
cii	A description of existing impacts on the site, cumulative impacts of the proposed development and levels of acceptable change	
d	The date and season of the site investigation and the relevance of the season to the outcome of the assessment	
е	A description of the methodology adopted in preparing the report or carrying out the specialised process	
f	The specific identified sensitivity of the site related to the activity and its associated structures and infrastructure	
g	An identification of any areas to be avoided, including buffers	N/A

h	A map superimposing the activity including the associated structures and infrastructure on the environmental sensitivities of the site including areas to be avoided, including buffers;	N/A
i	A description of any assumptions made and any uncertainties or gaps in knowledge;	Section 5
j	A description of the findings and potential implications of such findings on the impact of the proposed activity, including identified alternatives, on the environment	Section 4
k	Any mitigation measures for inclusion in the EMPr	Section 7, Appendix A
I	Any conditions for inclusion in the environmental authorisation	N/A
m	Any monitoring requirements for inclusion in the EMPr or environmental authorisation	Section 7, Appendix A
ni	A reasoned opinion as to whether the proposed activity or portions thereof should be authorised	N/A
nii	If the opinion is that the proposed activity or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan	N/A
0	A description of any consultation process that was undertaken during the course of carrying out the study	N/A
р	A summary and copies if any comments that were received during any consultation process	N/A
q	Any other information requested by the competent authority.	N/A



Figure 1: Google Earth map of the route for the Grootpoort OHL alongside the existing powerline and road, shown by the yellow line. Map supplied by CTS Heritage.

Methods and Terms of Reference

The Terms of Reference (ToR) for this study were to undertake a PIA and provide feasible management measures to comply with the requirements of SAHRA.

The methods employed to address the ToR included:

- 1. Consultation of geological maps, literature, palaeontological databases, published and unpublished records to determine the likelihood of fossils occurring in the affected areas. Sources included records housed at the Evolutionary Studies Institute at the University of the Witwatersrand and SAHRA databases;
- 2. Where necessary, site visits by a qualified palaeontologist to locate any fossils and assess their importance (not applicable to this assessment);
- Where appropriate, collection of unique or rare fossils with the necessary permits for storage and curation at an appropriate facility (not applicable to this assessment); and
- 4. Determination of fossils' representivity or scientific importance to decide if the fossils can be destroyed or a representative sample collected (not applicable to this assessment).

3. Geology and Palaeontology

i. Project location and geological context

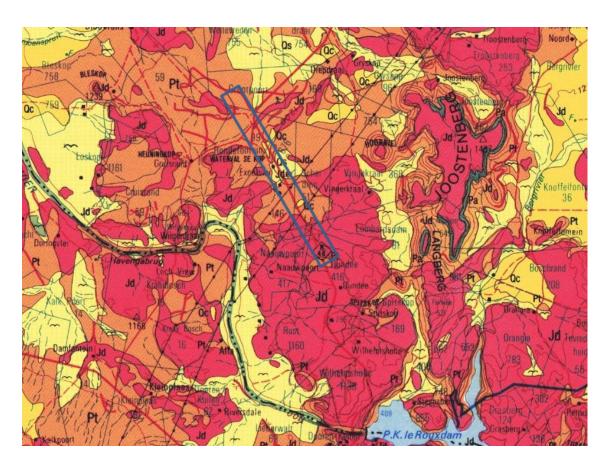


Figure 2: Geological map of the area around the Grootpoort OHL with the route indicated within the blue rectangle. Abbreviations of the rock types are explained in Table 2. Map enlarged from the Geological Survey 1: 250 000 map 2927 Koffiefontein.

Table 2: Explanation of symbols for the geological map and approximate ages (Johnson et al., 2006; Partridge et al., 2006; Smith et al., 2020). SG = Supergroup; Fm = Formation; Ma = million years; AZ = vertebrate Assemblage Zone; grey shading = formations impacted by the project.

Symbol	Group/Formation	Lithology	Approximate Age
Qs	Quaternary	Alluvium, sand, calcrete	Neogene, ca 2.5 Ma to present
Jd	Jurassic dykes	Dolerite dykes, intrusive	Jurassic, approx. 180 Ma
Pa	Adelaide Subgroup, Beaufort Group, Karoo SG	Mudstones, sandstone, thin cherty beds	Late Permian, Early Beaufort
Pt	Tierberg Fm, Ecca Group, Karoo SG	Shales with carbonaceous concretions and subordinate sandstone and siltstone in the upper part	Late Ecca, middle Permian

The site is in the southwestern part of the Main Karoo Basin that is filled with the rocks and sediments of the Karoo Supergroup (Rubidge (Ed)., 1995). These old rocks are unconformably overlain by Quaternary sands and alluvium of the Kalahari Group (Figure 2).

The Main Karoo Basin covers a large proportion of South Africa and represents some 120 million years of deposition. At the base is the Carboniferous-Permian Dwyka Group, then the Permian aged Ecca Group, Permian-Triassic Beaufort Group, the Triassic-Jurassic Stormberg Group, all capped by the Drakensberg basalts.

In this part of the basin the Ecca Group is divided into the lower Tierberg or Fort Brown Formations, and the upper Waterford Formation. To the east the Ecca Group would be divided into the Vryheid and Volksrust Formations of more or less equivalent age. There are six formations in the Beaufort Group but they are not present in this area. Intruding through the Karoo rocks are volcanic dykes of Jurassic age and they were emplaced when the major Drakensberg basalts poured out and capped the Karoo sediments. These dolerite dykes are common in the area and because they are harder than the Karoo sediments they form ridges and hills.

The OHL route is also on the Quaternary sands, alluvium and calcrete that have covered the underlying rocks during the Quaternary. The depth of the overlying sands, however, is unknown.

ii. Palaeontological context

The Tierberg Formation (Ecca Group) is potentially fossiliferous because it is the same age as the Fort Brown and Volksrust Formations BUT no body fossils have been found there. It is too old for the vertebrates and the *Glossopteris* flora has not yet been recorded (Plumstead, 1969; Anderson and Anderson, 1985; Bamford 2004). A trace fossil, trackway of an unknown organism, but called *Plagiogmus* in this instance, was recorded from Calvinia by Almond (in McRae, 1999). Barbolini et al. (2018) were able to find pollen in the Tierberg Formation but all other Ecca Formations were productive. Almond (2016) said the Tierberg Formation is not richly fossiliferous at all.

Dolerite is an intrusive volcanic rock so does not preserve fossils. In fact it tends to destroy fossils in its immediate vicinity.

Quaternary calcretes and sands may preserve fragments of transported bone, wood, rhizoliths and invertebrate shells but these would be out of context and very small. Only under special conditions such as palaeo-pans and palaeo-springs would younger and more complete fossils be likely to form or be trapped. These would include Quaternary aged plants, wood, mammals, rodents and invertebrates (Partridge et al., 2006; Goudie and Wells, 1995). Pans do occur in this arid region of central South Africa (Goudie and Wells, 1995, Fig. 2) but none can be seen in the satellite imagery. Furthermore, the route is already disturbed from the agricultural activities and the existing road and powerline.

The palaeontological sensitivity of the area under consideration is presented in Figure 3. The route for the Grootpoort OHL is along non-fossiliferous Jurassic dolerite in the southern third, and a mix of Tierberg Formation, Jurassic dolerite and Quaternary Kalahari sands.

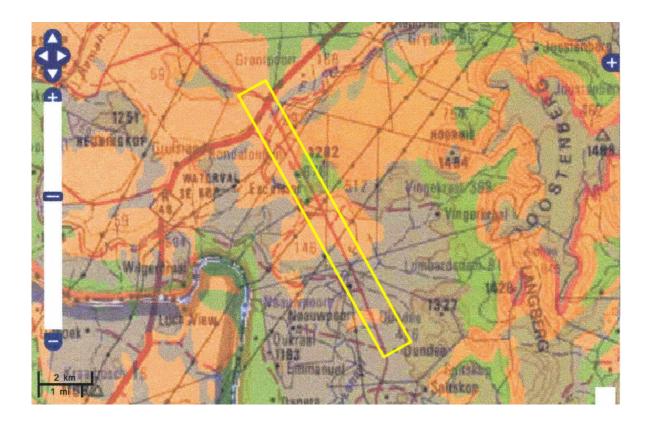


Figure 3: SAHRIS palaeosensitivity map for the site for the route of the Grootpoort OHL shown within the yellow rectangle. Background colours indicate the following degrees of sensitivity: red = very highly sensitive; orange/yellow = high; green = moderate; blue = low; grey = insignificant/zero.

4. Impact assessment

An assessment of the potential impacts to possible palaeontological resources considers the criteria encapsulated in Table 3:

TABLE 3A: CRITERIA FOR ASSESSING IMPACTS

PART A: DEFINITION AND CRITERIA			
	H	Substantial deterioration (death, illness or injury). Recommended level will often be violated. Vigorous community action.	
Criteria for ranking of the SEVERITY/NATURE of environmental	М	Moderate/ measurable deterioration (discomfort). Recommended level will occasionally be violated. Widespread complaints.	
impacts	L	Minor deterioration (nuisance or minor deterioration). Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.	

	L+	Minor improvement. Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.		
	M+	Moderate improvement. Will be within or better than the recommended level. No observed reaction.		
 		Substantial improvement. Will be within or better than the recommended level. Favourable publicity.		
	L	Quickly reversible. Less than the project life. Short term		
Criteria for ranking the DURATION of impacts	М	Reversible over time. Life of the project. Medium term		
DOTATION OF Impacts	Н	Permanent. Beyond closure. Long term.		
Criteria for ranking the	L	Localised - Within the site boundary.		
SPATIAL SCALE of	М	Fairly widespread – Beyond the site boundary. Local		
impacts	Н	Widespread – Far beyond site boundary. Regional/ national		
PROBABILITY	Н	Definite/ Continuous		
(of exposure to	М	Possible/ frequent		
impacts)	L	Unlikely/ seldom		

TABLE 3B: IMPACT ASSESSMENT

PART B: Assessment			
	Н	-	
	М	-	
SEVERITY/NATURE	L	Trace fossils have been recorded from the Tierberg Fm but near Calvinia only. Quaternary calcretes only preserve fossils in palaeo-pans or palaeo-springs; so far there are no records from the site. It is very unlikely that fossils occur on the site. The impact would be very unlikely.	
	L+	-	
	M+	-	
	H+	-	
	L	-	
DURATION	М	-	
	Н	Where manifest, the impact will be permanent.	
SPATIAL SCALE	L	Since the only possible fossils along the route would be Tierberberg Fm trace fossils, or fossil wood or bones from the Quaternary in palaeo-pans or palaeo-springs, the spatial scale will be localised within the site boundary.	
	М	-	
	Н	-	
	Н	-	
	М	-	
PROBABILITY	L	It is extremely unlikely that any fossils would be found in the disturbed Tierberg shales or the Quaternary loose sands or the calcretes (no pans or springs are visible). There is a very small chance that fossil fragments or traces would be found. Therefore, a Fossil Chance Find Protocol should be added to the eventual EMPr.	

Based on the nature of the project, surface activities may impact upon the fossil heritage if preserved in the development footprint. The geological structures suggest that the rocks are either too old for body fossils (only traces of invertebrates, or much too young and are transported so unlikey to contain fossils. Furthermore, the excavations for foundations are not expected to be very deep. Since there is an extremely small chance that fossils from the below ground may be disturbed a Fossil Chance Find Protocol has been added to this report. Taking account of the defined criteria, the potential impact to fossil heritage resources is extremely low.

5. Assumptions and uncertainties

Based on the geology of the area and the palaeontological record as we know it, it can be assumed that the formation and layout of the mudstones, sandstones, shales, calcretes and sands are typical for the country and might contain fossil plant, insect or invertebrate traces. The sands of the Quaternary period would not preserve fossils. It is not known how thick the sands are or if fossils are present in the underlying rocks. Since the route is already highly disturbed, it is very unlikely that any fossils would have survived

Recommendation

Based on experience and the lack of any previously recorded fossils from the area, it is extremely unlikely that any fossils would be preserved in the sands, alluvium and calcretes of the Quaternary. There is a very small chance that trace fossils may occur in the below ground mudstones and sandstones of the early Permian Tierberg Formation. Therefore, a Fossil Chance Find Protocol should be added to the EMPr. If fossils are found once excavations for the foundations for the poles and amenities have commenced then they should be rescued and a palaeontologist called to assess and collect a representative sample.

7. References

Almond, J.E. 2016. Palaeontological Impact Assessment: Desktop Study. Proposed Grootpoort Photovoltaic Solar Energy Facility near Luckoff, Free State Province. Unpublished study for Environamics.

June 2016Anderson, J.M., Anderson, H.M., 1985. Palaeoflora of Southern Africa: Prodromus of South African megafloras, Devonian to Lower Cretaceous. A.A. Balkema, Rotterdam. 423 pp.

Bamford, M.K. 2004. Diversity of woody vegetation of Gondwanan southern Africa. Gondwana Research 7, 153-164.

Barbolini, N., Rubidge, B.S., Bamford, M.K. 2018. A new approach to biostratigraphy in the Karoo retroarc foreland system: utilising restricted-range palynomorphs and their first appearance datums for correlation. Journal of African Earth Sciences 140, 114-133.

Goudie, A.S., Wells, G.L., 1995. The nature, distribution and formation of pans in arid zones. Earth Science Reviews 38, 1-69.

Johnson, M.R., van Vuuren, C.J., Visser, J.N.J., Cole, D.I., Wickens, H.deV., Christie, A.D.M., Roberts, D.L., Brandl, G., 2006. Sedimentary rocks of the Karoo Supergroup. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. Pp 461 – 499. MacRae, C.S., 1999. Life Etched in Stone. Fossils of South Africa. Geological Society of South Africa, Johannesburg. 305pp.

Partridge, T.C., Botha, G.A., Haddon, I.G., 2006. Cenozoic deposits of the interior. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). The Geology of South Africa. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. Pp 585-604.

Plumstead, E.P., 1969. Three thousand million years of plant life in Africa. Geological Society of southern Africa, Annexure to Volume LXXII. 72pp + 25 plates.

Rubidge, B.S. (Ed), 1995. Biostratigraphy of the Beaufort Group (Karoo Supergroup). Biostratigraphy Series 1, South African Commission for Stratigraphy. Council for Geoscience, 46 pp.

8. Chance Find Protocol

Monitoring Programme for Palaeontology – to commence once the excavations / drilling activities begin.

- 1. The following procedure is only required if fossils are seen on the surface and when drilling/excavations commence.
- 2. When excavations begin the rocks and must be given a cursory inspection by the environmental officer or designated person. Any fossiliferous material (plants, insects, bone, coal) should be put aside in a suitably protected place. This way the project activities will not be interrupted.
- 3. Photographs of similar fossils must be provided to the developer to assist in recognizing the fossil plants, vertebrates, invertebrates or trace fossils in the shales and mudstones (for example see Figures 4-6). This information will be built into the EMP's training and awareness plan and procedures.
- 4. Photographs of the putative fossils can be sent to the palaeontologist for a preliminary assessment.
- 5. If there is any possible fossil material found by the developer/environmental officer/miners then the qualified palaeontologist sub-contracted for this project, should visit the site to inspect the selected material and check the dumps where feasible.
- 6. Fossil plants or vertebrates that are considered to be of good quality or scientific interest by the palaeontologist must be removed, catalogued and housed in a suitable institution where they can be made available for further study. Before the fossils are removed from the site a SAHRA permit must be obtained. Annual reports must be submitted to SAHRA as required by the relevant permits.
- 7. If no good fossil material is recovered then no site inspections by the palaeontologist will be necessary. A final report by the palaeontologist must be sent to SAHRA once the project has been completed and only if there are fossils.
- 8. If no fossils are found and the excavations have finished then no further monitoring is required.

Appendix A – Examples of fossils from the Tierberg Formation (Ecca Group) and Quaternary sands.



Figure 4: Trace fossil from the Tierberg Formation (Ecca Group) called Plagiogmus.Lenth of trace is 120mm. (Photo from MacRae, 1999, page 3.)



Figure 5: Examples of bone fragments from a palaeo-pan in the Quaternary sands and calcrete.



Figure 6: Fragments of silicified woods from a Quaternary fluvial deposit. Scale =15 cm.

Appendix B - Details of specialist

Curriculum vitae (short) - Marion Bamford PhD January 2021

I) Personal details

Surname : Bamford

First names : Marion Kathleen

Present employment: Professor; Director of the Evolutionary Studies Institute.

Member Management Committee of the NRF/DST Centre of Excellence Palaeosciences, University of the Witwatersrand,

Johannesburg, South Africa

Telephone : +27 11 717 6690 Fax : +27 11 717 6694 Cell : 082 555 6937

E-mail: marion.bamford@wits.ac.za; marionbamford12@gmail.com

ii) Academic qualifications

Tertiary Education: All at the University of the Witwatersrand:

1980-1982: BSc, majors in Botany and Microbiology. Graduated April 1983.

1983: BSc Honours, Botany and Palaeobotany. Graduated April 1984.

1984-1986: MSc in Palaeobotany. Graduated with Distinction, November 1986.

1986-1989: PhD in Palaeobotany. Graduated in June 1990.

iii) Professional qualifications

Wood Anatomy Training (overseas as nothing was available in South Africa):

1994 - Service d'Anatomie des Bois, Musée Royal de l'Afrique Centrale, Tervuren, Belgium, by Roger Dechamps

1997 - Université Pierre et Marie Curie, Paris, France, by Dr Jean-Claude Koeniguer

1997 - Université Claude Bernard, Lyon, France by Prof Georges Barale, Dr Jean-Pierre Gros, and Dr Marc Philippe

iv) Membership of professional bodies/associations

Palaeontological Society of Southern Africa

Royal Society of Southern Africa - Fellow: 2006 onwards

Academy of Sciences of South Africa - Member: Oct 2014 onwards

International Association of Wood Anatomists - First enrolled: January 1991

International Organization of Palaeobotany – 1993+

Botanical Society of South Africa

South African Committee on Stratigraphy – Biostratigraphy - 1997 - 2016

SASQUA (South African Society for Quaternary Research) - 1997+

PAGES - 2008 - onwards: South African representative

ROCEEH / WAVE - 2008+

INQUA - PALCOMM - 2011+onwards

vii) Supervision of Higher Degrees

All at Wits University

Degree	Graduated/completed	Current
Honours	11	0
Masters	10	4
PhD	11	4
Postdoctoral fellows	10	5

viii) Undergraduate teaching

Geology II – Palaeobotany GEOL2008 – average 65 students per year Biology III – Palaeobotany APES3029 – average 25 students per year Honours – Evolution of Terrestrial Ecosystems; African Plio-Pleistocene Palaeoecology; Micropalaeontology – average 2-8 students per year.

ix) Editing and reviewing

Editor: Palaeontologia africana: 2003 to 2013; 2014 – Assistant editor

Guest Editor: Quaternary International: 2005 volume

Member of Board of Review: Review of Palaeobotany and Palynology: 2010 –

Review of manuscripts for ISI-listed journals: 25 local and international journals

x) Palaeontological Impact Assessments

Selected – list not complete:

- Thukela Biosphere Conservancy 1996; 2002 for DWAF
- Vioolsdrift 2007 for Xibula Exploration
- Rietfontein 2009 for Zitholele Consulting
- Bloeddrift-Baken 2010 for TransHex
- New Kleinfontein Gold Mine 2012 for Prime Resources (Pty) Ltd.
- Thabazimbi Iron Cave 2012 for Professional Grave Solutions (Pty) Ltd
- Delmas 2013 for Jones and Wagener
- Klipfontein 2013 for Jones and Wagener
- Platinum mine 2013 for Lonmin
- Syferfontein 2014 for Digby Wells
- Canyon Springs 2014 for Prime Resources
- Kimberley Eskom 2014 for Landscape Dynamics
- Yzermyne 2014 for Digby Wells
- Matimba 2015 for Royal HaskoningDV
- Commissiekraal 2015 for SLR
- Harmony PV 2015 for Savannah Environmental
- Glencore-Tweefontein 2015 for Digby Wells
- Umkomazi 2015 for JLB Consulting

- Ixia coal 2016 for Digby Wells
- Lambda Eskom for Digby Wells
- Alexander Scoping for SLR
- Perseus-Kronos-Aries Eskom 2016 for NGT
- Mala Mala 2017 for Henwood
- Modimolle 2017 for Green Vision
- Klipoortjie and Finaalspan 2017 for Delta BEC
- Ledjadja borrow pits 2018 for Digby Wells
- Lungile poultry farm 2018 for CTS
- Olienhout Dam 2018 for JP Celliers
- Isondlo and Kwasobabili 2018 for GCS
- Kanakies Gypsum 2018 for Cabanga
- Nababeep Copper mine 2018
- Glencore-Mbali pipeline 2018 for Digby Wells
- Remhoogte PR 2019 for A&HAS
- Bospoort Agriculture 2019 for Kudzala
- Overlooked Quarry 2019 for Cabanga
- Richards Bay Powerline 2019 for NGT
- Eilandia dam 2019 for ACO
- Eastlands Residential 2019 for HCAC
- Fairview MR 2019 for Cabanga
- Graspan project 2019 for HCAC
- Lieliefontein N&D 2019 for EnviroPro
- Skeerpoort Farm Mast 2020 for HCAC
- Vulindlela Eco village 2020 for 1World
- KwaZamakhule Township 2020 for Kudzala
- Sunset Copper 2020 for Digby Wells
- McCarthy-Salene 2020 for Prescali
- VLNR Lodge 2020 for HCAC
- Madadeni mixed use 2020 for EnviroPro

xi) Research Output

Publications by M K Bamford up to December 2019 peer-reviewed journals or scholarly books: over 150 articles published; 5 submitted/in press; 10 book chapters.

Scopus h-index = 29; Google scholar h-index = 35; -i10-index = 92

Conferences: numerous presentations at local and international conferences.

xii) NRF Rating

NRF Rating: B-2 (2016-2020) NRF Rating: B-3 (2010-2015) NRF Rating: B-3 (2005-2009) NRF Rating: C-2 (1999-2004)