

**Palaeontological Impact Assessment
for the proposed development of the
San Solar PV Facility
near Kathu in the Northern Cape
Province**

Desktop Study (Phase 1)

For

**CTS Heritage
Project No: CTS21_208**

04 December 2021

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Expertise of Specialist

The Palaeontologist Consultant: Prof Marion Bamford
Qualifications: PhD (Wits Univ, 1990); FRSSAf, ASSAf
Experience: 32 years research; 24 years PIA studies

Declaration of Independence

This report has been compiled by Professor Marion Bamford, of the University of the Witwatersrand, sub-contracted by CTS Heritage, Cape Town, South Africa. The views expressed in this report are entirely those of the author and no other interest was displayed during the decision making process for the Project.

Specialist: Prof Marion Bamford

Signature: 

Executive Summary

A Palaeontological Impact Assessment was requested for the proposed development of the San Solar PV Facility near Kathu in the Northern Cape. The proposed site is about 10 km northwest of Kathu on the Remainder of Farm Wincanton 472 in the Gamagara Local Municipality.

To comply with the regulations of the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed development.

The proposed site lies on the Tertiary limestones and calcretes that might have preserved fossil plants and bones. The topography of the site is more or less flat and there are no exposures of caves or pans so it is unlikely that any fossils would be found. Nonetheless, a Fossil Chance Find Protocol should be added to the EMP. Based on this information it is recommended that no further palaeontological impact assessment is required unless fossils are found by the developer/ environmental officer/ other designated responsible person once excavations or drilling activities have commenced. As far as the palaeontology is concerned, the project should be authorised.

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i. Background

A Palaeontological Impact Assessment was requested for the proposed development of the San Solar PV Facility near Kathu in the Northern Cape. This is immediately to the east of and existing solar facility. The proposed site is about 10 km northwest of Kathu on the Remainder of Farm Wincanton 472 in the Gamagara Local Municipality, John Taolo Gaetsewe District (Figures 1 and 2).

Since this facility will be adjacent to an existing one, it is not anticipated that the proposed development will have a negative impact on any significant cultural landscape. Furthermore, it is often preferred to have development such as PV facilities clustered in one area to mitigate the sprawl of this infrastructure across otherwise pristine landscapes.

A Palaeontological Impact Assessment was requested for the San Solar project. To comply with the regulations of the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed development and is reported herein.

Table 1: Specialist report requirements in terms of Appendix 6 of the EIA Regulations (amended 2017)

	A specialist report prepared in terms of the Environmental Impact Regulations of 2017 must contain:	Relevant section in report
ai	Details of the specialist who prepared the report	Appendix B
aii	The expertise of that person to compile a specialist report including a curriculum vitae	Appendix B
b	A declaration that the person is independent in a form as may be specified by the competent authority	Page 1
c	An indication of the scope of, and the purpose for which, the report was prepared	Section i.
ci	An indication of the quality and age of the base data used for the specialist report: SAHRIS palaeosensitivity map accessed – date of this report	Yes
cii	A description of existing impacts on the site, cumulative impacts of the proposed development and levels of acceptable change	Section 5
d	The date and season of the site investigation and the relevance of the season to the outcome of the assessment	N/A
e	A description of the methodology adopted in preparing the report or carrying out the specialised process	Section ii.

f	The specific identified sensitivity of the site related to the activity and its associated structures and infrastructure	Section 4
g	An identification of any areas to be avoided, including buffers	N/A
h	A map superimposing the activity including the associated structures and infrastructure on the environmental sensitivities of the site including areas to be avoided, including buffers;	N/A
i	A description of any assumptions made and any uncertainties or gaps in knowledge;	Section vii.
j	A description of the findings and potential implications of such findings on the impact of the proposed activity, including identified alternatives, on the environment	Section vi.
k	Any mitigation measures for inclusion in the EMPr	Section 8, Appendix A
l	Any conditions for inclusion in the environmental authorisation	N/A
m	Any monitoring requirements for inclusion in the EMPr or environmental authorisation	Section 8, Appendix A
ni	A reasoned opinion as to whether the proposed activity or portions thereof should be authorised	Section 6
nii	If the opinion is that the proposed activity or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan	Sections 6, 8
o	A description of any consultation process that was undertaken during the course of carrying out the study	N/A
p	A summary and copies if any comments that were received during any consultation process	N/A
q	Any other information requested by the competent authority.	N/A

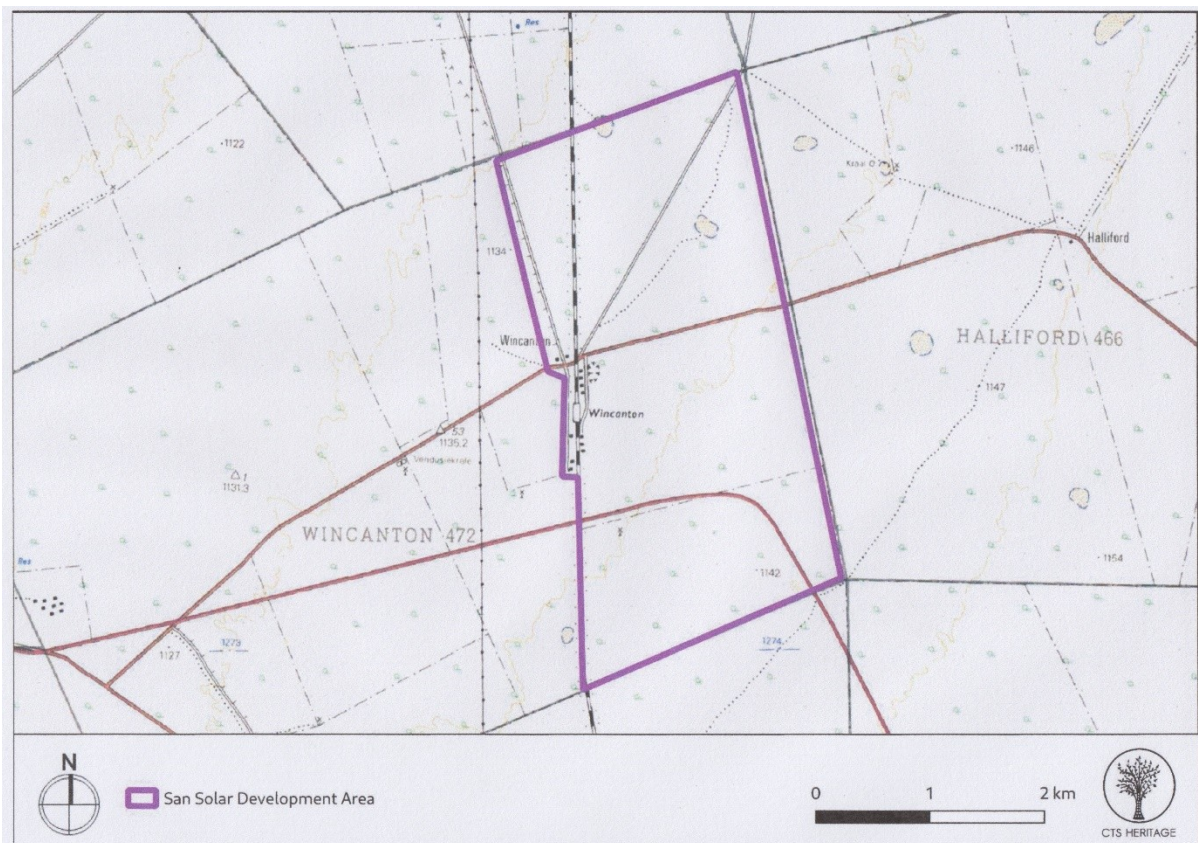


Figure 2: Topographic map to show the boundary of the proposed San Solar facility on the remainder of Farm Wincanton 472, northeast of Kathu.

ii. Methods and Terms of Reference

The Terms of Reference (ToR) for this study were to undertake a PIA and provide feasible management measures to comply with the requirements of SAHRA.

The methods employed to address the ToR included:

1. Consultation of geological maps, literature, palaeontological databases, published and unpublished records to determine the likelihood of fossils occurring in the affected areas. Sources included records housed at the Evolutionary Studies Institute at the University of the Witwatersrand and SAHRA databases;
2. Where necessary, site visits by a qualified palaeontologist to locate any fossils and assess their importance (*not applicable to this assessment*);
3. Where appropriate, collection of unique or rare fossils with the necessary permits for storage and curation at an appropriate facility (*not applicable to this assessment*); and
4. Determination of fossils' representivity or scientific importance to decide if the fossils can be destroyed or a representative sample collected (*not applicable to this assessment*).

iii. Geology and Palaeontology

iv. Project location and geological context

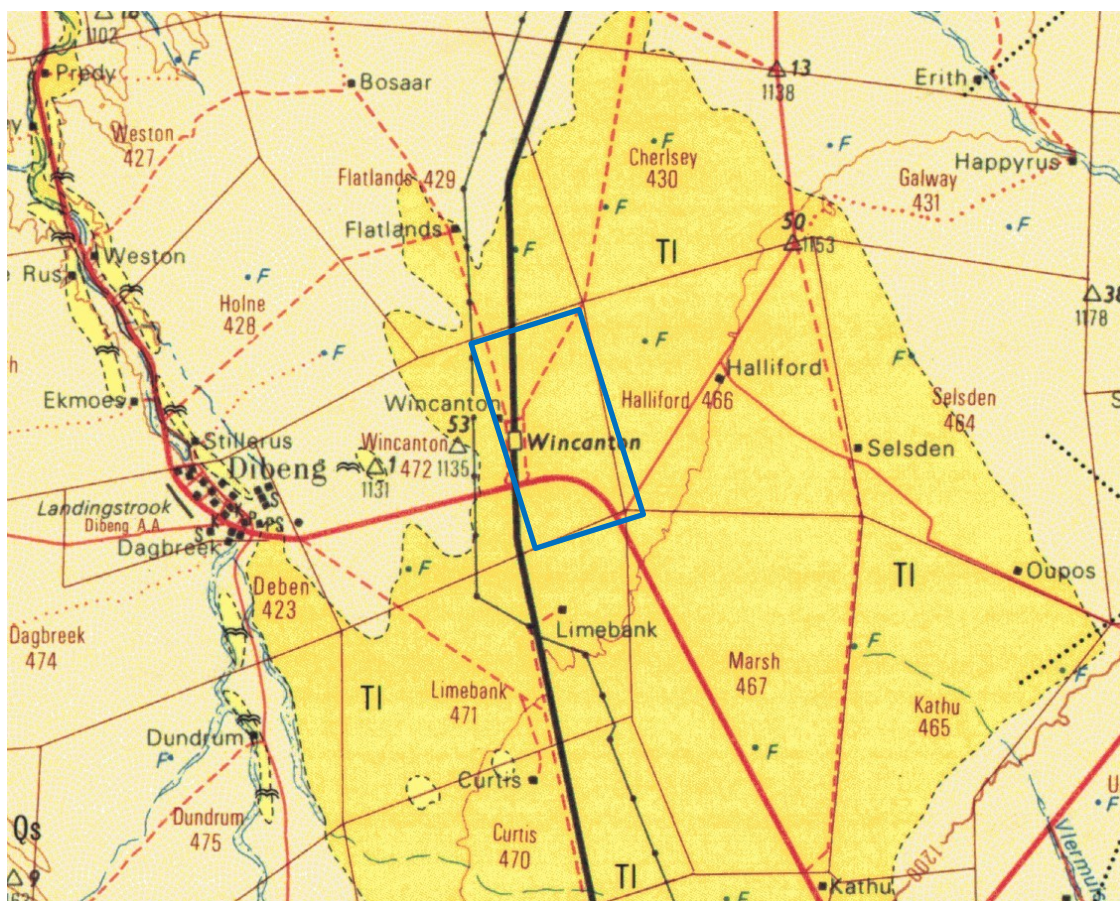


Figure 3: Geological map of the area around the Farm Wincanton 472 and the proposed San Solar Facility as indicated within the blue rectangle. Abbreviations of the rock types are explained in Table 2. Map enlarged from the Geological Survey 1: 250 000 map 2722 Kuruman.

Table 2: Explanation of symbols for the geological map and approximate ages (Matmon et al., 2015; Partridge et al., 2006). SG = Supergroup; Fm = Formation; Ma = million years; grey shading = formations impacted by the project.

Symbol	Group/Formation	Lithology	Approximate Age
Q	Quaternary Kalahari sands	Alluvium, sand, calcrete	Late Quaternary, Neogene, ca 2.5 Ma to present
TI	Tertiary limestone	Sand, limestone	Tertiary

The site lies on the northern margin of the Transvaal Basin on the Kaapvaal Craton. The underlying rocks are not exposed here and only the overlying Tertiary Calcretes are of relevance to this project (Figure 3).

The Quaternary Kalahari sands form an extensive cover of much younger deposits over much of the Northern Cape Province and Botswana. Based on the early works of Leicester King, Partridge and Maud (1987, 2000) developed a model of three African Erosion Surfaces for southern Africa, from the Cretaceous to the Pliocene. During the Cretaceous Africa was

very high, averaging about 2500-2000 m above sea level but the rifting apart of Gondwanaland and formation of the Atlantic and Indian Oceans, coastal erosion was rapid and the escarpment rapidly receded about 120 km inland along the east and south coasts, but only 50km along the west coast. The newly exposed surface was called the African Erosion Surface. Their model has been challenged and modified by a number of researchers (Burke, 2011; Braun et al., 2014) who propose that mantle plumes caused uplift of the continent during the late Cretaceous, followed by erosion and further uplift about 30-20 million years ago, The newer interpretations have been followed here.

Haddon and McCarthy (2005) proposed that the Kalahari basin formed as a response to down-warp of the interior of the southern Africa, probably in the Late Cretaceous. This, along with possible uplift along epeirogenic axes, back-tilted rivers into the newly formed Kalahari basin and deposition of the Kalahari Group sediments began. Sediments included basal gravels in river channels, sand and finer sediments. A period of relative tectonic stability during the mid-Miocene saw the silcretisation and calcretisation of older Kalahari Group lithologies, and this was followed in the Late Miocene by relatively minor uplift of the eastern side of southern Africa and along certain epeirogenic axes in the interior. More uplift during the Pliocene caused erosion of the sand that was then reworked and redeposited by aeolian processes during drier periods, resulting in the extensive dune fields that are preserved today.

Tertiary calcretes cover large parts of the Northern Cape but they are difficult to date and there are several schools of thought (see Partridge et al., 2006). Nonetheless, it is accepted that calcretes form under alternating cycles of humid and arid climatic conditions in strata that have calcium carbonate (Netterberg, 1969). More recent research using geophysical techniques to measure uplift of the continent during the Cretaceous and Tertiary, combined with the fossil record (Braun et al., 2014) suggest that there were two predominant humid periods during the Tertiary. The whole of the Eocene (56-33 Ma) and a short period during the early Miocene (ca 20-19 Ma) were humid according to their estimations. It is possible that the Northern Cape calcretes formed during one of these periods.

Overlying many of these rocks are loose sands and sand dunes of the Gordonia Formation, Kalahari Group of Neogene Age. The Gordonia Formation is the youngest of six formations and is the most extensive, stretching from the northern Karoo, Botswana, Namibia to the Congo River (Partridge et al., 2006). It is considered to be the biggest palaeo-erg in the world (ibid). The sands have been derived from local sources with some additional material transported into the basin (Partridge et al., 2006). Much of the Gordonia Formation comprises linear dunes that were reworked a number of times before being stabilised by vegetation (ibid).

v. Palaeontological context

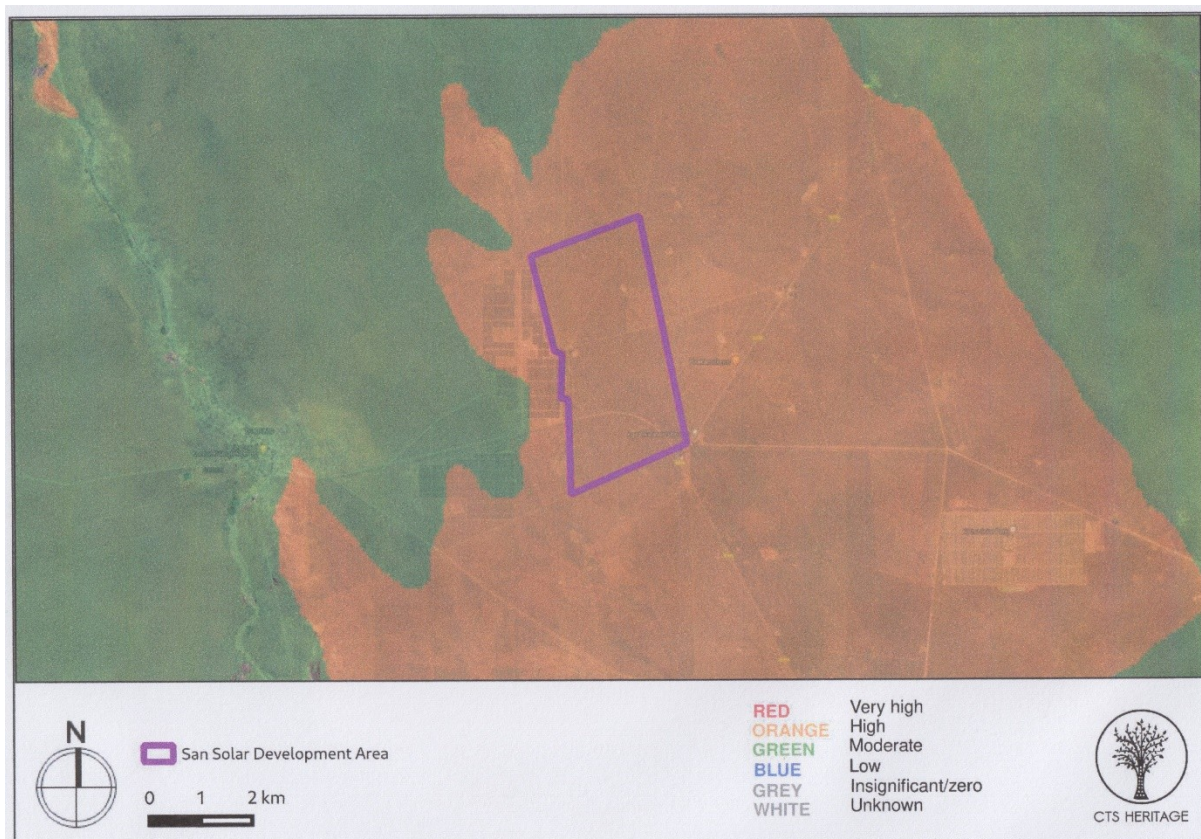


Figure 4: SAHRIS palaeosensitivity map for the site for the proposed San Solar Facility on the Remainder of Farm Wincanton 472 shown within the purple rectangle. Background colours indicate the following degrees of sensitivity: red = very highly sensitive; orange/yellow = high; green = moderate; blue = low; grey = insignificant/zero.

The Tertiary calcretes can trap fossils and artefacts when associated with palaeo-pans or palaeo-springs (Partridge et al., 2006). Where deflation has occurred, for example along the west coast of South Africa, any trapped materials in the different levels can be concentrated in the depo-centre of the pan or dune and thus it can be challenging to interpret the deposit (Felix-Henningsen et al., 2003). A well-known example of a limestone tufa deposit is at the Buxton-Norlim Limeworks about 15m southwest of Taung, on the margin of the Ghaap Plateau. Fauna and the Taung child cranium were excavated from here but it should be noted that the topography of this fossiliferous site is very diverse and includes a now roofless cave complex (Hopley et al., 2013). In contrast, the limestones north of Kathu are generally more or less flat.

The Aeolian sands of the Gordonia Formation do not preserve fossils because they have been transported and reworked, but in some regions these too may have covered pan or spring deposits and these can trap

fossils, and more frequently archaeological artefacts. Usually these geomorphological features can be detected using satellite imagery. No such features are visible

From the SAHRIS map above (Figure 4) the area is indicated as highly sensitive (orange) for the Tertiary Calcretes.

vi. Impact assessment

An assessment of the potential impacts to possible palaeontological resources considers the criteria encapsulated in Table 3:

TABLE 3A: CRITERIA FOR ASSESSING IMPACTS

PART A: DEFINITION AND CRITERIA		
Criteria for ranking of the SEVERITY/NATURE of environmental impacts	H	Substantial deterioration (death, illness or injury). Recommended level will often be violated. Vigorous community action.
	M	Moderate/ measurable deterioration (discomfort). Recommended level will occasionally be violated. Widespread complaints.
	L	Minor deterioration (nuisance or minor deterioration). Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.
	L+	Minor improvement. Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.
	M+	Moderate improvement. Will be within or better than the recommended level. No observed reaction.
	H+	Substantial improvement. Will be within or better than the recommended level. Favourable publicity.
Criteria for ranking the DURATION of impacts	L	Quickly reversible. Less than the project life. Short term
	M	Reversible over time. Life of the project. Medium term
	H	Permanent. Beyond closure. Long term.
Criteria for ranking the SPATIAL SCALE of impacts	L	Localised - Within the site boundary.
	M	Fairly widespread – Beyond the site boundary. Local
	H	Widespread – Far beyond site boundary. Regional/ national
PROBABILITY (of exposure to impacts)	H	Definite/ Continuous
	M	Possible/ frequent
	L	Unlikely/ seldom

TABLE 3B: IMPACT ASSESSMENT

PART B: ASSESSMENT		
SEVERITY/NATURE	H	-
	M	-
	L	Sands do not preserve fossils but limestones and tufas do; so far there are no records of plant or animal fossils in this region so it is very unlikely that fossils occur on the site. The impact would be very unlikely.
	L+	-
	M+	-
	H+	-
DURATION	L	-
	M	-
	H	Where manifest, the impact will be permanent.

PART B: ASSESSMENT		
SPATIAL SCALE	L	Since the only possible fossils within the area would be Tertiary-aged fossil plants or bones in the limestones and tufas, the spatial scale will be localised within the site boundary.
	M	-
	H	-
PROBABILITY	H	-
	M	-
	L	It is extremely unlikely that any fossils would be found in the flat topography of the Tertiary Limestones loose sand that will be excavated. Nonetheless, a Fossil Chance Find Protocol should be added to the eventual EMPr.

Based on the nature of the project, surface activities may impact upon the fossil heritage if preserved in the development footprint. The geological structures suggest that the rocks are either much too old to contain fossils (below ground) or might trap Tertiary fossils in limestones and calcretes. The material to be excavated is flat soils and sands this does not preserve fossils. Since there is a small chance that fossils from the Tertiary limestones may be disturbed a Fossil Chance Find Protocol has been added to this report. Taking account of the defined criteria, the potential impact to fossil heritage resources is very low.

vii. Assumptions and uncertainties

Based on the geology of the area and the palaeontological record as we know it, it can be assumed that the formation and layout of the limestones, calcretes, sandstones, shales and sands are typical for the country and might contain fossil plant, insect, invertebrate and vertebrate material. The sands of the Quaternary period would not preserve fossils. The topography of the site, flat, is not conducive to the preservation of or finding of fossils. From the satellite imagery there are no visible outcrops where fossils could be found.

viii. Recommendation

Based on experience and the lack of any previously recorded fossils from the area, it is extremely unlikely that any fossils would be preserved in the limestones and calcretes of the Tertiary because they are very rare and there are no visible outcrops in the flat landscape.. There is a very small chance that fossils may occur in the Tertiary limestones so a Fossil Chance Find Protocol should be added to the EMPr. If fossils are found by the environmental officer, or other responsible person once excavations for foundations and amenities have commenced then they should be rescued and a palaeontologist called to assess and collect a representative sample (Dee Section 8 and Appendix A). As far as the palaeontology is concerned, the project should be authorised.

ix. References

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Hopley, P.J., Herries, A.I.R., Baker, S.E., Kuhn, B.F., Menter, C.G., 2013. Brief Communication: Beyond the South African cave paradigm—*Australopithecus africanus* from Plio–Pleistocene paleosol deposits at Taung. *American journal of Physical Anthropology* 151, 316–324.

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Lancaster, N., 1986. Pans in the southwestern Kalahari: a preliminary report. *Palaeoecology of Africa* 17, 59–67.

Matmon, A., Hidy, A.J., Vainer, S., Crouvi, O., Fink, D., 2015. New chronology for the southern Kalahari Group sediments with implications for sediment-cycle dynamics and early hominin occupation. *Quaternary Research*. 84 (1), 118–132. <http://dx.doi.org/10.1016/j.yqres.2015.04.009>.

Netterberg, F., 1969. The interpretation of some basic calcrete types. *South African Archaeology Bulletin* 24, 117–122.

Partridge, T.C., Botha, G.A., Haddon, I.G., 2006. Cenozoic deposits of the interior. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). *The*

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Partridge, T.C., Maud, R.R., 1989. The end Cretaceous event: new evidence from the southern hemisphere. South African Journal of Science 85, 428 – 430.

Partridge, T.C., Maud, R.R., 2000. Macroscale geomorphic evolution of southern Africa. In: Partridge, T.C. and Maud, R.R. (eds). The Cenozoic of Southern Africa. Oxford University Press, New York. 406pp.

x. Chance Find Protocol

Monitoring Programme for Palaeontology - to commence once the excavations / drilling activities begin.

1. The following procedure is only required if fossils are seen on the surface and when drilling/excavations commence.
2. When excavations begin the rocks must be given a cursory inspection by the environmental officer or designated person. Any fossiliferous material (plants, insects, bone, wood) should be put aside in a suitably protected place. This way the project activities will not be interrupted.
3. Photographs of similar fossils must be provided to the developer to assist in recognizing the fossil plants, vertebrates, invertebrates or trace fossils in the shales and mudstones (for example see Figure 5, 6). This information will be built into the EMP's training and awareness plan and procedures.
4. Photographs of the putative fossils can be sent to the palaeontologist for a preliminary assessment.
5. If there is any possible fossil material found by the developer/environmental officer then the qualified palaeontologist sub-contracted for this project, should visit the site to inspect the selected material and check the dumps where feasible.
6. Fossil plants or vertebrates that are considered to be of good quality or scientific interest by the palaeontologist must be removed, catalogued and housed in a suitable institution where they can be made available for further study. Before the fossils are removed from the site a SAHRA permit must be obtained. Annual reports must be submitted to SAHRA as required by the relevant permits.
7. If no good fossil material is recovered then no site inspections by the palaeontologist will be necessary. A final report by the palaeontologist must be sent to SAHRA once the project has been completed and only if there are fossils.
8. If no fossils are found and the excavations have finished then no further monitoring is required.

Appendix A - Examples of fossils from the Tertiary and Quaternary.



Figure 5: Fragmented and robust fossils from fluvial and pan deposits.

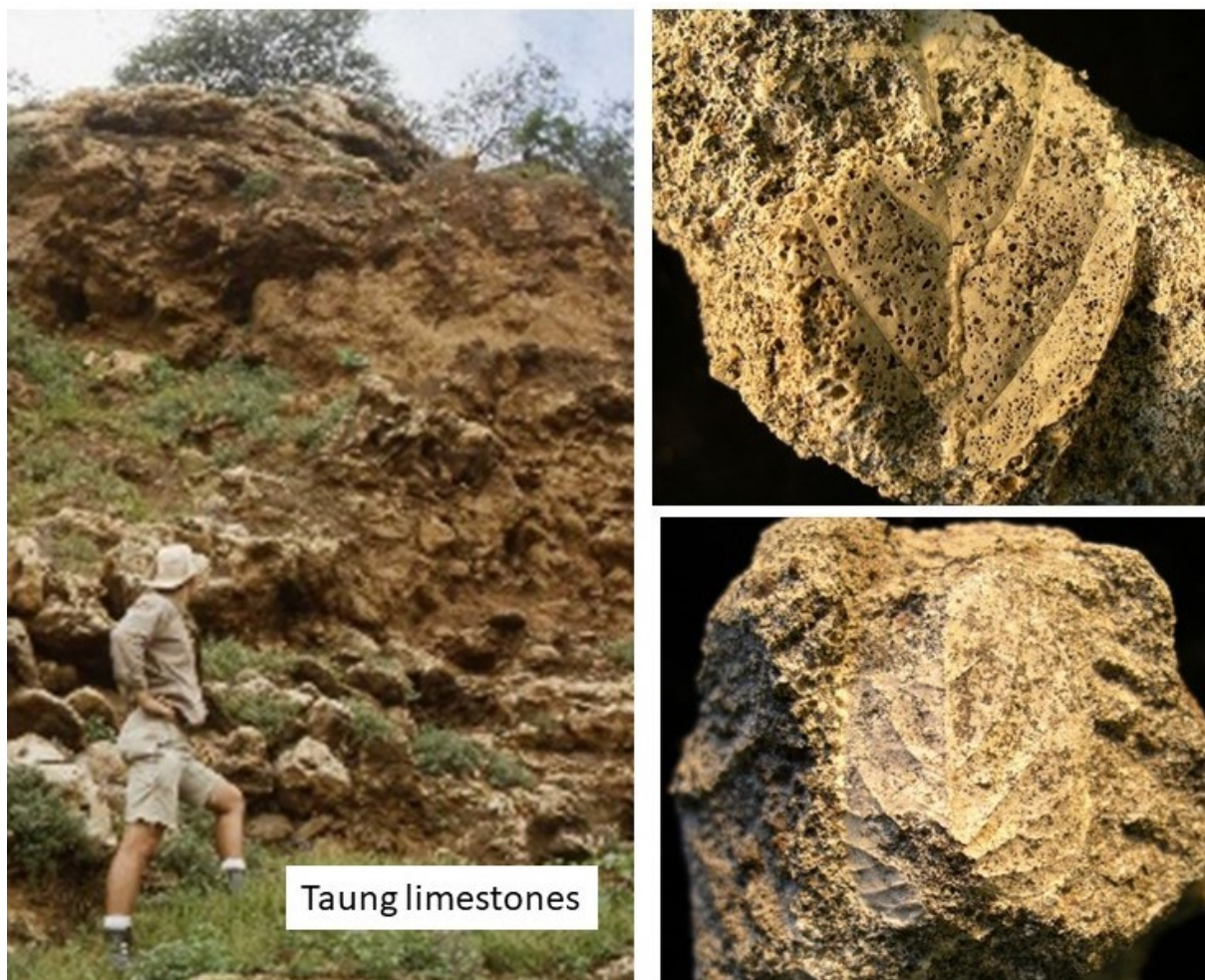


Figure 6: Photographs of the rugged topography at the Taung fossil site limestones and impressions of leaves that formed in tufa (limestone).

Appendix B - Details of specialist

Curriculum vitae (short) - Marion Bamford PhD July 2021

I) Personal details

Surname : **Bamford**
 First names : **Marion Kathleen**
 Present employment : Professor; Director of the Evolutionary Studies Institute.
 Member Management Committee of the NRF/DST Centre of Excellence Palaeosciences, University of the Witwatersrand, Johannesburg, South Africa-
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Cell : 082 555 6937
E-mail : marion.bamford@wits.ac.za;
marionbamford12@gmail.com

ii) Academic qualifications

Tertiary Education: All at the University of the Witwatersrand:

1980-1982: BSc, majors in Botany and Microbiology. Graduated April 1983.

1983: BSc Honours, Botany and Palaeobotany. Graduated April 1984.

1984-1986: MSc in Palaeobotany. Graduated with Distinction, November 1986.

1986-1989: PhD in Palaeobotany. Graduated in June 1990.

iii) Professional qualifications

Wood Anatomy Training (overseas as nothing was available in South Africa):

1994 - Service d'Anatomie des Bois, Musée Royal de l'Afrique Centrale, Tervuren, Belgium, by Roger Dechamps

1997 - Université Pierre et Marie Curie, Paris, France, by Dr Jean-Claude Koeniguer

1997 - Université Claude Bernard, Lyon, France by Prof Georges Barale, Dr Jean-Pierre Gros, and Dr Marc Philippe

iv) Membership of professional bodies/associations

Palaeontological Society of Southern Africa

Royal Society of Southern Africa - Fellow: 2006 onwards

Academy of Sciences of South Africa - Member: Oct 2014 onwards

International Association of Wood Anatomists - First enrolled: January 1991

International Organization of Palaeobotany - 1993+

Botanical Society of South Africa

South African Committee on Stratigraphy - Biostratigraphy - 1997 - 2016

SASQUA (South African Society for Quaternary Research) - 1997+

PAGES - 2008 -onwards: South African representative

ROCEEH / WAVE - 2008+

INQUA - PALCOMM - 2011+onwards

vii) Supervision of Higher Degrees

All at Wits University

Degree	Graduated/ completed	Current
Honours	11	0
Masters	10	4
PhD	11	4
Postdoctoral fellows	10	5

viii) Undergraduate teaching

Geology II – Palaeobotany GEOL2008 – average 65 students per year
Biology III – Palaeobotany APES3029 – average 25 students per year
Honours – Evolution of Terrestrial Ecosystems; African Plio-Pleistocene
Palaeoecology; Micropalaeontology – average 2-8 students per year.

ix) Editing and reviewing

Editor: *Palaeontologia africana*: 2003 to 2013; 2014 – Assistant editor
Guest Editor: *Quaternary International*: 2005 volume
Member of Board of Review: *Review of Palaeobotany and Palynology*:
2010 –

Review of manuscripts for ISI-listed journals: 25 local and international journals

x) Palaeontological Impact Assessments

Selected – list not complete:

- Thukela Biosphere Conservancy 1996; 2002 for DWAF
- Vioolsdrift 2007 for Xibula Exploration
- Rietfontein 2009 for Zitholele Consulting
- Bloeddrift-Baken 2010 for TransHex
- New Kleinfontein Gold Mine 2012 for Prime Resources (Pty) Ltd.
- Thabazimbi Iron Cave 2012 for Professional Grave Solutions (Pty) Ltd
- Delmas 2013 for Jones and Wagener
- Klipfontein 2013 for Jones and Wagener
- Platinum mine 2013 for Lonmin
- Syferfontein 2014 for Digby Wells
- Canyon Springs 2014 for Prime Resources
- Kimberley Eskom 2014 for Landscape Dynamics
- Yzermyne 2014 for Digby Wells
- Matimba 2015 for Royal HaskoningDV
- Commissiekraal 2015 for SLR
- Harmony PV 2015 for Savannah Environmental
- Glencore-Tweefontein 2015 for Digby Wells
- Umkomazi 2015 for JLB Consulting
- Ixia coal 2016 for Digby Wells
- Lambda Eskom for Digby Wells
- Alexander Scoping for SLR
- Perseus-Kronos-Aries Eskom 2016 for NGT
- Mala Mala 2017 for Henwood
- Modimolle 2017 for Green Vision
- Klipoortjie and Finaalspan 2017 for Delta BEC
- Ledjadja borrow pits 2018 for Digby Wells
- Lungile poultry farm 2018 for CTS
- Olienhout Dam 2018 for JP Celliers

- Isondlo and Kwasobabili 2018 for GCS
- Kanakies Gypsum 2018 for Cabanga
- Nababeep Copper mine 2018
- Glencore-Mbali pipeline 2018 for Digby Wells
- Remhoogte PR 2019 for A&HAS
- Bospoort Agriculture 2019 for Kudzala
- Overlooked Quarry 2019 for Cabanga
- Richards Bay Powerline 2019 for NGT
- Eilandia dam 2019 for ACO
- Eastlands Residential 2019 for HCAC
- Fairview MR 2019 for Cabanga
- Graspan project 2019 for HCAC
- Lieliefontein N&D 2019 for EnviroPro
- Skeerpoort Farm Mast 2020 for HCAC
- Vulindlela Eco village 2020 for 1World
- KwaZamakhule Township 2020 for Kudzala
- Sunset Copper 2020 for Digby Wells
- McCarthy-Salene 2020 for Prescali
- VLNR Lodge 2020 for HCAC
- Madadeni mixed use 2020 for EnviroPro
- Frankfort-Windfield Eskom Powerline 2020 for 1World
- Beaufort West PV Facility 2021 for ACO Associates
- Copper Sunset MR 2021 for Digby Wells
- Sannaspos PV facility 2021 for CTS Heritage
- Smithfield-Rouxville-Zastron PL 2021 for TheroServe

xi) Research Output

Publications by M K Bamford up to July 2021 peer-reviewed journals or scholarly books: over 150 articles published; 5 submitted/in press; 10 book chapters.

Scopus h-index = 29; Google scholar h-index = 35; -i10-index = 92

Conferences: numerous presentations at local and international conferences.