

**Palaeontological Impact Assessment for the  
Cato Ridge Sensitivity Analysis,  
KwaZulu Natal Province**

**Desktop Study (Phase 1)**

**For**

**JLB Consulting**

**13 February 2021**

**Prof Marion Bamford**

Palaeobotanist

P Bag 652, WITS 2050

Johannesburg, South Africa

[Marion.bamford@wits.ac.za](mailto:Marion.bamford@wits.ac.za)

## **Expertise of Specialist**

The Palaeontologist Consultant: Prof Marion Bamford

Qualifications: PhD (Wits Univ, 1990); FRSSAf, ASSAf

Experience: 32 years research; 24 years PIA studies

## **Declaration of Independence**

This report has been compiled by Professor Marion Bamford, of the University of the Witwatersrand, sub-contracted by JLB Consulting, Durban, South Africa. The views expressed in this report are entirely those of the author and no other interest was displayed during the decision-making process for the Project.

Specialist: Prof Marion Bamford

Signature:

A handwritten signature in blue ink, appearing to read 'MKBamford', with a horizontal line underneath it.

## Executive Summary

A palaeontological Impact Assessment was requested for the Cato Ridge Sensitivity Analysis, to the north and south of the town of Cato Ridge, KwaZulu Natal.

To comply with the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the project.

The proposed site lies on the non-fossiliferous Natal Group of Ordovician to Silurian in age, and partly on the potentially fossiliferous Dwyka Group tillites and diamictites (western part). The latter could have fragments of invertebrates as well as leaves and woods of the *Glossopteris flora*. Such fossils are very sporadic in their occurrence. Nonetheless a Fossil Chance Find Protocol should be added to the EMP. Based on this information it is recommended that no palaeontological site visit is required unless fossils are found once the excavations and/or drilling commences.

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# 1. Background

## SITE DESCRIPTION

The area under investigation extends north and northeast of the town of Cato Ridge and the N3 highway, and also south and southwest of the town, and covers an area of approximately 8km NE-SW and about 7km E-W (Figure 1).

A Palaeontological Impact Assessment was requested for the Cato Ridge project. To comply with the South African Heritage Resources Agency (SAHRA) in terms of Section 38(8) of the National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA), a desktop Palaeontological Impact Assessment (PIA) was completed for the proposed development and presented herein.

Table 1: Specialist report requirements in terms of Appendix 6 of the EIA Regulations (amended 2017)

	<b>A specialist report prepared in terms of the Environmental Impact Regulations of 2017 must contain:</b>	<b>Relevant section in report</b>
ai	Details of the specialist who prepared the report	Appendix B
aii	The expertise of that person to compile a specialist report including a curriculum vitae	Appendix B
b	A declaration that the person is independent in a form as may be specified by the competent authority	Page 1
c	An indication of the scope of, and the purpose for which, the report was prepared	Section 1
ci	An indication of the quality and age of the base data used for the specialist report: SAHRIS palaeosensitivity map accessed – date of this report	Yes
cii	A description of existing impacts on the site, cumulative impacts of the proposed development and levels of acceptable change	Section 5
d	The date and season of the site investigation and the relevance of the season to the outcome of the assessment	N/A
e	A description of the methodology adopted in preparing the report or carrying out the specialised process	Section 2
f	The specific identified sensitivity of the site related to the activity and its associated structures and infrastructure	Section 4
g	An identification of any areas to be avoided, including buffers	N/A
h	A map superimposing the activity including the associated structures and infrastructure on the environmental sensitivities of the site including areas to be avoided, including buffers;	N/A
i	A description of any assumptions made and any uncertainties or gaps in knowledge;	Section 5

j	A description of the findings and potential implications of such findings on the impact of the proposed activity, including identified alternatives, on the environment	Section 4
k	Any mitigation measures for inclusion in the EMPr	Section 7, Appendix A
l	Any conditions for inclusion in the environmental authorisation	N/A
m	Any monitoring requirements for inclusion in the EMPr or environmental authorisation	Section 7, Appendix A
ni	A reasoned opinion as to whether the proposed activity or portions thereof should be authorised	N/A
nii	If the opinion is that the proposed activity or portions thereof should be authorised, any avoidance, management and mitigation measures that should be included in the EMPr, and where applicable, the closure plan	N/A
o	A description of any consultation process that was undertaken during the course of carrying out the study	N/A
p	A summary and copies if any comments that were received during any consultation process	N/A
q	Any other information requested by the competent authority.	N/A



Figure 1: Google Earth map of the area around Cato Ridge with the proposed development within the blue outline. Map supplied by JB.



## 2. Methods and Terms of Reference

The Terms of Reference (ToR) for this study were to undertake a PIA and provide feasible management measures to comply with the requirements of SAHRA.

The methods employed to address the ToR included:

1. Consultation of geological maps, literature, palaeontological databases, published and unpublished records to determine the likelihood of fossils occurring in the affected areas. Sources included records housed at the Evolutionary Studies Institute at the University of the Witwatersrand and SAHRA databases;
2. Where necessary, site visits by a qualified palaeontologist to locate any fossils and assess their importance (*not applicable to this assessment*);
3. Where appropriate, collection of unique or rare fossils with the necessary permits for storage and curation at an appropriate facility (*not applicable to this assessment*); and
4. Determination of fossils' representivity or scientific importance to decide if the fossils can be destroyed or a representative sample collected (*not applicable to this assessment*).

## 3. Geology and Palaeontology

### i. Project location and geological context

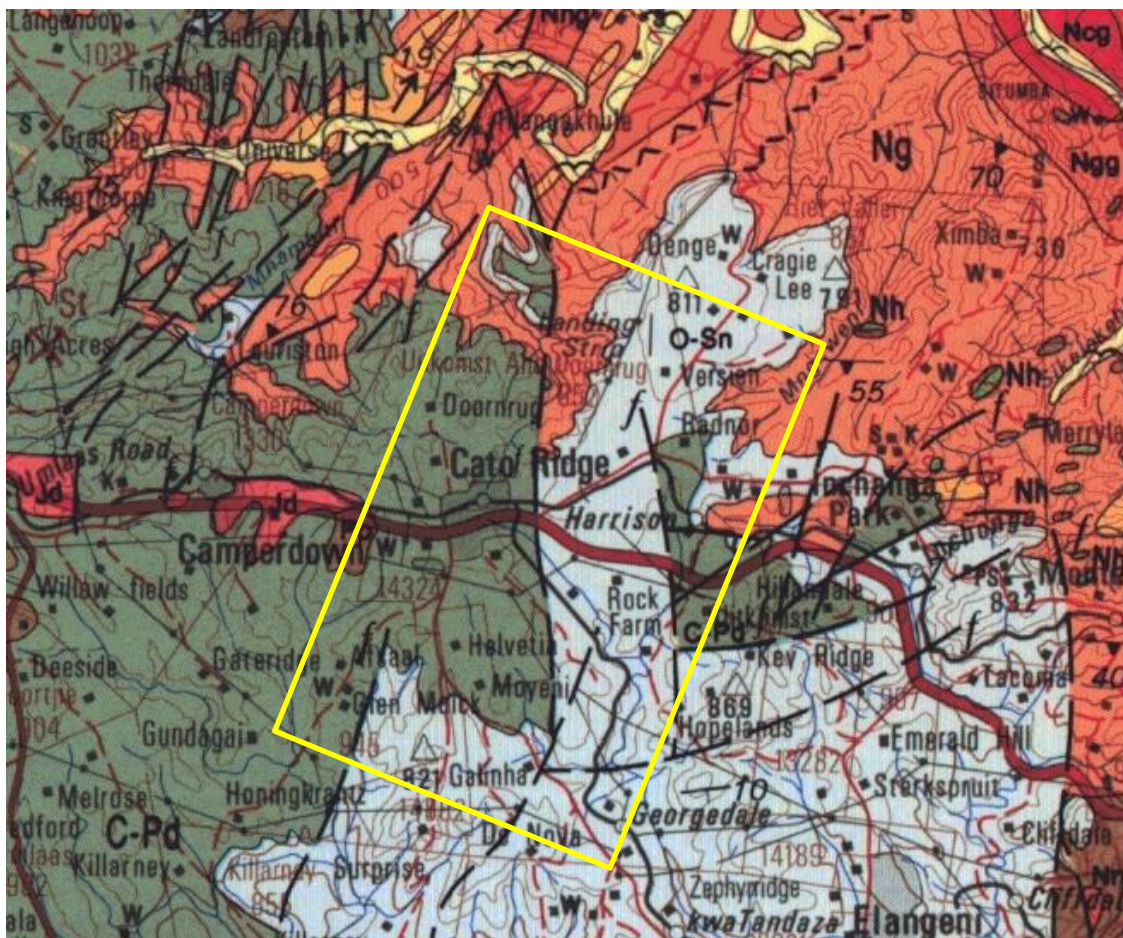


Figure 2: Geological map of the area around Cato Ridge. The location of the proposed project is indicated within the yellow rectangle. Abbreviations of the rock types are explained in Table 2. Map enlarged from the Geological Survey 1: 250 000 map 2930 Durban.

Table 2: Explanation of symbols for the geological map and approximate ages (Johnson et al., 2006; Marshall, 2006). SG = Supergroup; Fm = Formation; Ma = million years; grey shading = formations impacted by the project.

Symbol	Group/Formation	Lithology	Approximate Age
Q	Quaternary	Alluvium, sand, calcrete	Neogene, ca 2.5 Ma to present
Jd	Jurassic dykes	Dolerite dykes, intrusive	Jurassic, approx. 180 Ma
C-Pd	Dwyka Group, Karoo SG	Tillites, diamictites, mudstone sandstone	Late Carboniferous to Early Permian, ca 290Ma
O-Sn	Natal Group	Arkosic to sub-arkosic sandstone, Quartz arenite, micaceous sandstone	Ordovician to Silurian Ca 485 – 419 Ma
Ng	Natal-Namaqua Metamorphic Province	Intrusive megacrystic biotite granite	Ca 580 – 490 Ma

The rocks in this area are the Natal-Namaqua Metamorphic Province intrusive rocks, the Natal Group sedimentary rocks and the basal Dwyka Group (Karoo Supergroup) sedimentary rocks (Figure 2).

South of the Tugela Thrust Belt the Natal Group sediments rest non-conformably on Namaquan-age rocks of the Namaqua-Natal Metamorphic Province (Marshall, 2006). In contrast, to the north of the Tugela Thrust Belt the Natal Group lies on the much older Archaean rocks of the Kaapvaal Craton (ibid). Overlying both of these rock types is the much younger Dwyka Group of sediments. A complex history of erosion of sediments from the Mozambique mountains into the graben that formed parallel to the present-day Natal coast, with talus cones, fluvial and braided-stream action, provided the material for the Natal Group. With the breakup of Gondwana the eastern margin of the graben has been lost (Marshall, 2006). Since these sediments have been transported and reworked, they do not preserve fossils.

Around 300-290 Ma the climate in southern Africa was still relatively cool, but there were well developed Carboniferous floras in the northern hemisphere. In South Africa, however, much of the land surface was covered by ice sheets. As they melted, they dropped the moraine trapped in the ice, together with limited plant matter from the vegetation that gradually recovered and colonised the land surface.

The Dwyka Group is made up of seven facies that were deposited in a marine basin under differing environmental settings of glacial formation and retreat (Visser, 1986, 1989; Johnson et al., 2006). In the north and east these are called the Mbizane Formation, and the Elandsvlei Formation in the south and west. Described below are the seven facies (Johnson et al., 2006 p. 463-465):

The massive diamictite facies comprises highly compacted diamictite that is clast-poor in the north. It was deposited in subaqueous or subglacial positions.



The stratified diamictite comprises alternating diamictite, mudrock, sandstone and conglomerate beds. They are interpreted as being rapidly deposited, sediment gravity flows but with some possible reworking of the subglacial diamictites.

The massive carbonate-rich diamictite facies is clast-poor and was formed by the rainout of debris, with the carbonate probably originating by crystallisation from interstitial waters.

The conglomerate facies range from single layer boulder beds to poorly sorted pebble and granule conglomerates. The boulder beds are interpreted as lodgement deposits whereas the poorly sorted conglomerates are a product of water-reworking of diamicton by high-density sediment gravity flows.

The sandstone facies were formed as turbidite deposits.

The mudrock with stones facies represents rainout deposits in the distal iceberg zone.

The *mudrock facies* consists of dark-coloured, commonly carbonaceous mudstone, shale or silty rhythmite that was formed when the mud or silt in suspension settled. This is the only fossiliferous facies of the Dwyka Group.

## ii. Palaeontological context

The palaeontological sensitivity of the area under consideration is presented in Figure 3 and the Dwyka Group is represented by the green shading (moderately sensitive).

The Dwyka *Glossopteris* flora outcrops are very sporadic and rare. Of the seven facies that have been recognised in the Dwyka Group fossil plant fragments have only been recognised from the mudrock facies. Fragments of invertebrates and primitive fish also occur. They have been recorded from around Douglas only (Johnson et al., 2006; Anderson and McLachlan 1976) although the Dwyka Group exposures are very extensive. Jurassic Dolerites do not contain fossils as they are igneous intrusives.

Terrestrial vertebrates had not evolved at this time. The late Carboniferous flora comprised *Glossopteris* leaves and seeds, wood, and other plants such as lycopods, sphenophytes and ferns.

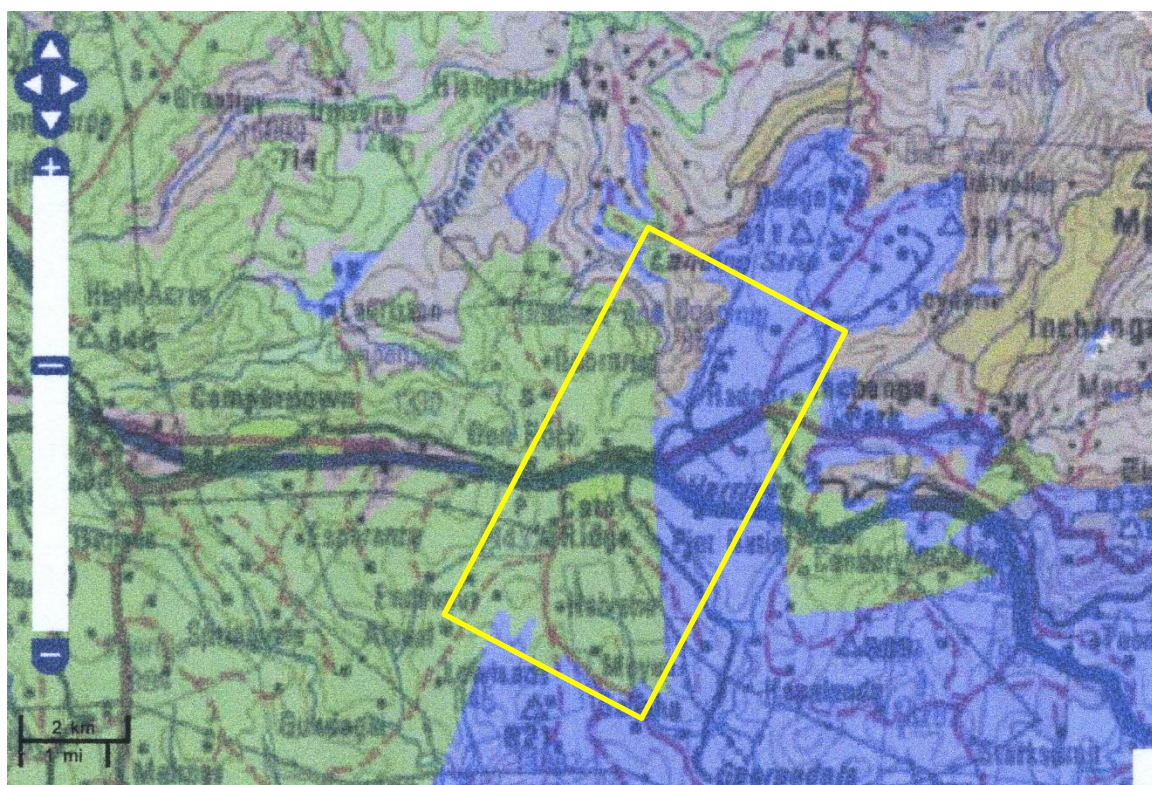


Figure 3: SAHRIS palaeosensitivity map for the area of the Cato Ridge Sensitivity Analysis shown within the yellow rectangle. Background colours indicate the following degrees of sensitivity: red = very highly sensitive; orange/yellow = high; green = moderate; blue = low; grey = insignificant/zero.

## 4. Impact assessment

An assessment of the potential impacts to possible palaeontological resources considers the criteria encapsulated in Table 3:

**TABLE 3A: CRITERIA FOR ASSESSING IMPACTS**

<b>PART A: DEFINITION AND CRITERIA</b>		
<b>Criteria for ranking of the SEVERITY/NATURE of environmental impacts</b>	<b>H</b>	Substantial deterioration (death, illness or injury). Recommended level will often be violated. Vigorous community action.
	<b>M</b>	Moderate/ measurable deterioration (discomfort). Recommended level will occasionally be violated. Widespread complaints.
	<b>L</b>	Minor deterioration (nuisance or minor deterioration). Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.
	<b>L+</b>	Minor improvement. Change not measurable/ will remain in the current range. Recommended level will never be violated. Sporadic complaints.
	<b>M+</b>	Moderate improvement. Will be within or better than the recommended level. No observed reaction.
	<b>H+</b>	Substantial improvement. Will be within or better than the recommended level. Favourable publicity.
<b>Criteria for ranking the DURATION of impacts</b>	<b>L</b>	Quickly reversible. Less than the project life. Short term
	<b>M</b>	Reversible over time. Life of the project. Medium term
	<b>H</b>	Permanent. Beyond closure. Long term.
	<b>L</b>	Localised - Within the site boundary.
	<b>M</b>	Fairly widespread – Beyond the site boundary. Local

<b>Criteria for ranking the SPATIAL SCALE of impacts</b>	<b>H</b>	Widespread – Far beyond site boundary. Regional/ national
<b>PROBABILITY (of exposure to impacts)</b>	<b>H</b>	Definite/ Continuous
	<b>M</b>	Possible/ frequent
	<b>L</b>	Unlikely/ seldom

**TABLE 3B: IMPACT ASSESSMENT**

<b>PART B: ASSESSMENT</b>		
<b>SEVERITY/NATURE</b>	<b>H</b>	-
	<b>M</b>	-
	<b>L</b>	Intrusive rocks and ancient reworked sediments do not preserve fossils. So far there are no records from the Dwyka Group of plant or animal fossils in this region so it is very unlikely that fossils occur on the site. The impact would be very unlikely.
	<b>L+</b>	-
	<b>M+</b>	-
	<b>H+</b>	-
<b>DURATION</b>	<b>L</b>	-
	<b>M</b>	-
	<b>H</b>	Where manifest, the impact will be permanent.
<b>SPATIAL SCALE</b>	<b>L</b>	Since only the possible fossils within the area would be fossil plants from the <i>Glossopteris</i> flora in the mudstones, the spatial scale will be localised within the site boundary.
	<b>M</b>	-
	<b>H</b>	-
<b>PROBABILITY</b>	<b>H</b>	-
	<b>M</b>	-
	<b>L</b>	It is unlikely that any fossils would be found in the loose sand and soils that cover most of the area but it is not known what lies below ground in the Dwyka Group. Therefore, a Fossil Chance Find Protocol should be added to the eventual EMP.

Based on the nature of the project, surface activities may impact upon the fossil heritage if preserved in the development footprint. The geological structures suggest that the rocks are either much too old or of the incorrect type to contain fossils. Since there is a very small chance that fossils from the Dwyka Group may be disturbed, a Fossil Chance Find Protocol has been added to this report. Taking account of the defined criteria, the potential impact to fossil heritage resources is extremely low.

## 5. Assumptions and uncertainties

Based on the geology of the area and the palaeontological record as we know it, it can be assumed that the formation and layout of the dolomites, sandstones, shales and sands are typical for the country and do not contain fossil plant, insect, invertebrate and vertebrate material. This applies to the Natal Group sediments in the eastern part of the project. The western part lies on the Dwyka Group diamictites that are not known to preserve fossils, yet other facies of the Dwyka Group, particularly mudstones, can preserve fossils. To date there have been no reports from this area.

## 6. Recommendation

Based on experience and the lack of any previously recorded fossils from the area, it is extremely unlikely that any fossils would be preserved in the eastern part of the project, on the Natal Group reworked sediments. In contrast, for the western part of the project, there is a very small chance that fossils may occur in the Dwyka Group rocks, but only if mudstones are present. According to the geological map (Figure 2), the Dwyka Group rocks are diamictites, not mudstones. Nonetheless, a Fossil Chance Find Protocol should be added to the EMP: if fossils are found once excavations have commenced then they should be rescued and a palaeontologist called to assess and collect a representative sample.

## 7. References

- Anderson, J.M., Anderson, H.M., 1985. Palaeoflora of Southern Africa: Prodrum of South African megafloras, Devonian to Lower Cretaceous. A.A. Balkema, Rotterdam. 423 pp.
- Anderson, A.M., McLachlan, I.R., 1976. The plant record in the Dwyka and Ecca Series (Permian) of the south-western half of the great Karoo Basin, South Africa. *Palaeontologia africana* 19, 31-42.
- Isbell, J.L., Henry, L.C., Gulbranson, E.L., Limarino, C.O., Fraiser, F.L., Koch, Z.J., Ciccioli, P.I., Dineen, A.A., 2012. Glacial paradoxes during the late Paleozoic ice age: Evaluating the equilibrium line altitude as a control on glaciation. *Gondwana Research* 22, 1-19.
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- Marshall, G.G.A., 2006. The Natal Group. In: Johnson, M.R., Anhaeusser, C.R. and Thomas, R.J., (Eds). *The Geology of South Africa*. Geological Society of South Africa, Johannesburg / Council for Geoscience, Pretoria. Pp 433-441.
- McLachlan, I.R., Anderson, A., 1973. A review of the evidence for marine conditions in southern Africa during Dwyka times. *Palaeontologia africana* 15(2), 37-64.
- Plumstead, E.P., 1969. Three thousand million years of plant life in Africa. Geological Society of southern Africa, Annexure to Volume LXXII. 72pp + 25 plates.
- Visser, J.N.J., 1986. Lateral lithofacies relationships in the glaciogene Dwyka Formation in the western and central parts of the Karoo Basin. *Transactions of the Geological Society of South Africa* 89, 373-383.
- Visser, J.N.J., 1989. The Permo-Carboniferous Dwyka Formation of southern Africa: deposition by a predominantly subpolar marine icesheet. *Palaeogeography, Palaeoclimatology, Palaeoecology* 70, 377-391.

## 8. Chance Find Protocol

**Monitoring Programme for Palaeontology – to commence once the excavations / drilling / mining activities begin.**

1. The following procedure is only required if fossils are seen on the surface and when drilling/excavations/mining commence.
2. When excavations begin the rocks and must be given a cursory inspection by the environmental officer or designated person. Any fossiliferous material (plants, insects, bone, coal) should be put aside in a suitably protected place. This way the project activities will not be interrupted.
3. Photographs of similar fossil plants must be provided to the developer to assist in recognizing the fossil plants in the shales and mudstones (for example see Figures 4-5). This information will be built into the EMP's training and awareness plan and procedures.
4. Photographs of the putative fossils can be sent to the palaeontologist for a preliminary assessment.
5. If there is any possible fossil material found by the developer/environmental officer/miners then the qualified palaeontologist sub-contracted for this project, should visit the site to inspect the selected material and check the dumps where feasible.
6. Fossil plants or vertebrates that are considered to be of good quality or scientific interest by the palaeontologist must be removed, catalogued and housed in a suitable institution where they can be made available for further study. Before the fossils are removed from the site a SAHRA permit must be obtained. Annual reports must be submitted to SAHRA as required by the relevant permits.
7. If no good fossil material is recovered then no site inspections by the palaeontologist will be necessary. A final report by the palaeontologist must be sent to SAHRA once the project has been completed and only if there are fossils.
8. If no fossils are found and the excavations have finished then no further monitoring is required.



## Appendix A – Examples of fossils from the Dwyka and Ecça Groups

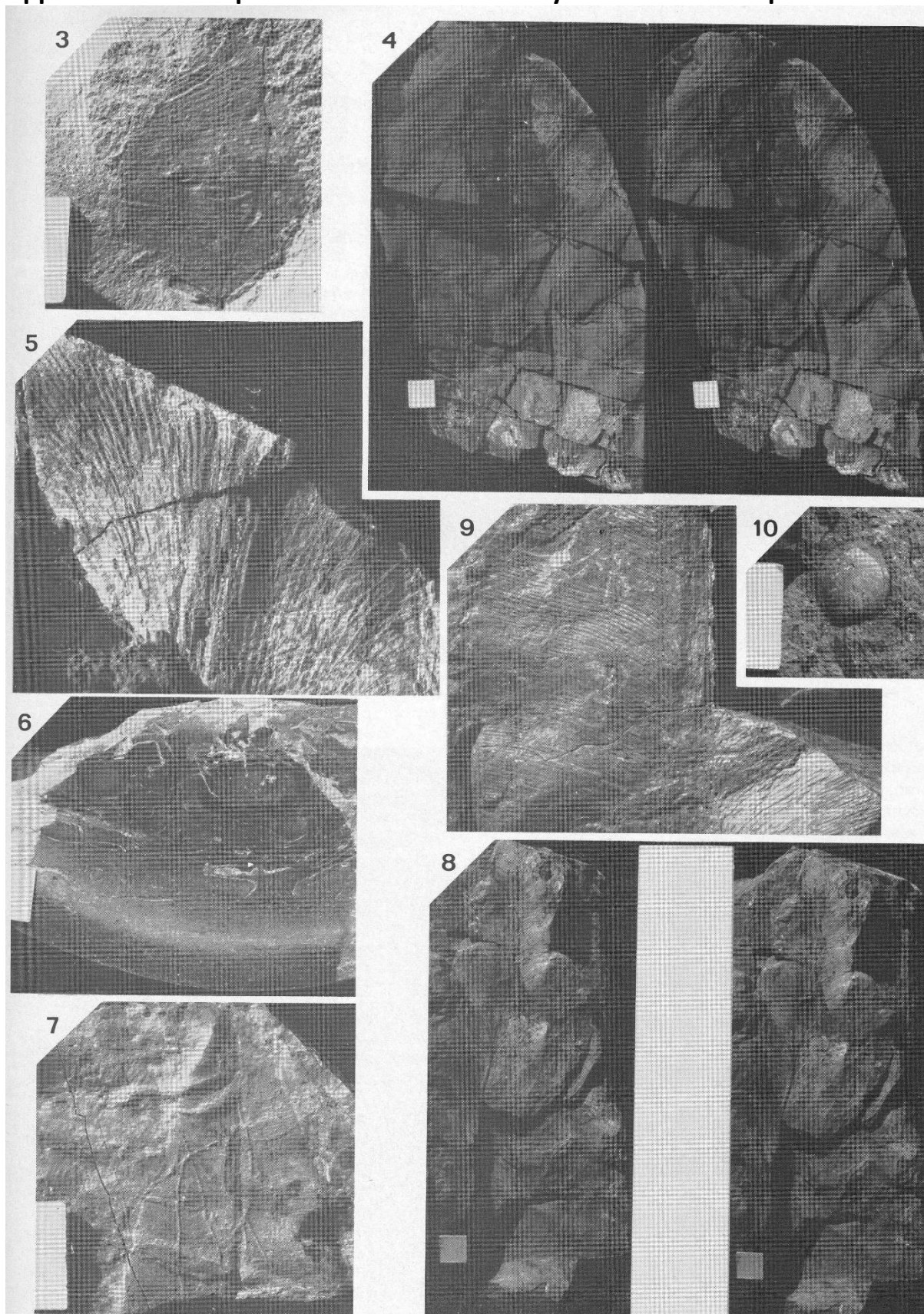




Figure 4: Examples of fossils from the Dwyka group from the area around Douglas, Northern Cape Province (Anderson and McLachlan, 1976, Plate 1).

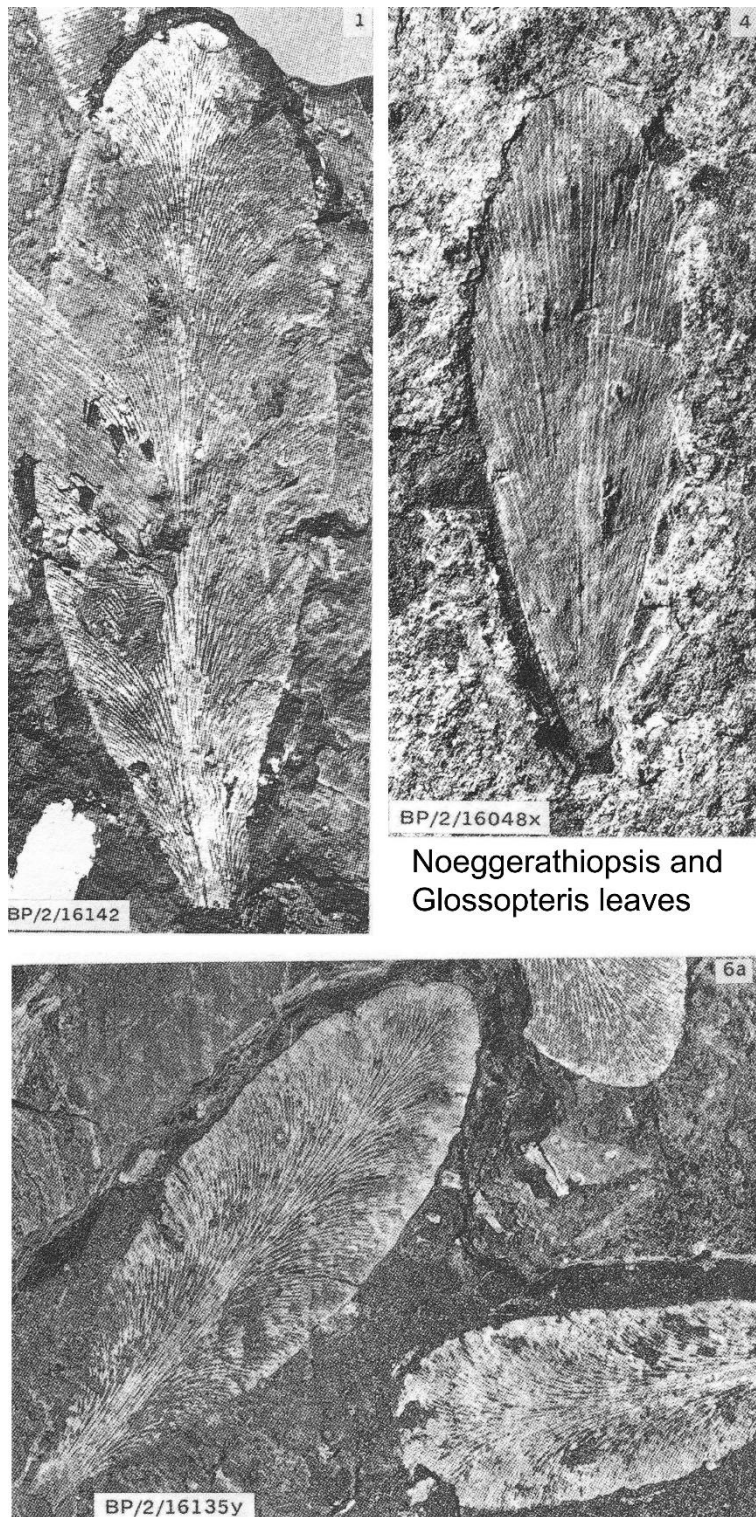


Figure 5: Examples of leaf impressions from the *Glossopteris* flora

## Appendix B – Details of specialist

### Curriculum vitae (short) - Marion Bamford PhD January 2021

#### I) Personal details

Surname : **Bamford**  
First names : **Marion Kathleen**  
Present employment : Professor; Director of the Evolutionary Studies Institute.  
Member Management Committee of the NRF/DST Centre of Excellence Palaeosciences, University of the Witwatersrand, Johannesburg, South Africa-  
Telephone : +27 11 717 6690  
Fax : +27 11 717 6694  
Cell : 082 555 6937  
E-mail : [marion.bamford@wits.ac.za](mailto:marion.bamford@wits.ac.za) ; [marionbamford12@gmail.com](mailto:marionbamford12@gmail.com)

#### ii) Academic qualifications

Tertiary Education: All at the University of the Witwatersrand:

1980-1982: BSc, majors in Botany and Microbiology. Graduated April 1983.

1983: BSc Honours, Botany and Palaeobotany. Graduated April 1984.

1984-1986: MSc in Palaeobotany. Graduated with Distinction, November 1986.

1986-1989: PhD in Palaeobotany. Graduated in June 1990.

#### iii) Professional qualifications

*Wood Anatomy Training (overseas as nothing was available in South Africa):*

1994 - Service d'Anatomie des Bois, Musée Royal de l'Afrique Centrale, Tervuren, Belgium, by Roger Dechamps

1997 - Université Pierre et Marie Curie, Paris, France, by Dr Jean-Claude Koeniguer

1997 - Université Claude Bernard, Lyon, France by Prof Georges Barale, Dr Jean-Pierre Gros, and Dr Marc Philippe

#### iv) Membership of professional bodies/associations

Palaeontological Society of Southern Africa

Royal Society of Southern Africa - Fellow: 2006 onwards

Academy of Sciences of South Africa - Member: Oct 2014 onwards

International Association of Wood Anatomists - First enrolled: January 1991

International Organization of Palaeobotany – 1993+

Botanical Society of South Africa

South African Committee on Stratigraphy – Biostratigraphy - 1997 - 2016

SASQUA (South African Society for Quaternary Research) – 1997+

PAGES - 2008 –onwards: South African representative

ROCEEH / WAVE – 2008+

INQUA – PALCOMM – 2011+onwards

### **vii) Supervision of Higher Degrees**

All at Wits University

Degree	Graduated/completed	Current
Honours	11	0
Masters	10	4
PhD	11	4
Postdoctoral fellows	10	5

### **viii) Undergraduate teaching**

Geology II – Palaeobotany GEOL2008 – average 65 students per year

Biology III – Palaeobotany APES3029 – average 25 students per year

Honours – Evolution of Terrestrial Ecosystems; African Plio-Pleistocene Palaeoecology;

Micropalaeontology – average 2-8 students per year.

### **ix) Editing and reviewing**

Editor: Palaeontologia africana: 2003 to 2013; 2014 – Assistant editor

Guest Editor: Quaternary International: 2005 volume

Member of Board of Review: Review of Palaeobotany and Palynology: 2010 –

Review of manuscripts for ISI-listed journals: 25 local and international journals

## **x) Palaeontological Impact Assessments**

Selected – list not complete:

- Thukela Biosphere Conservancy 1996; 2002 for DWAF
- Vioolsdrift 2007 for Xibula Exploration
- Rietfontein 2009 for Zitholele Consulting
- Bloeddrift-Baken 2010 for TransHex
- New Kleinfontein Gold Mine 2012 for Prime Resources (Pty) Ltd.
- Thabazimbi Iron Cave 2012 for Professional Grave Solutions (Pty) Ltd
- Delmas 2013 for Jones and Wagener
- Klipfontein 2013 for Jones and Wagener
- Platinum mine 2013 for Lonmin
- Syferfontein 2014 for Digby Wells
- Canyon Springs 2014 for Prime Resources
- Kimberley Eskom 2014 for Landscape Dynamics
- Yzermyne 2014 for Digby Wells
- Matimba 2015 for Royal HaskoningDV
- Commissiekraal 2015 for SLR
- Harmony PV 2015 for Savannah Environmental
- Glencore-Tweefontein 2015 for Digby Wells
- Umkomazi 2015 for JLB Consulting
- Ixia coal 2016 for Digby Wells
- Lambda Eskom for Digby Wells
- Alexander Scoping for SLR

- Perseus-Kronos-Aries Eskom 2016 for NGT
- Mala Mala 2017 for Henwood
- Modimolle 2017 for Green Vision
- Klipoortjie and Finaalspan 2017 for Delta BEC
- Ledjadja borrow pits 2018 for Digby Wells
- Lungile poultry farm 2018 for CTS
- Olienhout Dam 2018 for JP Celliers
- Isondlo and Kwasobabili 2018 for GCS
- Kanakies Gypsum 2018 for Cabanga
- Nababeep Copper mine 2018
- Glencore-Mbali pipeline 2018 for Digby Wells
- Remhoogte PR 2019 for A&HAS
- Bospoort Agriculture 2019 for Kudzala
- Overlooked Quarry 2019 for Cabanga
- Richards Bay Powerline 2019 for NGT
- Eilandia dam 2019 for ACO
- Eastlands Residential 2019 for HCAC
- Fairview MR 2019 for Cabanga
- Graspan project 2019 for HCAC
- Lieliefontein N&D 2019 for EnviroPro
- Skeerpoort Farm Mast 2020 for HCAC
- Vulindlela Eco village 2020 for 1World
- KwaZamakhule Township 2020 for Kudzala
- Sunset Copper 2020 for Digby Wells
- McCarthy-Salene 2020 for Prescali
- VLNR Lodge 2020 for HCAC
- Madadeni mixed use 2020 for EnviroPro

#### **xi) Research Output**

Publications by M K Bamford up to December 2019 peer-reviewed journals or scholarly books: over 150 articles published; 5 submitted/in press; 10 book chapters.

Scopus h-index = 29; Google scholar h-index = 35; -i10-index = 92

Conferences: numerous presentations at local and international conferences.

#### **xii) NRF Rating**

NRF Rating: B-2 (2016-2020)

NRF Rating: B-3 (2010-2015)

NRF Rating: B-3 (2005-2009)

NRF Rating: C-2 (1999-2004)